



# Stepwise drying of Lake Turkana at the end of the African Humid Period: a forced regression modulated by solar activity variations?

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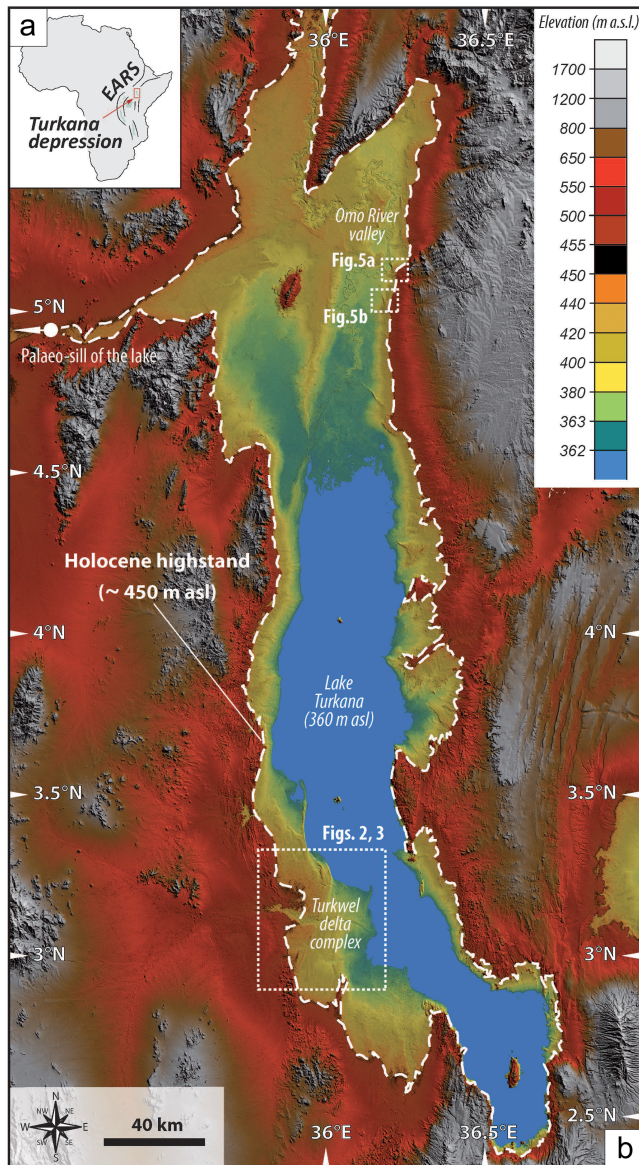
**Abstract.** Although the timing of the termination of the African Humid Period (AHP) is now relatively well established, the modes and controlling factors of this drying are still debated. Here, through a geomorphological approach, we characterize the regression of Lake Turkana at the end of the AHP. We show that lake level fall during this period was not continuous but rather stepwise and consisted of five episodes of rapid lake level fall separated by episodes marked by slower rates of lake level fall. Whereas the overall regressive trend reflects a decrease in regional precipitations linked to the gradual reduction in Northern Hemisphere summer insolation, itself controlled by orbital precession, we focus discussion on the origin of the five periods of accelerated lake level fall. We propose that these periods are due to temporary reductions in rainfall across the Lake Turkana area associated with repeated westward displacement of the Congo Air Boundary (CAB) during solar activity minima.

## 1 Introduction

The African Humid Period (AHP), ca. 14.8 to 5.5 ka cal BP (kilo-annum before present), is a major climate period that was paced by orbital parameters (i.e. precession; deMenocal et al., 2000; deMenocal and Tierney, 2012; Bard, 2013; Shanahan et al., 2015) and that had a marked impact the environment, ecosystems, and human occupation of Africa (Bard, 2013). An increase in rainfall during this climate period led to the rise and highstand of numerous African lakes (Street and Grove, 1976; Tierney et al., 2011). The end of the AHP was characterized by the establishment of more arid conditions, leading to dramatic lake level falls (Street-Perrott

and Roberts, 1983; Kutzbach and Street-Perrott, 1985). This aridification forced Neolithic populations to adapt to more limited resources (Kuper and Kröpelin, 2006) and represents one of the most recent examples of major climate change. The mid-Holocene termination of the AHP is thought to have been either abrupt (deMenocal et al., 2000), gradual (Kröpelin et al., 2008), or time-transgressive (Shanahan et al., 2015), depending on location. This highlights the variable responses of proxies to dominant forcings and the complex interactions among the multiple components of the local environment (e.g. deMenocal et al., 2000; Renssen et al., 2006; Liu et al., 2007; Tierney and deMenocal, 2013; Shanahan et al., 2015). However, drying trends remain poorly constrained and, in consequence, the precise modes of aridification are uncertain. A lack of continuous sedimentary archives has led to the standard idea of a relative constant rate of lake level fall during the regression of African lakes (e.g. Garcin et al., 2012; Forman et al., 2014; Morrissey and Scholz, 2014; Junginger et al., 2014; Bloszies et al., 2015). In this study, we investigate the drying trend of Lake Turkana at the end of the AHP and, for the first time, present evidence that this final regression was not continuous through time, revealing a more complex process than the traditional idea of lake regression. Understanding the mode of African lake regressions is particularly relevant in the context of projecting future global climate change impacts on the African continent (e.g. Patricola and Hook, 2011), especially in terms of evolution of water resources of large lakes.

Lake Turkana is one of the great lakes of the East African Rift system. It is considered as a wind-driven body of water (Nutz et al., 2016) that developed abundant wave-dominated coastal features along its shoreline. These coastal features



**Figure 1.** Location maps. (a) Lake Turkana basin in the East African Rift system (EARS). (b) Digital elevation model (DEM) SRTM1 showing Lake Turkana and the two investigated areas (Turkwel delta complex and the east side of the Omo River valley). Dashed white line represents the maximum Holocene lake level. All described geomorphological features are located between the palaeolake limit and the modern lake shoreline.

represent a valuable palaeohydrological archive that permits a greater understanding of Lake Turkana evolution during the AHP (Butzer, 1980; Owen et al., 1982; Garcin et al., 2012; Forman et al., 2014; Bloszies et al., 2015). However, the detailed and continuous evolution of lake level over the course of the last forced regression (i.e. basinward migration of the shoreline associated with a base level fall), marking the end of the AHP, has not been clearly documented. Here, the delta complex of the Turkwel River (Fig. 1), which de-

veloped during the last forced regression of Lake Turkana, is examined using trajectory analysis (Helland-Hansen and Hampson, 2009). We highlight variations in the rate of lake level fall during this ultimate regression. We then interpret these variations as markers that reflect changes in precipitation during the crucial period corresponding to the terminal phase of the AHP. Subsequently, we discuss potential forcings responsible for the regressive pattern of Lake Turkana with a primary focus on the role of the Sun and short-term variations in insolation.

## 2 Methods

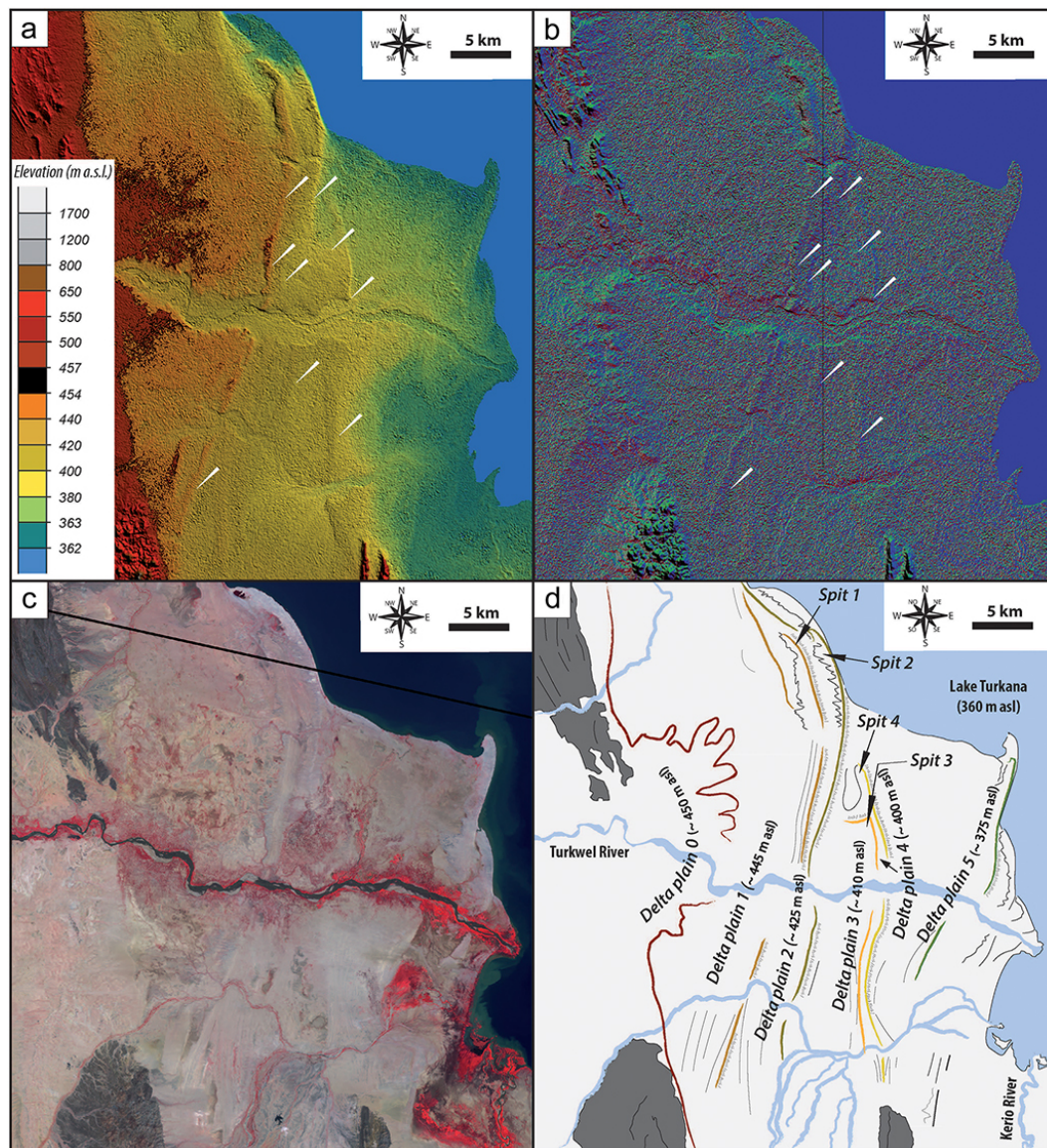
The dataset is comprised of satellite imagery and a digital elevation model (DEM). A recently obtained SRTM1 dataset (Shuttle Radar Topography Mission; Rabus et al., 2003) is available for the entire Lake Turkana area. This DEM is produced by radar interferometry with 1 arcsec (approximately 30 m) horizontal grid spacing and provides a maximum 5 m absolute vertical error (Becek, 2008; Garcin et al., 2009). In addition, high-resolution (< 1 m) PLEIADES and (5 m) SPOT 5 images were used to focus on selected areas. This dataset was processed using GIS software (Global Mapper 15; www.globalmapper.com) to provide a high-resolution 3-D image of geomorphological features. Topographic profiles, elevation differences, and slope values were obtained using Global Mapper 15 software.

The trajectory analysis method is a recent development based on the principles of sequence stratigraphy. This approach permits an estimate of the palaeoevolution of sea or lake levels based on the analysis of lateral and vertical migration of shore-dependent landforms (i.e. shelf, coastal wedge, or deltaic systems). Four categories of shoreline trajectories exist: ascending regressive, descending regressive, transgressive, and stationary. These reflect normal regression, forced regression, transgression, and stable trends, respectively. In terms of base-level evolution, normal regression and transgression indicate a rise in sea or lake levels, while forced regression reflects a water level decline. Here, we apply this method to decipher the evolution of Lake Turkana levels at the end of the AHP.

## 3 Geomorphological analysis

The Turkwel delta complex is 35 km long, forming one of the major deltaic systems of Lake Turkana (Fig. 1). It was developed as the shoreline migrated basinward, lowering from 450 to 360 m a.s.l. (Fig. 2). From west to east, five distinct progradational stages were identified (Fig. 2d). The first progradational stage forms a lobe protruding out from the average north–south palaeoshoreline, well defined by the 450 m a.s.l. elevation contour (red line in Fig. 2d). According to regional age models (Garcin et al., 2012; Forman et al., 2014; Bloszies et al., 2015), this first progradational stage



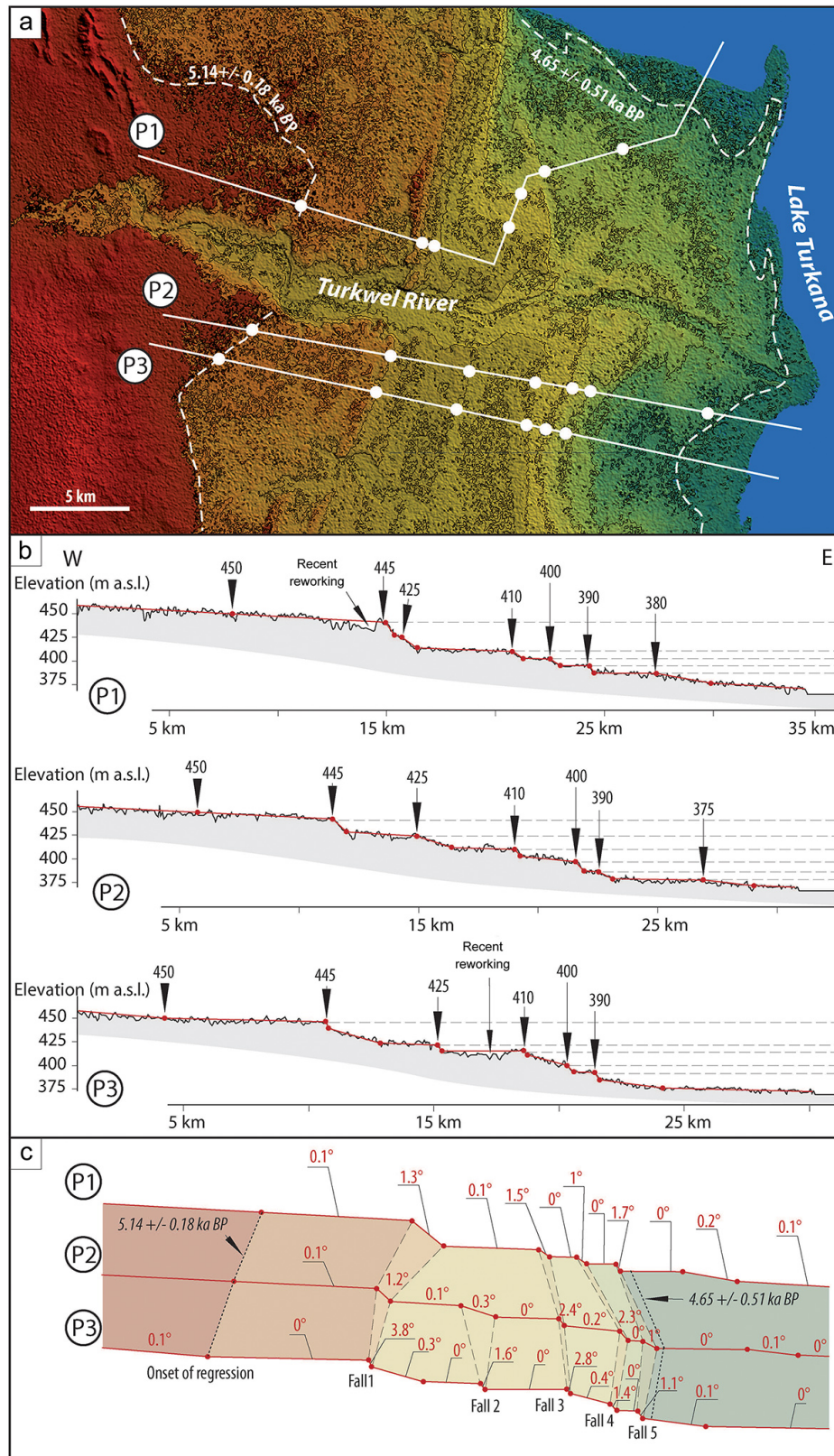


**Figure 2.** Turkwel delta complex. For location, see Fig. 1b. (a) Raw digital elevation model SRTM1 of the Turkwel delta. (b) Slope direction shading applied to the DEM SRTM1 of the Turkwel delta to highlight the steps separating the different plateaus. Markers display the correspondence between (a) the DEM SRTM1 and (b) the slope direction shading. (c) SPOT5 satellite image of the Turkwel delta. (d) Interpretative geomorphological map of the area showing five successive delta plains in addition to the oldest plain associated with the late AHP highstand.

marks the last Holocene highstand before the end of the AHP. Moving eastward, each of the three topographic profiles across the Turkwel delta complex (Fig. 3) show five slightly inclined plateaus separated by five abrupt 5 to 15 m high steps at ca. 445, 425, 410, 400, and 390 m a.s.l. (Fig. 4). Each plateau defines a different progradational stage. The plateaus are 3–5 km wide, and correspond to successively abandoned delta plains (Fig. 2d). To the north, these plateaus systematically end with palaeospits that document ancient, northward-flowing alongshore currents. The resulting landform reveals the Turkwel delta complex to be composed of

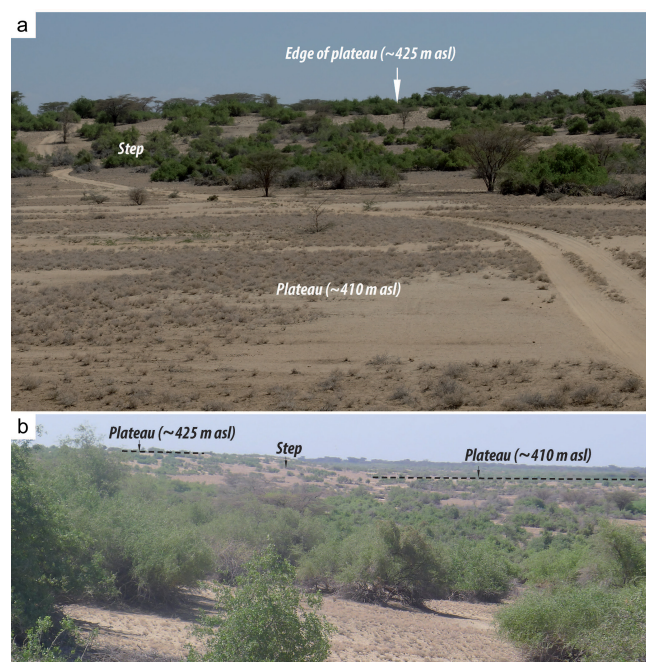
successive asymmetric wave-dominated deltas (Bhattacharya and Giosan, 2003; Anthony, 2015) during most of its evolution, except in the early period associated with the AHP highstand. None of the plateaus exhibit evidence of significant erosion that would indicate reworking of the landforms subsequent to their deposition, except for the fluvial incision by the Turkwel River that progressively adjusted to base level fall. This supports the idea that the Turkwel delta complex represents a primary depositional landform displaying a continuous, comprehensive record of lake level evolution. Trajectory analysis, performed for the three tran-





**Figure 3.** Geomorphological data for the Turkwel delta complex. For location, see Fig. 1b. **(a)** SRTM1 images were processed to display a digital elevation model of the Turkwel delta complex. Locations of the topographic transects are presented. **(b)** Topographic transects P1, P2, and P3. **(c)** Trajectory analyses show that the overall forced regressive trend ( $<0.4^\circ$ ) is punctuated by five steeper slopes (1 to  $3.8^\circ$ ) revealing short-term increases in the rates of lake level fall.





**Figure 4.** Landforms from the Turkwel delta. **(a)** Front view of a step grading downward to a plateau. **(b)** Side view of the same step separating two plateaus.

sects across the Turkwel delta complex along its progradation axes (Fig. 3), reveals that the plateaus are continuous, having slightly descending regressive trajectories ( $<0.4^\circ$ ). The five abrupt steps that separate plateaus have much higher slope gradients (1 to  $3.8^\circ$ ), and are also defined as descending regressive trajectories. The trajectories reflect a progradation associated with a general lake level fall that meets the definition of a forced regression (Posamentier et al., 1992). The five abrupt steps reflect recurrent, short-lived increases in the rate of lake level fall that evidence a stepwise forced regression at the end of the AHP.

In the eastern Omo River valley (Fig. 1), topographic profiles along two fossil spits (Fig. 5) confirm this interpretation. Both spits show successive steps starting at elevations similar to those observed in the Turkwel delta complex (ca. 445, 425, 410, and 400 m a.s.l.; Fig. 3). These additional observations strongly support features in the Turkwel delta complex that reflect a stepwise forced regression of Lake Turkana at the end of the AHP.

#### 4 Chronological framework

Humid conditions related to the AHP broadly prevailed over Africa from 14.8 to 5.5 ka cal BP (deMenocal et al., 2000; Shanahan et al., 2015). Several lake level curves developed from Lake Turkana provide records of the regional moisture history over the Holocene (Garcin et al., 2012; Forman et al., 2014; Bloszies et al., 2015). Based on surveys of raised

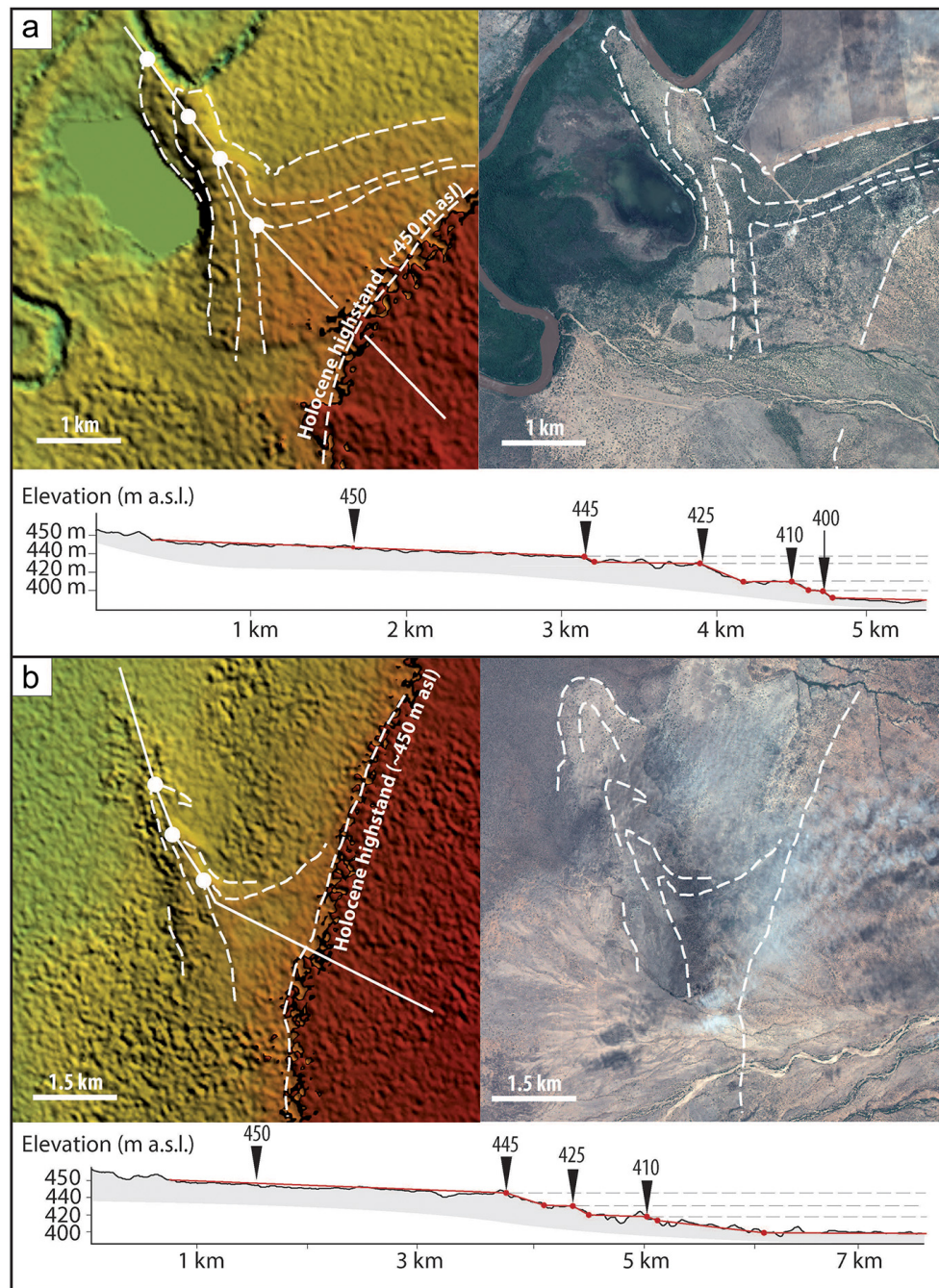
Holocene beach ridges coupled with dated archaeological sites, these studies also provide a relatively robust chronological framework for its regression at the end of the AHP. Garcin et al. (2012) initially estimated the onset of the last lake level fall in Lake Turkana at ca.  $5.27 \pm 0.36$  ka cal BP based on radiocarbon ages obtained from shells preserved in palaeoshorelines. Subsequently, using a similar methodology, Forman et al. (2014) proposed that the age of this last regression occurred between 5.5/5.0 and 4.6 ka cal BP associated to a lake level fall from 440 to 380 m a.s.l. Finally, Bloszies et al. (2015) proposed an onset of the last regression of the AHP starting at  $5.18 \pm 0.12$  ka cal BP (shells at 90 m above the modern Lake Turkana; sample SNU12-589) and finishing at  $4.58 \pm 0.25$  ka BP (optically stimulated luminescence (OSL) age reused from Forman et al., 2014; sample UIC2319) associated with a lake level fall from 450 to 375 m a.s.l. Based on these published data, we carried out minor complementary processing in order to refine the chronology. First, we recalibrated sample SNU12-589, considered to provide the age of the onset of the last regression. Using INTCAL13 (Reimer et al., 2013), the onset of the last regression is now  $5.14 \pm 0.18$  ka cal BP ( $4.51 \pm 0.06$  ka  $^{14}\text{C}$  BP). Second, we converted the OSL age, representing the end of the last regression of  $4.58 \pm 0.5$  ka BP ( $2\sigma$ ) by Forman et al. (2014), to radiocarbon years. Forman et al. (2014) provide six samples that were dated by both OSL and radiocarbon methods. Despite the limited number of samples, we ran a linear regression to propose a statistical relationship between OSL and radiocarbon ages. Based on this correlation ( $\text{age}_{(\text{OSL})} = 0.98386063 \times \text{age}_{(\text{calibrated})}^{(14\text{C})}$ ;  $b$  (the intercept) has been forced to 0;  $r^2 = 0.9942$ ), the age of the end of the last regression is now estimated at  $4.65 \pm 0.51$  ka cal BP ( $4.14 \pm 0.17$  ka  $^{14}\text{C}$  BP). As the investigated portion of the Turkwel delta complex is located between 450 and 375 m a.s.l., the landforms are considered to have developed between  $5.14 \pm 0.18$  and  $4.65 \pm 0.51$  ka cal BP.

Based on this time interval, the last regression of Lake Turkana would, at the longest, span a period from 5.32 to 4.14 ka cal BP. Converting this longest potential time interval as radiocarbon ages (i.e. the interval between 4.57 and 3.97 ka  $^{14}\text{C}$  BP), a mean age of  $4.27 \pm 0.3$  ka  $^{14}\text{C}$  BP is established to thereby allow calibration and provide a probability curve. The probability curve reveals a ca. 43/44 % probability that the last regression occurred precisely between 5.14 and 4.65 ka cal BP.

#### 5 Discussion

##### 5.1 Origin of Lake Turkana lake level evolution

Lake level fluctuations may result from changes in the quantity of water supply to a lake, from altered evapotranspiration rates within the catchment area, or from modifications

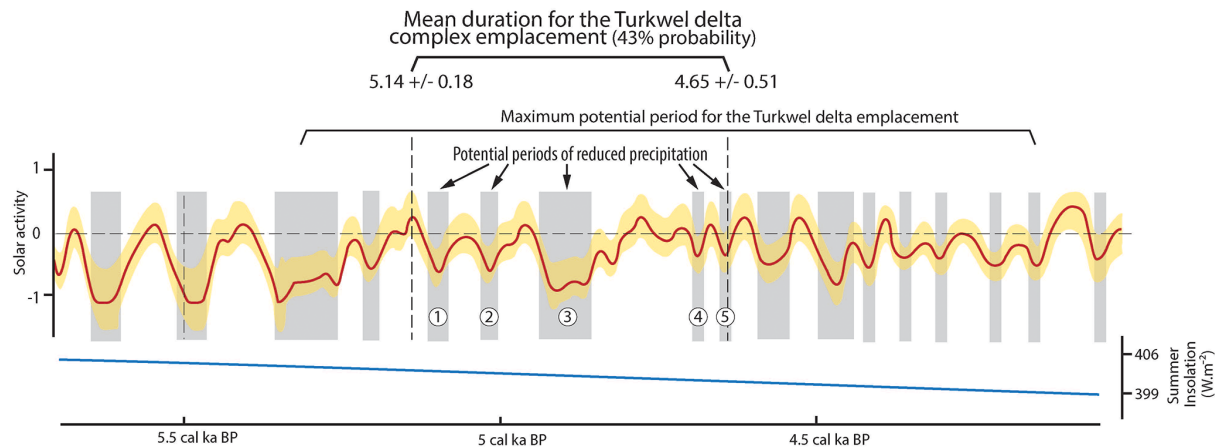


**Figure 5.** Fossil spits along the eastern Omo River valley (for location see Figure 1b) from SRTM 1 (left panel) and from PLEIADES images (right panel). The fossil spits are outlined by dashed white lines. They display plateaus interrupted at similar elevations to those of the Turkwel delta.

in basin physiography. These changes may originate from a number of potential external forcing processes, among which the most commonly considered are tectonism and climate. Given the short timescale considered in this study, abrupt falls in lake level cannot be attributed to tectonism and any associated physiographic modification of the Lake Turkana basin. Vertical crustal movements occur over much longer

time periods and the rate of subsidence in the basin is too low (i.e.  $0.4 \text{ m ka}^{-1}$  at the Eliye Spring well site; Morley et al., 1999) to explain several lake level falls of  $> 5 \text{ m}$  each occurring within 1000 years. Moreover, vertical displacements at this scale would require earthquakes having a magnitude  $> 9$  (Pavlidis and Caputo, 2004). Earthquakes of this magnitude are unknown in the area and are not compatible with rift





**Figure 6.** The red curve presents total solar irradiance (40-year moving average) relative to the value of the PMOD composite during the solar cycle minimum of the year 1986 ( $1365.57 \text{ W m}^{-2}$ ; Steinhilber et al., 2009) for the period contemporaneous with AHP regression of Lake Turkana. The shaded band (yellow) represents  $1\sigma$  uncertainty. The blue curve represents the precessional curve covering the same time period (<http://www.imcce.fr/Equipes/ASD/insola/earth/online/>). Grey stripes highlight solar activity minima.

systems. Finally, volcanic activity is known to have occurred during the Late Quaternary (Karson and Curtis, 1994), but its timing is not well constrained. Repeated pulses of accelerated subsidence related to successive emptying of a magma chamber are also inconsistent with the limited amount of magma observed in the basin. Indeed, there is no regional magmatic effusion observed that would have caused sudden subsidence. Rather, magmatism corresponded to episodic, spatially limited effusions that formed the north, central, and south islands. As such, it is difficult to attribute the abrupt nature of the accelerated lake level falls to tectonism and magmatism, thus rendering climate variability as the most likely forcing mechanism.

During the Holocene, the overall climate pattern in East Africa was governed by insolation patterns related to changes in precessional orbital parameters of the Earth (Barker et al., 2004). Links between insolation and hydrology are now well established for this region, with monsoonal rainfall intensity being strongly correlated with summer insolation. In the early Holocene, an increase in summer insolation produced wetter conditions over much of the African continent leading to the establishment of the AHP and an expansion of lakes (deMenocal et al., 2000; Shanahan et al., 2015). Subsequently, the overall contraction of lakes at the end of the AHP is generally attributed to decreased precipitation related to an orbitally controlled reduction in summer insolation (deMenocal et al., 2000; deMenocal and Tierney, 2012; Bard, 2013; Shanahan et al., 2015). Insolation changes drive modifications in rainfall amounts through the strengthening or weakening of local climate processes. In the Lake Turkana area, Junginger et al. (2014) suggest that the increase in precipitation during the AHP is mainly a result of a north-eastward shift of the Congo Air Boundary (CAB). The CAB is a north-east–south-west-oriented convergence zone

presently located west of the Lake Turkana area. This convergence zone shifts eastward in response to an enhanced atmospheric pressure gradient between India and East Africa during Northern Hemisphere insolation maxima (Junginger and Trauth, 2013; Junginger et al., 2014). When the CAB moves eastward over the Turkana area, precipitation is expected to increase significantly. As the five abrupt accelerations in lake level fall require short-term accentuated decreases in precipitation, we propose that these five periods of significantly reduced rainfall amounts are related to short-term decreases in insolation that repeatedly displaced the CAB. In our opinion, at such decadal to centennial timescales, variations in solar activity appear as the most likely parameter to explain variations in insolation.

## 5.2 Linking solar activity and palaeohydrology

Establishing links between short-term (decadal-scale) solar activity and climate change remains a point of debate. Periodicities in solar activity, such as the 11-year sunspot cycle, the Gleissberg cycle (80–90 years; Peristykh and Damon, 2003) or the de Vries cycle ( $\sim 200$  years; Raspopov et al., 2008) have been identified in Holocene palaeoenvironmental records and indicate a possible forcing by short-term solar activity on climate (Crowley, 2000; Bond et al., 2001; Gray et al., 2013). In the Lake Turkana area, Halfman et al. (1994) unravelled the expression of the 11-year sunspot cycle during the last 4 ka through a time-series analysis of sediment cores. Several authors link more arid periods inferred from lacustrine records with solar activity minima (Verschuren et al., 2000; Stager et al., 2002; Junginger et al., 2014). The ability of lakes to record changes in palaeohydrology attributed to variations in solar activity may be enhanced for “amplifier lakes” (Street, 1980). Indeed, relatively modest changes in climate are amplified into significant lake level fluctuations

due to their specific morphology. As an amplifier lake, Lake Turkana should be sensitive to variations in precipitation induced by small variations in insolation.

When we compare the proposed chronological framework with the solar activity curve from Steinhilber et al. (2009), we observe between one and fourteen solar activity minima during the minimum and maximum potential periods of regression, respectively (Fig. 6). During the time period consistent with the average duration of the regression – 490 years between 5.14 and 4.65 ka cal BP – five solar activity minima are observed. Given that the number of these minima matches the number of abrupt lake level falls, this may suggest a causal link between the short-term variability of solar activity and the lake level changes in Lake Turkana at the end of the AHP. Even though robust chronological correlations are not yet available between these short-term accelerations of lake level fall and solar activity minima, we propose a mechanism linking solar activity and lake level evolution. We suggest that periods of solar activity maxima would be able to compensate for the precession-induced reduction of insolation. The relatively limited reduction of insolation would have led to a relatively stable position for the CAB over the Lake Turkana area. As such, this would favour a reduced rate of lake level fall due to slowly decreasing rates of precipitation amounts. However, when short-term solar activity minima are coupled with the precession-related insolation decrease, the CAB would have migrated rapidly westward, resulting in a drastic reduction of rainfall and, as a consequence, producing a rapid fall in lake level. As such, alternations of solar activity maxima and minima could explain the geomorphological evidence for a long-term forced regression interspersed by short-term accelerations in the rate of lake level fall at the end of the AHP.

## 6 Conclusions

Geomorphic analysis (i.e. trajectory analysis) of the Turkwel delta complex reveals, for the first time, a stepwise lake level fall of Lake Turkana during its last forced regression at the end of the African Humid Period. Five rapid falls in lake level were identified, intercalated with periods of slower lake level fall. These five rapid falls in lake level reflect five short-term periods associated with drastic decreases in precipitation. We propose that these abrupt, short-term decreases in precipitation are associated with insolation minima altering the position of the Congo Air Boundary, the large-scale circulation system responsible for regional precipitation patterns over this region. Furthermore, we propose that the short-term changes in insolation are caused by variations in solar activity. The next research step would be to precisely date each plateau and each step to a specific solar maximum and minimum, respectively. Nevertheless, existing dating methods do not, however, provide precise enough ages at such decadal to centennial timescales.

## 7 Data availability

SRTM digital elevation model is free to access using the website: [earthexplorer.usgs.gov](http://earthexplorer.usgs.gov). PLEIADES and SPOT images were bought thanks to the support of the CNES and are not freely available.

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