



## 1 Introduction

Earthquake supercycles (Sieh et al., 2008) occur on timescales of up to or beyond 1000 yr (Gutscher and Westbrook, 2009), defying prediction using traditional methods (Stein et al., 2012). For instance no instrumentally recorded great (moment magnitude  $M_w \geq 8$ ) subduction zone earthquake has occurred along the Cascadia margin (Fig. 1), but there is evidence for 13 events in the last 7500 yr with average repeat times of  $\sim 600$  yr, including a magnitude 9 event on 26 January 1700 (Goldfinger et al., 2003). There are many other regions with a history of great subduction earthquakes and “supercycle” recurrence (Gutscher and Westbrook, 2009). These regions are not adequately represented in traditional earthquake hazard maps, leading to a failure to predict locations of giant earthquakes based on these maps (Stein et al., 2011, 2012). Digital earthquake catalogues combined with the characteristics of regional fault systems do not allow reliable differentiation of regional risk levels if earthquake cycles are up to an order of magnitude longer than the  $\sim 100$  yr time span covered by these catalogues. An alternative method to forecast long-term seismicity is based on the global strain rate map (Bird et al., 2010), but regional differentiation between high-risk and low-risk areas for great earthquakes is poor. This problem has given rise to the use of probabilistic methods such as Monte Carlo methodologies (Parsons, 2008) to fit wide ranges of distribution parameters to short paleoseismic series. Lay and Kanamori (1981) developed a conceptual model in which major subduction zone earthquakes are driven by strong coupling between the downgoing and overriding plates, driven by the subduction of asperities, i.e. aseismic ridges on the downgoing plate which cause strong coupling at the plate interface. Here we combine this conceptual approach with a set of global digital geophysical data sets to develop a statistical methodology to unravel spatial associations between significant earthquakes as a function of magnitude with different types of subducting asperities.

The effect of aseismic ridge and seamount subduction on seismic coupling and earthquake rupture behavior and overriding plate deformation has been investigated at

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many localities (Das and Watts, 2009). A detailed study of the tectonic setting along the Japan Trench (Mochizuki et al., 2008) led to the conclusion that subducting seamounts are associated with weak interplate coupling. This observation has not been tested globally, but casts doubt on the idea that volcanic edifices on ocean crust are the most obvious candidates for barriers that locally inhibit faulting for long periods of time, leading to great earthquake supercycles. Oceanic fracture zones represent another form of subducting asperities that are quite different from volcanic edifices in that they are often accompanied by strongly elevated, continuous ridges that represent uplifted edges of normal ocean floor (Sandwell and Schubert, 1982). Their effect on earthquake rupture has been investigated regionally along South America (Contreras-Reyes and Carrizo, 2011; Robinson et al., 2006; Carena, 2011), Alaska (Das and Kostrov, 1990), Sumatra (Ammon et al., 2005) and the Solomon Islands (Taylor et al., 2008), but not globally. A recent global digital fracture zone data set based on vertical gravity gradients derived from satellite altimetry data (Matthews et al., 2011) reveals a total of 59 fracture zone-subduction zone intersections, many of which are in close proximity to locations of great earthquakes (Fig. 1), raising the question as to whether this observation is supported by a statistically robust association, and ultimately a physical link. This data set includes the Kashima Fracture Zone, whose landward extension straddles the location of the 11 March 2011 Tohoku-Oki earthquake (Fig. 1). This fracture zone is well expressed in offsets of marine magnetic anomalies (Nakanishi et al., 1992) and appears as a clear linear feature in the vertical gravity gradient derived from satellite altimetry (Matthews et al., 2011). It is characterized by a trough bounded by two ridges elevated by up to 2 km above the surrounding seafloor (Nakanishi, 1993), similar to topographic elevations common to many other major fracture zones (Sandwell and Schubert, 1982). It may be expected that the subduction of prominent fracture zone ridges affects long-term seismic coupling and seismic risk. Fracture zones are associated with ridges elevated by as much as 3 km above the surrounding abyssal seafloor (Bonatti, 1978; Sandwell and Schubert, 1982), potentially leading to enhanced coupling between the downgoing and overriding plate being sustained for long periods of time.

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### 2.3 Intersections between fracture zones and volcanic ridges/chains with subduction zones

The analysis relies on the identification of both fracture zones and volcanic chains/aseismic ridges that occur in the vicinity of subduction zones. A recent compilation of global fracture zones has been used for this study, as described in (Matthews et al., 2011). Intersections were flagged automatically, while a combination of bathymetry and gravity anomaly data were used to assess fracture zone locations within close proximity to subduction zones, taking into account that sediments on the downgoing plate seaward of the trench may partly obscure bathymetric expressions of fracture zones. This resulted in a total of 59 identified intersection points. Volcanic chains and aseismic volcanic ridges have been compiled based on Coffin and Eldholm (1994) and subduction zone intersections were computed as in the fracture-zone case. Features on the sea-floor in the proximity of subduction zones were classified to be either in the process of being subducted or not. A total of 14 locations were identified, as depicted in Fig. 1. The data set selection in this study thus comprises large, well-defined bathymetric features in the vicinity of the various subduction trenches, with the reasoning that these larger extended near trench features imply that there is a high likelihood that they are in a state of subduction. Smaller, less well-defined features can be identified in geophysical data. This study considers only distinct, well-defined features, with the premise that they have a high likelihood of both being in a state of subduction and introducing substantial roughness/asperities that may influence subduction coupling. Significant associations on this data set would be a natural precursor to a follow up study involving less well-defined features.

We combine the fracture zone and volcanic chain/aseismic ridge subduction boundary intersections with the filtered earthquake database, and consider the spatial domains formed by our CouplingZone1.0 model. We project fracture zone-subduction zone (FZ-SZ) intersections onto the subduction coupling zone along the axis of the fracture zone, resulting in virtual lines spanning the width of the coupling zone. The

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same process is applied to the volcanic ridges/chains. These coupling zone intersections form the basis for the association calculations, with the regions adjacent to these virtual lines analysed as a function of the perpendicular distance away from them. In this way, a data-driven association analysis is undertaken in which earthquake associations can be computed adaptively in terms of the estimated 3-dimensional nature of both the subducting and over-riding plates, and taking the broad orientation of the subducting features into account. Chosen widths are used to form zones centred on the virtual intersection lines, in which the regions on either side of the lines are used to investigate proximal earthquakes. The approach taken here is to assess the association sensitivity to a variation in these widths.

### 2.4 Computing coupling-zone spatial associations via Top-N analysis

The analysis presented in this paper investigates magnitude relationships between earthquake locations in the vicinity of fracture zone and volcanic chain/ridge intersections with the coupling zone. The structure of the bathymetric features was used to project their extensions into the nearby coupling zone, maintaining the same azimuth as in their oldest geophysical expressions seaward of a given trench. The resultant intersection is bounded by the width of the coupling zone. This results in linear spatial features that serve as a reference for undertaking the analysis of associated earthquakes for a range of proximities, allowing the sensitivity of the association to be quantified. In Fig. 7 fracture-zone intersections with subduction zones are shown for a 100 km buffer region, demonstrating how the spatial associations undertaken for this study are computed. The buffer regions are progressively increased in size to trade-off the strength of the association with the specificity of the hazard area, calibrated against the entire coupling zone area.

We apply a type of information filtering system technique called “Top-N analysis”, which is widely used in searching, sorting, classifying, and filtering very large data sets (Cremonesi et al., 2010) to investigate the association of significant earthquakes as a function of magnitude with subduction coupling zone segments with and without

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Figures 1, 4, 6, B1, B2 and C1 must be enlarged to be readable at all.













Metois et al. (2012) concluded that the segments are characterized by higher coupling and separated by narrow areas of lower coupling. If this model held true globally, then we would observe precisely the opposite of what we find, namely great earthquakes should be biased towards subduction segments between fracture zones and the segments centred on fracture zones should be biased against large seismic events.

5 These three great earthquake rupture histories, together with our global analysis, lead to the following model for why subducting fracture zones are frequently associated with great seismic events. Fracture zones in cross-section form either large steps or represent valleys bounded by topographic ridges; these two morphologies are known  
10 as “Pacific-type” and “Atlantic-type” fracture zones (Matthews et al., 2011). Pacific-type large-offset fracture zones display elevation steps in cross-section due to age-offsets at transform faults, where a given fracture zone originates, and these steps are enhanced by flexure due to differential cooling and thermal lithospheric contraction and associated flexural bending, producing flexural ridges (Sandwell and Schubert, 1982)  
15 whose internal structure is identical to that of normal ocean crust. In contrast, Atlantic-type small-offset fracture zones are characterised by asymmetric valleys with a prominent ridge on their old side (Collette, 1986), or with ridges on both sides (Matthews et al., 2011). Both types are found in all ocean basins, and mixed types are observed (Matthews et al., 2011). In both categories fracture zone ridges reflect uplifted edges  
20 of normal ocean crust, characterised by pronounced relief, lateral continuity and structural integrity, giving rise to strong, persistent subduction interface coupling and stress concentration maintained over long periods of time.

To investigate the nature and elevation of subducting fracture zone ridges we extract  
25  $\sim 300$  km long bathymetry and gravity profiles orthogonal to subducting fracture zones about 100 km away from a given trench (see Fig. 8 and Appendix E for selected profiles). This distance is required as fracture zone topography is often completely covered with sediments close to the trench (Franke et al., 2008; Robinson, 2007). We use an established method to measure fracture zone topography (Matthews et al., 2011) that captures peak-trough fracture zone elevations, which are found to range from 200 to

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1200 m (Fig. 3b), with a mean elevation of about 500 m, and mean gravity anomaly of  $\sim 60$  mGal (Supplement Spreadsheet 1). The mean age offset of the fracture zones is about 5 million yr. Many fracture zone ridges are simply a function of flexure resulting from differential lithospheric cooling of juxtaposed lithosphere of different age  
5 (Sandwell and Schubert, 1982), with younger oceanic crust being more elevated relative to older crust on the opposite side, and their internal structure is therefore that of normal ocean crust (Bonatti et al., 2005). However, uplifted fracture zone ridges can also be generated via extensional and compressional periods experienced by transform faults (Bonatti et al., 2005; Pockalny et al., 1996). As a consequence we do not  
10 find a simple correlation between oceanic age offsets and fracture zone topography (Supplement Spreadsheet 1).

After an earthquake nucleates on a given subduction segment the rupture will propagate; upon encountering a nearby fracture zone step or ridge, the rupture is required to step up or down, or to stop. The stress driving the rupture to propagate up, breaking  
15 an anomalously coupled zone, will depend on the fracture zone ridge height as well as on its angle relative to the coupling interface. If most of the seismic event’s elastic energy has been dissipated, the rupture will be stopped, with a fracture zone step/ridge acting as a barrier, but if not, it will step over the barrier, triggering a large event if the seismogenic zone at the FZ-SZ intersection has been locked for a long period of  
20 time. Whether a subducting fracture zone acts as a barrier or leads to the generation of a great earthquake thus depends on the tradeoff between the magnitude of the yield shear stress when a rupture reaches the barrier and the elastic energy carried by the tip of the propagating rupture. This mechanism provides a physical model to account for our observed bias of great earthquakes towards FZ-SZ intersections. Our results and  
25 interpretations support the idea of cascading earthquake nucleation from small patches into larger earthquakes (Parsons and Velasco, 2011) under particular circumstances. The physics of such dynamic triggering is clearly complex and likely involves dependencies between subducting fracture zone ridges, faults and ramps (height, length and orientation), surface-wave stresses, pore fluids and aseismic transient slip, such that

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records make use of scales such as the Richter scale (which underestimates large earthquake magnitudes). Other scales are also used as follows:

- Surface wave magnitude, which improves on the Richter scale to some extent.
- Body-wave magnitude - this scale is less accurate for smaller magnitude events.

5 In this study it is important to retain as large a dataset as possible for maximum confidence. The approach chosen is to use the Moment Magnitude measurements by default, of which only 761 of the 5539 observations are valid. The remainder of observations use any of the remaining magnitude measurements, utilizing the maximum of these values. This is in line with the under-estimating nature of the older, more obsolete scales for large earthquakes. We argue that this approach is valid because small differences in magnitudes would not significantly affect the outcomes of this study. An exception is observations associated with an intensity measure, typically estimated for historic earthquakes via indirect methods. These observations are removed. Using this approach, the pre-processed NGDC dataset reduces to 3684 samples. This dataset is subsequently filtered using the methodology described in Sect. 3 to retain only those earthquakes originating in the subduction coupling zone, further reducing the dataset to 1073 samples.

## Appendix B

### 20 Regional maps

Figures B1, B2 and B3 present a number of regional maps detailing the tectonic settings where Fracture-Zone Subduction-Zone (FZ-SZ) interactions are prominent. These include: the East Indian ocean region along the Java-Sunda trench; the Japanese region; the Aleutian and Alaskan regions considering the geological settings proximal to the Kamchatka and Aleutian subduction-zones, together with a number of

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prototypical fracture-zone intersection locations associated with large earthquakes; the Central American and South American regions depict numerous target locations along the Andes and Central America. The various plots are superimposed on the Sandwell and Smith vertical-gravity gradient grid (Sandwell and Smith, 2009), as was used in locating and digitising fracture-zones.

## Appendix C

### Constructing a global lithosphere-subduction coupling zone

10 The interface between the overriding lithosphere and the down-going slab is the coupling zone in which shallow mega-thrust earthquakes occur. A number of pertinent geometrical parameters are defined in the simplified convergent margin model in Fig. C1. In this study the coupling zone is isolated in 3-dimensions, allowing earthquake catalogs to be filtered out. This enables us to compute slab dip in the coupling zone, allowing associations to be assessed comparing flat-slab to steep-slab subduction settings. In this global study we have made use of the NOAA significant earthquakes catalog to investigate relationships between subducting fracture zone and volcanic ridges/chains with subduction thrust-type earthquakes. It is important to isolate only those earthquakes occurring in the coupling zone at the interface between the overriding and downgoing plates. The approach taken is to use recent global models and datasets to localise these 3-dimensional structures, capitalising on the recent publication of the Slab 1.0 3-dimensional subduction model (Hayes et al., 2012), as well as a number of other datasets, described below. Surface-expressions of subduction zones form the upper boundary of the coupling zone, defined from the global plate boundary dataset (Bird, 2003). Corresponding three-dimensional models are extracted via the Slab 1.0 3-dimensional global subduction zone model (Hayes et al., 2012). Since the Slab 1.0 model did not cover all regions defined by the global plate boundary dataset, missing regions were modelled using the Regionalised Upper Mantle (RUM)

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seismic model (Gudmundsson and Sambridge, 1998). A few remaining regions were assumed to extend 150 km from their surface expressions, according to global average estimates of slab geometry pertaining to the upper regions of subducting slabs (up to 125 km in depth with 30–50° subduction dips) as discussed in (Lallemand et al., 2005).

5 Intersecting models of the Lithosphere-Asthenosphere Boundary (LAB) with recent 3-dimensional representations of subduction zones allows coupling zone regions to be defined. The LAB is defined using a combination of continental and oceanic models. The continental model makes use of the global thermal model TC1 (Artemieva, 2006),

10 in which the 1300 degree isotherm is a standard proxy for the LAB. The oceanic model makes use of the approach in (Rychert and Shearer, 2009) to estimate thicknesses in regions not represented by the TC1 dataset. A nearest-neighbour interpolation is used to make use of the nearest available measurements. Thus constructing the overall coupling zone involved the integration of 5 global datasets. The coupling zone model is referred to as the “CouplingZone1.0” dataset. The intersection of the Slab 1.0 model

15 with the LAB model is derived by calculating the 3-dimensional intersection between the two models. In Fig. C2 the approach taken to intersect the continental model with the 3-dimensional slab model is illustrated in an example region.

## Appendix D

### 20 Fracture-zone intersection Top-N sensitivity analysis results

The complete results for the Top-N fracture-zone intersection experiments is shown in Fig. D1. These depict both the fracture-zone intersection Top-N analyses and arbitrary cases for the full set of buffer widths used.

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## Appendix E

### Supplementary results for fracture-zone profiles

Bathymetric and gravitational profiles were extracted perpendicular to digitised fracture zones in the vicinity of the trench. The profiles extracted were roughly 300 km in length,

5 with the centres coinciding with the digitised fracture zone locations. Bathymetric and gravitational profiles were analysed simultaneously to localise the trench in the recovered signals. Anomaly heights were computed by measuring the total height between the lower and upper crests manually. Automatic procedures were ruled out due to the

10 existence of multiple significant bathymetric features near-trench.

As listed in Supplement Spreadsheet 1, 11 fracture zones were found to be associated with 13 of the largest 15 earthquakes in the filtered catalogue. In Fig. 8 bathymetric profiles are shown attributed to these 11 fracture zones. In Fig. E1 the respective gravity anomaly values for the 11 highlighted fracture zones are shown, complementing the

15 results in Fig. 3b of the main text. A good correlation between the two results can be observed, which is expected.

## Appendix F

### Digital dataset descriptions

20 A number of digital datasets are provided in ESRI shapefile format, described as follows:

- CouplingZone1p0: the computed CouplingZone1.0 dataset.
- FZ intersections: polyline dataset with fracture zone intersections projected across the coupling zone following the direction of the digitised fracture zones.

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**Table 1.** Calculated areas and fraction of coupling zone for chosen buffer widths around fracture-zone intersections.

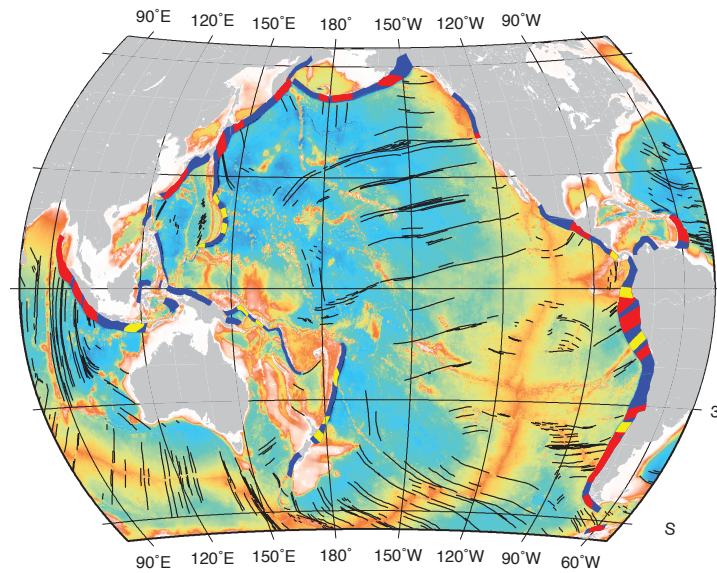
Buffer width (km)	Intersection area (km <sup>2</sup> )	Percentage of coupling zone area
50	$1.601 \times 10^6$	14.7
100	$2.615 \times 10^6$	24.0
150	$3.463 \times 10^6$	31.8
200	$4.152 \times 10^6$	38.2
250	$4.621 \times 10^6$	42.5
300	$5.007 \times 10^6$	46.0
400	$5.554 \times 10^6$	51.0

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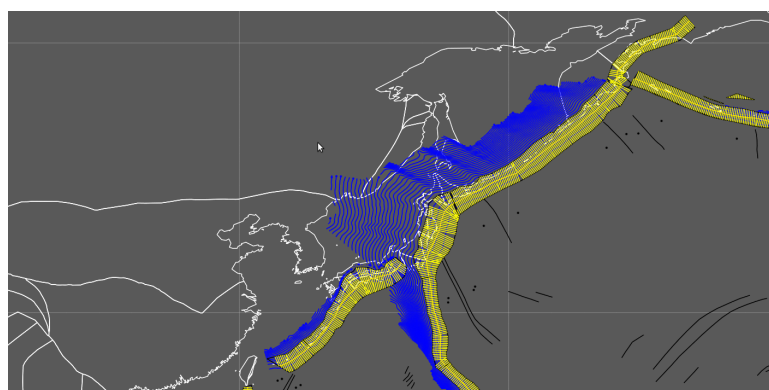






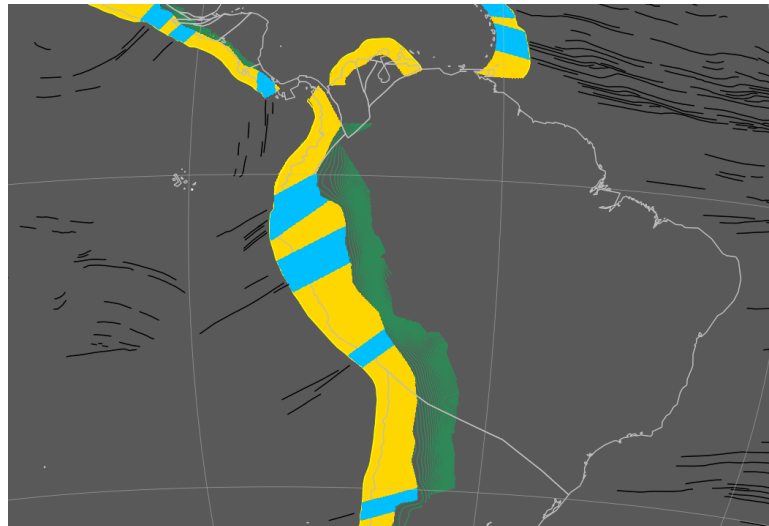
a r tion zone intersection regions (red) prone to great earthquakes. Intersections between volcanic chains/ridges, biased towards an above-average occurrence of smaller earthquakes, are shown in yellow. The map is overlain on the ETOPO1 global relief model, with fracture zones shown as black lines. Subduction zone labels as in Fig. 1.

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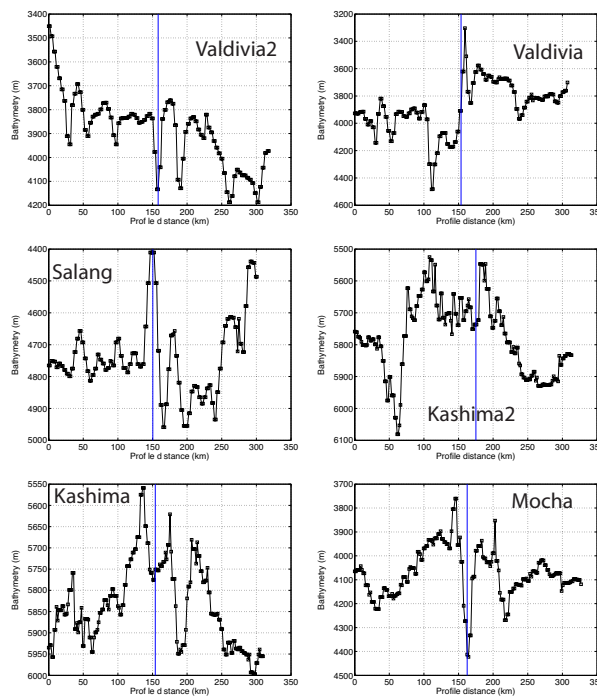
**Fig. 6.** Illustrating the approach taken for computing arbitrary associations for the North-West Pacific region. Yellow lines along the coupling zone define a collection of arbitrary spatial regions (at approximately 20 km spacing) that are used to construct experiments involving repeated unbiased sampling at random. The Slab 1.0 3-dimensional subduction zone model is shown in blue, coastlines in white, and fracture zones in black.

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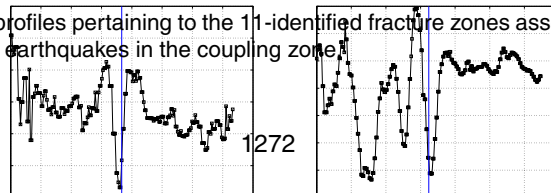


**Fig. 7.** Select region in South America to demonstrate the definition of fracture-zone subduction-zone regions of interest (filled blue regions), constrained by the underlying coupling zone (filled yellow regions). Green contours correspond to depth contours of the Slab 1.0 3-dimensional subduction model, with black lines defining fracture zones. Coastlines are shown for context (grey). The fracture-zone intersection regions are shown for a 100 km buffer situation.

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**Fig. 8.** Bathymetric profiles pertaining to the 11-identified fracture zones associated with the 13 largest of the top 15 earthquakes in the coupling zone.



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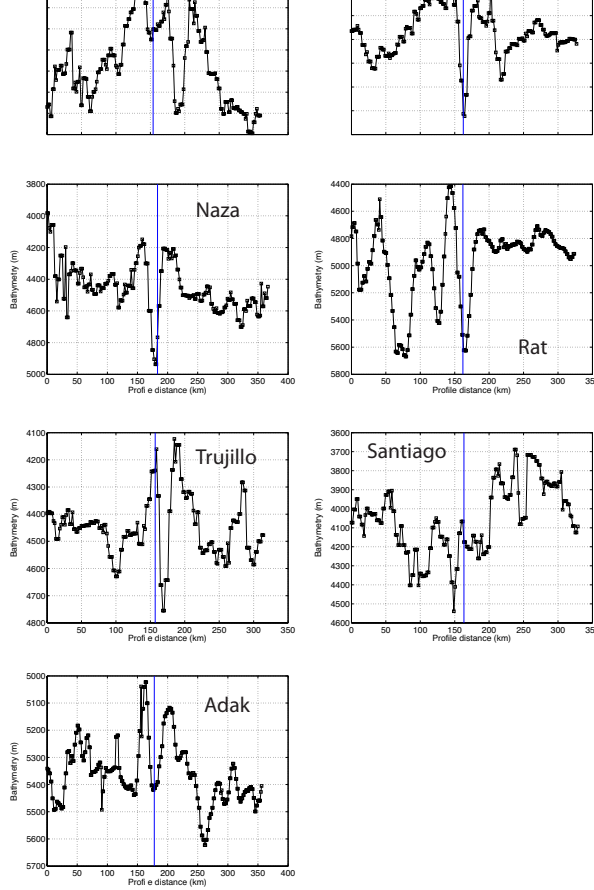
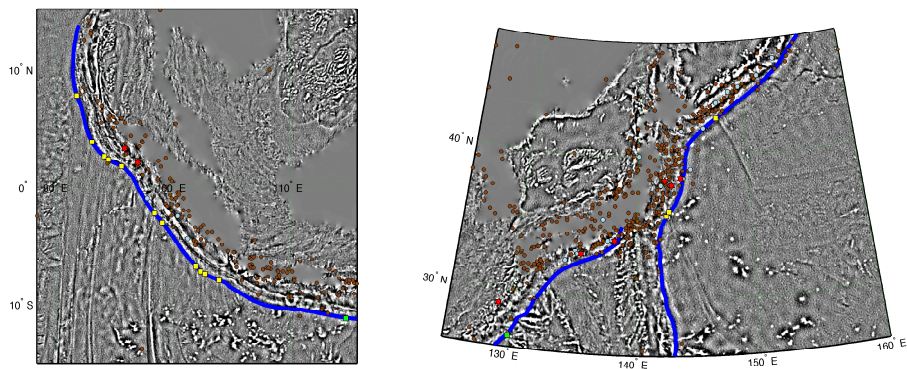


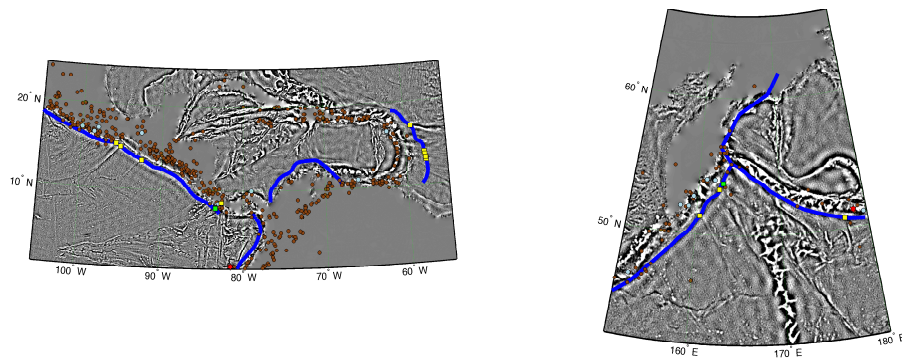
Fig. 8. Continued.

1273



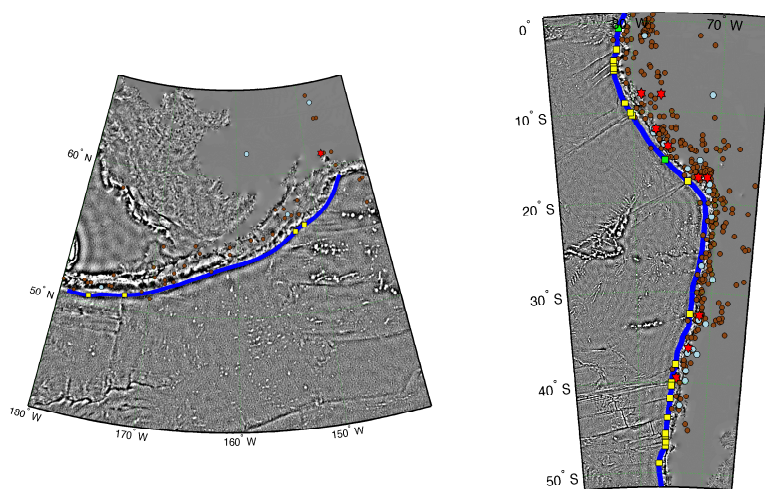
**Fig. B1.** Regional tectonic settings and dataset visualizations for the East Indian Ocean region (left), and the Japan region (right). The following colour schemes are used, differing slightly to those in Fig. 1 of the main paper for enhanced background contrast: subduction zones (blue bands), intersection points of fracture zones with subduction zones (yellow squares), intersection points of the volcanic chains and ridges with subduction zones (green squares), largest 25 earthquakes (red stars), earthquakes magnitude 8.3 and above (light blue circles), all other significant earthquakes (small brown circles). The Sandwell and Smith vertical-gravity gradient grid (Sandwell and Smith, 2009) is shown in the background. Conic equidistant projections are used throughout.

1274



**Fig. B2.** Continued regional tectonic settings and dataset visualizations as described in Fig. B1, shown for the Central American region (left), and the Aleutian and Kamchatka region (right).

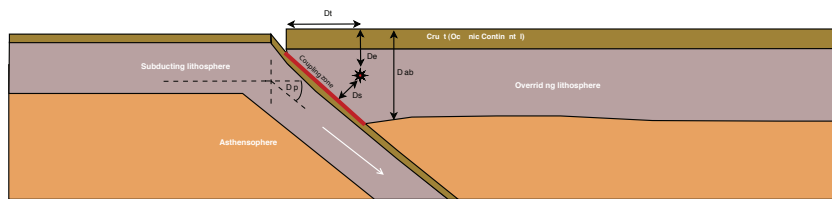
1275



**Fig. B3.** Continued regional tectonic settings and dataset visualizations as described in Fig. B1, shown for the Alaskan region (left), and the South American region (right).

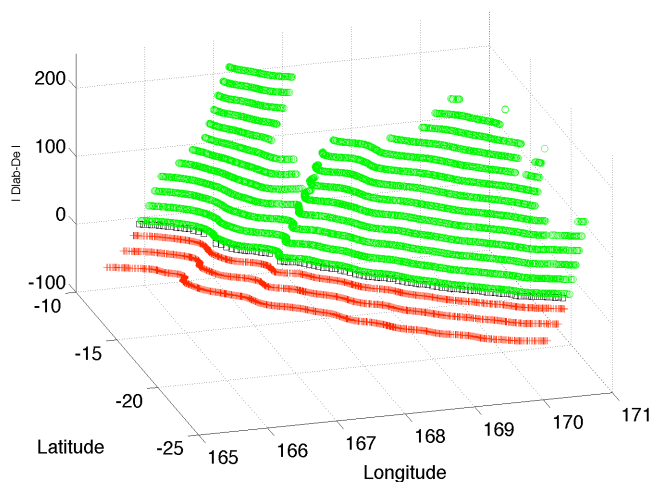
1276





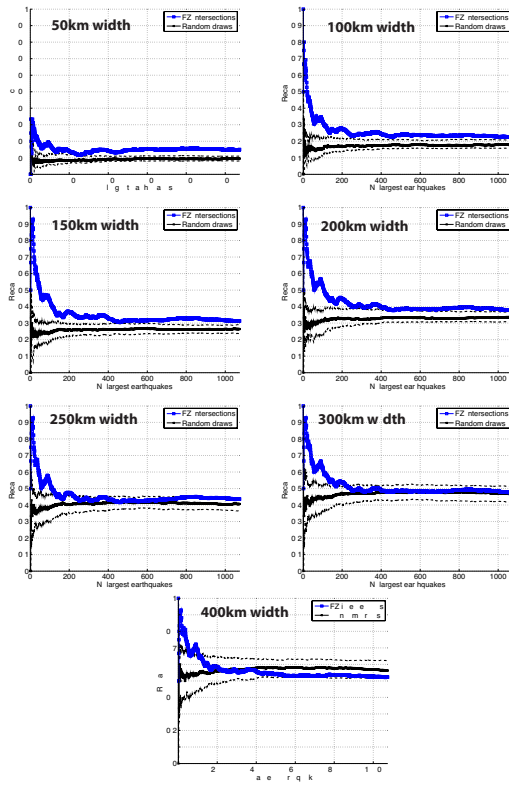
**Fig. C1.** A simplified two-dimensional representation of subduction zone geometry. The red star depicts an earthquake hypocenter situated  $D_e$  km under the surface, and is  $D_s$  km away from a subduction zone. The lithosphere-asthenosphere boundary adjacent to the test sample is located  $D_{lab}$  km under the Earth's surface. The surface-distance between the hypocentre and the nearest subduction zone is  $D_t$  km. The red buffered line indicated the coupling zone between the downgoing and overriding plates.

1277

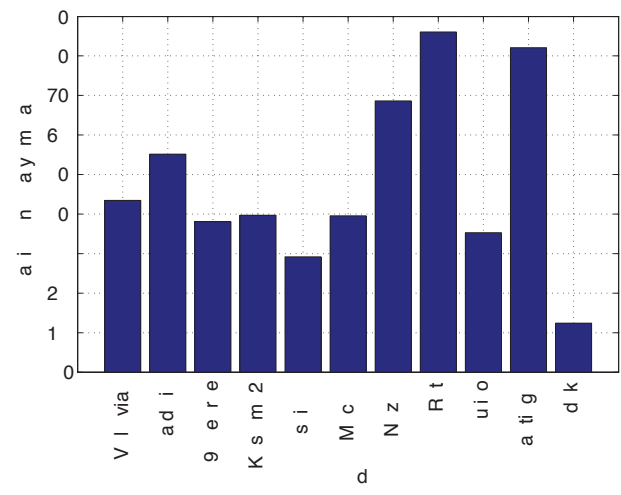


**Fig. C2.** Illustrating intersecting the lithosphere thickness model with the 3-dimensional slab models. In this plot the various contours pertain to depth slices of the subduction model, having been subtracted from the lithosphere depth in the respective region. Green colouring pertains to subduction regions within the coupling zone, and red colouring to those regions pertaining to the opposite case. The black points define the edge of the coupling zone, constrained spatially by the surface representation of the subduction zone.

1278



**Fig. D1.** Top-N sensitivity analysis, demonstrating substantial and significant differences between fracture-zone subduction-zone intersections and the arbitrary case.



**Fig. E** Gata m l e u s o h v i u r c r z e ( o d ) c r s o n i t t b t m t c e l s o n F g b n h e a n t x.