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# Focal mechanisms in the Southern Aegean from temporary seismic networks – implications for the regional stress field and ongoing deformation processes

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# Abstract

The lateral variation of the stress field in the southern Aegean plate and the subducting Hellenic slab is determined from recordings of seismicity obtained with the CYCNET and EGELADOS networks in the years from 2002 to 2007. First motions from 7000

- <sup>5</sup> well-located earthquakes were analysed to produce 540 well-constrained focal mechanisms. They were complemented by another 140 derived by waveform matching of records from larger events. Most of these earthquakes fall into 16 distinct spatial clusters distributed over the southern Aegean region. For each cluster, a stress inversion could be carried out yielding consistent estimates of the stress field and its spatial varia-
- tion. At crustal levels, the stress field is generally dominated by a steeply dipping compressional principal stress direction except in places where coupling of the subducting slab and overlying plate come into play. Tensional principal stresses are generally subhorizontal. Just behind the forearc, the crust is under arc-parallel tension whereas in the volcanic areas around Kos, Columbo and Astypalea tensional and intermedi-
- ate stresses are nearly degenerate. Further west and north, in the Santorini-Amorgos graben and in the area of the islands of Mykonos, Andros and Tinos, tensional stresses are significant and point around the NW–SE direction. Very similar stress fields are observed in western Turkey with the tensional axis rotated to NNE–SSW. Intermediate depth earthquakes below 100 km in the Nisyros region indicate that the Hellenic slab
- 20 experiences slab-parallel tension at these depths. The direction of tension is close to east-west and thus deviates from the local NW-oriented slab dip presumably owing to the segmentation of the slab. Beneath the Cretan sea, at shallower levels, the slab is under NW–SE compression. The lateral and depth variations of the stress field reflect the various agents that influence tectonics in the Aegean: subduction of the Hellenic
- <sup>25</sup> slab, incipient collision with continental African lithosphere, roll back of the slab in the south-east, segmentation of the slab, arc volcanism and extension of the Aegean crust.



# 1 Introduction

The Hellenic subduction zone in the Southern Aegean belongs to the seismically most active regions in Europe and has therefore been the target of many geoscientific research efforts. Seismicity is and was observed by the global seismic network, by per-

manent seismic observatories in Greece (National Observatory Athens (NOA), Thessalonik University, Chania University) and Turkey (Kandili Obervatory) as well as by temporary seismic deployments in the entire southern Aegean (Hatzfeld et al., 1993; Friederich and Meier, 2008), on Crete (Bohnhoff et al., 2005; Jost et al., 2002; Meier et al., 2004; Becker et al., 2009), in the Cyclades (Bohnhoff et al., 2004; Dimitriadis
et al., 2005, 2009) and in the western Hellenic subduction zone (Haslinger, 1998; Rigo et al., 1996; Papadimitriou et al., 2010). In view of the severe seismic hazard of the region, a central aim of all research efforts is and was to promote the understanding of the current seismotectonics of the Aegean.

Seismicity observations contributed to the understanding of Aegean seismotectonics
via earthquake locations which delineate active faults (Comninakis and Papazachos, 1980; Papazachos et al., 1984, 2009; Papazachos, 1990), via focal mechanisms of earthquakes which allow an (ambiguous) determination of fault planes and slip direction (e.g., Papazachos et al., 1991; Taymaz et al., 1991; Benetatos et al., 2004; Bohnhoff et al., 2005; Kiratzi et al., 2007), via observation of aftershocks which permit inferences on the size of the fault plane and the amount of slip (Drakatos and Latoussakis, 2001) and finally via stress field determinations from catalogues of focal mechanisms (Papazachos and Delibasis, 1969; Bohnhoff et al., 2005; Rontogianni

- et al., 2011). Besides seismicity, the direct observation of plate motions by geodetic measurements (e.g., Kahle et al., 2000; McClusky et al., 2000; Reilinger et al., 2006),
- the study of surface fault populations (e.g., Angelier, 1978), sea bathymetry and reflection seismic profiles (Sachpazi et al., 1997; Bohnhoff et al., 2001) provided constraints on the current tectonics.



In this paper we focus on the determination of the stress field from focal mechanisms of shallow and intermediate depth-earthquakes recorded during the CYCNET (Bohnhoff et al., 2004) and EGELADOS (Friederich and Meier, 2008) experiments. We concentrate on the south-eastern section of the Aegean where the availability of seismic data has been particularly sparse in the past. Most previous studies rely on data from larger events recorded by teleseismic stations (Benetatos et al., 2004; Kiratzi et al., 2007). Focal mechnisms of microseismic events have been obtained by

- Hatzfeld et al. (1993) using data from a temporary network covering the entire southern Aegean. Stress field determinations are particularly rare because of the lack of reliable
   focal mechanisms. One exceptions is work by Rontogianni et al. (2011) who use focal mechanisms of intermediate-depth earthquakes from previous literature and the NOA database to derive the stress field of the subducting slab in four large sectors along the Hellenic arc. Bohnhoff et al. (2005) used focal mechanism from previous work, from a local network on Crete, from the Harvard CMT and from web sites operated by INGV
- and ETH for a determination of the stress field around Crete.
   With the CYCNET and EGELADOS network a complete and dense coverage of the entire southern Aegean could be accomplished. A sufficient number of high-quality
  - focal mechanisms could be obtained for several clusters of seismicity allowing a determination of the stress field for each cluster separately. In this way, an impression of the lateral variation of the stress field can be gained. Moreover, the inherent assumption
- Interal variation of the stress field can be gained. Moreover, the inherent assumption of homogeneity of the stress field commonly made in stress tensor determinations is much better fulfilled than in studies with widely distributed earthquakes.

# 2 Data

The results of this study are derived from two passive, temporary seismic deploy-<sup>25</sup> ments in the Aegean Sea, the CYCNET (Bohnhoff et al., 2004) and the EGELADOS (Friederich and Meier, 2008) experiments. The CYCNET was deployed for two years beginning in autumn 2002 and covered the Hellenic volcanic arc. It consisted of in to-



tal 22 seismic stations and comprised both short-period and broadband sensors. The EGELADOS network was a follow-up project started in autumn 2005 which covered the entire Hellenic subduction zone from the Peleponnese in the west to western Turkey in the east. EGELADOS was a pure broadband network that included permanent stations of the GEOFON network on Crete and 24 ocean-bottom stations.

The analysis of the seismicity recorded by the two networks is described by Bohnhoff et al. (2006), Meier et al. (2004), Becker et al. (2006), Brüstle (2012) and Brüstle et al. (2013). First onsets for P and S-waves were manually picked for all events. During the picking, the analysts also determined first motions of the P arrival for later use in focal mechanism determination. Brüstle et al. (2013) focused their attention on the south-eastern part of the Aegean and derived a minimum 1-D-model for that region using the VELEST program (Kissling et al., 1994). They used this new reference model to

relocate all earthquakes recorded by CYCNET and those recorded in the south-eastern
 Aegean by EGELADOS using the non-linear location program NonLinLoc (Lomax and
 <sup>15</sup> Curtis, 2001; Lomax et al., 2009). In this way, a catalogue of about 7000 high-precision
 locations with location uncertainties of less than 20 km could be obtained which forms
 the observational basis of this study.

Events from this catalogue with magnitudes larger than 3.8 were checked for suitability of focal mechanism determination by waveform matching. For about 140 of them, a reliable focal mechanisms with an acceptable waveform fit could be obtained. For all other events of the catalogue, focal mechanisms were determined from first motions using the HASH-method of Hardebeck and Shearer (2002).

## 3 Moment tensors from waveform matching

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For about 140 earthquakes of magnitude larger than 3.8 recorded during the EGELA-DOS experiment and providing at least 8 good-quality traces, we were able to obtain focal mechanism solutions using waveform fitting. The signal-to-noise ratio of each trace was checked automatically during extraction from the data archive and checked



visually later. Instead of performing a direct inversion for the six elements of the moment tensor, we applied a grid search. Assuming a double-couple mechanism, we searched through regularly spaced values of strike and dip of the fault plane, rake of the slip vector in the fault plane and, in addition, source depth. For each set of angles and depths, a moment tensor with unit seismic moment was calculated and synthetic

- 5 seismograms were computed for each seismic station and available component using the GEMINI code (Friederich and Dalkolmo, 1995). We took a minimum 1-D reference model that was derived from local earthquake first arrivals (Brüstle, 2012) using the program VELEST (Kissling et al., 1994). The synthetic and data traces were low-pass filtered with corner frequency of 0.1 Hz and the synthetic time series were adjusted to 10 the same length and sampling rate as those of the data.

A misfit between instrument-corrected synthetic seismograms and observed seismic records was then determined as follows: first, a normalized cross-correlation function of data and synthetic traces with time lags in the range of -60 s to +60 s was calcu-

- lated; second, the synthetic seismogram was shifted by the time lag associated with the 15 maximum cross correlation; third, an amplitude scaling factor was calculated from the ratio of the rms-amplitude of the highest amplitude data trace and the rms-amplitude of the corresponding synthetic trace, and each synthetic trace was scaled by this factor; fourth, a misfit was calculated from the summed squared difference of the correspond-
- ing time samples of data and scaled and time-shifted synthetic trace weighted by the 20 inverse energy of the maximum amplitude data trace and by the inverse square of the maximum cross correlation. In this way, traces with high cross-correlation yield smaller misfits. The misfits were summed for all traces of the event. In addition, we determined the seismic moment from the the square root of the ratio of the average energy of the
- data traces and the average energy of the synthetic traces. Misfits for each set of fault 25 angles and depth were calculated providing a list of ranked moment tensors and source depths. The resulting best fitting focal mechanisms are depicted in Fig. 1.

With an appropriate velocity model, this procedure typically provided source mechanisms by which the observed seismograms could be well reproduced in amplitude and



phase by the time-shifted synthetic seismograms (Fig. 2). Sometimes, the time shifts can be large but often do not vary much across different traces. The reason could be errors in origin time or source location or both. To obtain an agreement in phase of less than half a dominant period we added a relocation step for all events with sytem-

atic and nearly constant time shifts. The relocation was also done by waveform fitting. Synthetic seismograms for epicentral locations on a small rectangular grid around the original location were calculated and a rms-misift between the traces was computed. The grid point associated with the smallest misfit was taken as the improved location.

## 4 Focal mechanisms from first motions

- Since waveform inversion for moment tensors was only feasible for larger events with sufficient long-period energy, we turned to determination of focal mechanisms from first motions to include smaller but well recorded and well-located events. We chose an approach developed by Hardebeck and Shearer (2002) (HASH) which performs a search through a grid of fault plane normals and slip directions to find focal mechnisms which are compatible with the observed first motions. Since first motion readings, locations and the velocity model may be erroneous, the HASH method attempts to not only determine solutions exactly fitting the first motions but also solutions which become acceptable when errors of first motions, station azimuth and take-off angles are taken into account. From the entire set of solutions the method calculates statisti-
- cal properties and provides a preferred focal mechanism and estimates of the quality of the solution. The latter include the rms angular difference between the acceptable nodal planes and the preferred one and the fraction of solutions that differ by less than a given angle from the preferred one. In addition, the station distribution is ranked according to the distance of the stations from the nodal planes. Together with the number of unexplained polarities, these quality criteria allow a ranking of each obtained focal
- <sup>25</sup> of unexplained polarities, these quality criteria allow a ranking of each obtained focal mechanism.



To apply HASH to EGELADOS and CYCNET data, we searched the database of well-localized events in the south-eastern Aegean established by Brüstle et al. (2013) for suitable readings of P wave first motions. At least 10 first motion readings were required. The errors of azimuth and take-off angle were estimated from the location <sup>5</sup> error determined during localization with NonLinLoc (Lomax and Curtis, 2001). We rejected all focal mechanism solutions with a relative polarity error of greater than 0.3, a variation of the nodal planes of more than 45°, a fraction of less than 50 percent of the solutions within 30° of the preferred one and a station distribution rank of less than 0.3. From the about 7000 considered events 540 passed the quality criteria (Fig. 3). Adding the moment tensor solutions from waveform inversion provides us with a database of 680 good-quality focal mechanisms.

## 5 Stress tensor inversion

Focal mechanisms are typically highly variable. One reason might be variations owing to the previously mentioned uncertainties in focal mechanism determination. Variations
<sup>15</sup> are also expected to occur in nature because earthquake fault planes will usually not be oriented optimally with respect to the stress field (i.e. that the shear stress reaches its maximum on the fault plane). Since especially shallow earthquakes occur by failure on pre-existing weak planes, their fault planes may have any orientation with respect to the regional stress field. The only condition that must hold is that the slip direction
<sup>20</sup> be parallel to the projection of the traction vector onto the fault plane (i.e. the resolved

<sup>20</sup> be parallel to the projection of the traction vector onto the fault plane (i.e. the resolved shear stress) (Gephart and Forsyth, 1984; McKenzie, 1969). Every focal mechanism satisfying this condition is compatible with the regional stress field.

It can be shown that the angle between the slip vector and the resolved shear stress on the fault plane only depends on 4 quantities (McKenzie, 1969): the directions of the principal stress axes and a dimensionless number defined by

 $R=\frac{\lambda_1-\lambda_2}{\lambda_1-\lambda_3}\,,$ 

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(1)

where the  $\lambda_i$  are the principal values of the stress deviator with  $\sum_i \lambda_i = 0$ . We follow here the convention used by engineers and physicists that tensional stresses are positive and compressional stresses negative. Thus,  $\lambda_1$  as the largest (positive) eigenvalue is associated with the least compressive and  $\lambda_3$  with the most compressive stress. R = 0implies  $\lambda_1 = \lambda_2$  and  $\lambda_3 = -2\lambda_1$  and indicates a biaxial compressive stress regime. For R = 0.5,  $\lambda_2 = 0$  and  $\lambda_3 = -\lambda_1$  indicating a plane deviatoric stress state. In case of R = 1,  $\lambda_2 = \lambda_3$  and  $\lambda_3 = -0.5\lambda_1$  signifying a biaxial tensional stress regime.

We implemented an approach that combines the grid search proposed by Gephart and Forsyth (1984) and an approach by Michael (1984). For principal stress directions

- and *R* value varying on a regular grid, we determine the angle between slip vector and resolved shear stress on the fault plane for each focal mechanism entering the stress tensor determination. The angles are summed to yield a misfit for each specific stress tensor. The stress tensors are ranked according to this misfit and the best fitting solution is the preferred stress tensor. However, since focal mechanisms contain
- <sup>15</sup> substantial uncertainty, we need meaningful confidence regions of the preferred solution. They can be obtained by a bootstrap procedure (Efron and Tibshirani, 1986) which was applied to stress tensor determination by Michael (1987). In principle, one should repeat the experiment with new earthquakes in the same region many times and then observe the variation of the preferred solution. Since this is impossible, one
- <sup>20</sup> resorts to a random resampling of the available dataset by randomly picking *N* focal mechanisms out of the original *N* ones. Some focal mechanisms will occur more than one time and some will be absent. By finding the preferred solution for many of these randomly picked data sets of focal mechanism we can obtain an estimate of the variability of the preferred solution. The *p* percent confidence limit is obtained by finding
- <sup>25</sup> the *p* percent of the stress tensors which are closest to the one determined from the original data set. To calculate the similarity of two tensors, we follow Michael (1984)



who uses a normalized scalar product of two tensors defined as follows:

$$S = \frac{\sum_{i=1,3}^{3} \sum_{j=1,3}^{3} M_{ij} N_{ij}}{\sqrt{\sum_{i=1,3}^{3} \sum_{j=1,3}^{3} M_{ij}^{2}} \sqrt{\sum_{i=1,3}^{3} \sum_{j=1,3}^{3} N_{ij}^{2}}}$$

The confidence limits of the principal axes are visualized by plotting the directions of the principal axes of the *p* percent closest solutions into binned lower hemisphere Schmidt projections and colour coding each bin according to its relative frequency of

Schmidt projections and colour coding each bin according to its relative frequency of occurrence in the set of solutions. In addition, we display the frequency distribution of *R* values occuring in the *p* percent closest solutions.

The stress tensor itself is visualized by two color-coded lower hemisphere Schmidt projections. The first displays the dependency of the magnitude of tangential stress on the orientation of the fault plane represented by its normal vector. The second one dis-

- <sup>10</sup> the orientation of the fault plane represented by its normal vector. The second one displays the dependency of deviatoric normal stress on the orientation of the fault plane. In both cases the deviatoric stress tensor is normalized by setting the largest eigenvalue  $\lambda_1$  to 1. For tangential stress, the principal stress axes show up as places of zero tangential stress whereas for normal deviatoric stress, the principal stress directions
- <sup>15</sup> lie at the extrema of normal stress. According to the sign convention, positive (negative) deviatoric normal stress indicates tension (compression). While tangential stress only depends on deviatoric stress, the true normal stress differs from deviatoric normal stress by the isotropic pressure which can not be determined by stress inversion from focal mechanisms.

#### 20 6 Results and discussion

Stress inversion from focal mechanisms builds on the assumption of homogeneous regional stress. For this reason, stress inversion studies divide the region of interest into subregions that contain a sufficient number of focal mechanisms. Because of the limited number of focal mechanisms available in many studies, these subregions have



(2)

to be chosen fairly large making the validity of the homogeneity assumption debatable. In this study, the large number of high-quality focal mechanisms and the clustering of seismicity allows a determination of the stress field separately for each cluster. Homogeneity of the stress field should be much better fulfilled in this case than for regionally distributed seismicity.

# 6.1 Shallow seismicity

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We have grouped the shallow seismicity (depth less than 20 km) into 12 clusters depicted in Fig. 4: Iraklion basin, Kamilonisi basin, Gulf of Goekova, Kos, Astypalea, Mykonos, Amorgos, Columbo volcano, South and North Karpathos, Sigacik and Zante
(not shown on map). They allow a fair image of the distribution of regional stress in the south-eastern Aegean at the crustal level. Table 1 gives an overview of the stress solutions obtained for each cluster. In general, the compressional principal axes dip steeply while the tensional and intermediate axes are subhorizontal. Notable exceptions are the clusters at Zante, S-Karpathos and Goekova. In the majority of cases,

- <sup>15</sup> the stress ratio *R* is less than 0.5 indicating a larger magnitude of compressive principal stress compared to tensional principal stresses. Unfortunately, the stress ratio *R* does not allow any inferences on the ratio of compressive to tensional stress because it is independent of the isotropic part of the stress tensor. Hence, for example, a dominant vertical compressive principal stress could be caused either by gravity stresses
- inside a vertically layered elastic crust or by additional compressive stress created by a magma upwelling in the mantle. In both cases, we would obtain the same stress ratio of R = 0. However, there are also clusters with  $R \neq 0$ . If R < 0.5 the compressive principal stress dominates but tensional and intermediate principal stresses differ indicating a deviation of the stress field from that of a vertically layered elastic crust. Is R > 0.5,
- the tensional principal stress is larger than the compressive ones. Both cases indicate the action of some tectonic force on the crust.

In the following we discuss the results of focal mechanism determination and stress inversion for each cluster. They are visualized by a composite figure displaying the



distribution of T and P axes of the focal mechanisms, the 80 percent confidence regions of the 3 principal stress axes, the frequency distribution of R values among the 80 percent stress solutions closest to the preferred one and a graphial representation of the stress tensor.

# 5 6.1.1 Amorgos

The biggest number of focal mechanisms in this study is available for the Amorgos fault zone region. The 72 focal mechnisms can be explained by a single stress solutions with a small average angular misfit of 23.6° (Fig. 5). The P axes of the focal mechanisms tend to dip steeply while the T axes are close to horizontal with an accumulation in the NS-direction. The tensional and compressional stress axes are well determined with concentrated confidence regions while the intermediate stress axis is less well constrained. Compressional stress slightly dominates and is directed subvertical with a dip of 62°. The tensional axis is close to horizontal with a dip of 12°. Its orientation is about NNW–SSE with an azimuth of 155°. The distribution of stress ratio values also indicates a well-constrained value of around 0.3. Stress directions and stress ratio are indicate a normal faulting regime. Maximum tangential stress is reached either on rather steeply SE-dipping planes striking SW–NE or WE-striking planes dipping at about 45° towards north. These results are consistent with the general SW–NE trend of the Amorgos fault zone and the preferred occurrence of normal faulting earthquakes.

## 20 6.1.2 Columbo volcano

At the south-western tip of the Amorgos fault zone and north-east of Santorini sits Columbo volcano which exhibited high seismic activity during the observation period of the EGELADOS and CYCNET deployments (Fig. 6). As for the Amorgos cluster the P axes concentrate in the center of the focal sphere while the T axes tend to appear in

the outer parts with a large spread of directions. As a consequence, only the compressional stress axis can be well determined. The 80 percent closest bootstrap solutions



exhibit a large spread of directions for both tensional and intermediate stress axis. On the contrary, the *R* values concentrate at very low values with a sharp maximum at R = 0. The best fitting stress solution with an average angular misfit of 26.9° is characterized by a steeply dipping compressive axis and degenerate tensional principal stresses. Hence, there is no preferred tensional direction. Planes of maximum shear stress can strike in any direction. One could speculate that magma upwelling from the mantle puts the crust under vertical compressive stress without any preference for near-horizontal tensional stress. As discussed before, the stress solution, however, does not allow to make any statements about the ratio of true compressive to tensional principal stresses.

### 6.1.3 Kos and Astypalea

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Similar resuts as for the cluster at Columbo volcano were obtained for the clusters near Kos and Astypalea (Figs. A1 and A2). The compressional stress axis dips steeply and the distribution of the bootstrap solutions is well focused. With respect to the other two axes, the bootstrap solutions exhibit a wide spread. In addition, and contrary to the Columbo case, there is a spread of *R* values as well. The best fitting solutions yield R = 0. With the same restrictions as for the Columbo case, one could speculate here as well about a magmatic contribution to the earthquake activity because the Kos-Astypalea region is located right above the intermediate-depth seismicity associated with the eastern part of the Hellenic slab.

#### 6.1.4 Iraklion and Kamilonisi basin

The stress solutions for these two regions (Figs. A3 and A4) show dominating subhorizontal tensional principal stress and again nearly sub-vertical compressional stress. The stress ratio is around 0.7 to 0.8. For the Iraklion basin, all stress axes are fairly well determined but R values show a large spread with the majority of values greater than 0.5. The tensional stress axis is oriented WE indicating horizontal extension and



again a normal faulting regime. Planes of maximum shear stress strike NS and are either near-vertical or near-horizontal. For the Kamilonisi region, only the tensional axis is well determined. Its direction is rotated counterclockwise by about 20° to WSW–ENE. The strike of planes of maximum shear stress is rotated in the same way and the dip is around 45°. Interpretaion of these results should be done with caution because of the small number of available focal mechanisms.

# 6.1.5 Karpathos

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The focal mechanisms of the earthquakes around Karpathos can only be well explained by a stress tensor if they are split into two subregegions, one encompassing the events north of Karpathos and one containing the events surrounding the southern part of Karpathos. Results for the two regions are very different. For the events south of Karpathos (Fig. 7), the compressional stress axis is well constrained while the bootstrap solutions exhibit a strong spread for the other two axes. The distribution of *R* values is very narrow with a clear maximum at R = 0.1 indicating a degeneracy of

- the tensional and intermediate principal stresses. The compressional axis dips at only 46 degress which is the second smallest value among all clusters considered. The direction of the compressional axis is about SE–NW (azimuth 147°) and nicely coincides with the local direction of subduction. This finding suggests that the stress field in the Aegean plate south of Karpathos is modified by the downgoing African lithosphere
- which exerts some horizontal pressure on the upper plate and hence rotates the compressional stress axis from its subvertical orientation found for the other event clusters. Due to the degeneracy of the tensional principal stresses planes of maximum shear stress may strongly vary in strike and dip.

The situation is very different for the earthquakes north of Karpathos (Fig. 8) where the compressional axis moves back to a dip of 64° with about the same azimuth as south of Karpathos. Tensional and intermediate principal stresses are clearly different. All principal axes have small 80 percent confidence regions. The distribution of *R* values is narrow with a maximum at 0.5. The tensional axis is exactly horizontal with an



NE–SW orientation parallel to the Hellenic arc. Planes of maximum shear stress have intermediate dip and strike either roughly NS or EW. Apparently, the stress state in the Aegean plate significantly changes with distance from the plate contact from dominating subduction parallel compression to dominating tension perpendicular to the subduction direction. Arc parallel tension was already found for the Iraklion and Kamilonisi basins.

# 6.1.6 Goekova graben

The Goekova graben is located at the easternmost tip of the Hellenic subduction zone on the transition from the Aegean microplate to the Anatolian plate. GPS observations in this area (Reilinger et al., 2010) indicate a significant relative motion between the Aegean and Western Turkey which may influence the regional stress field. Our stress analysis (Fig. 9) exhibits a well defined compressional axis, a less well defined tensional axis and a variable intermediate axis. The stress ratio *R* shows a fairly concentrated distribution around 0.3. Thus, tensional and intermediate principal stresses significantly differ. The dip of the compressional axis is relatively small (52°) with a NS orientation and suggests some influence of subduction on the stress field. The tensional axis also dips by 38° with a NNE–SSW orientation. Planes of maximum shear stress are either horizontal or steeply dipping striking roughly EW. One may speculate that the superposition of stresses related to subduction and to the northward motion of Western Turkey relative to the central Aegean (Reilinger et al., 2010) controls the

<sup>20</sup> of Western Turkey relative to the central Aegean (Reilinger et al., 2010) controls the regional stress field.

# 6.1.7 Sigacik basin

The stress field in the Sigacik basin exhibits nearly degenerate intermediate and compressional principal stresses (Fig. A5) and a dominating and very clearly constrained

<sup>25</sup> horizontally oriented tensional stress axis striking NNE–SSW. This is the same direction that was found for the tensional axis in the Goekova graben. Planes of maximum



shear stress have intermediate dip and are oriented about WNW–ESE. The reason for the tensional stress could again be the northward motion of Western Turkey relative to the central Aegean as observed by recent GPS measurements (Reilinger et al., 2010).

## 6.1.8 Andros-Mykonos

A cluster of earthquakes was observed along the islands of Andros, Tinos and Mykonos characterized mainly by normal faulting events. The stress determination works extraordinarily well with an average misfit of only 7.4° (Fig. A6). All three principal stress axes have small 80 percent confidence regions. The stress ratio is also well constrained around values of 0.3. Thus, a meaningful tensional stress axis can also be defined
 pointing about NW–SE. The compressional axis dips very steeply at 75°. Planes of maximum shear stress strike around SW–NE with intermediate to strong dip. The stress solution indicates a tensional tectonic stress in that part of the Aegean microplate in the NW–SE direction.

## 6.1.9 Zante

- <sup>15</sup> The cluster in Zante is very close to the western Hellenic subduction front along western Peloponnese. The stress determination for these events (Fig. 10) results in a wellconstrained compressional stress axis dipping subhorizontal at an angle of 27° and less well-defined tensional and intermediate axes. A small stress ratio R = 0.1 indicates nearly degenerate tensional and intermediate principal stresses. The azimuth of
- <sup>20</sup> the compressional axis is 209° which is close to the SSW–NNE-direction. Planes of maximum shear stress strike WNW–ESE with either very small or very large dip. The findings suggest a strong influence of subduction on the stress field. The subduction direction is roughly parallel to the azimuth of the compressional axis. Its small dip also indicates the action of horizontal pressure exerted by the subducting slab at the plate
- <sup>25</sup> contact. Otherwise, we would expect a steeply dipping compressional axis.



# 6.1.10 Overview of the stress field in the Aegean micro-plate

In order to provide a comprehensive picture of the variation of the stress field in the Aegean micro-plate we plot the stress tensor visualizations showing normal stress into a map of the south eastern Aegean region (Fig. 11). Dark blue colors indicate tensional

- <sup>5</sup> normal stress and dark red colors signify compressional normal stress. We observe a tensional stress parallel to the Hellenic arc from the Iraklion basin in the west over the Kamilonisi basin to north Karpathos in the east. This behaviour can be explained by the fan-like spread of velocity vectors in the southern part of the Aegean microplate which is mainly caused by the rollback of the Hellenic slab in the south-eastern Aegean
- <sup>10</sup> (Reilinger, 2010). A similar observation was made by Benetatos et al. (2004) based on averaged focal mechanisms of larger-magnitude earthquakes (M > 5). The stress fields at Zante, South Karpathos and also Goekova appear to be influenced by nearby subduction processes which exert a sub-horizontal pressure on the upper plate leading to a rotation of the compressional stress axis towards smaller dips. This effect is
- <sup>15</sup> most pronounced for the Zante cluster. The stress field in the volcanic arc could be influenced by magmatic processes in the mantle because the stress solutions exhibit dominant subvertical compressional axes with nearly degenerate tensional and intermediate principal stresses. The exception is the Amorgos fault zone where a NNW– SSE oriented tensional stress direction was identified. Nearly the same trend (NW–SE)
- of the tensional axis is observed for the Andros-Tinos-Mykonos cluster in the northwest of Amorgos while tensional stresses are oriented NNE–SSW in the Sigacik and Goekova area. A similar observations is made by Benetatos et al. (2004) who find focal mechanisms with generally N–S directed T axes in the region north of the volcanic arc. However, our results indicate a systematic rotation of the tensional stress axis which is
- <sup>25</sup> reflected in the curved shape of graben systems extending from Mykonos to Sigacik in the north and from Amorgos to Goekova further in the south.



## 6.2 Intermediate depth events

Events located at depths greater than 50 km could be grouped into 4 different clusters (Fig. 12): Cretan Sea, Rhodos and surroundings, Cyclades and a big cluster in the Nisyros-Kos-Astypalea region. Table 2 summarizes the stress tensor solutions ob-

tained for these 4 clusters. These clusters differ very much from the shallow ones. The compressional stress axis dips much less and is closer to horizontal than vertical. The tensional axis varies strongly and can dip very steeply. The angular misift for the Rho-dos and Cyclades events is quite large and thus reflects a violation of the assumption of a homogeneous stress field. Hence, the results for the Cyclades cluster and to a lesser
 degree those of the Rhodos cluster should be regarded with caution.

#### 6.2.1 Nisyros-Astypalea

We were able to collect focal mechanisms for 42 earthquakes deeper than 100 km clustering in the Nisyros-Astypalea area. T and P axes of the focal mechanisms nicely cluster on different halfs of the focal sphere (Fig. 13). The 80 percent closest bootstrap stress solutions exhibit a small scatter for all 3 principal axes. The stress ratio concentrates at a value of R = 0.4 indicating nearly equal magnitudes for the tensional and compressional principal stresses. The compressional axis dips at an angle of  $32^{\circ}$  indicating near slab-normal compression. The azimuth is rotated by 12° towards the south from SE–NW. This direction only slightly deviates from the SW–NE direction of subduction. The dip of the tensional axis is  $47^{\circ}$ , about parallel to the expected dip of

- <sup>20</sup> subduction. The dip of the tensional axis is 47°, about parallel to the expected dip of the eastern part of the Hellenic slab. Its azimuth, however, only deviates by 7° from straight EW and thus differs by 38° from the presumed SE–NW subduction direction. Maximum shear stress occurs at either nearly horizontal planes or very steeply dipping planes striking about SW–NE favoring normal faulting inside the slab.
- <sup>25</sup> The solution for the stress field suggests that the slab experiences slab-parallel tension that is however not exactly down-dip but slightly rotated to the west. Down-dip tension has been found by Fujita and Kanamori (1981) for old slabs with low con-



vergence velocities at the trench. Due to their increased density caused by thermal contraction they tend to sink faster than they converge at the trench. This mechanism will put the slab under down-dip tension. We speculate that the observed rotation of tensionional stress relative to the down-dip direction is related to the segmentation of

the Hellenic slab which dips more strongly in the east than in the west. Beneath Astypalea, hypocenters reach depths of about 180 km while further in the west beneath the Cyclades they only reach depths of about 140 km. Hence, the slab is either curved in case it is still continuous or it is torn somewhere between the Cyclades and Astypalea. This slab deformation or tearing could explain a rotation of the tensional axis to the west because the counterforce exerted by western slab parts is missing.

Rontogianni et al. (2011) give a stress tensor solution for the Hellenic slab in this region derived from focal mechanisms of 19 earthquakes at depths between 90 km and 180 km. They find a NS-oriented compressional axis dipping at 46° and a slab-parallel tensional axes directed along NW–SE. Their solution is roughly consistent with ours but does not exhibit the rotation of the tensional axis towards the WE-direction. However,

<sup>15</sup> does not exhibit the rotation of the tensional axis towards the WE-direction. However, their solution exhibits a high angular misfit (51°) interpreted by them as indication of the heterogeneity of the stress field. In contrast, our stress solution with the tensional axis rotated towards WE obtained from 42 densely clusterd focal mechanisms allows a match with an angular misfit of 20° only.

## 20 6.2.2 Cretan Sea

The cluster in the Cretan Sea comprises 10 events at depths ranging from 50 to 80 km. Their focal mechanisms can be fit very well by a single stress tensor (Fig. 14). As for the Nisyros events, the scatter of the bootstrap solutions and for the stress ratio is very small. The compressional principal axis dips at 33° with an exact SE–NW orientation.

The tensional axis dips at a low angle of 16° pointing roughly SW–NE (35° azimuth). The intermediate axis dips more strongly at 52°. The stress ratio of 0.3 indicates a slight dominance of compression and also a dominance of horizontal over vertical tension. Nevertheless, the stress field allows both strike slip and thrusting mechanisms.



The slab appears to be under down dip tension and lateral compression, although the compressional axis deviates by 33° from the horizontal. In addition, the SE–NW orientation of compression is close to but not exactly parallel to the Hellenic arc in this area which rather trends along ESE–WNW. Lateral compression could be caused

<sup>5</sup> by reduced curvature of the slab in the segment along the island of Crete. According to the ping-pong-model of Frank (1968), a slab of reduced curvature would become too "wide" laterally during subduction and thus develop compressive lateral membrane stresses. We speculate that either the rather straight shape of the island of Crete or the incipient collision with continental African lithospher imposes this curvature reduction of the arc.

Rontogianni et al. (2011) provide a stress solution for a region encompassing Crete, the Cretan Sea and the Cyclades area based on 12 earthquakes in a depth range of 50–80 km. They find a WE-oriented compressional axis roughly consistent with our result. Their tensional axis is, however, near-vertical while ours is nearly horizontal and pointing SW–NE. This discrepancy illustrates the potential variability of stress solutions

pointing SW–NE. This discrepancy illustrates the potential variability of stress solutions that are derived from only few focal mechanisms and based on different collections of earthquakes.

# 6.2.3 Rhodos

For the Rhodos cluster (Fig. A7), the stress field is less-well constrained as the angular misfit is rather large (28.6°) and the distribution of *R* values of the bootstrap solutions is very broad. In addition, only the tensional axis appears to be well constrained at a dip of 75°. This value is much greater than the gentle dip of the slab in the Rhodos region as indicated by depth profiles of micro-seismicity (Brüstle et al., 2013). A similar observation was made by Kiratzi and Papazachos (1995) who analysed focal mechanisms of lare intermediate-depth earthquakes along the Hellenic arc. The compressional and in-

termediate principal stresses are close to degenerate (R = 0.8) and exhibit a very small dip. With an azimuth of 42° the intermediate stress axis which is also compressional points approximately arc-parallel and thus lies in the slab plane.



## 6.2.4 Cyclades

The stress solution for the Cyclades cluster (Fig. A8) even more suggests that the stress homogeneity condition is violated. The angular misfit is rather large in spite of the small number of events, neither axis is well constrained and the distribution of stress ratio values is very wide. We therefore refrain from a further analysis and interpretation

ratio values is very wide. We therefore refrain from a further analysis and interpretation of the stress solution for this cluster. More focal mechanism solutions are needed to obtain a reliable solution for this region.

#### 7 Conclusions

 Analysis of first motions of 7000 well-located earthquakes in the southeastern Aegean
 recorded by the CYCNET and EGELAOS networks produced 540 well-constrained focal mechanisms. Additional 140 focal mechanism of larger events could be determined by waveform matching. Many of these earthquakes fall into spatial clusters of several tens of events permitting a local determination of the stress field from the focal mechanisms. In this way, the lateral variation of the stress field in the southern Aegean
 could be mapped. In addition, the intrinsic assumption of a homogeneous stress field in stress inversions is much better fulfilled for the individual clusters than for spatially distributed earthquakes.

At crustal levels the stress inversion yields steeply dipping compressional principal stress axes for nearly all clusters. Stress ratios indicate a dominance of compressional over tensional stresses. According to the behaviour of the tensional stress axis the clusters fall into three groups. For the clusters around Kos, Astypalea and Columbo in the southern volcanic arc, the tensional and intermediate principal stresses are nearly degenerate indicating a possible magmatic source for the near-vertical compressional stresses. A very different stress regime is deduced for the Santorini-Amorgos, Goekova

<sup>25</sup> and Sigacik grabens where the tensional stress shows a clearly identifiable direction rotating from NW–SE in the west to NNE–SSW in the east. The tensional stress ap-



pears to stay perpendicular to the curved graben systems extending from Goekova to Amorgos and from Sigacik to Mykonos. In the area stretching from the Cretan Sea to north of Karpathos the tensional stress axes apparantly follow the curvature of the Hellenic arc indicating a control of slab rollback over the stress field there. Much less dipping compressional stress axes such as for the South Karpathos and Zante cluster

<sup>5</sup> dipping compressional stress axes such as for the South Karpathos and Zante cluster reflect the tectonic influence of the subducting Hellenic slab which exerts a subhorizontal stress on the Aegean plate close to the trench.

Two major clusters of intermediate depth events allowed a determination of the stress field in the subducting plate. Beneath the Dodecanese islands the slab is roughly un-

- <sup>10</sup> der down dip-tension and slab normal compression. But the tensional stress axis is rotated by about 35° to the west relative to the presumed subduction direction. This behaviour could be caused by the missing support of the western part of the slab due to segmentation or even vertical tearing along a NS-line west of Karpathos. Beneath the Cretan Sea the Hellenic slab is found to be under NW–SE compression which may be explained by a reduced curvature of the arc south of Crete owing to incipient collision
- of the Aegean plate with continental African lithosphere.

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**Table 1.** Summary of stress inversion results for shallow earthquake clusters. Clusters are ordered according to the number of available focal mechanisms. Azimuth is measured in degrees from north over east.

Cluster	number of focal mechanisms	average misfit/deg	Dip/ deg	Azimuth/ deg	Dip/ deg	Azimuth/ deg	Dip/ deg	Azimuth/ deg	R
			Tensional axis		Interm. axis		Compr. axis		
Amorgos	72	23.6	12	155	25	59	62	268	0.3
Columbo	40	26.9	13	0	20	95	65	239	0.0
Zante	29	28.0	43	90	34	320	27	209	0.1
Mykonos	23	7.4	10	309	11	217	75	82	0.2
S-Karpathos	19	27.7	43	311	8	48	46	147	0.1
Sigacik	21	14.0	0	25	11	295	79	115	0.9
Goekova	16	23.9	38	20	5	286	52	190	0.3
N-Karpathos	15	11.6	0	47	26	317	64	137	0.5
Astypalea	14	22.1	29	40	14	302	58	189	0.0
Iraklion	10	11.8	31	270	10	174	58	69	0.7
Kos	10	17.6	17	149	25	248	59	29	0.0
Kamilonisi	9	20.8	6	72	36	165	54	332	0.8





**Table 2.** Summary of stress inversion results for shallow earthquake clusters. Clusters are ordered according to the number of available focal mechanisms. Azimuth is measured in degrees from north over east.

Cluster	number of focal mechanisms	average misfit/deg	Dip/ deg	Azimuth/ deg	Dip/ deg	Azimuth/ deg	Dip/ deg	Azimuth/ deg	R
			Tens	ional axis	Inte	erm. axis	Cor	mpr. axis	
Nisyros	42	20.1	47	277	27	39	32	147	0.4
Rhodos	16	28.6	75	297	2	32	15	123	0.8
Cyclades	11	34.6	64	284	17	52	19	148	0.2
Cretan Sea	10	13.4	16	35	52	283	33	136	0.3









**Fig. 2.** Left: example of ranking of source mechanisms for an intermediate depth earthquake at 135 km depth. Each row of beach balls displays the 10 best solutions for a given source depth. The size of the beach ball is inversely proportional to the waveform misfit. Depths range from 160 km at the bottom to 100 km at the top. The small circle in the beach balls indicates the location of the tension axis. Right: example of the waveform fit for the intermediate depth earthquake. Data are shown as black lines, shifted synthetic traces as red lines. Relative amplitudes of data and synthetic traces are correctly reproduced.











Fig. 4. Shallow earthquake locations with focal mechnisms and definition of cluster regions.





**Fig. 5.** Results of stress inversion for cluster of shallow earthquakes *near Amorgos*. Top row from left to right: location of tension (blue circles) and pressure axes (red circles) of focal mechanisms, 80 percent confidence region for least compressive (deviatoric tensional), intermediate and most compressive (deviatoric compressive) principal stress axes. Bottom row from left to right: distribution of *R* values for best 80 percent of stress tensor solutions, magnitude of tangential stress and magnitude of normal stress versus surface normal.





**Fig. 6.** Results of stress inversion for cluster of shallow earthquakes *near Columbo volcano*. Explanation of subfigures in Fig. 5.





**Fig. 7.** Results of stress inversion for cluster of shallow earthquakes *south of Karpathos*. Explanation of subfigures in Fig. 5.





Fig. 8. Results of stress inversion for cluster of shallow earthquakes *north of Karpathos*. Explanation of subfigures in Fig. 5.





Fig. 9. Results of stress inversion for cluster of shallow earthquakes near Goekova. Explanation of subfigures in Fig. 5.



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Fig. 10. Results of stress inversion for cluster of shallow earthquakes *near Zante*. Explanation of subfigures in Fig. 5.



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Fig. 11. Stress solutions visualized by distribution of normal stress versus fault plane normal.





**Fig. 12.** Intermediate depth earthquake locations with focal mechnisms and definition of cluster regions. Meaning of colours of beach balls given in Fig. 3.





**Fig. 13.** Results of stress inversion for cluster of intermediate depth earthquakes *near Nisyros*. Explanation of subfigures in Fig. 5.





**Fig. 14.** Results of stress inversion for cluster of intermediate-depth earthquakes *beneath the Cretan Sea*. Explanation of subfigures in Fig. 5.





Fig. A1. Results of stress inversion for cluster of shallow earthquakes *near Kos*. Explanation of subfigures in Fig. 5.





**Fig. A2.** Results of stress inversion for cluster of shallow earthquakes *near Astypalea*. Explanation of subfigures in Fig. 5.





**Fig. A3.** Results of stress inversion for cluster of shallow earthquakes in the *Iraklion basin*. Explanation of subfigures in Fig. 5.





Fig. A4. Results of stress inversion for cluster of shallow earthquakes in the Kamilonisi basin. Explanation of subfigures in Fig. 5.



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Fig. A5. Results of stress inversion for cluster of shallow earthquakes near Sigacik. Explanation of subfigures in Fig. 5.



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Fig. A6. Results of stress inversion for cluster of shallow earthquakes *near Mykonos*. Explanation of subfigures in Fig. 5.





**Fig. A7.** Results of stress inversion for cluster of intermediate-depth earthquakes *near Rhodos*. Explanation of subfigures in Fig. 5.





Fig. A8. Results of stress inversion for cluster of intermediate-depth earthquakes beneath the Cyclades. Explanation of subfigures in Fig. 5.



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