## Analysis of land cover change and its driving forces in a desert oasis landscape of southern Xinjiang, China

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Abstract. The combined effects of drought, warming and the changes in land cover have caused severe land degradation for several decades in the extremely arid desert oases of Southern Xinjiang, Northwest China. Land cover classifications of Landsat images in 1990,

2000 and 2008 were performed based on the multistage supervised classification scheme using 5 maximum likelihood classifier integrated with conventional vegetation and soil indexes, which improved overall accuracies by 4~5% compared to standard classification method. Based on the detection of changes in land cover during 1990-2008 using remote sensing (RS) and geographic information system (GIS), it can be found that the oasis significantly (+35%) increased, while the area of ecotone decreased (-43%). The major trends of the land cover 10 changes were the notable growth of the oasis and the reduction of the desert-oasis ecotone.

water exploitation as well as overgrazing. The results of this study indicate that the oasis environment will be deteriorated by increase in potential areas of land degradation if the trend

These changes were mainly as a result of the intensified human activities such as land and

15 of desert moving further inward and the shrinking of the ecotone continues over the next decades.

## **1** Introduction

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Land use and land cover changes are among the most important human-induced alterations of the Earth's land surface (Dickenson, 1995; Lambin et al., 2000). The change of land use and land cover is not only closely related to the ecosystem services but also has influences on the climate, biodiversity and evolution of the ecological environment (Kalluri, 5 2002; Wang et al., 2006; Qi et al., 2007). In arid environments, soils suffer from high erosion rates due to wind, drought, sparse vegetation cover and the pressure of human activities (Lambin et al., 2001; Ziadat and Taimeh, 2013). In these environments, soil loss and vegetation deterioration has increasingly been accelerated by improper use of land and water resources (Geist and Lambin, 2002; Ma et al., 2002; Lei et al., 2006; Wei et al., 2008; Zhao et al., 2013). 10 The conversion of natural vegetation to cropland and overgrazing will therefore affect the storage of soil organic matter and soil nutrients (Li et al., 2005; Yu et al., 2012; Bruun et al., 2013). During the last few decades, land degradation associated with land use/cover changes in dryland ecosystems have been the focus of the study of land/vegetation processes and climatic 15 change (Reid et al., 2000; Masoud and Koike, 2006; Reynolds et al., 2007; John et al., 2009; Bakr et al., 2010; Tsegaye et al., 2010; Schulz et al., 2010).

China is one of the countries most severely impacted by desertification, and 34.6% of its territory is drylands that is subject to the potential of desertification (Wang et al., 2012), among which the desertified land located in the intrazonal landscape oases surrounded by deserts accounts for a significant proportion of the arid and semi-arid regions in China (Zhang et al., 2003). The desertification in these areas is mainly caused by wind erosion, water erosion, soil salinization and degradation by human factors. The oases located in the Xinjiang Uyghur Autonomous Region of northwest China, as the areas experiencing severe drought and sandy desertification, have undergone remarkable changes in land cover with increasing human

25 activities over the last decades, largely reflecting the general trend of oasis expansion into the transitional zone between oasis and desert (Hu et al., 2001; Dittrich et al., 2010). Desert–oasis ecotone, a narrow belt between desert and oasis, functions as a boundary and corridor between desert and oasis ecosystems, controlling the flux of energy and nutrients as well as being a

biotic exchange between desert and oasis (Wang et al., 2007). Under the disturbance of the arid climate and improper human intervention, the desert-oasis ecotone, being the most sensitive area to human activities such as land and water exploitation (Neilson, 1993), has encountered vital depletion of natural vegetation in the process of both oasification and desertification (Han

- and Meng, 1999; Wang et al., 2006; Li and Tiyip, 2008). The stability of oasis ecosystems is affected by both desertification in the oases-desert ecotone and salinization in the interior of the oasis (Hamid et al., 2002). These changes have led to drought, desertification and, consequently, have hindered the development of sustainable agriculture, resulting in an urgent need to understand the oasis-desert interaction and processes (Liu *et al.*, 2007). Coupled with
- changing climate, rapid population growth, economic development and unsustainable land use increasingly generated pressure on existing land and other resources, which resulted in remarkable changes and modifications in the spatial distribution of land use/cover in the oases. Despite various attempts to rehabilitate the degraded land and ensure the ecological balance of the oases, the sandy desertification and salinization of the land are the most serious
   environmental problems occurring widely in these rangelands (Jia et al., 2004; Yang et al., 2005; Zhou et al., 2010), thus threatening the stability and sustainable development of the entire region.

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Previous studies on the land use/cover changes of oases in Xinjiang have mainly focused on farmland expansion, land use change, vegetation cover, soil properties, land desertification as well as their environmental impacts (Li et al., 2004a; Wang, 2004; Cheng et al., 2006; Tang et al., 2007; Wang et al., 2009; Luo et al., 2010; Liu et al., 2010), including the description of severe reductions of desert-oasis ecotons due to desertification and the loss of natural vegetation (Ding et al., 2006; Sun et al., 2007). These studies have generally described the processes and trends of land use/cover changes in desert oasis landscapes. However, they have not provided detailed information on quantitative changes of land cover temporally and spatially, and few attempts have been made to identify changing patterns and trajectories of ecotones between oases and deserts. There has been little research acknowledge the ecological importance of the desert-oasis ecotones and the significance of links between the mechanism of special zones and the environmental evolution of oases. For this purpose, a typical irrigation

and agriculture oasis in extreme arid regions of northwest China, the desert oasis in Hotan river basin, was selected as a case study. In this geographically isolated area with population of rural ethnic minority (more than 80%), the demographic factors show considerably negative environmental/ecological impacts in arid ecosystem. The integrated analysis is therefore necessary to for better understanding of the process trends and driving forces behind land cover changes in this region. This would allow us to identify how humans have changed land cover and the ways oases, deserts and ecotones interact under the current climate and human forces.

To gain a systematic understanding of the processes of land cover changes in the study area, an integrated method of remote sensing (RS) with a geographic information system (GIS) 2012). The method includes supervised classification and used. (Alphan, was post-classification comparison techniques in RS, and GIS spatial analysis, which have been widely used to clarify the magnitude, location and patterns of land cover changes (Lambin et al., 2003; Coppin et al., 2004; Lu, 2004; Serra et al., 2008). The environmental and human driving forces were analyzed to examine the causes of land cover changes in the Hotan oasis.

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The main purposes of this study are: (1) to estimate spatial and temporal changes of land cover in the Hotan oasis during 1990-2008 using remote sensing and geo-spatial techniques; (2) to identify the main driving forces and their impacts on land cover changes within the study area. This study can help to understand land cover dynamics and human-environment interactions in desert oasis landscapes, which is crucial for land managers to establish long-term soil conservation and restoration practices. 20

### 2 Study area

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basin at the south of the Tarim river in southern Xinjiang. It is an extreme arid area, which covers an area of  $8.05 \times 10^6$  ha extends between a latitude of  $34^{\circ}22'N \sim 39^{\circ}38'N$  and a longitude of 78°01'E~81°33'E. Meanwhile, the study area is divided into three sub-regions, namely the upper reaches, middle reaches, and lower reaches from south to north. It is interleaved by the Gobi desert in the middle, Taklimakan desert in the north and the Kunlun Mountains bordered

Hotan oasis, which includes three counties and one city, is located in the Hotan river

by Tibet in the south, where droughts, sandstorms and salt-alkalization are very severe. The utilization of soil and water is very low.

The Hotan oasis falls within the category of a continental warm temperate monsoon climate with distinct seasons. The average annual temperature in the oasis plains is 12.2°C, and the annual average precipitation is 35.6 mm; however, the annual amount of evaporation is 5 about 2 602 mm and the annual average wind speed is 2.1m/s. The Hotan oasis lies along the Hotan river, which starts from the Kunlun Mountain and crosses the alluvial-proluvial fan and fine soil plain in the north, winding its way through the Taklimakan Desert for 319.0 km before flowing into the Tarim river (Fig. 1). The land slopes down from south to north, where the Kunlun Mountains are generally about 6000 meters above sea level with the highest above 10 7500 meters, and the desert plain with the lowest elevation point of 152 meters below sea level. The proportional areas of southern mountains, Gobi desert and plain oasis are 33.3%, 63% and 3.7%, respectively. The agricultural land accounts for 1.1% within the oasis, and is mostly distributed in band shaped alongside the river and lake. The farms are managed by a family-based 'Household Contract Responsibility System' (HCRS), and are mostly less than 1 15 hectare in size. Furthermore, the agricultural parcels are generally fragmented. The major soils support crops and forests in the Hotan River Basin are fluvisols, gleysols, solonchaks, arenosols, and anthrosols (FAO, 2006). Sand and dust storms are very common in the region during the spring and summer, which greatly influence the vegetation photosynthesis and growth. The natural vegetation including dense shrubs, trees mixed with grasses (e.g. Populus, Tamarix or 20 *Phragmites*) is mainly distributed around the oasis along the river, which can be seen as part of the oasis, as well as the desert-oasis ecotone, characterized by low diversity, sparse cover, and dominance by perennial herbaceous grasses and semi-shrubs, such as Phragmites australis,

**3** Materials and methods

Tamarix ramosissima, Karelinia caspia, Alhagi sparsifolia etc.

#### **3.1 Dataset**

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The data used to extract land cover information in this study consisted of three available Landsat TM, ETM+ images acquired on October 5, 1990 with 28.5 m×28.5 m, October 24,

2000 with 30 m×30 m and 28 September 28, 2008 with 30 m×30 m spatial resolutions (path/row 146/34), respectively. The images with acquisition dates as close as possible to the different years (different dates in fall season) were selected to minimize problems related to the sun position and vegetation phenology. The subsets of TM/ETM+ images (1650\*3300 pixels)

- <sup>5</sup> between a latitude of  $36^{\circ}52'N \sim 38^{\circ}02'N$  and a longitude of  $79^{\circ}26'E \sim 80^{\circ}35'E$  covering the oasis area, a total size of 1 273 710 ha, in the middle reaches with an elevation ranging from 1250 m to ~ 1380 m were extracted for this study. A topographic map (with positional accuracy of ±2.5 m) and a land use map of Xinjiang Province in 1990 at a scale of 1:50000 provided by the Surveying and Mapping Bureau of Xinjiang was used as reference data to assist the
- classification and accuracy assessment of 1990 image. The land use map was created by visual interpretation of aerial photography from 1990 in combination with fieldwork sampling. For 2000 image classification, a multispectral 4 m resolution IKONOS image acquired in 20 September 2000, which covered the whole study area, was used as reference (ground truth) data. High spatial resolution imagery (QuickBird operated by DigitalGlobe) in Google Earth (GE)

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with spatial resolution of 2.4 m acquired on 25 September, 2008 was used for visual interpretation and validation of land cover imagery in 2008. Images of vegetation and soil indexes were also derived from three Landsat images and used as reference data.

In addition, socio-economic data (e.g. population, GDP and cultivated land) from 'Xinjiang Statistical Yearbook' (1990-2010) in county level, and climatic data (i.e., precipitation and temperature) (1960-2010) from the ground meteorological station of Hotan and hydrological data from Xiaota hydrometric station in the downstream of the Hotan river, Wuluwat and Tonguzluk hydrometric station in the upstream of the Hotan river (1960-2010) were collected and used as ancillary data to explain the most relevant driving forces of land cover changes in the study area.

25 **3.2 Data pre-processing** 

The pre-processing of each Landsat image was image enhancement, geo-registration, radiometric correction using ENVI 4.7 image processing software. Each Landsat image was enhanced using linear contrast stretching and histogram equalization to better identify ground control points in geo-registration. The multi-dated images were geo-referenced to a common

Universal Transverse Mercator coordinate system (UTM/WGS84, Zone 44) based on high resolution IKONOS image and the 1:50000 scale topographic map with 26 ground control points (GCPs). The GCPs were used to correct the distortions on the images, and map them to their true positions in ground coordinates. The GCPs were mostly selected at road intersections, bends in rivers features and the like that can be easily located. The resultant root mean square error (RMSE) of rectification was less than half a pixel (15m). To make the classified land cover images comparable, the Landsat images were re-sampled to a pixel size of 30m×30m

using the nearest neighbor method. Radiometric correction (Chen et al., 2005) was then

implemented on these images to reduce the influences of errors due to sensor differences,

Earth-Sun distance, solar zenith angle and atmospheric condition, which are important in

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multi-temporal analysis of vegetation indexes.

All hydro-climatic data and socio-economic data are categorized and plotted to examine the changes and trends of the natural and human variables that are considered to be related to the changes of land use/cover in the Hotan oasis during the observed time period. Integrating these data with RS & GIS analysis of land cover changes could help explain the underlying causes of the changes in land cover, and provide implications for making better landscape management strategies.

#### **3.3 Vegetation and soil indexes**

The normalized difference vegetation index (NDVI), the soil-adjusted vegetation index (SAVI) and the normalized difference salinity index (NDSI) were extracted from each geometrically and radiometrically corrected image and used as ancillary data. These data were used to improve the accuracy of the land cover classification by separating features with similar spectral properties.

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$$NDVI = \frac{NIR - Red}{NIR + Red}$$
(1)

The estimation of fractional vegetation cover (FVC) can be obtained through a scaled NDVI (Carlson and Ripley, 1997) defined as:

$$FVC = \frac{NDVI - NDVI_{soi}}{NDVI_{veg} - NDVI_{soi}} * 100$$
(2)

where  $NDVI_{soi}$  and  $NDVI_{veg}$  are respectively the values observed for bare soil (vegetation cover = 0) and for full canopy cover (vegetation cover = 100%).

SAVI (Huete, 1988; Stefanov et al., 2001) was developed as a modification of the
normalized difference vegetation index to correct for the influence of soil brightness when
vegetative cover is low. SAVI can be created from Landsat TM and ETM images using the
following band combination:

$$SAVI = \left(\frac{NIR - Red}{NIR + Red + L}\right) * (1 + L)$$
(3)

L is a constant (generally set at 0.5) used to compensate for the high soil reflectance values observed in desert areas with sparse vegetation.

NDSI (Khan et al., 2005), shown in the form of Eq. (6), is another effective method for extracting salinized soil information (salt-affected areas) in degraded lands that are mostly distributed in the arid ecotones.

$$NDSI = \frac{Red - NIR}{Red + NIR}$$
(4)

#### 15 **3.4 Land cover classification**

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In this study, a multistage supervised classification method (MSC) was employed to classify individual images independently, using the maximum likelihood classifier (MLC) (Richards and Jia, 1999). It was integrated with the vegetation and soil indexes to avoid the misclassification due to similar spectral reflectance of different land cover types in standard supervised classification with MLC. The MLC has been the most popular pixel based classifier used for remote sensing data classification (Foody et al., 1992; Jia et al. 2011), in which the unknown pixel is considered to belong to the class with the maximum probability of membership. The MLC requires sufficient number of representative training sets to produce satisfactory classification, the number of training pixels for each class may be kept as 30 times the number of bands under consideration (Mather, 1999) while Campbell (2006) suggests using at least 100 training pixels per class. With the assistance of reference map, high-resolution images and vegetation/soil indexes for ground truthing an interpretation key

that describes the spectral attributes for each land cover type was developed and carefully evaluated. When definition of representative training areas is known, selecting training sites on the image of false color composite 4-3-2 (FCC) has the advantage of easily visually distinguishing the classes, and hence ground data collection requirements can be reduced. A

- 5 total of 200 training sample plots (over 3500 pixels) in which each land cover type had at least 15~30 polygons, were selected first on the image of the year 2008 using the 'region of interest' (ROI) tools provided by ENVI 4.7 software. Same vector layer was then overlaid upon the 1990 and 2000 datasets, and the polygons were modified wherever changes were found. In the process of classification, training area was given to each of the land cover classes, based on the
- 10 reflectance signature of different features for the FCC image. The seven land cover types were defined for this classification, i.e. agricultural land, urban, forest, medium and high coverage grass/shrub land, low coverage grass/shrub land and desert. However, due to the difficulty in separating some land cover classes that have similar spectral characteristics (particularly agricultural land without crops from desert, and medium/high coverage grass/shrub land from
- 15 low coverage grass/shrub land), only the six land cover types, which have the highest accuracy of identification, were included in the initial classification, i.e. agricultural land, urban, forest, water, grass/shrub land and bare land. Therefore, re-classification of confused land cover classes (grass/shrub land and bare land) from the initial classification was conducted to extract medium/high and low coverage grass/shrub land and desert. This was conducted in three stages: 20 firstly, all land cover classes were masked out from the multi-spectral Landsat images leaving
- only bare land; thresholding bare land based soil adjusted vegetation index (SAVI) to unmix the desert from bare soil in agricultural land due to its sensitivity to soil brightness. The SAVI output values range from 0 to 1: values near zero represent agricultural soil and values near 1 represent desert. The extracted bare agricultural soil was then merged into the agricultural land.
  25 Secondly, all land cover classes were masked from the multi-spectral Landsat images and leaving only the grass/shrub land; using thresholding based on the fractional vegetation coverage and recoding to medium, high and low coverage grass/shrub land. Fractional vegetation cover is the most common measurement used for measuring vegetation cover. It ranges from values 0 to 1. Lower values represent low-density vegetation (5%–20%), while

higher values represent medium (>20% and  $\leq$ =50%) or high coverage vegetation (>50%). The area with vegetation cover of <5% are recorded as desert. Finally, by stacking the output of the stages above a final land cover type classification of the study area was obtained.

- After a preliminary classification, some land cover types were merged into one major land cover category to minimize the potential errors of classification and mainly analyze alternative 5 changes between the major landscape types (oasis, desert and ecotone). The classified images were further smoothed using a majority filter with  $3 \times 3$  pixel moving window to remove isolated pixels due to spectral mixing. Manual editing (refinement) was then used to modify the classification image based on the prior knowledge about the study area (i.e. The deep shadows
- of the sand dunes incorrectly classified as water were corrected into the desert.). Consequently, four main land cover categories including 'Oasis', 'Ecotone', 'Desert' and 'Water' were used in the final land cover classification, which simplified the analysis of trajectory changes in main land cover types in this study. Oasis was described as the major area where human activities concentrated, including built up area, agricultural land, forest, high coverage grass/shrub land and medium coverage grass/shrub land. The transitional zone between oasis 15 and desert with sparse vegetation cover of  $5 \sim 20\%$ , where natural semi-shrubs mixed with

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grasses experienced severe water shortages and poor grazing conditions, was defined as ecotone due to its high probability to alternatively change between the desert and the oasis. The classified land cover types were exported to ArcGIS 9.2, and land cover maps were prepared for the years 1990, 2000 and 2008. Description of major land cover types in this classification, 20 as well as the initial land cover classes grouped into which were described in Table 1.

#### **3.5 Accuracy assessment**

To evaluate the land cover classification performance, the classification accuracy assessment was conducted for each classification by means of confusion (error) matrix method (Congalton and Green, 1993). Validations of the classification results were accomplished based 25 on a stratified random sampling approach for selection of testing samples from reference data. The additional test samples were selected for the older Landsat images to validate the classification accuracy due to spectral differences for the year 1990, whereas 1999 and 2008 were more or less similar. Thus, a total of 291, 245 and 166 independent test sample plots were generated for land cover maps of 1990, 2000 and 2008, respectively, and transferred to GIS. They were then overlaid with the classified images as well as the suitable (close date) reference maps and high-resolution images for examining the classification performance. In this study, a high-resolution IKONOS and QuickBird images were used as the source of the reference data

- 5 to assess the results of the 2000 and 2008 ETM classifications. For the 1990 TM data, the digitized and geo-referenced topographic map and land use map of Xinjiang in 1990 were used to ground verification of testing samples. Because of the difficulty of collecting simultaneous "ground truthing" data for historical images, the recent available high-resolution image can be used for the comparison in further validation of the classification accuracy (Zhou et al., 2008).
- 10 By this means, obvious changes in land cover could be reliably detected by image interpretation. In this study, due to the "time gap" between the acquisition dates of the reference data and Landsat images in 1990 TM and 2000 ETM, the Quickbird image in 2008 was used as the basis of the other historical images for comparison and proper interpretation. Producer's accuracy (a measure of omission error) and user's accuracy (a measure of commission error)
- 15 for each class, as well as overall accuracy and Cohen's Kappa coefficient were calculated for each classification. The classification accuracies obtained from the multistage supervised classification integrated with various indexes and standard supervised classification without using these indexes were compared to show the superiority of the proposed method in this paper.

#### **3.6 Detection of land cover change**

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A post-classification comparison approach was employed to detect the nature, rate and location of changes between 1990-2000 and 2000-2008 within the study area. GIS overlay was applied for analysis of the classification results derived from remote sensing data in order to produce change maps and statistical data about the spatial distribution of different land cover changes and non-change areas. For this the GIS overlay operation was carried out using ArcGIS 9.3 software to obtain conversions between the land cover types. The overlay analysis describes the spatial distribution and attributes of changes in land cover during the different study periods. The cross-tabulated matrices between classifications were generated to quantify the conversions from a particular land cover type to other land cover categories and the

corresponding changes in area were calculated as proposed by Pontius et al (2004). The change occurrence map of land cover types and the temporal trajectories of land cover changes were also generated based on the three resulting maps in 1990, 2000 and 2008 using GIS. The change occurrence map could help better identify areas with high probabilities of change and

5 the human impacts causing such changes within whole study period (Zhou et al., 2008). The temporal trajectories of changes among land cover types not only reflect the patterns of land cover types but also help deliver the developing trend of the changes.

The annual change rate and average annual transition probabilities of each land cover class were calculated to interpret and assess the land cover change processes.

10 The annual rate of change for each land cover class was calculated as (FAO, 1995):

$$r = (A_2/A_1)^{1/(t_2 - t_1)} - 1 \tag{5}$$

Where r is the annual change rate, and  $A_2$  and  $A_1$  are the land cover class areas at time  $t_2$  and  $t_1$ , respectively.

The average annual transition probabilities (Mertens and Lambin, 2000) between the ecotone and the other land cover types were computed as:

$$p_{ij} = \frac{a_{ij}}{N} / \sum_{j} a_{ij} \tag{6}$$

Where  $a_{ij}$  is the area of land cover *i* at the initial period that was converted to land cover *j* at the subsequent period, and *N* is the number of years of the observed study period.

## **4** Results

## 20 **4.1 Land cover changes: Integrated methodology of remote sensing and geographic** information system

#### 4.1.1 Land cover classification

For each date, four main land cover classes (oasis, ecotone, desert and water) were examined in the Hotan oasis of arid desert landscape of northwest China. The use of NDVI,
SAVI and NDSI data derived from spectral images significantly improved the classification accuracy for the present data set compared to using spectral data (TM or ETM) only. Accuracies were in the range of 91.73 ~ 93.82 for using only spectral data as input and reached

about  $95.96 \sim 98.65\%$  when these indexes were included. The land cover classification results and the percentage of land cover class areas for the 1990, 2000 and 2008 images are shown in Fig. 2. The incorporation of the index data into classification process showed pronounced increase in Kappa coefficients for the three set of classified images, which achieved to 92.36,

94.17 and 97.83 respectively, while they were only 88.71, 89.69 and 91.53 using the original data only without using these indexes (shown in Table 2), which can perfectly meet the demand for land cover change detection in this study. The classification results show that desert was the dominant land cover type within the study area over the whole study period. The next dominant land cover type was the oasis surrounded by sparsely vegetated ecotone and deserts. It can be found that more than half of the study area was covered by sandy desert in proportion to other land cover types. Table 3 shows the quantitative results of the land cover classification, and explains the area coverage for each land cover class by hectare (ha) across the three dates.

#### 4.1.2 Land cover change

- The results show that all land cover types changed during the whole study period. Figure 3 shows the spatial distribution of thematic land cover changes for the two different periods and Table 3 shows the amounts of area changes in the land cover types during 1990-2000, 2000-2008 and 1990-2008. Overall, desert declined at an annual rate of -0.2%, from 65.8% of the study area in 1990 to 63.48% in 2008. Desert-oasis ecotone showed the largest decline in relation to its area, with only about 68% of its extent in 1990 remaining in 2008, and an annual decline of -2.11%. The amount of water areas declined slightly at an annual rate of -0.24%,
- 20 decline of -2.11%. The amount of water areas declined slightly at an annual rate of -0.24%, with around 95.7% of the 1990 extent remaining in 2008. However, oasis experienced an overall expansion during 1990-2008, which increased annually by 1.7% and expanded to 135% of the area occupied in 1990. The ecotone and desert mainly contributed to the extended oasis, forming an artificial oasis.
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Table 4 and 5 show the cross-tabulation change matrix for the areas changed from one land cover class to another and their percentage comparison to total area during 1990-2000 and 2000-2008. Between 1990 and 2000, the oasis and desert had significant gains, while the desert-oasis ecotone and water experienced strong losses. However, the oasis and water areas spread sharply from 2000 to 2008, while there was shrinkage in the areas of ecotone and desert.

In the first period, about 2.8% of the oasis was converted to ecotone while about 14% of ecotone was developed to oasis. In addition, around 25% of ecotone was transformed to desert. There was shrinkage in the water area from 1990 to 2000 mainly by changing (about 29.8% and 15.4% of its area) to oasis and desert, respectively. During the period of 1990-2000, areas

of no-change represented about 90.7%, and the changed area represented 9.3%. During 2000-2008, about 5.5% of the oasis was changed to water due to construction of artificial reservoirs and ponds. Significant changes also occurred in ecotone, and 44.9% of its area was converted to oasis. During the same period, a total of 6.2% of desert area was altered to ecotone due to land reclamation practices in the desert regions. About 17.9 % and 12.8% of water areas
were altered to oasis and desert although it generally showed increase in this period. Thus, from 2000 to 2008 the unchanged and changed areas represented 87.2 % and 12.8%, respectively.

Land cover changes did not occur at equal rates during the two time intervals. Between 1990 and 2000, ecotone experienced a strong loss at annual rate of -2.93%. This annual rate declined to -1.13% for the 2000-2008 period. Overall, ecotone losses during the two study periods were offset by about one third by ecotone gains. During the period of highest ecotone loss (1990-2000), oasis and desert cover increased at annual rate of 0.34% and 1%, respectively. However, the desert decreased at annual rate of -0.87% from 2000 to 2008, while oasis continued increasing at an annual rate of 2.51%. The area of water decreased at annual rate of -3.2% during the 1990-2000 period, but increased at annual rate of 3.46% during the 2000-2008 period. Thus, the total changes occurred in water areas coincided with the trends of increasing water demands for the oasis expansion.

#### 4.1.3 Land cover change patterns

From Table 6 it can be shown that the ecotone continued to shrink throughout the two study periods. The average annual transition probability from ecotone to oasis increased by 6.72% while the annual transition probability from desert to ecotone increased by 5.81%. Thus, the ecotone loss was partly offset by the newly developed oasis along the outer edges of the ecotone.

The spatial distribution of the intensity of land cover changes is shown in Fig. 4 The change frequency among the land cover types indicated the areas where human activities are

more intense. It was found that 12.97% of the study area was subject to only one change, 3.76% was subject to two changes, and 83.27% remained unchanged during the entire study period. The land cover changes mostly have occurred at the fringe of the oasis in the northwest and northeast, and in the north along the Yorungkash and Karakash rivers, as these areas were clear

5 priorities for urban and agricultural developments. The temporal trajectory of land cover changes explained the patterns and trends of land cover changes in the Hotan oasis (Fig. 5). It can be found that the major contributions to net change were the conversion of ecotone to oasis and desert during 1990-2000, while the major changes were the conversions of ecotone to oasis and desert to ecotone between 2000 and 2008.

# 10 4.2 Driving forces: Implications for arid oasis landscape planning and management

#### 4.2.1 Natural forces

The influence of climate variability on land cover changes seems to be significant for the Hotan oasis' evolution. In arid desert oasis of Hotan, the main natural factors, such runoff, annual mean temperature, annual mean precipitation and the amount of water consumption in the oasis, were collected from the hydrological and meteorological observation station located in the study area. The observed hydrological and meteorological data plotted in Figure 6 a and 6 b showed that during the last few decades the mean annual temperature and precipitation in the Hotan oasis had an increasing trend, whereas the average annual runoff of the Hotan river showed decreasing tendency (Fig. 7 c) due to its main recharging source from glacial melt water in the Kunlun mountains area.

#### 4.2.2 Human forces

#### Population growth

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The rapid migration of cultivators and herders into the marginal sites of the Hotan oasis resulted in rising population densities. In 1990, a total of 0.85 million people lived in the Hotan oasis; and in 2000 the population was 1.07 million. During the 10 years, population increased 0.22 million people with growth rate of 20.15%. In 2008, the total population of the Hotan oasis reached up to 1.25 million. It increased 17.5% compared with that in 1990, and the

growth rate was 14.9% (Fig. 8). Along with the increasing population, arable land increases to meet food consumption. Accordingly, a significant proportion of grasslands and paddy fields in the study area lose for other uses (*e.g.*, residential areas, farmland, and other traditional industries). Therefore, population growth, as the comprehensive reflection of the environmental

5 capacity, is the main human factor affecting land use in the Hotan oasis.

the Hotan oasis during the whole study period (Fig. 7 d).

#### Economic development

The reform of economic structure and transition to the market economy played a significant role in land cover changes of the Hotan oasis. The economic growth based on the agricultural and industrial production accelerated since 1990. From Fig. 8 it can be found that

the gross domestic product (GDP) of Hotan was only 683 million in 1990, which increased to 1 812 million in 2000 and 4960 million in 2008 at an annual increasing rate of 16.5% and 21.7% during the two study periods. The cultivated land in the Hotan oasis expanded from 50000 ha in 1990 to 130000 ha in 2000 with an annual increasing rate of 16% (Fig. 7 d). Large areas of plantation of economic crops, such as cotton, were conducted in the region, which greatly influenced the actual water consumption and reallocated water resources by changing areas and structures of crop plantation. Thus, the volume of water consumption had a sharp increase in

Responding to a wide range of land degradation, the "Conversion of Cropland to Forest and Grassland Program (CCFGP)" was initiated in 1999 in many dryland oases of the
northwest China to minimize soil erosion and vegetation degradation, as well as to improve utilization of water resources. Thus, the area of cultivated land was 175000 ha in 2008 and the annual increasing rate decreased to 4.3% during 2000-2008 (Fig. 7 d). Unsustainable land use, such as overexploitation of surface and groundwater, land abandonment and overgrazing, was then accompanied by the rapid economic growth in the Hotan oasis. For example, the livestock population of grazing animals in the Hotan oasis increased from 1 737 200 in 1990 to 2 573 200 in 2008, which was far beyond the carrying capacity (Fig. 8).

#### Socio-cultural factors

The prefecture of Hotan was a crucial hub in the ancient Silk Road, where the combination of the community economy and the unique culture was prominent. Traditional

agriculture, animal husbandry and various agro-forestry in the oasis, as the basis of subsistence, provide different goods and services. The economic activities centered on cultural industries, such as fruit farming and Uyghur medicine, contributed to the development of the economy in the oasis. The cultural ecosystem services of aesthetics had a rising trend in the Hotan oasis (increased about  $0.58 \times 10^8$  Yuan/ha) during the period of 1990-2008 (Yang et al., 2013).

5 (increased about 0.58×10<sup>8</sup> Yuan/ha) during the period of 1990-2008 (Yang et al., 2013).
 However, these resource-processing practices and the traditional beliefs of the local farmers always influence the decisions on land use.

#### **5** Discussion

The low classification accuracies and misclassifications among grass/shrub lands of different vegetation coverage, as well as bare agricultural used land and desert can be explained 10 by their similar reflectance characteristics. The multistage supervised classification method by masking out the spectrally identifiable classes and then differentiating the mixed (confused) classes with incorporation of vegetation and soil indexes as an additional step showed positive effects on the classification of land cover types. As table 2 shows, the results from the this method provides much better classification accuracy than that from MLC without using these 15 indexes, in which overall classification accuracy increased by roughly 4~5%. For example, NDSI index allows the detection of salt-affected soils and areas potentially at risk of salinization in the degraded lands where are mostly distributed in the marginal sites around the oasis (Yu et al., 2010). However, this is time consuming, particularly on a broader scale. 20 Merging some initial land cover types (agricultural land, forest, high coverage grass/shrub land, medium coverage grass/shrub land and built-up area) into the oasis facilitated the analysis of the alternative changes and general trends of the desert-oasis ecotone. But nevertheless, the results clearly stress the importance of well-defined land cover classes for image classification. Class definition of land cover also needs to consider typical surface characteristics rather than spectral properties only, e.g. for the "ecotone" class in the present study. The understanding of 25 what kind of land cover classes can be discriminated by what kind of spectral, topographic or

temporal information is, however, still rudimentary and further research on the separability of specific land cover classes is required to advance image classification.

During classification accuracy assessment, due the difficulty of collecting simultaneous

"ground truthing" data, only the Quickbird image was synchronous with the 2008 ETM data, however, Landsat image of 2000 was recorded one month later thus more agricultural land was already harvested compared to the reference image (IKONOS) of 2000. As a result, the Quickbird image of the year 2008 was used as the basis of the other two historical images for

- 5 comparison and proper interpretation. By this means, obvious land cover changes such as oasis to water and oasis to desert could be reliably detected by image interpretation. For a more precise evaluation of the classification accuracies, the high resolution imagery taken on the same or closer dates integrating field investigations during different vegetation periods would reveal better results.
- Overall, the trends of land cover change in desert oasis of the present study were largely similar as compared to steppe and desert regions of northern China with oasis expansion (expanding urban areas as well as farmlands) and decreasing area of ecotone rangelands and degraded land due to being desertified by agricultural intensification, wind erosion, water deficiency and overgrazing in the last decades (Li et al., 2004b; Luo et al., 2008; Hao and Ren, 2009; Yu et al., 2010). Although the warmer and wetter climate of the Hotan oasis (Fig. 6 a, b) was favorable to oasis expansion, the high evaporation rate and frequent flooding of Hotan river accelerated soil salinization across the basin (Zhao, et al, 2009; Huang and Shen, 2010).

In the arid areas, the socioeconomic factors have been becoming main driving factors of land use/cover changes (Hao et al., 2008). Given the population increase, the economic growth based on the agricultural and industrial production was accelerated. Accordingly, a significant proportion of grasslands and paddy fields in the study area have been lost for other uses (e.g. farmlands). The cultivated land in 2000 in the Hotan oasis expanded more than double of that in 1990 with plantation of economic crops in large areas. Thus, over-intensification of agriculture led to increase in water consumption within the oasis by excessive surface water exploitation and groundwater pumping. The total area of water increased in the Hotan oasis during 2008-2008 mainly due to construction of artificial reservoir to provide water for human and livestock consumption as well as for supplementary irrigation in dry-season. The productivity of oasis systems is based on irrigation water, while the surrounding ecotone instead depends almost on groundwater (Wang and Cheng, 2000; Hao et al., 2009). The

dramatic increase in the use of water in the oasis indicates that the level of the groundwater table and groundwater mineralization increase significantly, causing the higher rate of soil salinization (Hamid et al., 2002; Wang and Li, 2013). Consequently, the natural grass/shrub land in the desert-oasis ecotone has been declined due to less water availability from groundwater. According to the results in the study, the total size of the oasis increased from 231 635 ha to 313 079 ha between 1990 and 2008, while desert-oasis ecotone decreased by nearly 49 839 ha from 1990 to 2008. This corresponds to the population growth and economic development of the Hotan oasis and of Xinjiang in the last decades.

The reduction of agricultural land and expansion of shelter forests and grasses in the oasis since 2000 indicates that the CCFGP is already producing measurable results. This might have contributed to promote the internal stability of the Hotan oasis in certain extent. However, the expanding trend of the oasis area still remained unchanged during the period of 2000-2008. Hence, there is an urgent need to consider the fragility of the ecological environment and water scarcity when management improvement is undertaken in the desert oasis. Furthermore, overgrazing due to increasing livestock population was responsible for the constantly decreased area of desert-oasis ecotone during the whole study period. Due to the removal of the grasses and woody plants that protect soils from wind and water erosion because of overgrazing and firewood collection, the soil around the oasis fringe would probably experience further degradation. Therefore, effective effort is needed toward the ecological security in the oasis and protection of natural vegetation in the desert-oasis ecotone.

In addition, the traditional cultural beliefs and lack of education of rural farmers regarding land use regulations influence the decisions on land use in the process of shifting toward the intensive irrigation agriculture and adoption of new agricultural management systems. It is thus necessary to build an adaptive application policy of integrating the useful traditional practices into the new land use and water management systems with specific focus on raising awareness of local communities concerning the urgency of desertification control in the Hotan river basin.

#### **6** Conclusions

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In this paper, we have demonstrated research, which studies the spatial-temporal pattern

of land cover change in the fragile ecosystem of arid desert oasis in northwest China. Multi-temporal remotely sensed images, including TM and ETM, were used to analyze the land cover change and to identify change trajectories. The natural and human driving forces were examined using the hydro-climatic data and socio-economic data.

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Results of this study revealed that the multistage supervised classification method using MLC classifier incorporated ancillary data such as vegetation and soil indexes into the classification process gives more reliable and accurate results overall and on the level of single land cover classes compared to standard classification method. This indicates large potential of different ancillary data for increasing classification accuracy in change detection within complex desert oasis systems. The analysis of the temporal changes of land cover and their spatial distribution showed that oasis continued to expand and desert-oasis ecotone kept decreasing while water and desert were fluctuating over the two study periods due to the accelerated oasification process and desertified land reclamation in the desert-oasis ecotone. The analysis of driving factors indicated that the human-induced changes were still dominating

15 in land cover change processes of the study area, which represent an irreversible impact on the arid environment.

In this study, we extended the concepts and methodology of change detection analysis to the study of an arid environment. Further studies will be focused on the spatio-temporal patterns and relationships between land cover types and local land use practices. Through these studies human activities and their environmental responses, particularly the long-term consequences will be assessed, so that better, science-based arid landscape management decisions can be made.

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Table 1.	Description	of major	land cover	r types	defined in	this study
				/		

Classes name	Description
	Agricultural land including cultivated areas with dense annual crops or vegetables and areas with crop residues or bare soils
	Urban or built-up areas including various buildings and open transportation facilities
	Forest including trees, shrubs, orchards, and shelter forests
Oasis	High coverage grass/shrub land with vegetation cover of >50%, which is generally distributed in areas around the artificial oasis with good water availability and has a good growth status.
	always distributed in areas along high coverage grass/shrub land with water shortages
	Transitional zone between the desert and oasis with $5\sim20\%$ vegetation cover of low
Ecotone	desert shrubs with sparse grasses, which experienced severe water shortages and produced poor grazing conditions
Water	Open water including lakes, rivers, streams, reservoirs and wetland
Desert	Saline, alkaline land and sandy land with vegetation cover of <5%, barren rock, Gobi desert

	2000				200
C	C-MLC	MSC-N	ALC	SC-M	ILC
UA PA	UA	ΡA	UA	PA	UA
91.77	93.62	100.00	97.89	93.96	94.41
8.17 86.37	82.79	86.47	91.46	93.40	86.49
9.74 91.81	93.31	97.00	95.11	94.83	96.11
\$.00 95.25	93.48	98.00	99.38	91.96	95.02
	91.82	95.90	6	93.8	32
	89.69	94.1	7	91.5	53
	D     S       JA     PA       5.31     91.77       3.17     86.37       9.74     91.81       5.00     95.25	SC-MLC       JA     PA     UA       5.31     91.77     93.62       3.17     86.37     82.79       3.74     91.81     93.31       5.00     95.25     93.48       91.82     91.82       89.69	C         SC-MLC         MSC-N           JA         PA         UA         PA           5.31         91.77         93.62         100.00           3.17         86.37         82.79         86.47           3.74         91.81         93.31         97.00           5.00         95.25         93.48         98.00           91.82         91.82         95.9           89.69         94.1	SC-MLC         MSC-MLC           JA         PA         UA         PA         UA           5.31         91.77         93.62         100.00         97.89           3.17         86.37         82.79         86.47         91.46           9.74         91.81         93.31         97.00         95.11           5.00         95.25         93.48         98.00         99.38           91.82         91.82         95.96         94.17	C         SC-MLC         MSC-MLC         SC-MLC         SC-MLC         SC-MLC         SC-MLC         SC-M           JA         PA         VA         PA         VA         PA         VA         PA         SC-M           JA         PA         VA         PA         VA         PA         VA         PA         SC-M           JA         PA         VA         PA         VA         PA         VA         PA           5.31         91.77         93.62         100.00         97.89         93.96         93.96           3.17         86.37         82.79         86.47         91.46         93.40         93.40           3.74         91.81         93.31         97.00         95.11         94.83         91.96           5.00         95.25         93.48         98.00         99.38         91.96         93.8           91.82         93.69         94.17         91.5         91.5         91.5

 Table 2. Accuracies of land cover classifications derived from different classification methods (%)

accuracy, UA: user's accuracy)

Land cover	1990	2000	2008	1990-2000	2000-2008	1990-2008
types	1770	2000	2008	1770-2000	2000-2008	1770-2008
Oasis	231 635	256 041	313 079	+24,406	+57 038	+81 444
Ecotone	156 392	116 634	106 553	-39,758	-10 081	-49 839
Desert	838 104	866 506	808 535	+28,402	-57 971	-29 569
Water	47 579	34 529	45 543	-13 050	+11 014	-2 036

Table 3. Amounts of changes by land cover classification during 1990-2008 (ha)

Table 4. The conversion (change) matrix of land cover change from 1990 to 2	2000*
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	1990						
2000		Oasis	Ecotone	Desert	Water	Total (2000)	
Oasis	(ha)	217 812	21 968	2 064	14 197	256 041	
	(%)	94.03	14.05	0.25	29.84	20.10	
Ecotone	(ha)	6 465	94 000	15 044	1 1 2 5	116 634	
	(%)	2.79	60.11	1.18	2.36	9.16	
Desert	(ha)	1 122	39031	819 045	7 308	866 506	
	(%)	0.48	24.96	97.73	15.36	68.03	
Water	(ha)	6 2 3 6	1393	1 951	24 949	34 529	
	(%)	2.69	0.89	0.15	52.44	2.71	
Total (1990)	(ha)	231 635	156 392	838 104	47 579	1 273 710	
	(%)	100.00	100.00	100.00	100.00	100.00	

\* (The numbers on the diagonal that are coloured in gray represent the unchanged area of the land cover types, while others represent the areas changed to other land cover types.)

			2000						
2008		Oasis	Ecotone	Desert	Water	Total (2008)			
Oasis	(ha)	240 703	52 438	13 744	6 194	313 079			
	(%)	94.01	44.96	1.59	17.94	24.58			
Ecotone	(ha)	156	52 646	53 720	31	106 553			
	(%)	0.06	45.14	6.20	0.09	8.37			
Desert	(ha)	1 223	9 635	793 262	4 415	808 535			
	(%)	0.48	8.26	91.55	12.79	63.48			
Water	(ha)	13 959	1 915	5780	23 889	45 543			
	(%)	5.45	1.64	0.67	69.19	3.58			
Total (2000)	(ha)	256 041	116 634	866 506	34 529	1 273 710			
	(%)	100.00	100.00	100.00	100.00	100.00			

Table 5. The conversion (change) matrix of land cover change from 2000 to 2008 \*

\* (The numbers on the diagonal that are coloured in gray represent the unchanged area of the land cover types, while others represent the areas changed to other land cover types.)

					•• •	· · · · · ·
	19	990-2000			2000-200	)8
	Oasis	Desert	Water	Oasis	Desert	Water
From ecotone to other land cover types	3.52	6.26	0.22	10.24	1.88	0.37
From other land cover types to ecotone	2.86	6.65	0.50	0.04	12.46	0.007

**Table 6.** The average annual transition probabilities between ecotone and other land cover types (units: %)



Figure 1. Sketch map of the study area



**Figure 2.** Land cover maps of the Hotan Oasis for the years 1990, 2000 and 2008 and the respective extents of land cover classes by percentage (study area =1 273 710 ha)



**Figure 3.** Land cover change maps of the Hotan Oasis (a) 1990-2000 (total unchanged area: 1 155 806 ha, total changed area: 117 904 ha, ecotone to oasis: 21968 ha, water to oasis: 14197 ha, desert to oasis: 2064 ha; oasis to ecotone: 6465 ha, water to ecotone: 1125 ha, desert to ecotone: 15044 ha; oasis to water: 6236 ha, ecotone to water: 1393 ha, desert to water: 1951 ha; oasis to desert: 1122 ha, ecotone to desert: 39031 ha, water to desert: 7308 ha) (b) 2000-2008 (unchanged area: 1 110 500 ha, changed area: 163 210 ha, ecotone to oasis: 52438 ha, water to oasis: 6194 ha, desert to oasis: 13744 ha; oasis to ecotone: 156 ha, water to ecotone: 31 ha, desert to ecotone: 53720 ha; oasis to water: 13959 ha, ecotone to water: 1915 ha, desert to water: 5780 ha; oasis to desert: 1223 ha, ecotone to desert: 9635 ha, water to desert: 4415 ha )



Figure 4. Distribution of areas showing change occurrence among land cover types across the two



**Figure 5.** Major change trajectories and their contributions to net change in percentage of the study area (thick lines correspond to net change >3.5%, intermediate lines correspond to net changes between 1.8% and 3.5%, and thin lines correspond to net change < 1.8%; only net contributions to change > 5000 ha or 0.4% of the study area are represented)



Figure 6. Average annual temperature (a) and precipitation (b) in the Hotan oasis



Figure 7. Runoff of Hotan river (c), water consumption and area change of cultivated land in the Hotan oasis (d)



Figure 8. Population, livestock and GDP in the Hotan oasis