

1 **Microscale Strain Partitioning? Differential Quartz Crystallographic Fabric**
2 **Development in Phyllite, Hindu Kush, Northwestern Pakistan**

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11 **Abstract**

12 Spatially referenced quartz *c*-axis fabrics demonstrate the preservation of multiple,
13 distinct fabrics in a specimen collected from northwestern Pakistan. The overall fabric yielded by
14 the specimen is dominated by a single population of quartz grains, while the fabric signatures of
15 two other unique, spatially distinct populations are overwhelmed. It is these minor fabrics,
16 however, that provide information on temperature of deformation (403 ± 50 °C), differential
17 stress ($8.6 + 2.6/-1.5$ MPa to $15.0 + 3.8/-2.5$ MPa), strain rate (10^{-16} s⁻¹ to 10^{-15} s⁻¹), and strain
18 partitioning recorded by the specimen.

19
20 **1. Introduction**

21 Crystallographic analysis has been long employed to study the strain histories recorded
22 by rock forming minerals (e.g. Turner, 1942; Sander, 1950; Bouchez and Pêcher, 1976; Zhang
23 and Karato, 1995). While investigation of crystallographic fabrics have been successfully carried
24 out on a wide variety of mineral phases, quartz has been one of the most common targets to

25 elucidate strain within continental crust due to its near ubiquity in such rocks. The development
26 of crystallographic fabrics in quartz has been actively investigated (e.g Lister and Williams,
27 1979; Schmid and Casey, 1986) and utilized in studies of geologic material (e.g. Bouchez and
28 Pêcher, 1976; Blumenfeld et al., 1986; Law et al., 1990, 2004, 2011, 2013; Xypolias and
29 Koukouvelas, 2001; Larson and Cottle, 2014) during the past five decades. While advances in
30 our understanding and implications of the fabrics have advanced, so too have the methods
31 available to extract lattice orientation data. Universal stages are still employed to generate quartz
32 *c*-axis crystallographic fabrics (e.g. Kile, 2009), however, more technical methods such as x-ray
33 goniometry (e.g. Wenk, 1985) and electron backscattered diffraction (EBSD) (e.g. Prior et al.,
34 1999) can potentially provide a higher density of information and orientation data for secondary
35 axes. In addition, techniques utilizing EBSD and automated optical fabric analysers (e.g. Wilson
36 et al., 2003; 2007) have the advantage of producing spatially referenced data with the ability to
37 automatically generate achsenverteilungsanalyse (AVA) or axial distribution diagrams (e.g.
38 Sander, 1950). Such a diagram, essentially a map of crystallographic orientation within the
39 specimen analysed, can help facilitate the investigation and comparison of spatially distinct
40 grains, groups of grains, or zones within a specimen. Spatially referenced crystallographic
41 fabrics also allow for the investigation of strain recorded in grains of various sizes, the potential
42 effects of matrix phases, and the spatial positioning of grains adjacent to local features such as
43 porphyroclasts.

44 One significant application of spatially referenced crystallographic fabric data is to
45 examine within-specimen fabric orientation heterogeneities. This type of analysis has been
46 employed to distinguish between preferred orientations in new, recrystallized grains vs. relict
47 porphyroclasts (e.g. Law et al., 2010) and to identify variable dissolution in quartz veins (Wilson

48 et al., 2009). Such studies highlight the potentially significant differences in LPOs for distinct
49 grain populations and/or spatially separated areas of a single specimen.

50 This study presents new, spatially referenced crystallographic fabric data from a
51 specimen collected in the Chitral region of northwestern Pakistan. This specimen records three
52 distinct quartz crystallographic fabrics that can be related to differences in spatial position,
53 recrystallized grain size, and interaction with matrix phases in the specimen. The existence of
54 different crystallographic fabrics that can be related to significant changes in the texture and/or
55 mineralogy of spatially restricted areas of a specimen may provide insight into strain partitioning
56 at the microstructural scale. Moreover, the existence of distinct crystallographic fabrics at the
57 thin section scale has implications for the representation of strain for a specimen using a single
58 fabric and potentially for assessing relative differences between spatially separated specimens.

59

60 **2. Geological Setting**

61 The Chitral region is located within the eastern Hindu Kush of northwestern Pakistan
62 (Fig. 1). The geology of the area is dominated by Paleozoic protoliths, mainly low-grade
63 metasedimentary rocks that locally reach sillimanite grade (Gaetani et al., 1996; Zanchi et al.,
64 2000; Hildebrand et al., 2001; Zanchi and Gaetani, 2011; Faisal et al., 2014). These
65 metasedimentary rocks are intruded by a series of plutonic bodies that range in age from
66 Paleozoic (Kafiristan - 483 ± 21 Ma; Debon et al., 1987), through Mesozoic (Tirich Mir: 114 to
67 121 Ma, Desio, 1964; Hildebrand et al., 2000; Heuberger et al., 2007), to Cenozoic (Garam
68 Chasma - 24 Ma; Hildebrand et al., 1998). The region records a protracted deformational history
69 with earliest records indicating Late Triassic deformation and metamorphism and recent events
70 culminating in the Early Miocene (Faisal et al., 2014).

71 Specimen S32, the subject of the present study, is part of a suite of quartz-rich specimens

72 collected in the Chitral region. It is a quartz + muscovite + chlorite phyllite (Fig. 2a, b). The
73 foliation in the specimen is defined by planar muscovite and chlorite laths while the lineation is
74 defined by a grain-shape fabric of the same minerals. A thin section of specimen S32 was cut
75 parallel to the macroscopic lineation ($25^\circ \rightarrow 006^\circ$) and perpendicular to the foliation
76 ($330^\circ/38^\circ\text{NE}$). The specimen has a heterogeneous mineral distribution with localized quartz-rich
77 lenses (Fig. 2a, b) that have a bimodal grain size distribution (Fig. 2d). The coarser grain
78 population within the lens has a median area (as calculated for an ellipse using the long and short
79 axes of each grain) in this section of $161 \mu\text{m}^2$ with a standard deviation of 45 and an aspect ratio
80 of 2.5 (standard deviation of 1.0). The smaller grain size population within the quartz-rich lens is
81 characterized by a median area of $81 \mu\text{m}^2$ with a standard deviation of 20 and an aspect ratio of
82 2.3 (standard deviation of 1.0). The long axes of both grain-size populations are typically at low
83 angles relative to the dominant foliation. The quartz-rich lenses are surrounded by phyllosilicate-
84 rich layers that contain quartz grains with a median elliptical equivalent surface area of $52 \mu\text{m}^2$
85 with a standard deviation of 13 and an aspect ratio of 2.0 (standard deviation of 0.7). These
86 grains are typically elongate parallel to the foliation direction. The crystallographic fabrics of
87 each quartz grain population are investigated below.

88

89 **3. Methods**

90 The specimen was oriented during collection and cut parallel to macroscopic lineation
91 and perpendicular to the macroscopic foliation. The orientations of *c*-axes within the specimen
92 were determined using a G50 Automated Fabric Analyser (e.g. Wilson and Peternell, 2011) with
93 an RGB filtered, colour CCD sensor and white LEDs at an optical resolution of $10 \mu\text{m}$. Previous
94 research has shown that *c*-axis orientations determined using an automated fabric analyser like
95 the G50 are indistinguishable from those determined using X-ray (Wilson et al., 2007) and

96 EBSD methods (Peternell et al., 2010). The G50 outputs an interactive AVA diagram (Fig. 2c),
97 or *c*-axis map, of the thin section that was used to build crystallographic fabrics. Because each
98 pixel of the AVA diagram has unique *c*-axis orientation data associated with it, the
99 crystallographic fabrics of spatially distinct sections within the specimens can be investigated by
100 picking the exact locations within grains from which the orientation data are to be extracted.

101 The existence of three spatially and texturally distinct quartz grain-size populations
102 within the specimen allows the direct investigation of potential microscale quartz
103 crystallographic fabric and strain differences. Such investigations allow assessment of the sense
104 of shear recorded by the different populations and the slip systems active during fabric
105 formation. Moreover, the different grain-size populations lend themselves to paleopiezometric
106 investigation through the application of the Stipp and Tullis (2003) paleopiezometer as modified
107 by Holyoke and Kronenberg (2010). These paleopiezometric estimates, in turn, can be combined
108 with derived deformation temperatures to estimate strain rates. The results from this study have
109 bearing on microscale strain, stress, and strain rate partitioning during deformation and on the
110 potential homogenizing effects of dominant grain size populations in crystallographic fabric data,
111 which may obscure contributions from other smaller populations.

112

113 **4. Quartz Microstructures**

114 In the equal area stereonet used to present the *c*-axis data the lineation lies horizontally
115 across the equator while the foliation is a vertical plane cutting through the equator. The
116 stereonet is oriented such that a dextral asymmetry indicates top-to-the east-southeast shear.

117

118 *4.1 Quartz Microstructures*

119 The quartz grains that comprise the finer and coarser populations within the quartz-rich

120 lens in the specimen demonstrate textural characteristics consistent with dynamic
121 recrystallization. In both populations there is evidence of minor bulging (Fig. 3a), subgrain
122 development (Fig. 3b, c), and deformation lamellae (Fig. 3b, c). These textures are most
123 consistent with Regime 2 crystallization of Hirth and Tullis (1992) or the SGR category of Stipp
124 et al. (2002).

125 In contrast, strong evidence for dynamic recrystallization was not observed in the quartz
126 grains found within the phyllitic matrix outside of the quartz-rich lens. Here, the grains are
127 commonly partially surrounded by muscovite and/or chlorite laths (Fig. 3d) and as such typically
128 have restricted contact with one another.

129

130 *4.2 Quartz Crystallographic Fabric Results*

131 When examined in bulk (i.e. looking at fabric automatically generated from a non-
132 discriminant sampling grid) specimen S32 yields a crystallographic fabric consistent with
133 activation of the basal $\langle a \rangle$, prism $\langle a \rangle$, and prism $[c]$ slip systems (Schmid and Casey, 1986; Fig.
134 4a). There is a slight asymmetry in the basal $\langle a \rangle$ fabric that is consistent with top-to-the east-
135 southeast shear. If the crystallographic fabrics of the three different sized quartz grain
136 populations are examined individually, however, it becomes apparent that the overall, or bulk
137 crystallographic fabric is dominated by the matrix quartz population.

138 The crystallographic fabric yielded from the matrix quartz bears a strong resemblance to
139 the bulk fabric (Fig. 4b). While showing similar activation of the prism $\langle a \rangle$ and prism $[c]$ slip
140 systems, the matrix quartz c -axis fabric indicates preferred activation of the rhomb $\langle a \rangle$ slip
141 system over basal $\langle a \rangle$. Moreover, in the hand-picked pattern there appears to be a stronger prism
142 $\langle a \rangle$ component and a more well-defined rhomb $\langle a \rangle$ asymmetry (top-to-the-east-southeast). The
143 prism $[c]$ positions also appear to define an asymmetry, but it yields the opposite shear sense to

144 that indicated by the basal $\langle a \rangle$ fabric (Fig. 4b).

145 In contrast to both the bulk and the matrix grain-size population, the fabric yielded by the
146 finer size population within the quartz lens comprises a single girdle with activation of the prism
147 $\langle a \rangle$ and rhomb $\langle a \rangle$ slip systems (Fig. 4c). There is no indication of prism $[c]$ activation. The
148 single girdle is inclined to the right, which is consistent with top-to-the-east-southeast shear.

149 The crystallographic fabric from the coarser grain-size population in the lens is similar to
150 that from the finer-sized population; activation of the prism $\langle a \rangle$ and rhomb $\langle a \rangle$ slip systems
151 dominates. Unlike the other intra-lens population, however, the fabric of the coarser-sized grains
152 forms a type-1 crossed-girdle (Fig. 4d). The main fabric displays a top-to-the-right (or southeast)
153 asymmetry, with secondary arms extending away from the main girdle (Fig. 4d).

154

155 *4.3 Quartz Crystallographic Fabric Interpretation*

156 With the exception of the prism $[c]$ slip (discussed below) the fabric asymmetries noted
157 in the various specimen populations are consistent with interpreted top-to-the-east/southeast
158 movement across the nearby Tirich Mir and Reshun faults (Fig. 1; Calkins et al., 1981;
159 Hildebrand et al. 2001).

160 The quartz crystallographic fabric from the smaller grain-size population in the specimen
161 analysed indicates a component of prism $[c]$ slip. Slip in the prism $[c]$ direction is typically
162 associated with deformation in excess of 600 - 650 °C (Lister and Dornsiepen, 1982; Mainprice
163 et al., 1986; Morgan and Law, 2004). The rock sampled, however, is a low-metamorphic grade
164 phyllite and has not experienced temperatures in the range of those expected to favour prism $[c]$
165 slip.

166 Similar unexpected patterns have been noted in low-metamorphic grade slates and
167 phyllites in New Zealand where they are interpreted to reflect mechanical rotation of grains

168 elongate in the c -axis direction parallel with the stretching direction (Stallard and Shelly, 1995).
169 Such an interpretation is consistent with the sparse evidence of dynamic recrystallization in the
170 matrix quartz. However, c -axis orientations consistent with slip in the rhomb and prism $\langle a \rangle$
171 directions indicate that there was some dynamic modification of the crystal lattice in response to
172 deformation. As suggested by Stallard and Shelly (1995), physical rotation of the clasts may
173 have occurred preferentially in the matrix grains surrounded by phyllosilicate-rich layers, into
174 which strain was preferentially partitioned. The matrix quartz grains that occur in areas with less
175 abundant phyllosilicate may have accommodated more of the strain directly through dislocation
176 slip resulting in the development of the prism $\langle a \rangle$ and rhomb/basal $\langle a \rangle$ c -axis orientations
177 observed in the crystallographic fabric.

178 The development of quartz c -axis maxima parallel to the stretching lineation may
179 alternatively be explained by preferential dissolution of quartz grains with their (0001) planes
180 parallel to the foliation. The dissolution of such grains and reprecipitation and/or concentration
181 of residual grains with c -axes parallel to the foliation have been interpreted to account for similar
182 c -axis patterns in low-metamorphic grade rocks in southeastern Brazil (Hippertt, 1994).

183 The orientations of c -axes in grains that comprise the quartz-rich lens in the specimen
184 appear to have been controlled by dynamic recrystallization (Fig. 3a-c) as part of their
185 deformational response to imposed stresses. Because the quartz records evidence of dynamic
186 recrystallization, the crystallographic fabrics measured from it are interpreted to reflect the
187 modification of its crystal lattice orientation in response to deformation.

188

189 *4.4 Deformation Temperature, Grain-Size Piezometry, and Strain Rate Estimates*

190 The crystallographic fabric from the coarser grains in the quartz lens forms a weakly
191 developed crossed-girdle fabric (Fig. 4d). The opening angle of such fabrics, that is the angle

192 between the arms of the fabric as measured about the perpendicular to the flow plane, have been
193 empirically related to the estimated temperatures at which the fabrics developed (Kruhl, 1998;
194 Morgan and Law, 2004; Law, 2014). Converting a fabric opening angle into a temperature of
195 deformation requires a number of assumptions to be made, including temperature being the
196 primary control on critically resolved shear stress, as opposed to strain rate or hydrolytic
197 weakening. See Law (2014) for an in depth review of the considerations in using quartz
198 crystallographic fabric opening angles as geothermometers. In reflection of the uncertainty in the
199 data used for the empirical calibration and the precision of the opening angle determined, quartz
200 crystallographic fabric-derived deformation temperatures are quoted at ± 50 °C (Kruhl, 1998).

201 The crossed girdle fabric in the specimen analysed has an opening angle of ~ 53 ° (Fig.
202 4d), which corresponds to a deformation temperature of $\sim 403 \pm 50$ °C. That temperature
203 estimate is consistent with the interpreted metamorphic grade of the rock and with the observed
204 microstructures dominated by subgrain development with minor bulging. The transition from
205 bulging to subgrain formation processes in the eastern Tonale fault zone of the Italian Alps is
206 associated with temperatures near 400 °C (Fig. 9 of Stipp et al. 2002). Similar textures from the
207 Himalaya may occur at slightly higher temperature, closer to 450 °C (Law, 2014). It should be
208 noted, however, that, as with *c*-axis opening angles, strain rate and hydrolytic weakening can
209 also play an important role in the development of quartz textures (e.g Law, 2014).

210 Recrystallized grain-size piezometry as proposed by Stipp and Tullis (2003) and
211 recalibrated by Holyoke and Kronenburg (2010) may be used to estimate potential differences in
212 differential flow stresses recorded in different dynamically recrystallized grain-size populations.
213 Experimental calibration of the quartz grain-size piezometer applies to bulging recrystallization
214 mechanisms and extends to a maximum grain-size of ~ 50 μm (Stipp and Tullis, 2003; Stipp et

215 al., 2006). Stipp et al. (2010) suggest that the piezometer may be reasonably applied to grains
216 formed through subgrain rotation recrystallization, but would significantly underestimate those
217 developed during grain boundary migration recrystallization. Applying the quartz
218 recrystallization piezometer to the two dynamically recrystallized size populations in the quartz
219 rich lenses yields differential stresses of $8.6 +2.6/-1.5$ MPa and $15.0 +3.8/-2.5$ MPa for the
220 coarser and finer quartz grain-size populations respectively.

221 The differential stress estimates determined can be combined with deformation
222 temperature and plotted atop a series of different geologically reasonable strain rates (Fig. 5). As
223 pressure constraints have not been established for the specimen S32, or any relevant nearby
224 locales, the fugacity used in both the Hirth et al., (2001) and Rutter and Brodie (2004) quartz
225 flow law calibrations utilized was estimated using the derived deformation temperature, a
226 thermal gradient of 25 °C/km, and an average crustal density of 2.85 g/cm³. The resulting
227 fugacity, 108 MPa, was calculated as in Pitzer and Sterner (1994). As noted in Law et al. (2013),
228 calculated strain rates are rather insensitive to changes in fugacity; using a thermal gradient of 40
229 °C/km in fugacity calculations does not result in a significant change in the strain rate estimates
230 for this study. Plotted differential stresses and deformation temperature indicates a faster strain
231 rate for the finer grains/higher differential stress (Fig. 5). The strain rate estimates vary
232 considerably between the two calibrations with only the Hirth et al. (2001) calibration providing
233 estimates that approach those geologically reasonable (Fig. 5).

234

235 **5. Discussion**

236 The size variation between the matrix and lens quartz grains in the specimen may reflect
237 primary differences associated with the protolith. The finer sized quartz grains found within the
238 phyllitic matrix are interpreted to represent smaller grains deposited within a silt/mud-dominated

239 protolith, while the coarser quartz that occurs within the specimen is interpreted to represent a
240 thin sand lens. Within the lens itself the two grain size populations may reflect further primary
241 differences, secondary modification during deformation, or both. These possibilities are
242 discussed below.

243 It is possible that the two grain size populations within the lens reflect different strain
244 histories. The quartz within the lens has been subject to dynamic recrystallization during which
245 there would have been potential for the grains to change size and shape. The grain size difference
246 within the lens may reflect development of the finer population where stress was preferentially
247 partitioned resulting in more intense grain size reduction, whereas the coarser population,
248 affected by lower stresses, may reflect more limited grain size reduction. Such stress partitioning
249 is consistent with differential stress estimates made based on grain size piezometry that indicated
250 higher stresses associated with smaller grain sizes.

251 The two grain sizes may, alternatively (or additionally), reflect an initial difference in
252 grain size inherited from the sand lens when it was first deposited, perhaps with compounded by
253 incomplete recrystallization of the larger grains. The variation in grain size within the quartz-
254 rich lens may represent a combination of both primary differences and secondary strain
255 partitioning. Finer grains within the quartz lens may have been preferred for initial strain
256 partitioning, which would have facilitated, and been enhanced by, further grain size reduction
257 and higher strain rates. Strain concentration within the finer grains in the quartz-rich lens is
258 consistent with the variation in crystallographic fabrics between the two size populations. The
259 coarser grain size fabric maintains secondary trailing arms (Fig 4d), whereas in the finer grain
260 size fabric those arms have been essentially obliterated (Fig. 4c). Migration towards a single
261 girdle fabric has been associated with increased critically resolved shear stress and shear strain

262 (Keller and Stipp, 2011) in quartz crystallographic fabric evolution models.

263

264 **6. Conclusions**

265 This study demonstrates the importance of spatial resolution and registration in
266 specimens analyzed for crystallographic fabric analyses. In this metapelite example, the bulk
267 crystallographic fabric overwhelmed two spatially restricted fabrics recorded in a quartz lens.
268 Yet it was the secondary, spatially distinct fabrics that yielded information on deformation
269 temperature, paleopiezometry, and strain rate. This has important implications for increasingly
270 common studies that examine large numbers of specimens utilizing automated methods; care
271 must be taken to investigate the spatial distribution of fabric symmetry within specimens as the
272 bulk pattern may average and mask important information. The spatially-controlled
273 crystallographic fabric patterns documented in this study may reflect the fundamental initial
274 properties of the specimen, be products of differential strain partitioning at the microscale, or
275 some combination of the two.

276

277 **7. Acknowledgements**

278 This project was supported by NSERC Discovery and CFI Leaders Opportunity Fund
279 grants to K. Larson. A. Khan and the NCEG at the University of Peshawar are thanked for their
280 logistical assistance during fieldwork. Discussions with D. Kellett, reviews by C. Wilson and ,
281 and editorial handling and review by R. Law have helped improve the clarity of the manuscript.

282

283 **8. Fig. Captions**

284 *Fig. 1* - General geology map of the Garam Chasma/Chitral region, NW Pakistan (after Faisal et
285 al., 2014). Specimen collection location is indicated. Field area location is shown in regional

286 scale inset map.

287

288 *Fig. 2* - Thin section scale photomicrographs of specimen S32 presented in plane-polarized light
289 (a), cross-polarized light (b), and as an AVA diagram (c). The location of quartz grains analysed
290 is indicated by different coloured and shaded circles in c. White circles denote a coarser grain
291 within the quartz-rich lens; black circles indicate a finer grain within a quartz-rich lens; yellow
292 circles mark a matrix quartz grain measured. A more detailed cross-polarized photomicrograph
293 of the quartz-rich lens is shown in d; coarser and finer populations are marked.

294

295 *Fig. 3* - Quartz microtextures observed in thin section. All photomicrographs are cross polarized
296 light. a) Three examples of minor bulging recrystallization. b) Subgrain development within the
297 quartz- rich lens. Also visible are deformation lamellae. c) Same location as in b) with the stage
298 rotated to further highlight subgrain formation. d) A matrix quartz grain (centre) encased by
299 phyllosilicates.

300

301 *Fig. 4* - Quartz crystallographic fabrics from various quartz populations in the specimen. All
302 diagrams are lower hemispherical equal area stereonet projections contoured at 1% intervals.
303 Contours for a) are 1, 2, 3, 4 times uniform; for b) through d) they are 1, 2, 3, 4, 5, 6+ times
304 uniform. The stereonets are oriented such that the foliation forms a vertical plane while the
305 observed lineation (and orientation of thin section) follows a horizontal E-W line. a)
306 Combined/bulk crystallographic fabric generated from an 8000-point grid mapped across the
307 specimen. b) Quartz crystallographic fabric generated from manually selected matrix grains. c)
308 Crystallographic fabric of the finer sized quartz population within the quartz-rich lens. d)
309 Crystallographic fabric of the coarser sized quartz population within the quartz-rich lens.

310

311 *Fig. 5* - Strain rate estimates for the two size populations within the quartz rich lenses using the
312 flow laws of Hirth et al. (2001) and Rutter and Brodie (2004). Differential stress estimates are
313 from recrystallized grain-size piezometry while temperature estimates are from quartz
314 crystallographic fabric opening angles. See text for discussion.

315

316 **9. References**

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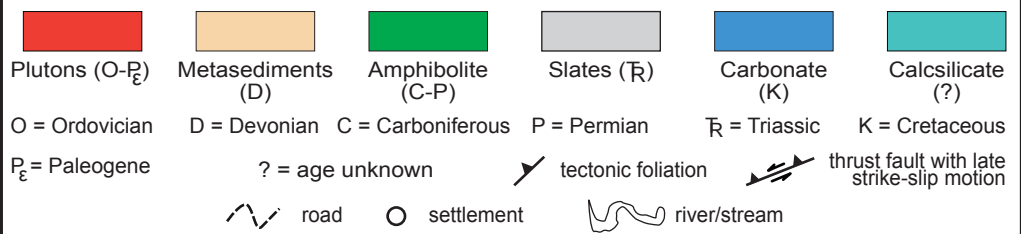
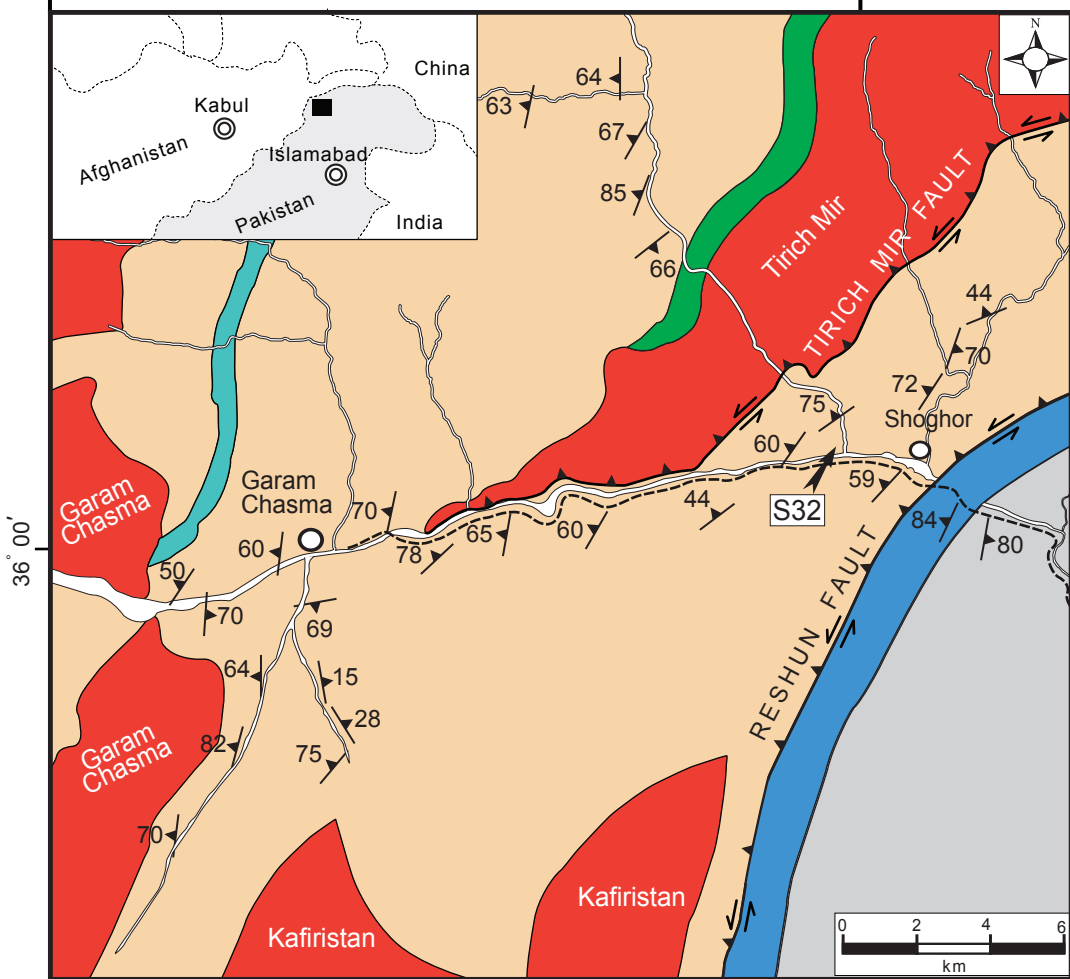
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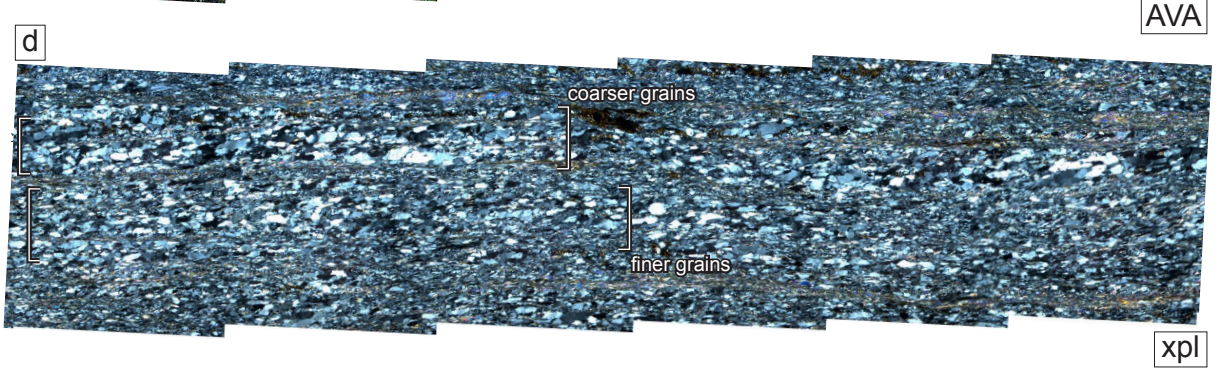
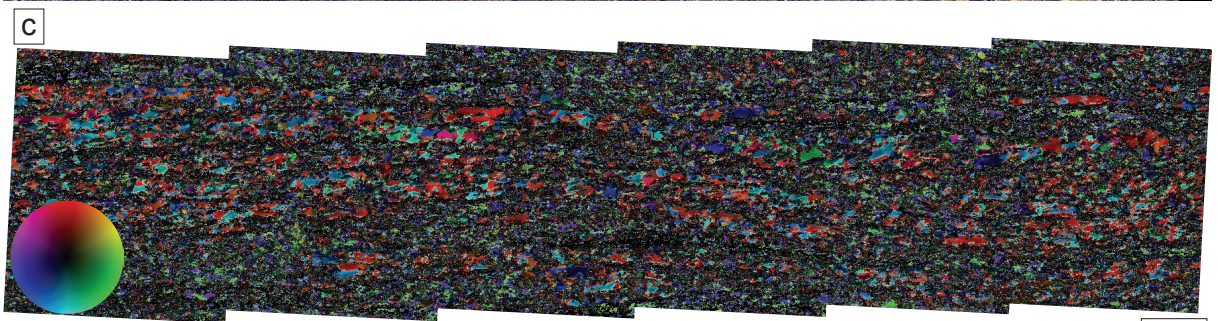
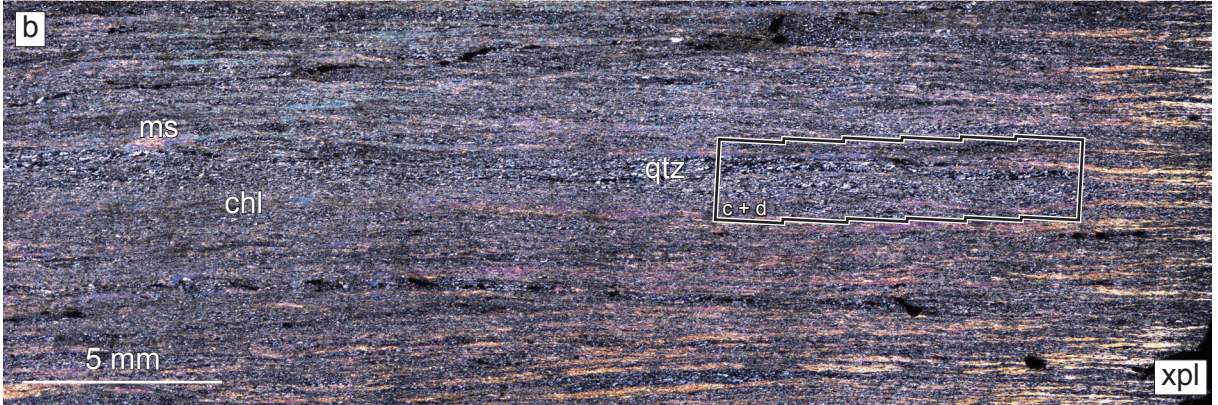
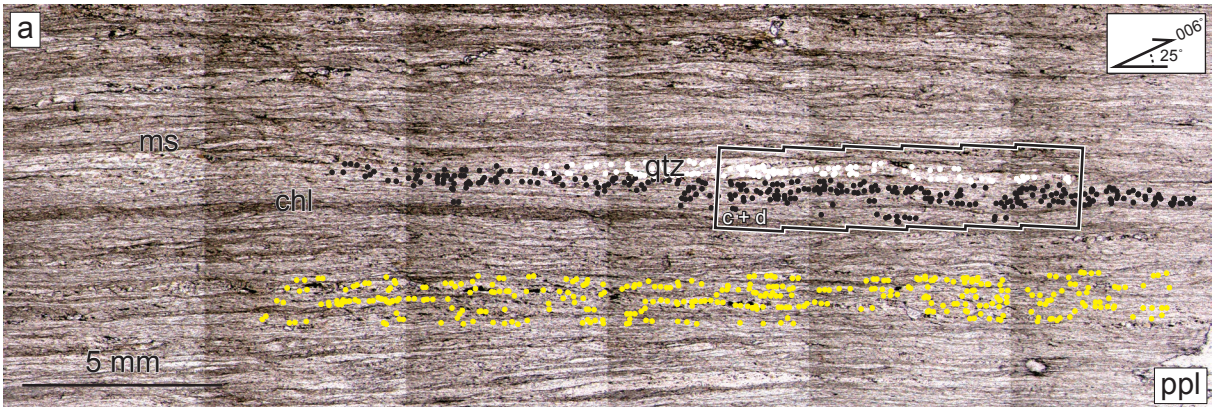
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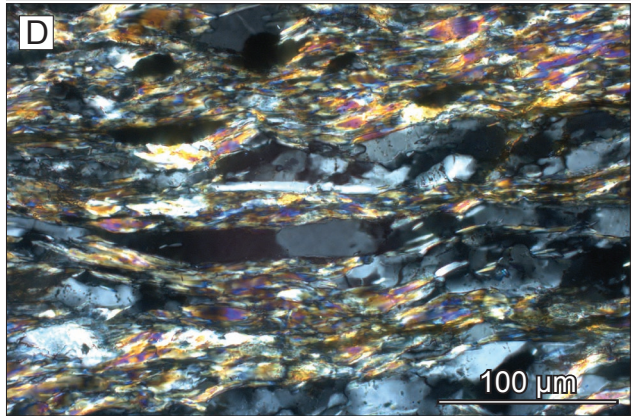
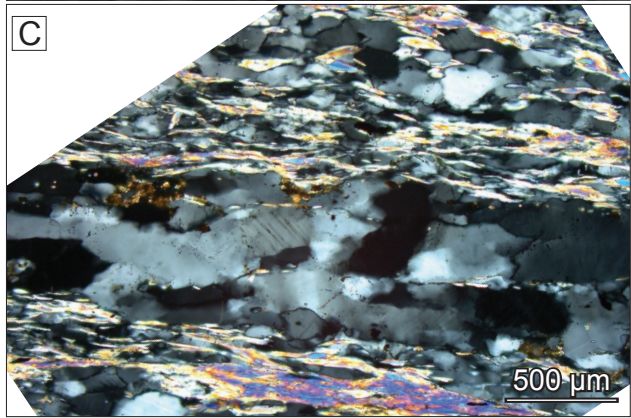
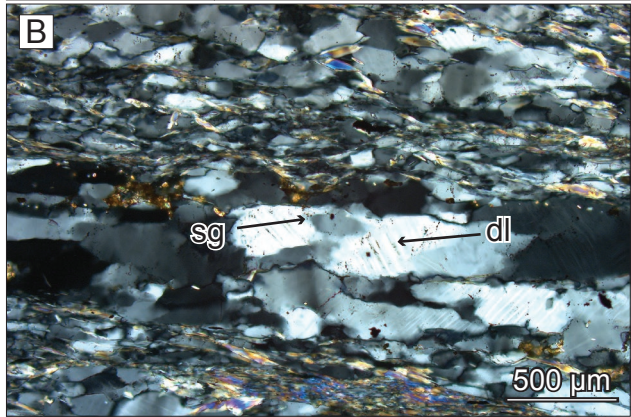
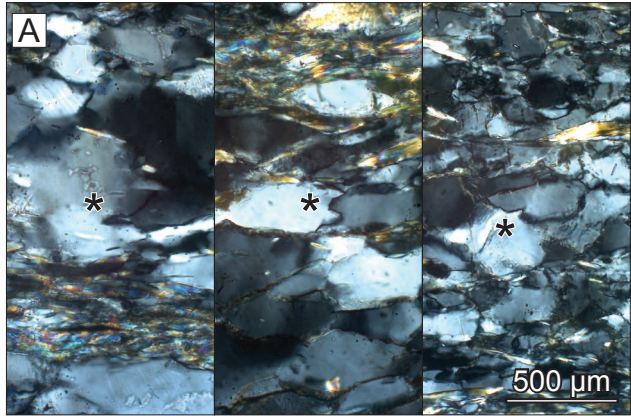
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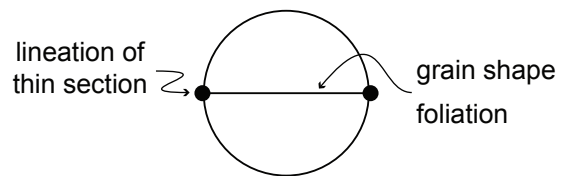
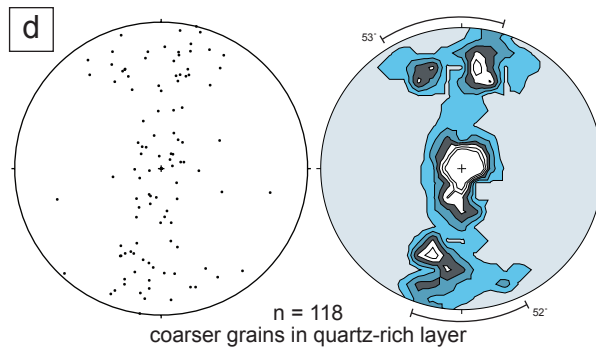
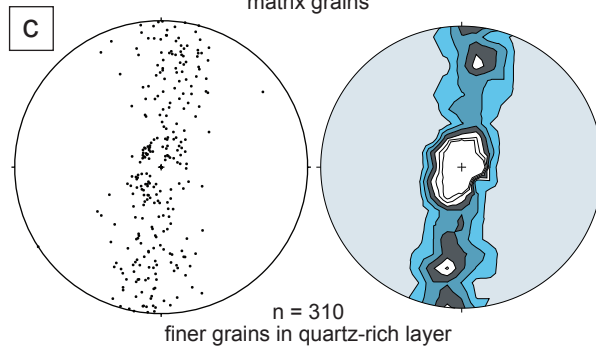
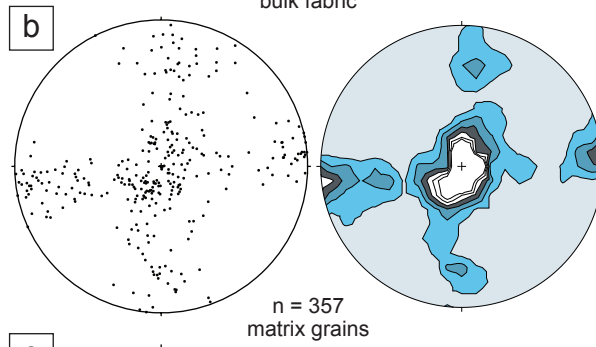
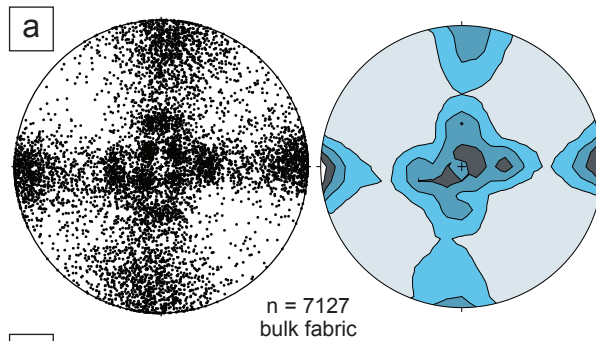
71° 30'

71° 45'









Thin section was cut along a line
equivalent to a lineation of
 $25^\circ \rightarrow 006^\circ$

