1	Microscale Strain Partitioning? Differential Quartz Crystallographic Fabric
2	Development in Phyllite, Hindu Kush, Northwestern Pakistan
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11	Abstract
12	Spatially referenced quartz <i>c</i> -axis fabrics demonstrate the preservation of multiple,
13	distinct fabrics in a specimen collected from northwestern Pakistan. The overall fabric yielded by
14	the specimen is dominated by a single population of quartz grains, while the fabric signatures of
15	two other unique, spatially distinct populations are overwhelmed. It is these minor fabrics,
16	however, that provide information on temperature of deformation (403 \pm 50 °C), differential
17	stress (8.6 + 2.6/-1.5 MPa to 15.0 +3.8/-2.5 MPa), strain rate (10^{-16} s ⁻¹ to 10^{-15} s ⁻¹), and strain
18	partitioning recorded by the specimen.
19	
20	1. Introduction
21	Crystallographic analysis has been long employed to study the strain histories recorded
22	by rock forming minerals (e.g. Turner, 1942; Sander, 1950; Bouchez and Pêcher, 1976; Zhang

and Karato, 1995). While investigation of crystallographic fabrics have been successfully carried

out on a wide variety of mineral phases, quartz has been one of the most common targets to

25 elucidate strain within continental crust due to its near ubiquity in such rocks. The development 26 of crystallographic fabrics in quartz has been actively investigated (e.g. Lister and Williams, 27 1979; Schmid and Casey, 1986) and utilized in studies of geologic material (e.g. Bouchez and 28 Pêcher, 1976; Blumenfeld et al., 1986; Law et al., 1990, 2004, 2011, 2013; Xypolias and 29 Koukouvelas, 2001; Larson and Cottle, 2014) during the past five decades. While advances in 30 our understanding and implications of the fabrics have advanced, so too have the methods 31 available to extract lattice orientation data. Universal stages are still employed to generate quartz 32 *c*-axis crystallographic fabrics (e.g. Kile, 2009), however, more technical methods such as x-ray 33 goniometry (e.g. Wenk, 1985) and electron backscattered diffraction (EBSD) (e.g. Prior et al., 34 1999) can potentially provide a higher density of information and orientation data for secondary 35 axes. In addition, techniques utilizing EBSD and automated optical fabric analysers (e.g. 36 Heilbronner and Pauli, 1993; Feuten and Goodchild, 2001; Wilson et al., 2003; 2007; Pajdzik and Glazer, 2006) have the advantage of producing spatially referenced data with the ability to 37 38 automatically generate achsenverteilungsanalyse (AVA) or axial distribution diagrams (e.g. 39 Sander, 1950). Such a diagram, essentially a map of crystallographic orientation within the 40 specimen analysed, can help facilitate the investigation and comparison of spatially distinct 41 grains, groups of grains, or zones within a specimen. Spatially referenced crystallographic 42 fabrics also allow for the investigation of strain recorded in grains of various sizes, the potential 43 effects of matrix phases, and the spatial positioning of grains adjacent to local features such as 44 porphyroclasts.

One significant application of spatially referenced crystallographic fabric data is to
examine within-specimen fabric orientation heterogeneities. This type of analysis has been
employed to distinguish between preferred orientations in new, recrystallized grains vs. relict

porphyroclasts (e.g. Law et al., 2010) and to identify variable dissolution in quartz veins (Wilson
et al., 2009). Such studies highlight the potentially significant differences in LPOs for distinct
grain populations and/or spatially separated areas of a single specimen.

51 This study presents new, spatially referenced crystallographic fabric data from a 52 specimen collected in the Chitral region of northwestern Pakistan. This specimen records three 53 distinct quartz crystallographic fabrics that can be related to differences in spatial position, 54 recrystallized grain size, and interaction with matrix phases in the specimen. The existence of different crystallographic fabrics that can be related to significant changes in the texture and/or 55 mineralogy of spatially restricted areas of a specimen may provide insight into strain partitioning 56 57 at the microstructural scale. Moreover, the existence of distinct crystallographic fabrics at the 58 thin section scale has implications for the representation of strain for a specimen using a single 59 fabric and potentially for assessing relative differences between spatially separated specimens. 60

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61 2. Geological Setting

62 The Chitral region is located within the eastern Hindu Kush of northwestern Pakistan 63 (Fig. 1). The geology of the area is dominated by Paleozoic protoliths, mainly low-grade metasedimentary rocks that locally reach sillimanite grade (Gaetani et al., 1996; Zanchi et al., 64 2000; Hildebrand et al., 2001; Zanchi and Gaetani, 2011; Faisal et al., 2014). These 65 66 metasedimentary rocks are intruded by a series of plutonic bodies that range in age from Paleozoic (Kafiristan - 483 ± 21 Ma; Debon et al., 1987), through Mesozoic (Tirich Mir: 114 to 67 121 Ma, Desio, 1964; Hildebrand et al., 2000; Heuberger et al., 2007), to Cenozoic (Garam 68 Chasma - 24 Ma; Hildebrand et al., 1998). The region records a protracted deformational history 69 70 with earliest records indicating Late Triassic deformation and metamorphism and recent events 71 culminating in the Early Miocene (Faisal et al., 2014).

72 Specimen S32, the subject of the present study, is part of a suite of quartz-rich specimens 73 collected in the Chitral region. It is a quartz + muscovite + chlorite phyllite (Fig. 2a, b) collected 74 between the Tirich Mir and Reshun faults (Fig. 1). The foliation in the specimen is defined by 75 planar muscovite and chlorite laths while the lineation is defined by a grain-shape fabric of the 76 same minerals. A thin section of specimen S32 was cut parallel to the macroscopic lineation 77 $(25^{\circ} \rightarrow 006^{\circ})$ and perpendicular to the foliation $(330^{\circ}/38^{\circ}NE)$. The specimen has a heterogeneous 78 mineral distribution with localized quartz-rich lenses (Fig. 2a, b) that have a bimodal grain size 79 distribution (Fig. 2d). The coarser grain population within the lens has a median area (as calculated for an ellipse using the long and short axes of each grain) in this section of 161 um² 80 81 with a standard deviation of 45 and an aspect ratio of 2.5 (standard deviation of 1.0). The smaller grain size population within the quartz-rich lens is characterized by a median area of 81 um² with 82 a standard deviation of 20 and an aspect ratio of 2.3 (standard deviation of 1.0). The long axes of 83 both grain-size populations are typically at low angles relative to the dominant foliation. The 84 85 quartz-rich lenses are surrounded by phyllosilicate-rich layers that contain quartz grains with a median elliptical equivalent surface area of 52 μ m² with a standard deviation of 13 and an aspect 86 87 ratio of 2.0 (standard deviation of 0.7). These grains are typically elongate parallel to the 88 foliation direction. The crystallographic fabrics of each quartz grain population are investigated below. 89

90

91 **3. Methods**

92 The specimen was oriented during collection and cut parallel to macroscopic lineation 93 and perpendicular to the macroscopic foliation. The orientations of *c*-axes within the specimen 94 were determined using a G50 Automated Fabric Analyser (e.g. Wilson and Peternell, 2011) with 95 an RGB filtered, colour CCD sensor and white LEDs at an optical resolution of 10 µm. Previous

96 research has shown that *c*-axis orientations determined using an automated fabric analyser like 97 the G50 are indistinguishable from those determined using X-ray (Wilson et al., 2007) and 98 EBSD methods (Peternell et al., 2010). The G50 outputs an interactive AVA diagram (Fig. 2c), 99 or *c*-axis map, of the thin section that was used to build crystallographic fabrics. Because each 100 pixel of the AVA diagram has unique *c*-axis orientation data associated with it, the 101 crystallographic fabrics of spatially distinct sections within the specimens can be investigated by 102 picking the exact locations within grains from which the orientation data are to be extracted. 103 The existence of three spatially and texturally distinct quartz grain-size populations 104 within the specimen allows the direct investigation of potential microscale quartz 105 crystallographic fabric and strain differences. Such investigations allow assessment of the sense 106 of shear recorded by the different populations and the slip systems active during fabric 107 formation. Moreover, the different grain-size populations lend themselves to paleopiezometric 108 investigation through the application of the Stipp and Tullis (2003) paleopiezometer as modified 109 by Holyoke and Kronenberg (2010). These paleopiezometric estimates, in turn, can be combined 110 with derived deformation temperatures to estimate strain rates. The results from this study have 111 bearing on microscale strain, stress, and strain rate partitioning during deformation and on the 112 potential homogenizing effects of dominant grain size populations in crystallographic fabric data, 113 which may obscure contributions from other smaller populations.

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- 115 4. Quartz Microstructures

In the equal area stereonets used to present the *c*-axis data the lineation lies horizontally across the equator while the foliation is a vertical plane cutting through the equator. The stereonets are oriented such that a dextral asymmetry indicates top-to-the east-southeast shear.

120 *4.1 Quartz Microstructures*

The quartz grains that comprise the finer and coarser populations within the quartz-rich
lens in the specimen demonstrate textural characteristics consistent with dynamic
recrystallization. In both populations there is evidence of minor bulging (Fig. 3a), subgrain
development (Fig. 3b, c), and deformation lamellae (Fig. 3b, c). These textures are most
consistent with Regime 2 crystallization of Hirth and Tullis (1992) or the SGR category of Stipp
et al. (2002).

In contrast, strong evidence for dynamic recrystallization was not observed in the quartz
grains found within the phyllitic matrix outside of the quartz-rich lens. Here, the grains are
commonly partially surrounded by muscovite and/or chlorite laths (Fig. 3d) and as such typically
have restricted contact with one another.

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132 *4.2 Quartz Crystallographic Fabric Results*

When examined in bulk (i.e. looking at fabric automatically generated from a nondiscriminant sampling grid) specimen S32 yields a crystallographic fabric consistent with activation of the basal <a>, prism <a>, and prism [c] slip systems (Schmid and Casey, 1986; Fig. 4a). There is a slight asymmetry in the basal <a> fabric that is consistent with top-to-the eastsoutheast shear. If the crystallographic fabrics of the three different sized quartz grain populations are examined individually, however, it becomes apparent that the overall, or bulk crystallographic fabric is dominated by the matrix quartz population.

140 The crystallographic fabric yielded from the matrix quartz bears a strong resemblance to 141 the bulk fabric (Fig. 4b). While showing similar activation of the prism <a> and prism [c] slip 142 systems, the matrix quartz *c*-axis fabric indicates preferred activation of the rhomb <a> slip 143 system over basal <a>. Moreover, in the hand-picked pattern there appears to be a stronger prism

<a> component and a more well-defined rhomb <a> asymmetry (top-to-the-east-southeast). The
prism [c] positions also appear to define an asymmetry, but it yields the opposite shear sense to
that indicated by the basal <a> fabric (Fig. 4b).

In contrast to both the bulk and the matrix grain-size population, the fabric yielded by the
finer size population within the quartz lens comprises a single girdle with activation of the prism
<a> and rhomb <a> slip systems (Fig. 4c). There is no indication of prism [c] activation. The
single girdle is inclined to the right, which is consistent with top-to-the-east-southeast shear.

The crystallographic fabric from the coarser grain-size population in the lens is similar to that from the finer-sized population; activation of the prism <a> and rhomb <a> slip systems dominates. Unlike the other intra-lens population, however, the fabric of the coarser-sized grains forms a type-1 crossed-girdle (Fig. 4d). The main fabric displays a top-to-the-right (or southeast) asymmetry, with weakly developed secondary arms extending away from the main girdle (Fig. 4d).

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158 4.3 Quartz Crystallographic Fabric Interpretation

With the exception of the prism [c] slip (discussed below) the fabric asymmetries noted in the various specimen populations are consistent with interpreted top-to-the-east/southeast movement across the nearby Tirich Mir and Reshun faults (Fig. 1; Calkins et al., 1981; Hildebrand et al. 2001).

The quartz crystallographic fabric from the smaller grain-size population in the specimen analysed indicates a component of prism [c] slip. Slip in the prism [c] direction is typically associated with deformation in excess of 600 - 650 °C (Lister and Dornsiepen, 1982; Mainprice et al., 1986; Morgan and Law, 2004). The rock sampled, however, is a low-metamorphic grade phyllite and has not experienced temperatures in the range of those expected to favour prism [c] 168 slip.

169 Similar unexpected patterns have been noted in low-metamorphic grade slates and 170 phyllites in New Zealand where they are interpreted to reflect mechanical rotation of grains 171 elongate in the *c*-axis direction parallel with the stretching direction (Stallard and Shelly, 1995). 172 Such an interpretation is consistent with the sparse evidence of dynamic recrystallization in the 173 matrix quartz. However, *c*-axis orientations consistent with slip in the rhomb and prism $\langle a \rangle$ 174 directions indicate that there was some dynamic modification of the crystal lattice in response to 175 deformation. As suggested by Stallard and Shelly (1995), physical rotation of the clasts may 176 have occurred preferentially in the matrix grains surrounded by phyllosilicate-rich layers, into 177 which strain was preferentially partitioned. The matrix quartz grains that occur in areas with less 178 abundant phyllosilicate may have accommodated more of the strain directly through dislocation 179 slip resulting in the development of the prism $\langle a \rangle$ and rhomb/basal $\langle a \rangle$ c-axis orientations 180 observed in the crystallographic fabric.

The development of quartz *c*-axis maxima parallel to the stretching lineation may alternatively be explained by preferential dissolution of quartz grains with their (0001) planes parallel to the foliation. The dissolution of such grains and reprecipitation and/or concentration of residual grains with *c*-axes parallel to the foliation have been interpreted to account for similar *c*-axis patterns in low-metamorphic grade rocks in southeastern Brazil (Hippertt, 1994).

The orientations of *c*-axes in grains that comprise the quartz-rich lens in the specimen appear to have been controlled by dynamic recrystallization (Fig. 3a-c) as part of their deformational response to imposed stresses. Because the quartz records evidence of dynamic recrystallization, the crystallographic fabrics measured from it are interpreted to reflect the modification of its crystal lattice orientation in response to deformation.

192 *4.4 Deformation Temperature, Grain-Size Piezometry, and Strain Rate Estimates*

193 The crystallographic fabric from the coarser grains in the quartz lens forms a weakly 194 developed crossed-girdle fabric (Fig. 4d). The opening angle of such fabrics, that is the angle 195 between the arms of the fabric as measured about the perpendicular to the flow plane, have been 196 empirically related to the estimated temperatures at which the fabrics developed (Kruhl, 1998; 197 Morgan and Law, 2004; Law, 2014). Converting a fabric opening angle into a temperature of 198 deformation requires a number of assumptions to be made, including temperature being the 199 primary control on critically resolved shear stress, as opposed to strain rate or hydrolytic 200 weakening. See Law (2014) for an in depth review of the considerations in using quartz 201 crystallographic fabric opening angles as geothermometers. In reflection of the uncertainty in the 202 data used for the empirical calibration and the precision of the opening angle determined, quartz 203 crystallographic fabric-derived deformation temperatures are quoted at \pm 50 °C (Kruhl, 1998). 204 The crossed girdle fabric in the specimen analysed has an opening angle of $\sim 53^{\circ}$ (Fig. 205 4d), which corresponds to a deformation temperature of $\sim 403 \pm 50$ °C. Although the c-axis 206 fabric is weakly developed that temperature estimate is consistent with the interpreted 207 metamorphic grade of the rock and with the observed microstructures dominated by subgrain 208 development with minor bulging. The transition from bulging to subgrain formation processes in 209 the eastern Tonale fault zone of the Italian Alps is associated with temperatures near 400 °C (Fig. 210 9 of Stipp et al. 2002). Similar textures from the Himalaya may occur at slightly higher 211 temperature, closer to 450 °C (Law, 2014). It should be noted, however, that, as with *c*-axis 212 opening angles, strain rate and hydrolytic weakening can also play an important role in the 213 development of quartz textures (e.g Law, 2014).

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Recrystallized grain-size piezometry as proposed by Stipp and Tullis (2003) and

215 recalibrated by Holyoke and Kronenburg (2010) may be used to estimate potential differences in 216 differential flow stresses recorded in different dynamically recrystallized grain-size populations. 217 Experimental calibration of the quartz grain-size piezometer applies to bulging recrystallization 218 mechanisms and extends to a maximum grain-size of ~50 µm (Stipp and Tullis, 2003; Stipp et 219 al., 2006). Stipp et al. (2010) suggest that the piezometer may be reasonably applied to grains 220 formed through subgrain rotation recrystallization, but would significantly underestimate those 221 developed during grain boundary migration recrystallization. Applying the quartz 222 recrystallization piezometer to the two dynamically recrystallized size populations in the quartz 223 rich lenses first requires assessment of potential secondary controls on grain size, such as pinning 224 by phyllosilicates. Detailed examination of coarser and finer grain size regions within the quartz 225 rich lens (see location of detailed area in Fig. 2D) demonstrates that while there may be a minor 226 increase in the amount of phyllosilicate associated with the finer grain size region it does not 227 control the size of the quartz grains (Fig. 5). If dynamic recrystallization is considered to be the 228 primary control on grain size in the quartz rich lens then calculations based on the Holyoke and 229 Kronenburg (2010) calibration of the Stipp and Tullis (2003) piezometer indicate differential 230 stresses of 8.6 +2.6/-1.5 MPa and 15.0 +3.8/-2.5 MPa for the coarser and finer quartz grain-size 231 populations respectively.

The differential stress estimates determined can be combined with deformation temperature and plotted atop a series of different geologically reasonable strain rates (Fig. 6). As pressure constraints have not been established for the specimen S32, or any relevant nearby locales, the fugacity used in both the Hirth et al., (2001) and Rutter and Brodie (2004) quartz flow law calibrations utilized was estimated using the derived deformation temperature, a thermal gradient of 25 °C/km, and an average crustal density of 2.85 g/cm³. The resulting

fugacity, 108 MPa, was calculated as in Pitzer and Sterner (1994). As noted in Law et al. (2013),
calculated strain rates are rather insensitive to changes in fugacity; using a thermal gradient of 40
°C/km in fugacity calculations does not result in a significant change in the strain rate estimates
for this study. Plotted differential stresses and deformation temperature indicates a faster strain
rate for the finer grains/higher differential stress (Fig. 6). The strain rate estimates vary
considerably between the two calibrations with only the Hirth et al. (2001) calibration providing
estimates that approach those geologically reasonable (Fig. 6).

245

246 5. Discussion

The size variation between the matrix and lens quartz grains in the specimen may reflect primary differences associated with the protolith. The finer sized quartz grains found within the phyllitic matrix are interpreted to represent smaller grains deposited within a silt/mud-dominated protolith, while the coarser quartz that occurs within the specimen is interpreted to represent a thin sand lens. Within the lens itself the two grain size populations may reflect further primary differences, secondary modification during deformation, or both. These possibilities are discussed below.

254 It is possible that the two grain size populations within the lens reflect different strain 255 histories. The quartz within the lens has been subject to dynamic recrystallization during which 256 there would have been potential for the grains to change size and shape. The grain size difference 257 within the lens may reflect development of the finer population where stress was preferentially 258 partitioned resulting in more intense grain size reduction, whereas the courser population, 259 affected by lower stresses, may reflect more limited grain size reduction. Such stress partitioning 260 is consistent with differential stress estimates made based on grain size piezometry that indicated 261 higher stresses associated with smaller grain sizes.

262 The two grain sizes may, alternatively (or additionally), reflect an initial difference in 263 grain size inherited from the sand lens when it was first deposited, perhaps with compounded by 264 incomplete recrystallization of the larger grains. The variation in grain size within the quartz-265 rich lens may represent a combination of both primary differences and secondary strain 266 partitioning. Finer grains within the quartz lens may have been preferred for initial strain 267 partitioning, which would have facilitated, and been enhanced by, further grain size reduction 268 and higher strain rates. Strain concentration within the finer grains in the quartz-rich lens is 269 consistent with the variation in crystallographic fabrics between the two size populations. The 270 coarser grain size fabric maintains secondary trailing arms (Fig 4d), whereas in the finer grain 271 size fabric those arms have been essentially obliterated (Fig. 4c). Migration towards a single 272 girdle fabric has been associated with increased critically resolved shear stress and shear strain 273 (Keller and Stipp, 2011) in quartz crystallographic fabric evolution models.

274

275 6. Conclusions

276 This study demonstrates the importance of spatial resolution and registration in 277 specimens analyzed for crystallographic fabric analyses. In this metapelite example, the bulk 278 crystallographic fabric overwhelmed two spatially restricted fabrics recorded in a quartz lens. 279 Yet it was the secondary, spatially distinct fabrics that yielded information on deformation 280 temperature, paleopiezometry, and strain rate. This has important implications for increasingly 281 common studies that examine large numbers of specimens utilizing automated methods; care 282 must be taken to investigate the spatial distribution of fabric symmetry within specimens as the 283 bulk pattern may average and mask important information. The spatially-controlled 284 crystallographic fabric patterns documented in this study may reflect the fundamental initial 285 properties of the specimen, be products of differential strain partitioning at the microscale, or

some combination of the two.

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288 7. Acknowledgements

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294 8. Fig. Captions

Fig. 1 - General geology map of the Garam Chasma/Chitral region, NW Pakistan (after Faisal et
al., 2014). Specimen collection location is indicated. Field area location is shown in regional
scale inset map.

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Fig. 2 - Thin section scale photomicrographs of specimen S32 presented in plane-polarized light
(a), cross-polarized light (b), and as an AVA diagram (c). The location of quartz grains analysed
is indicated by different coloured and shaded circles in c. White circles denote a coarser grain
within the quartz-rich lens; black circles indicate a finer grain within a quartz-rich lens; yellow
circles mark a matrix quartz grain measured. A more detailed cross-polarized photomicrograph
of the quartz-rich lens is shown in d; coarser and finer populations are marked.

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Fig. 3 - Quartz microtextures observed in thin section. All photomicrographs are cross-polarized
light. a) Three examples of minor bulging recrystallization. b) Subgrain development within the
quartz- rich lens. Also visible are deformation lamellae. c) Same location as in b) with the stage
rotated to further highlight subgrain formation. d) A matrix quartz grain (centre) encased by

310 phyllosilicates.

312	Fig. 4 - Quartz crystallographic fabrics from various quartz populations in the specimen. All
313	diagrams are lower hemispherical equal area stereonet projections contoured at 1% intervals.
314	Contours for a) are 1, 2, 3, 4 times uniform; for b) through d) they are 1, 2, 3, 4, 5, 6+ times
315	uniform. The stereonets are oriented such that the foliation forms a vertical plane while the
316	observed lineation (and orientation of thin section) follows a horizontal E-W line. a)
317	Combined/bulk crystallographic fabric generated from an 8000-point grid mapped across the
318	specimen. b) Quartz crystallographic fabric generated from manually selected matrix grains. c)
319	Crystallographic fabric of the finer sized quartz population within the quartz-rich lens. d)
320	Crystallographic fabric of the coarser sized quartz population within the quartz-rich lens.
321	
322	Fig. 5 – Cross-polarized (xpl) and plane polarized (ppl) photomicrographs of the quartz rich lens
323	analyzed in specimen S32. Location of photomicrographs is shown in Figure 2D. The coarser
324	and finer size portions are approximately the same thickness and quartz grains in both do not
325	appear to be significantly affected by pinning of phyllosilicate material.
326	
327	Fig. 6 - Strain rate estimates for the two size populations within the quartz rich lenses using the
328	flow laws of Hirth et al. (2001) and Rutter and Brodie (2004). Differential stress estimates are
329	from recrystallized grain-size piezometry while temperature estimates are from quartz
330	crystallographic fabric opening angles. See text for discussion.
331	
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