

1 **Responses of vertical soil moisture to rainfall pulses and**  
2 **land uses in a typical loess hilly area, China.**

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9  
10 **Abstract**

11 Soil moisture plays a key role in vegetation restoration and ecosystem stability in arid and  
12 semiarid regions. The response of soil moisture to rainfall pulses is an important hydrological  
13 process, which is strongly influenced by land use during the implementation of vegetation  
14 restoration. In this study, vertical soil moisture variations of woodland (*Pinus tabulaeformis*),  
15 native grassland (*Stipa bungeana*), shrubland (*Hippophea rhamnoides*), cropland (*Triticum*  
16 *aestivum*) and artificial grassland (*Onobrychis viciaefolia*) in five soil profiles were monitored  
17 in a typical loess hilly area during the 2010 growing season. The results demonstrated that  
18 rainfall pulses directly affected soil moisture variation. A multi-peak pattern of soil moisture  
19 appeared during the growing season, notably in the surface soil layer. Meanwhile, the  
20 response of each vegetation type to rainfall was inconsistent, and a time-lag effect before  
21 reaching the peak value was detected, following each heavy rainfall event. The response  
22 duration of soil moisture, however, varied markedly with the size of rainfall events.  
23 Furthermore, higher soil water content was detected in grassland and shrubland. Woodland  
24 was characterized by relatively lower soil moisture values throughout the investigation period.  
25 Our research suggests that vegetation restoration efforts should give priority to grassland and  
26 shrubland at the research site. We suggest that more studies should be focused on the

1 characteristics of community structure and spatial vegetation distribution on soil moisture  
2 dynamics, particularly within the grass and shrub ecosystems.

3

## 4 **1 Introduction**

5 Soil moisture is widely recognized as a key factor influencing the success of vegetation  
6 restoration and rehabilitation efforts in the semi-arid regions (Chen et al., 2007;  
7 Fernández-Gálvez et al., 2006; Legates et al., 2011; Porporato et al., 2002; Zhao et al., 2013).  
8 Consequently, it is imperative to survey the relationship among the water, soil and vegetation  
9 interactions, and to explore the synergy effect and feedback mechanisms of the responses of  
10 vegetation to precipitation (Cerdà, 1995; Ziadat and Taimeh, 2013; Santos, et al., 2013;  
11 Hewelke et al., 2014; Gao et al., 2014). It follows that soil moisture is the core element  
12 functioning as a “cohesive tie” between vegetation and precipitation.

13 The receipt of water through precipitation is one of the primary factors controlling vegetation  
14 dynamics and net primary production in a specific territory. Pulsed rainfall regimes, in turn,  
15 affect and control belowground processes via soil wet-dry cycles (Austin et al., 2004). In the  
16 semi-arid ecosystem, soil wet-dry cycles are influenced by various aspects, such as soil  
17 properties, land cover, micro-landforms and meteorological environments (Lozano-García et  
18 al., 2011; Legates et al., 2011; Yang et al., 2012). In addition, soil moisture dynamics are  
19 closely related to infiltration, evaporation, up take of water by roots and as a regulator  
20 controlling runoff between different organisms. Typically, soil moisture depends heavily on  
21 precipitation patterns (Koster et al., 2004; Weltzin and Tissue, 2003). In general, with regards  
22 to soil moisture responses to precipitation pulse, large precipitation event leads to significant  
23 soil moisture pulsation, whereas small rainfall events result in shallow water infiltration  
24 (Schwinning and Sala, 2004). Previous research revealed that soil water infiltrated  
25 continuously after surface soil was saturated when rainfall events exceeded 5.0 mm, which  
26 supplements the root layer effectively (Cerdà, 1997, 1999; Wei et al., 2008). Water balance  
27 and the hydrological cycle have always been the critical issues in vegetation restoration in  
28 water-controlled ecosystems. Therefore, effectively managing water resources and selecting

1 appropriate vegetation types under limited rainfall conditions are the main tasks of  
2 re-vegetation projects in such areas.

3 The Loess Plateau of China is situated in the upper and middle reaches of the Yellow River. It  
4 is a transitional zone between the humid monsoon climate in the southeast and the dry climate  
5 in the northwest (Shi and Shao, 2000). Severe water erosion in this region has led to  
6 widespread environmental degradation. In order to improve the local eco-environment and  
7 prevent soil and water loss, the “Grain-for-Green” project was carried out by the central  
8 government in 1999. Most of the sloping croplands were converted to artificial forests and  
9 shrublands, and some farmlands were allowed to go fallow as grasslands. Similar as other  
10 semi-arid ecosystems over the world, land use change can result in soil nutrient levels and  
11 property variation (Batjes, 2012; Qadir et al., 2013; Lozano-García and Parras-Alcántara,  
12 2013; Fernández-Romero, et al., 2014; Parras-Alcántara and Lozano-García, 2014), even  
13 caused significant responses in hydrological function (Bizoza, 2012; Opolot, 2014; Wei et al.,  
14 2007; Liu et al., 2012). Additionally, this area has a unique environment in terms of vegetation  
15 survival hilly and gully, with intensive and extensive soil erosion and little, but centralized  
16 precipitation. Fast growing trees and shrubs have been introduced extensively in this region.  
17 Due to water restriction, however, the plants initially grew well but degraded over time,  
18 inducing severe soil desiccation (Li, 2001). Small aged trees with heights of 3-5 m were  
19 widely distributed and led to low ecosystem productivity. It is necessary to select the suitable  
20 vegetation patterns in terms of soil water balance. Therefore, understanding the response of  
21 vegetation to soil moisture dynamics is essential for optimizing vegetation structures and  
22 achieving the long-term sustainability of ecosystem restoration.

23 Several studies have demonstrated the effects of vegetation restoration on hydrological  
24 processes in the Loess Plateau and other similar regions around the world (Chen et al., 2010;  
25 Fu et al., 2013; Qiu et al., 2001; Shangguan and Zheng, 2006; Yang et al., 2014). For example,  
26 Chen (2008a) concluded that water hardly reached soil below 200 cm on the Loess Plateau,  
27 both under natural and simulated rainfall conditions. Li (2001) explored how soil infiltration  
28 impacted by rainfall events could reach 100 cm to 300 cm, with an average of 200 cm. Liu et  
29 al. (2010) found that shrubs were more adapted to annual rainfall variation than grasses.

1 Nevertheless, it is difficult to make generalizations concerning rainfall pulses and soil  
2 moisture dynamics. For instance, a series of small rainfall events is not equal to the same  
3 amount of rainfall occurring as a single event, which may lead to greater infiltration and  
4 runoff (Schwinning and Sala, 2004). In a way, rainfall distribution coupled with land uses,  
5 determines variations of soil moisture for different vegetation types. In addition, many studies  
6 have focused on soil desiccation and investigated the excessive depletion of deep soil layers  
7 by artificial plants under long-term inadequate rainfall supply on the Loess Plateau (Chen et  
8 al., 2008b; Wang et al., 2004; Wang et al., 2011). However, an understanding of the deep  
9 mechanisms regarding the responses of soil moisture variation to rainfall pulses and land use  
10 remains incomplete. The major purpose of this paper, therefore, is to determine the response  
11 of soil moisture variations to rainfall pulses by in-situ consecutive monitoring of five typical  
12 vegetation types in the loess hilly area of China, including artificial grassland, cropland,  
13 shrubland, woodland and native grassland both during and after each rainfall pulse at the plot  
14 scale.

## 15 **2 Materials and methods**

### 16 **2.1 Study site**

17 The study was conducted in the Anjiapo catchment, at the Dingxi Institute of Soil and Water  
18 Conservation Experimental Station (35°33'-35°35'N, 104° 38'-104°41'E), which belongs to  
19 the Chinese Soil and Water Conservation Monitoring Network (Fig. 1). The study site  
20 represents a typical hilly region with gullies and elevations ranging from 1900 to 2240 m. The  
21 area of experimentation is located in a semi-arid temperate zone with an annual mean  
22 precipitation of 427mm (1958-2010), more than 80% of which falls from May to September.  
23 The mean annual temperature and, daily maximum and minimum temperatures are 6.3°C,  
24 34.3°C in July, and -27.1°C in January, respectively. The mean annual potential transpiration  
25 is 1510 mm. The mean length of the frost-free season is 141 d (Wei et al., 2014).

26 The soil at the study site is of the Calcic Cambisol group in the IUSS-ISRIC-FAO  
27 classification system (IUSS-ISRIC-FAO, 2006). It exhibits a unique texture composed of  
28 50% silt (0.01- 0.05 mm), 39% clay (< 0.01 mm) and 11% sand (> 0.05mm). The soil field

1 capacity and organic matter at the study site are 18-24% and 0.4-1.3%, respectively. The soil  
2 bulk density ranges from 1.09 to 1.36 g cm<sup>-3</sup> in the first 2 m of depth and the soil thickness  
3 varies from 40 m to 60 m in the same region (Chen et al., 2007; Wei et al., 2014).

4 With the implementation of the Grain-for-Green project, Chinese pine (*Pinus tabulaeformis*  
5 Carr.), oriental arborvitae (*Platycladus orientalis*), purple alfalfa (*Medicago sativa*), sea  
6 buckthorn (*Hippophae rhamnoides* L.) and littleleaf peashrub (*Caragana microphylla*) were  
7 widely planted. At present, land cover patterns in the study area include abandoned cropland,  
8 arable land, sloping cropland, native grassland, artificial grassland, shrubland and tree  
9 plantations. The main crops are millet (*Panicum miliaceum*), spring wheat (*Triticum aestivum*  
10 L.), potatoes (*Solanum tuberosum* L.), soybeans (*Vigna angularis*), sorghum (*Sorghum spp.*),  
11 and major grasses include *Stipa breviflora*, *Stipa bungeana* and *Thymis mongolicus*.

## 12 **2.2 Experimental design and field installation**

13 Twenty experimental plots were distributed on the hill slopes between 10° and 20° slopes, on  
14 which rain-fed crops (e.g. wheat, millet and potatoes) had been grown before the plots were  
15 constructed. Sample plots used for shrubland and pine woodland were 10 m × 10 m in size,  
16 and 10 m × 5 m in size, for the sloping cropland, artificial grassland and native grassland. The  
17 pine and sea buckthorn were planted in 1978, while the artificial grassland was planted when  
18 the plots were constructed in 1986. Cement ridges 30 cm above the ground were constructed  
19 at the plot borders, while an H-flume was used to measure the surface runoff at the outlet of  
20 each plot (Fig. S1). Four replications were investigated for each vegetation pattern.

21 Pine trees were planted in the woodland plots with a mean density of 3.0 m × 1.5 m. Little  
22 grass was scattered on the surface of the plots. The shrubland plot was dominated by sea  
23 buckthorn (*Hippophae rhamnoides*), with 1 m distance between rows. During the growing  
24 season, dense grasses and thick litter provided a closed cover. For the research, plant residues  
25 were kept in the plots. *S.bungeana*, a dominant native species was sown in the semi-natural  
26 grassland plots. In the cropland, *T. aestivum* was sown in April and harvested manually at the  
27 end of July or the beginning of August, and the plant residues were kept in the plots.  
28 Additionally, the artificial grassland plots were covered with Sainfoin (*Onobrychis viciaefolia*)

1 with a height of approximately 0.50 m, which was also harvested manually for livestock.  
2 A total of 10 soil moisture and temperature smart sensors were installed in every plot at 5  
3 depths below the ground. Both the soil moisture and temperature levels of 0-100 cm profiles  
4 were measured for every 20 cm of depth from May to September (the growing season) of  
5 2010. A pit of appropriate width was dug to allow the probes to be inserted into the soil  
6 profile of each plot. Then, the probes were inserted into the unaltered side of the pit and were  
7 fixed horizontally in the direction of the maximum slope of each plot. The pits were refilled  
8 carefully after the probes were inserted into the soil profile. During this process, it was  
9 necessary to avoid any perturbations to the greatest extent possible. The completion of the  
10 probe took place at the end of 2009, and the monitoring was not begun until the soil settled,  
11 approximately three months later. Before installed the sensors, soil bulk density of each depth  
12 for every plot was measured using the core method (stainless steel cylinders with a volume of  
13  $100\text{ cm}^3$ ) with 3 replications. The total porosity was calculated according the bulk density and  
14 specific weight of soil. Meanwhile, a part of collected soil samples were air dried and visible  
15 plant material was removed, sieved through a 2 mm and then a 1 mm screen for soil nutrients  
16 analysis. Soil organic matter (SOM) was determined using the  $\text{K}_2\text{CrO}_7$  titration method. Total  
17 nitrogen (TN) was determined by the semi-micro Kjeldahl method, total phosphorus (TP) was  
18 analyzed using colorimetry after wet digestion with  $\text{H}_2\text{SO}_4+\text{HClO}_4$ , available phosphorus  
19 was measured using colormetry after digestion with 3% ammonium carbonate (Liu et al.,  
20 1996). Alkali-hydrolyzable nitrogen (AN) was determined using the method described by the  
21 Cornfield (1960).

### 22 **2.3 Measurement sensor**

23 Soil moisture smart sensors with S-SMC-M005 probes were installed to measure the moisture  
24 of the soil profile. Meanwhile, 12-bit temperature smart sensors with S-TMB-M006 probes  
25 were prepared to monitor the soil profile temperature. Both the soil moisture and temperature  
26 smart sensors were designed to work with smart sensor-compatible HOBO data loggers  
27 (Decagon Devices Inc., Pullman, WA).The S-SMC-M005 soil moisture smart sensor is  
28 capable of providing reading outside the standard volumetric water content range with an

1 accuracy of  $\pm 1.0\%$ . All the data were recorded every 10 minutes using HOBO data loggers.  
 2 At the same time, each natural rainfall event was measured using a tipping-bucket gauge. The  
 3 meteorological parameters (solar radiation, air temperature and, wind velocity) were collected  
 4 as 10 minutes averages by an automatic weather station installed in the meteorological garden  
 5 approximately 1000 m away from the plot.

## 6 **2.4 Statistical analysis**

7 Since the experiments were carried out at the plot level, the environmental factors exhibited  
 8 homogeneous characteristics for different vegetation types. Therefore, the temporal dynamics  
 9 of soil moisture and its relationship with vegetation type were analyzed. The average soil  
 10 moisture of specific vegetation types and depths were calculated using equation 1, as follows  
 11 (Chen et al., 2007):

$$12 \quad S_{ij} = \frac{1}{4 \times n} \sum_{m=1}^4 \sum_{k=1}^n S_{ijmk} \quad 1)$$

13 Where  $S_{ij}$  is the volumetric soil water content ( $\text{cm}^3 \text{cm}^{-3}$ ) of  $i$ th vegetation pattern at  $j$ th depth;  
 14  $S_{ijmk}$  is the actual value of volumetric soil water content ( $\text{cm}^3 \text{cm}^{-3}$ ) measurement each time;  $n$   
 15 is the total number of volumetric soil water content monitoring from May to September in  
 16 2010 and number 4 is the replications.

17 At the same time, we assumed that the volumetric soil water content was measured with  
 18 probes precisely matching different layers in the soil profile (Wang et al., 2012). According to  
 19 the principle of soil water balance, the cumulative water loss can therefore be calculated by  
 20 equation 2, as follows:

$$21 \quad WL_i = (S_{bi} - S_{ei}) \times D \quad 2)$$

22 Where  $WL_i$  is the cumulative loss water (mm) of  $i$ th vegetation type;  $S_{bi}$  is the initial  
 23 volumetric soil water content ( $\text{cm}^3 \text{cm}^{-3}$ ) of  $i$ th vegetation type and  $S_{ei}$  is the volumetric soil  
 24 water content ( $\text{cm}^3 \text{cm}^{-3}$ ) of  $i$ th vegetation type at the end stage.  $D$  is the depth (mm) of the  
 25 measurement.

26 Data on soil moisture and soil temperature were analyzed to provide mean and standard

1 deviation (S.D.) for each variable measured at every depth in each plot. Analysis of variance  
2 was performed using the MIXED procedure in SAS, which computes Wald-type F-statistics  
3 using generalized least squares (GLSE) based on restricted maximum likelihood estimates of  
4 the variance components (Littll et al., 1996). In the case of significant differences in the  
5 Wald-F-statistic at  $P < 0.05$ , treatment means were compared using a LSD test. All statistical  
6 analyses were conducted using the SAS 9.2 software package (SAS, 2000).

### 7 **3 Results**

#### 8 **3.1 Soil properties**

9 Soil physicochemical properties varied among vegetation types (Table 1). Shrubland had the  
10 lowest BD values compared with other vegetation types. In the surface layer, although there  
11 showed non-significant difference of the BD value between shrubland ( $1.13 \text{ g cm}^{-3}$ ) and  
12 native grassland ( $1.15 \text{ g cm}^{-3}$ ), the BD value of shrubland exhibited significant lower than  
13 artificial grassland ( $1.16 \text{ g cm}^{-3}$ ), cropland ( $1.16 \text{ g cm}^{-3}$ ) and woodland ( $1.19 \text{ g cm}^{-3}$ ) ( $P < 0.05$ ).  
14 However, there explored non-significant difference between native grassland and artificial  
15 grassland. BD values were similar below a depth of 40 cm, and all were significantly higher  
16 than the surface layer in each plot ( $P < 0.05$ ). In general, shrubland has the lowest BD with  
17 highest total porosity. The level of BD values of the five vegetation types was in the  
18 following order: Shrubland < Native grassland < Cropland < Artificial grassland < Woodland.  
19 SOM, TN, AN, AP values from shrubland were statistically higher than those of other  
20 vegetation types. The values of SOM and TN of native grassland were higher than that of  
21 artificial grassland, while AN and AP values of native grassland were lower than that of  
22 artificial grassland. SOM of the woodland was lower than the native grassland but higher than  
23 artificial grassland. In short, the level of SOM of the five types was in the following order,  
24 Shrubland ( $19.31 \text{ g kg}^{-1}$ ) > native grassland ( $14.51 \text{ g kg}^{-1}$ ) > woodland ( $13.59 \text{ g kg}^{-1}$ ) >  
25 artificial grassland ( $8.31 \text{ g kg}^{-1}$ ) > cropland ( $7.83 \text{ g kg}^{-1}$ ).

#### 26 **3.2 Rainfall features in the study area**

27 As shown in Fig. 2, seventy-six rainfall events occurred during observation period (April 1 –

1 October 31) in 2010, with the cumulative rainfall reaching 322.6 mm. The total rainfall for the  
2 growing season (May 1 – September 30) was 292.8 mm, accounting for 90.1% of the  
3 observation period. The maximum and minimum daily rainfall was 27.8 mm and 0.2 mm,  
4 respectively. Daily rainfall greater than 25 mm occurred on four occasions: May 25 (25.8  
5 mm), June 29 (27.8 mm), July 16 (25.0 mm) and August 7 (27.8 mm), respectively,  
6 accounting for 32.9% of the total rainfall for the growing season. On six occasions, daily  
7 rainfall was greater than 10 mm, and eleven times it was between 10 mm and 5 mm. On 47,  
8 daily rainfall events less than 5 mm occurred during the growing season. Overall, the study  
9 area mainly experienced small rainfall events, with heavy rainfall events occurring less  
10 frequently. The total rainfall during the growing season, however, was strongly influenced by  
11 the heavy rainfall regimes. Therefore, the rainfall characteristics of the experimental site were  
12 typical pulse rainfall events of the semi-arid zone.

### 13 **3.2 Seasonal variation pattern and pulse of soil moisture**

14 The trends of soil moisture variation of the five vegetation types exhibited a similar seasonal  
15 variation during the growing season, particularly in the 0-20 cm soil layer (Fig. 2 and Fig. 3).  
16 Native grassland had the highest soil moisture (June 5) while the cropland had the lowest  
17 (August 2). In the top soil layer (0-20 cm), the seasonal changes in soil moisture were  
18 apparent, and each vegetation type had four peak values based on time under rainfall  
19 conditions. However, there was no consistency in the way each vegetation type to reached its  
20 peak value. Furthermore, three-peak, double-peak and single-peak phenomena were obviously  
21 present during the growing season (Fig. 2), particularly after heavy rainfall (>25mm) at 0-20  
22 cm. Additionally, a hysteresis effect was apparent following a heavy rainfall event when the  
23 peak value was reached. For instance, during the investigation period from May 25 to June 28,  
24 after the heavy rainfall pulse (May 25, 25.8 mm) occurred, different types of vegetation  
25 exhibited three peak value phenomena in the top soil layer, with the three peak times for the  
26 shrubland taking place on May 27, June 4 and June 10; for the crop land on May 27, June 1  
27 and June 8; the artificial grassland on May 29, June 3 and June 9; while the woodland on May  
28 26, June 2 and June 10, and the native grassland on May 26, June 1 and June 11, respectively.  
29 These times, therefore, represented the relatively wetter conditions of the soil. The degree of

1 the soil moisture variation dramatically decreased with increased soil depth.

2 As shown in Fig. 4, little rainfall occurred from July 5 to 14, July 20 to August 3, August 22  
3 to 28 and September 8 to 19. Meanwhile, no rainfall event happened from June 9 to 28.  
4 During these periods, soil volumetric water content declined continuously. However, the  
5 minimum mean value for each vegetation type occurred at different times in various  
6 decreasing stages. For instance, during the experimental period from June 9 to June 28, before  
7 the second heavy rainfall event happened during the growing season (June 29, 27.8 mm), no  
8 rainfall was recorded on these days. The minimum mean value took place on June 27 for the  
9 cropland ( $0.074 \text{ cm}^3 \text{ cm}^{-3}$ ), the woodland ( $0.079 \text{ cm}^3 \text{ cm}^{-3}$ ) and the shrubland ( $0.085 \text{ cm}^3 \text{ cm}^{-3}$ )  
10 on June 29, and the native grassland ( $0.090 \text{ cm}^3 \text{ cm}^{-3}$ ) on June 28th. In contrast, the minimum  
11 mean value for the artificial grassland ( $0.089 \text{ cm}^3 \text{ cm}^{-3}$ ) appeared on June 30, after the second  
12 heavy rainfall event. These days represented the time at which the drier soil conditions  
13 occurred for the various vegetation types. In general, for all of the rainfall regimes during the  
14 investigated growing season, largely similar curves with obviously different trends in soil  
15 moisture variation were clearly exhibited among the different vegetation types. There was no  
16 doubt that the variability in soil moisture depended heavily on precipitation.

### 17 **3.4 Soil moisture variation of different types of vegetation**

18 The mean volumetric water contents of the 0-100 cm soil profile were shown in Table 2. Soil  
19 moisture variation was different at different soil depths. There was no significant difference  
20 between all types of vegetation at the depth of 60-80 and 80-100 cm during the growing  
21 season. For the cropland and shrubland, the volumetric water contents exhibited significant  
22 differences at different depths in different months ( $P < 0.05$ ). For the other 3 vegetation types,  
23 in contrast, no significant differences were observed during the entire observation period  
24 ( $P > 0.05$ ). Taking cropland as an example, in May, the volumetric water content at the 10-20  
25 cm and 20-40 cm levels were significantly greater than at 80-100 cm ( $P < 0.05$ ), while there  
26 was no significant difference among the 0-20 cm, 20-40 cm and 40-60 cm levels ( $P > 0.05$ ).  
27 Although the volumetric water content in the 20-40 cm level was significant higher than the  
28 80-100 cm level ( $P < 0.05$ ), no significant difference was observed among the 20-40 cm, 40-60

1 cm and 60-80 cm levels ( $P>0.05$ ). The significant difference of the cropland in May was  
2 consistent with June. In July, August and September, the volumetric water content in the  
3 10-20 cm level was significantly greater than the 60-80 cm and 80-100 cm levels ( $P<0.05$ ),  
4 whereas no significant difference exhibited between 0-60 cm and 20-100 cm ( $P>0.05$ ). On the  
5 whole, the value of volumetric water content was higher in the grassland and the shrubland  
6 sites, whereas the woodland showed lower soil moisture values.

7 The average soil temperature showed a similar regime among different cover patterns (Fig. 5).  
8 The lowest soil temperature was recorded in the shrubland (May 18) while the highest soil  
9 temperature was recorded in the cropland (July 31). There was a significant linear correlation  
10 between the soil temperature and the atmospheric temperature for each vegetation type during  
11 the investigation period ( $P<0.01$ ). Clearly, shrubland and woodland have lower soil  
12 temperatures than the other three vegetation types. The mean minimum daily soil temperature  
13 of each vegetation type appeared in May, and it did not decrease gradually until the end of  
14 August. The mean daily maximum soil temperature was at the end of July or the beginning of  
15 August. Although the soil temperature gradually declined in September, the monthly average  
16 soil temperature of each vegetation type in September was still higher than in May.

### 17 **3.5 Soil moisture decreases**

18 In light of the responses of soil moisture variation to the rainfall pulse, stepwise regression  
19 was used to analyze the soil volumetric water content profile distributions from June 9 to 28,  
20 July 5 to 14 and from July 20 to August 3 (Fig. 6). At the same time, three typical decreasing  
21 periods in these 3 stages were selected to depict the differences in the water loss rate of  
22 different vegetation types. The slope of regression equation between soil depth and soil  
23 moisture indicated that the characteristics of differences in soil volumetric water content were  
24 along the soil profile. Regression analysis showed that different vegetation types responded  
25 differently to the rainfall events at different periods. For example, from June 9 to 28, the slope  
26 was smallest in the woodland and greatest in the shrubland. Artificial grassland, native  
27 grassland and cropland showed an intermediate level, successively. From July 5 to 14, the  
28 slope was also greatest in shrubland, followed by native grassland, woodland and cropland,

1 respectively. Artificial grassland had the smallest slope. From July 20 to August 3, the slope  
2 was smallest in artificial grassland, while greatest in woodland and shrubland. This result  
3 indicated that the soil volumetric water content of woodland and shrubland changed  
4 dramatically with the increase in soil depth, and the comparison of soil volumetric water  
5 content profile distributions revealed that it was easier for water to travel vertically in this  
6 stage. Generally speaking, the slope was smaller in cropland and grassland, while shrubland  
7 and woodland showed a higher slope, relatively.

8 Different vegetation types exhibit different daily and cumulative water loss at different  
9 periods (Fig. 7). From June 11 to 27, the beginning of the average soil volumetric moisture  
10 content was  $0.10 \text{ cm}^3\text{cm}^{-3}$ . The native grassland missed the maximum of water, nearly 23.5  
11 mm in half a month. The daily water loss trend was similar to an inverted “V”, whereas the  
12 woodland lost the minimum of water, only 2.3 mm. In addition, the daily variation of soil  
13 moisture was lower and more stable for the woodland than for the other vegetation types,  
14 ranging from 0.1 to 0.4 mm. However, the daily soil moisture of the cropland showed the  
15 most variability, with a range of variation from 0.89 mm to 3.0mm. The cumulative soil water  
16 loss of cropland was 20.8 mm, just behind the native grassland. Finally, the artificial  
17 grassland and the shrubland exhibited a medium level of loss, with cumulative water loss of  
18 16.2 mm and 15.5 mm, respectively. In the meantime, the daily soil water loss of the artificial  
19 grassland showed an increasing trend.

20 During another two investigated periods (from July 6 to 16 and from July 20 to August 1),  
21 there was a corresponding change of soil water loss for different vegetation types. From July  
22 6 to 16, the cumulative soil water loss of the artificial grassland was lowest (2.9 mm), while it  
23 was highest for the native grassland and cropland (10.4 mm). The soil water loss in shrubland  
24 was slightly lower than in cropland and the native grassland. The cumulative soil water loss of  
25 woodland was lower than the shrubland and higher than the artificial grassland. The daily soil  
26 water loss trend of woodland was similar but slightly higher than for the artificial grassland.  
27 Meanwhile, cropland and native grassland presented the most variability, ranging from 0.4  
28 mm to 1.5mm and from 0.5 mm to 1.6 mm, respectively. However, from July 20 to August 1,  
29 the cumulative water loss of the artificial grassland was the highest (14.6 mm) and the

1 woodland was the lowest (4.1 mm). The cropland, shrubland and native grassland presented  
2 an intermediate level, with average daily losses of 0.85, 0.96 and 1.01 mm, respectively. To  
3 summarize, the daily soil water loss trends of shrubland and woodland were more stable than  
4 other types during the observation periods, although the cumulative water loss of woodland  
5 was relatively lower than in the other types. The daily soil water loss of cropland and native  
6 grassland showed more dramatic changes than the other types of vegetation, whereas the  
7 cumulative water loss of artificial grassland exhibited strong instability compared with other  
8 vegetation types.

## 9 **4. Discussion**

### 10 **4.1 Effects of rainfall features on soil moisture variation**

11 The hydrological response of semi-arid ecosystems is mainly controlled by rainfall regimes.  
12 In a word, soil moisture was recharged and regulated by precipitation. In our study, an  
13 increasing trend in soil moisture appeared after heavy rainfall events, but there were buffer  
14 effects following rainfall events based on the groundcover. The results are consistent with the  
15 values of Fu et al. (2003), who also conducted studies in a semi-arid region of the Loess  
16 Plateau. In addition, in semi-arid ecosystems, small rainfall events that cause surface wetness  
17 are more frequent than large events that lead to deeper infiltration (Schwinning and Sala,  
18 2004). The rainfall amount and the number of days between rainfall pulses clearly influenced  
19 the soil moisture variation, when events occur in close succession to one another (Loik et al.,  
20 2004). The results of the different peak value time of five vegetation types could be explained  
21 by the differences in the number of days between rainfall and soil physical properties. Close  
22 succession of the rainfall events provided an additive effect. Soil moisture depended strongly  
23 on precipitation. Soil moisture variation was similar to the rainfall patterns. For the “impulse  
24 type”, both of the soil moisture variation and the rainfall regimes are the direct manifestation,  
25 particularly in the top soil layer. However, the peak value time at the 20-40 cm and 40-60 cm  
26 levels exhibited temporal differences compared with the 60-80 cm and 80-100 cm levels. The  
27 soil moisture trend began to flatten with increased soil depth. The result indicated that soil  
28 water infiltration was different among different vegetation types. In most cases, low bulk

1 density and high porosity can cause higher infiltration rates, which result in a relatively higher  
2 soil moisture content. Meanwhile, it also revealed that different vegetation types have diverse  
3 water use strategies in different periods, based on the different types of response to rainfall  
4 pulses. Finally, the characteristic of soil moisture variation suggested that the replenishment  
5 of precipitation for the deep layer was limited.

6 Usually, the root abundance of shrubs and grasses are greater than crops (Jackson et al., 1996),  
7 and root decomposition processes change the soil structure and increase rainfall infiltration  
8 due to, the channels left after the decomposition. Therefore, the results showed that grassland  
9 exhibits marked trends of soil moisture variation in the deep soil layer, whereas cropland,  
10 shrubland and woodland exhibited more stable trends. Five vegetation types showed similar  
11 seasonal moisture variation but there were differences at certain stages. Particularly, soil  
12 moisture variation of shrubland and cropland showed significant changes at different soil  
13 depths. The fact that all land use types existed at different water depletion layers is likely  
14 related to the diverse root distributions along soil profiles. Moreover, although some water  
15 recharge occurred during the growing season, the soil moisture level at the end of September  
16 was obviously lower than at the beginning of early May, with the exception of woodland. Soil  
17 moisture of woodland at the end of September was close to in early May, indicating that the  
18 rainfall pulse had affected less on the soil moisture of the woodland plot. This is partly due to  
19 the interception, which would discuss in the next section. Overall, a strong trend of soil water  
20 recharge was observed from June to August, whereas only a slight one was observed in  
21 September.

## 22 **4.2 Soil water decreases**

23 Soil moisture is depleted over days and weeks primarily by plant uptake, transpiration and  
24 soil evaporation. Soil evaporation takes place at the shallow layer with lower root density and  
25 varies temporally based on available energy, as reflected in soil temperature values, which  
26 themselves lag behind solar radiation inputs (Loik et al., 2004). Therefore, temperature can be  
27 considered as one of the key factors leading to soil water loss under high soil moisture content  
28 conditions. In our research, artificial grassland, native grassland and cropland exhibited

1 relatively higher soil temperatures, corresponding to greater water loss. However, water loss  
2 of artificial grassland showed different trend at different periods. From July 5 to 15, the  
3 smallest regression slope of soil moisture and depth curve were found in artificial grassland  
4 compared to other types. This revealed that water more easily travelled vertically in artificial  
5 grassland. The amount of infiltration in this process was greater than water loss. At the same  
6 time, a relatively higher slope of cropland and native grassland was apparent during the  
7 typical decreasing moisture periods, indicating strong interaction between plant uptake and  
8 soil moisture as depth increased.

9 On the other hand, for the native grassland and cropland, poor cover types also led to greater  
10 daily water losses via direct evaporation at shallow depth. Soil evaporation varies temporally  
11 mainly due to available energy. Hence, the daily water loss of cropland and native grassland  
12 showed more dramatic changes during the investigated stages. Furthermore, the temperature  
13 at the woodland plot was slightly higher than that of the shrubland. This result is consistent  
14 with the conclusion of Wang et al. (2012). However, the amount of water loss was smaller  
15 compared with the shrubland, cropland and native grassland, resulted from the interception  
16 during the water budget. Crown interception of woodland, clearly, is the first process that  
17 diminishes precipitation input to the soil. The foliage has a holding capacity and can intercept  
18 all water from small rainfall events, but only a small portion of the water from large rainfall  
19 events (Loik et al., 2004; Waring and Running, 2010). During the investigated period, small  
20 rainfall events occurred more frequently than heavy rainfall events. The crown interception  
21 changed the way and amount in which rainfall came into the soil. Therefore, the smaller the  
22 amount of rainfall that infiltrated the woodland, the lower the water loss caused. Throughout  
23 the growing season, soil moisture at the end of September was close to that of early May. For  
24 the shrubland, the slope of regression equation between soil moisture and depth was the  
25 highest. It could be judged that intense interaction occurred between soil moisture and depth,  
26 due to the strong root system of the shrub.

### 27 **4.3 Inspirations for vegetation restoration**

28 Severe scarcity of water resources and land use changes led to the degradation of ecosystem

1 functioning in the semi-arid areas (Cerdà, 1999; Cerdà and Doerr, 2005, 2007; Dickie and  
2 Parsons, 2012), also linked to the Loess Plateau of China. Although comprehensive soil and  
3 water loss control has achieved some degree of success, it dire challenges remain to be  
4 confronted.

5 As some researchers have previously suggested, different mosaic patterns should be  
6 implemented in different area of the Loess Plateau (Fu et al., 2013; She et al., 2010). With the  
7 implementation of the Grain-for-Green project, most sloping croplands were converted to  
8 woodland, shrubland and grassland. The most critical experience factor in remedying soil and  
9 water erosion is to make the rainfall infiltrate locally. However, due to the crown interception,  
10 the amount of rainfall infiltrating woodland is lower than the amount infiltrating shrubland,  
11 artificial grassland and native grassland. Therefore, trees were not suitable for large area  
12 vegetation restoration. According to Jiang et al. (2013) in terms of pollen records, herbs rather  
13 than 11 trees and shrubs should be used for the vegetation restoration programs, but it was  
14 inappropriate to select simple vegetation types during the vegetation restoration on a large  
15 scale. On the other hand, because of the efficient impediment effects and high survival rate,  
16 shrubland was widely adopted in the semi-arid areas, considering the fact that native  
17 grassland and artificial grassland can retain more soil moisture. Consequently, our research  
18 advocates that a well-matched mosaic vegetation pattern of planting shrub and grass would be  
19 appropriate in the study area. Overall, it is imperative that we take drastic measures in the  
20 future to research the mechanisms surrounding the influence of community structure  
21 characteristics and spatial distribution patterns on soil moisture dynamics, both of the  
22 grassland and the shrubland ecosystem of the Loess Plateau.

## 23 **5 Conclusions**

24 The responses of vertical soil moisture variation to rainfall pulses and land uses were studied  
25 in a typical loess hilly area of the Loess Plateau in the 2010 growing season. At the study site,  
26 the only source of water is precipitation. Redistribution of precipitation by different  
27 vegetation types probably causes the variability of soil moisture under different rainfall pulses.  
28 Soil moisture in the surface layer was significantly affected by precipitation. For the five  
29 vegetation types, peak value time of soil moisture appeared after rainfall pulse with a buffer

1 effect and the trend of the soil moisture began to flatten with increased soil depth. The result  
2 showed that soil water infiltration was different among the different vegetation types, which  
3 indicates that different vegetation may have different water use strategies in different periods.  
4 BD values exhibited significant differences among the different soil depths, particularly in the  
5 first layer of 0-20 cm. Soil nutrient contents in the surface layer also showed significant  
6 higher than the subsoil layer. Compared with other vegetation types, shrubland has the lowest  
7 BD and highest SOM. Meanwhile, the characteristic of soil moisture variation suggested that  
8 the replenishment of precipitation for the deep layer was limited. During the investigation  
9 period, under the influence of interception, smaller amounts of rainfall infiltration occurred  
10 under the woodland with lower water loss caused. Shrubland and grassland, however, showed  
11 higher soil moisture content. At the same time, the interaction between soil moisture and  
12 vegetation has vital implications to optimize vegetation structure and landscape functioning.  
13 Our research suggests that vegetation restoration should give priority to shrubland and  
14 grassland (both of the artificial and native grassland) in the study region. Hence, further  
15 studies should mainly attempt to elucidate the influence of community structure  
16 characteristics and spatial distribution patterns on soil moisture dynamics, particularly the  
17 effects of fractal features on soil moisture variation, involving both grassland and shrubland  
18 ecosystems.

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1 Table 1 Soil properties of five vegetation types

Vegetation Type	Depth (cm)	SOM (g kg <sup>-1</sup> )	TN (g kg <sup>-1</sup> )	TP (g kg <sup>-1</sup> )	AN (mg kg <sup>-1</sup> )	AP (mg kg <sup>-1</sup> )	BD (g cm <sup>-3</sup> )	Porosity (%)
Artificial grassland	0-20	10.48±0.24Ac	1.04±0.02Ac	0.81±0.10Aa	56.21±2.30Ab	6.56±0.01Abc	1.16±0.01Ab	55.75±0.41Ab
	20-40	8.09±0.08Bc	0.83±0.04Bd	0.67±0.06Ba	46.63±2.05Ba	5.42±0.17Bc	1.26±0.02Bb	52.37±0.60Bb
	40-60	7.83±0.11Cc	0.71±0.02Cc	0.64±0.03Ba	35.79±0.85Ca	4.77±0.11Cc	1.26±0.01Bb	52.54±0.43Bb
	60-80	7.62±0.04Dc	0.63±0.03Dc	0.65±0.02Ba	31.59±2.16Da	4.75±0.06Ca	1.25±0.01Ba	52.20±0.42Ba
	80-100	7.61±0.03Dc	0.60±0.02Dc	0.58±0.02Ba	30.11±0.55Da	4.61±0.16Ca	1.27±0.01Ba	52.79±0.041Ba
Cropland	0-20	9.27±0.02Ac	0.87±0.04Ac	0.66±0.01Ab	44.05±2.50Ac	8.28±0.05Ac	1.16±0.01Ab	55.84±0.42Ab
	20-40	8.03±0.32Bc	0.75±0.02Bc	0.67±0.02Aa	35.34±0.92Bc	8.24±0.04Ab	1.23±0.01Bab	53.11±0.32Bab
	40-60	7.41±0.07Cc	0.63±0.03Cc	0.60±0.03Bb	31.37±0.46Cbc	8.16±0.08Ab	1.26±0.01Cb	52.46±0.31Cb
	60-80	7.22±0.17Cc	0.60±0.04Cc	0.60±0.01Bb	30.71±0.72Ca	7.96±0.07Bb	1.26±0.01Ca	52.45±0.41Ca
	80-100	7.21±0.09Cc	0.59±0.02Cc	0.61±0.05Ba	29.01±0.08Ca	7.62±0.19Ca	1.26±0.01Ca	52.37±0.38Ca
Shrubland	0-20	25.34±0.86Aa	1.66±0.03Aa	0.74±0.04Aab	70.09±3.59Aa	12.01±0.06Aa	1.13±0.02Aa	57.08±1.14Aa
	20-40	20.65±0.55Ba	1.35±0.04Ba	0.67±0.02Aa	49.89±3.69Ba	9.78±0.08Ba	1.20±0.01Ba	53.86±0.43Ba
	40-60	20.14±0.93Ba	1.28±0.02Ba	0.63±0.01Bab	32.12±2.49Cb	9.17±0.14Ca	1.23±0.01Ca	52.70±0.47Ca
	60-80	19.65±0.35Ba	1.24±0.04Ba	0.60±0.10BCb	23.69±0.23Db	9.16±0.06Ca	1.24±0.01Ca	52.46±0.42Ca
	80-100	10.76±0.16Ca	1.36±0.11Ba	0.58±0.02Ca	21.81±1.70Db	9.06±0.03Ca	1.25±0.01Ca	52.37±0.38Ca
Woodland	0-20	18.31±0.06Ab	1.23±0.03Ab	1.14±0.07Ab	39.42±0.13Ac	6.01±0.10Ac	1.19±0.02Ab	54.68±0.50Aab
	20-40	14.37±0.35Bb	1.14±0.03Bb	0.84±0.09Ba	17.25±0.25Bb	5.06±0.49Bd	1.24±0.01Bb	52.46±0.42Bb
	40-60	12.26±0.07Cb	1.15±0.03Bb	0.76±0.02BCab	15.29±0.26Cbc	4.70±0.11Cd	1.26±0.01Cb	51.30±0.41Cb
	60-80	11.69±0.33Db	1.07±0.04Cb	0.75±0.03BCb	11.26±0.22Db	4.62±0.04Cc	1.30±0.01Ca	50.55±0.43Ca
	80-100	11.34±0.14Dab	1.06±0.01Cb	0.53±0.01Ca	10.62±0.27Db	4.56±0.02Ca	1.28±0.01Ca	51.63±0.32Ca
Native grassland	0-20	21.89±1.30Ab	1.22±0.05Ab	0.67±0.03Ab	45.93±1.12Ac	4.65±0.03Ac	1.15±0.02Aab	56.08±0.56Aab
	20-40	16.61±0.35Bb	1.06±0.02Bb	0.64±0.01Ba	39.38±1.60Bb	4.37±0.02Bd	1.24±0.02Bb	52.78±0.55Bb
	40-60	12.23±0.06Cb	1.03±0.12BCb	0.63±0.02BCab	28.93±2.15Cc	4.01±0.03BCd	1.25±0.01Bb	52.86±0.42Bb
	60-80	11.40±0.51Cdb	1.04±0.04BCb	0.62±0.02BCb	22.59±1.24Db	3.92±0.08Cc	1.25±0.01Ba	52.70±0.47Ba
	80-100	10.45±0.25Db	1.04±0.01Cb	0.59±0.05Ca	23.94±0.48Db	3.91±0.07Ca	1.25±0.01Ba	52.69±0.42Ba

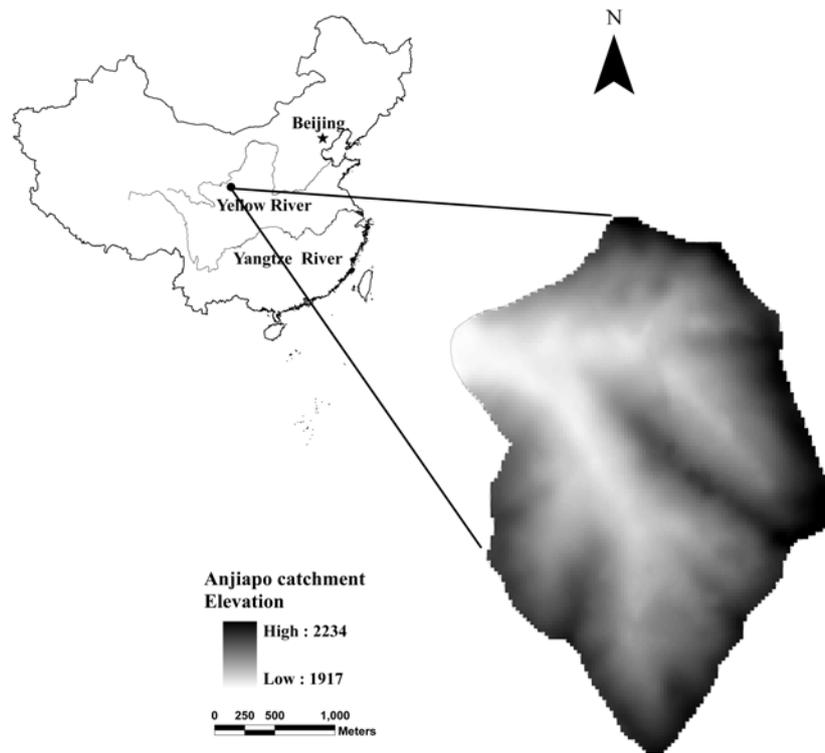
- 1 Data in the figure were mean and standard deviation (S.D.). Different uppercase letters indicate significances in different soil depth, different
- 2 lowercase letters indicate significant differences in different vegetation types ( $P < 0.05$ ).
- 3 Abbreviations: soil organic matter (SOM), total nitrogen (TN), total phosphorous (TP), alkali-hydrolyzable nitrogen (AN), available
- 4 phosphorus (AP), bulk density (BD)

1 Table 2. Soil moisture variation of five vegetation types during the growing season.

Months	Depth/cm	Volumetric water content (cm <sup>3</sup> cm <sup>-3</sup> )				
		Artificial grassland	Cropland	Shrubland	Woodland	Native grassland
May	0-20	0.12±0.02 Aa	0.12±0.01 Aa	0.12±0.05 Aa	0.08±0.04 Aa	0.11±0.03 Aa
	20-40	0.11±0.02 Aa	0.10±0.02ABa	0.10±0.04ABa	0.08±0.03 Aa	0.12±0.04 Aa
	40-60	0.09±0.03 Aa	0.09±0.02ABCa	0.07±0.02 ABa	0.07±0.04 Aa	0.10±0.04 Aa
	60-80	0.08±0.03 Aa	0.07±0.03BCa	0.06±0.02 ABa	0.06±0.02 Aa	0.08±0.04 Aa
	80-100	0.07±0.03 Aa	0.05±0.02Ca	0.06±0.03Ba	0.06±0.03 Aa	0.06±0.03 Aa
	0-100	0.09±0.03a	0.08±0.03a	0.08±0.04a	0.07±0.03a	0.10±0.04a
June	0-20	0.13±0.02 Aa	0.11±0.01 Aa	0.14±0.03 Aa	0.11±0.04 Aa	0.12±0.03 Aa
	20-40	0.12±0.02 Aa	0.10±0.02 ABa	0.13±0.02 Aa	0.09±0.03 Aa	0.14±0.04 Aa
	40-60	0.10±0.03 Aa	0.09±0.01 ABCa	0.09±0.03Aba	0.07±0.04 Aa	0.10±0.02 Aa
	60-80	0.09±0.03 Aa	0.07±0.02 BCa	0.06±0.02Ba	0.06±0.02 Aa	0.09±0.04 Aa
	80-100	0.07±0.03 Aa	0.06±0.02 Ca	0.06±0.02Ba	0.06±0.02 Aa	0.07±0.02 Aa
	0-100	0.10±0.03a	0.08±0.02a	0.09±0.03a	0.08±0.03a	0.10±0.04a
July	0-20	0.10±0.02 Aa	0.10±0.01Aa	0.12±0.03Aa	0.11±0.04 Aa	0.12±0.03 Aa
	20-40	0.10±0.02 Aa	0.08±0.01ABa	0.10±0.02ABa	0.10±0.03 Aa	0.12±0.04 Aa
	40-60	0.09±0.03 Aa	0.08±0.01ABa	0.09±0.02ABa	0.08±0.04 Aa	0.10±0.02 Aa
	60-80	0.09±0.04 Aa	0.07±0.01Ba	0.07±0.02Ba	0.06±0.02 Aa	0.09±0.03 Aa
	80-100	0.08±0.03 Aa	0.06±0.02Ba	0.06±0.02Ba	0.07±0.02 Aa	0.07±0.04 Aa
	0-100	0.09±0.02a	0.08±0.01a	0.09±0.03a	0.09±0.03a	0.10±0.04a
August	0-20	0.08±0.01 Aa	0.13±0.05Aa	0.10±0.04Aa	0.09±0.02 Aa	0.10±0.04 Aa
	20-40	0.08±0.01 Aa	0.09±0.02ABa	0.09±0.03Aa	0.09±0.01 Aa	0.10±0.04 Aa
	40-60	0.07±0.02 Aa	0.08±0.01ABa	0.08±0.02Aa	0.07±0.03 Aa	0.11±0.04 Aa
	60-80	0.08±0.03 Aa	0.07±0.01Ba	0.07±0.02Aa	0.06±0.02 Aa	0.09±0.03 Aa
	80-100	0.07±0.03 Aa	0.06±0.02Ba	0.06±0.02Aa	0.07±0.02 Aa	0.07±0.04 Aa
	0-100	0.07±0.02a	0.09±0.03a	0.08±0.02a	0.08±0.02a	0.09±0.03a
September	0-20	0.07±0.01 Aa	0.09±0.02Aa	0.08±0.03Aa	0.08±0.01 Aa	0.09±0.03 Aa
	20-40	0.07±0.01 Aa	0.08±0.02ABa	0.08±0.02Aa	0.08±0.01 Aa	0.10±0.04 Aa
	40-60	0.07±0.01 Aa	0.08±0.01ABa	0.08±0.02Aa	0.07±0.02 Aa	0.09±0.03 Aa
	60-80	0.08±0.02 Aa	0.07±0.01ABa	0.06±0.02Aa	0.06±0.02 Aa	0.09±0.03 Aa
	80-100	0.06±0.02 Aa	0.05±0.02Ba	0.06±0.02Aa	0.07±0.01 Aa	0.06±0.03 Aa
	0-100	0.07±0.01a	0.08±0.02a	0.07±0.02a	0.07±0.01a	0.09±0.03a

2 Values are mean±SD (n=4 for each vegetation type). Different uppercase letters indicate  
3 significant differences in different soil depths, different lowercase letters indicate significant  
4 differences in different vegetation patterns ( $P<0.05$ ).

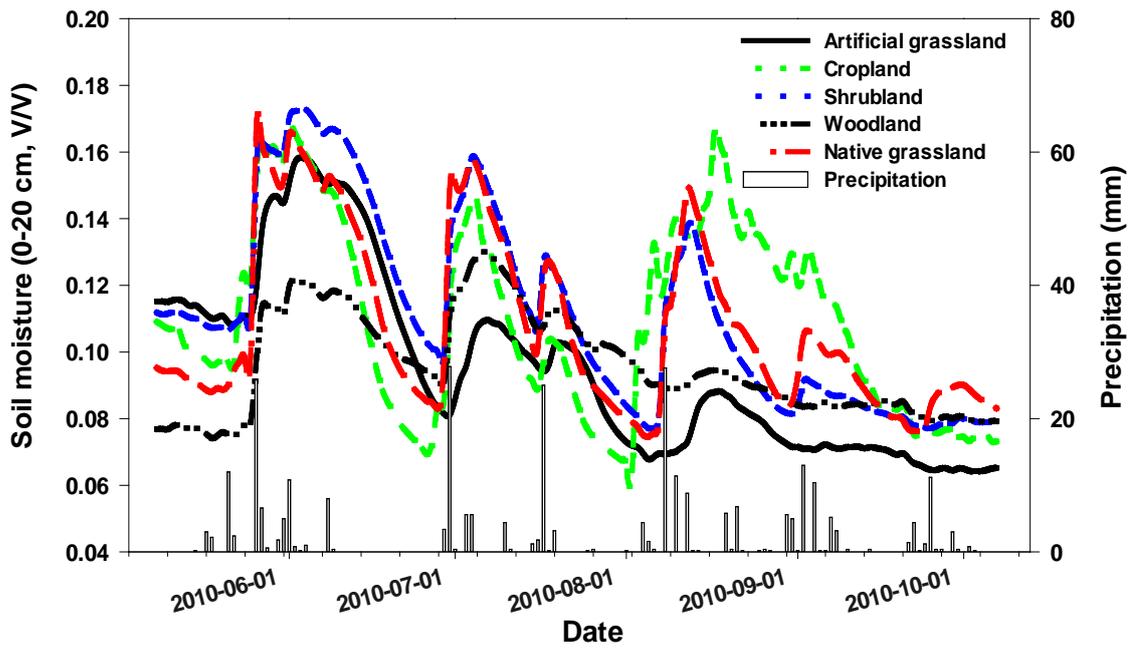
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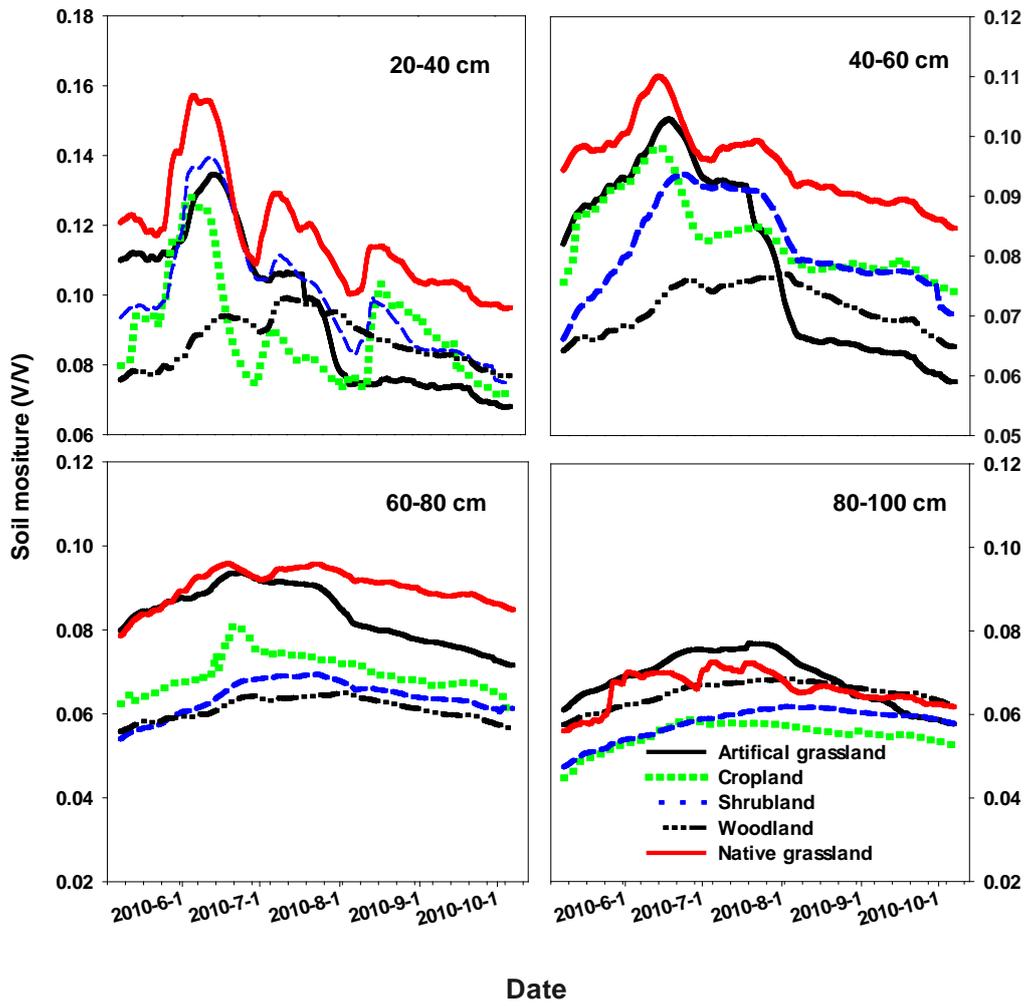
7 Figure 1. Location of the study site, (Anjiapo catchment).

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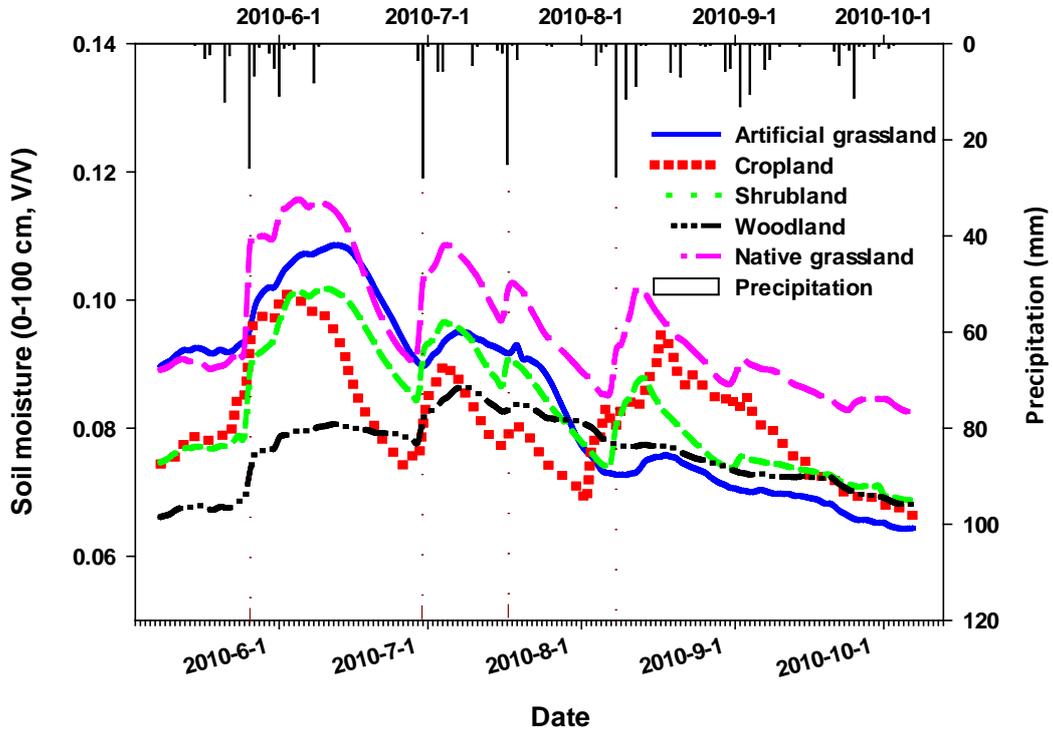
Figure 2. Characteristics of soil moisture variation in the 0-20 cm of five vegetation types.



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15 Figure 3. Characteristics of soil moisture variation in the 20-100 cm zone of five  
 16 vegetation types.

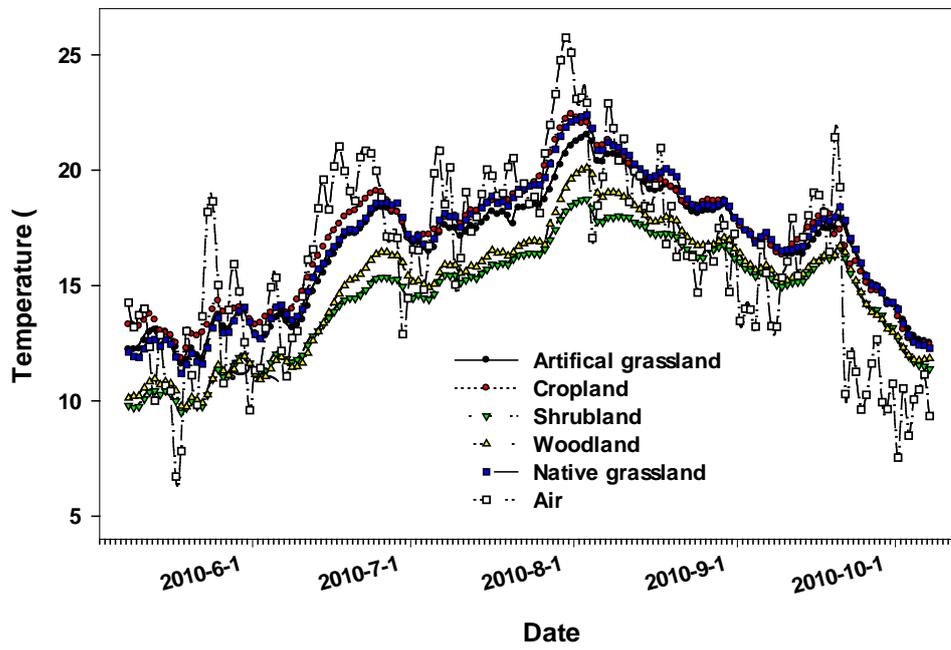
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20 Figure 4. Characteristics of soil moisture variation during the growing season of five  
 21 types of vegetation.

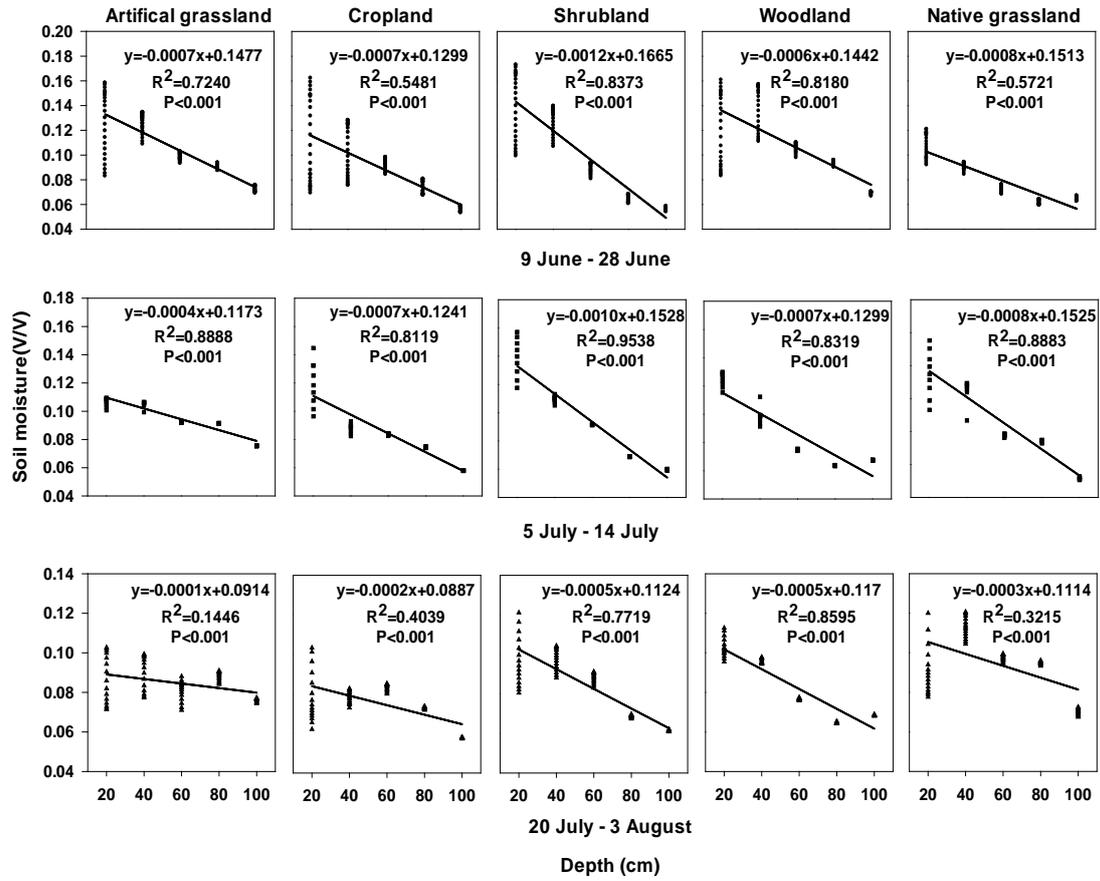
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25 Figure 5. Characteristic of soil temperature variations of five vegetation patterns.

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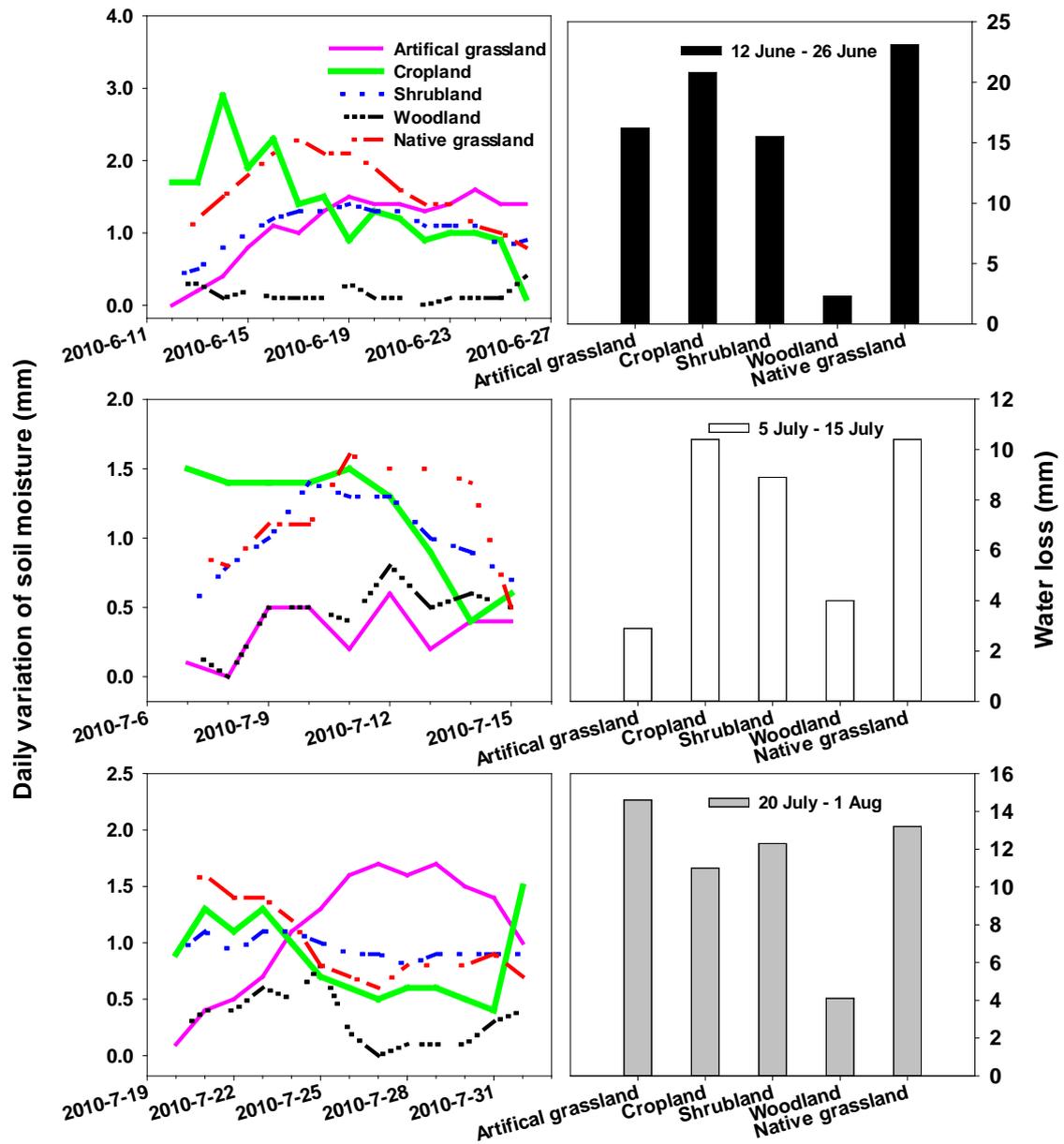


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29 Figure 6. Relationship between soil moisture content and soil depth after each rainfall

30 event.

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34 Figure 7. Daily variation of soil moisture and cumulative soil water loss after a  
 35 rainfall event under five vegetation types.

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