Responses of vertical soil moisture to rainfall pulses and land uses in a typical loess hilly area, China.

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10 Abstract

Soil moisture plays a key role in vegetation restoration and ecosystem stability in arid and 11 semiarid regions. The response of soil moisture to rainfall pulses is an important hydrological 12 process, which is strongly influenced by land use during the implementation of vegetation 13 restoration. In this study, vertical soil moisture variations of woodland (Pinus tabulaeformis), 14 native grassland (Stipa bungeana), shrubland (Hippophea rhamnoides), cropland (Triticum 15 aestivum) and artificial grassland (Onobrychis viciaefolia) in five soil profiles were monitored 16 in a typical loess hilly area during the 2010 growing season. The results demonstrated that 17 18 rainfall pulses directly affected soil moisture variation. A multi-peak pattern of soil moisture appeared during the growing season, notably in the surface soil layer. Meanwhile, the 19 response of each vegetation type to rainfall was inconsistent, and a time-lag effect before 20 reaching the peak value was detected, following each heavy rainfall event. The response 21 duration of soil moisture, however, varied markedly with the size of rainfall events. 22 Furthermore, higher soil water content was detected in grassland and shrubland. Woodland 23 was characterized by relatively lower soil moisture values throughout the investigation period. 24 Our research suggests that vegetation restoration efforts should give priority to grassland and 25 shrubland at the research site. We suggest that more studies should be focused on the 26

characteristics of community structure and spatial vegetation distribution on soil moisture
 dynamics, particularly within the grass and shrub ecosystems.

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4 **1** Introduction

Soil moisture is widely recognized as a key factor influencing the success of vegetation 5 restoration and rehabilitation efforts in the semi-arid regions (Chen et al., 2007; 6 Fern ández-G álvez et al., 2006; Legates et al., 2011; Porporato et al., 2002; Zhao et al., 2013). 7 Meanwhile, it also plays a critical role on the soil improvements (Gabarrón-Galeote., 2013; 8 de Melo Carvalho et al., 2014; Wu et al., 2014; Kaleeem Abbasi et al., 2015; Sadeghi et al., 9 2015). Consequently, it is imperative to survey the relationship among the water, soil and 10 vegetation interactions, and to explore the synergy effect and feedback mechanisms of the 11 12 responses of vegetation to precipitation (Cerd à 1995; Ziadat and Taimeh, 2013; Santos, et al., 2013; Hewelke et al., 2014; Gao et al., 2014; Zhu et al., 2014). It follows that soil moisture is 13 the core element functioning as a "cohesive tie" between vegetation and precipitation. 14

The receipt of water through precipitation is one of the primary factors controlling vegetation 15 dynamics and net primary production in a specific territory. Pulsed rainfall regimes, in turn, 16 17 affect and control belowground processes via soil wet-dry cycles (Austin et al., 2004). In the semi-arid ecosystem, soil wet-dry cycles are influenced by various aspects, such as soil 18 properties, land cover, micro-landforms and meteorological environments (Lozano-Garc á et 19 al., 2011; Legates et al., 2011; Yang et al., 2012). In addition, soil moisture dynamics are 20 closely related to infiltration, evaporation, up take of water by roots and as a regulator 21 controlling runoff between different organisms. Typically, soil moisture depends heavily on 22 precipitation patterns (Koster et al., 2004; Weltzin and Tissue, 2003). In general, with regards 23 to soil moisture responses to precipitation pulse, large precipitation event leads to significant 24 25 soil moisture pulsation, whereas small rainfall events result in shallow water infiltration 26 (Schwinning and Sala, 2004). Previous research revealed that soil water infiltrated continuously after surface soil was saturated when rainfall events exceeded 5.0 mm, which 27 supplements the root layer effectively (Cerd à 1997, 1999; Wei et al., 2008). Water balance 28

and the hydrological cycle have always been the critical issues in vegetation restoration in
water-controlled ecosystems. Therefore, effectively managing water resources and selecting
appropriate vegetation types under limited rainfall conditions are the main tasks of
re-vegetation projects in such areas.

The Loess Plateau of China is situated in the upper and middle reaches of the Yellow River. It 5 6 is a transitional zone between the humid monsoon climate in the southeast and the dry climate 7 in the northwest (Shi and Shao, 2000). Severe water erosion in this region has led to widespread environmental degradation. In order to improve the local eco-environment and 8 prevent soil and water loss, the "Grain-for-Green" project was carried out by the central 9 10 government in 1999. Most of the sloping croplands were converted to artificial forests and 11 shrublands, and some farmlands were allowed to go fallow as grasslands. Similar as other semi-arid ecosystems over the world, land use change can result in soil nutrient levels and 12 property variation (Batjes, 2012; Qadir et al., 2013; Lozano-Garc á and Parras-Alcántara, 13 2013; Fern ández-Romero, et al., 2014; Parras-Alc ántara and Lozano-Garc á, 2014), even 14 caused significant responses in hydrological function (Bizoza, 2012; Opolot, 2014; Wei et al., 15 2007; Liu et al., 2012). Additionally, this area has a unique environment in terms of vegetation 16 17 survival hilly and gully, with intensive and extensive soil erosion and little, but centralized precipitation. Fast growing trees and shrubs have been introduced extensively in this region. 18 Due to water restriction, however, the plants initially grew well but degraded over time, 19 20 inducing severe soil desiccation (Li, 2001). Small aged trees with heights of 3-5 m were widely distributed and led to low ecosystem productivity. It is necessary to select the suitable 21 vegetation patterns in terms of soil water balance. Therefore, understanding the response of 22 vegetation to soil moisture dynamics is essential for optimizing vegetation structures and 23 achieving the long-term sustainability of ecosystem restoration. 24

Several studies have demonstrated the effects of vegetation restoration on hydrological
processes in the Loess Plateau and other similar regions around the world (Chen et al., 2010;
Fu et al., 2013; Qiu et al., 2001; Shangguan and Zheng, 2006; Yang et al., 2014). For example,
Chen (2008a) concluded that water hardly reached soil below 200 cm on the Loess Plateau,
both under natural and simulated rainfall conditions. Li (2001) explored how soil infiltration

impacted by rainfall events could reach 100 cm to 300 cm, with an average of 200 cm. Liu et 1 2 al. (2010) found that shrubs were more adapted to annual rainfall variation than grasses. Nevertheless, it is difficult to make generalizations concerning rainfall pulses and soil 3 moisture dynamics. For instance, a series of small rainfall events is not equal to the same 4 amount of rainfall occurring as a single event, which may lead to greater infiltration and 5 runoff (Schwinning and Sala, 2004). In a way, rainfall distribution coupled with land uses, 6 7 determines variations of soil moisture for different vegetation types. In addition, many studies have focused on soil desiccation and investigated the excessive depletion of deep soil layers 8 9 by artificial plants under long-term inadequate rainfall supply on the Loess Plateau (Chen et al., 2008b; Wang et al., 2004; Wang et al., 2011). However, an understanding of the deep 10 mechanisms regarding the responses of soil moisture variation to rainfall pulses and land use 11 remains incomplete. The major purpose of this paper, therefore, is to determine the response 12 of soil moisture variations to rainfall pulses by in-situ consecutive monitoring of five typical 13 14 vegetation types in the loess hilly area of China, including artificial grassland, cropland, shrubland, woodland and native grassland both during and after each rainfall pulse at the plot 15 scale. 16

17 2 Materials and methods

18 **2.1 Study site**

The study was conducted in the Anjiapo catchment, at the Dingxi Institute of Soil and Water 19 Conservation Experimental Station (35°33'-35°35'N, 104°38'-104°41'E), which belongs to 20 the Chinese Soil and Water Conservation Monitoring Network (Fig. 1). The study site 21 represents a typical hilly region with gullies and elevations ranging from 1900 to 2240 m. The 22 area of experimentation is located in a semi-arid temperate zone with an annual mean 23 precipitation of 427mm (1958-2010), more than 80% of which falls from May to September. 24 The mean annual temperature and, daily maximum and minimum temperatures are $6.3 \,$ °C, 25 34.3 °C in July, and -27.1 °C in January, respectively. The mean annual potential transpiration 26 is 1510 mm. The mean length of the frost-free season is 141 d (Wei et al., 2014). 27

28 The soil at the study site is of the Calcic Cambisol group in the IUSS-ISRIC-FAO

classification system (IUSS-ISRIC-FAO, 2006). It exhibits a texture composed of 50% silt
(0.01- 0.05 mm), 39% clay (< 0.01 mm) and 11% sand (> 0.05mm). The soil field capacity
and organic matter at the study site are 18-24% and 0.4-1.3%, respectively. The soil bulk
density ranges from 1.09 to 1.36 g cm⁻³ in the first 2 m of depth and the soil thickness varies
from 40 m to 60 m in the same region (Chen et al., 2007; Wei et al., 2014).

6 With the implementation of the Grain-for-Green project, Chinese pine (Pinus tabulaeformis 7 Carr.), oriental arborcitae (Platycladus orientalis), purple alfalfa (Medicago sativa), sea buckthorn (Hipporhae rhamnoides L.) and littleleaf peashrub (Caragana microphylla) were 8 widely planted. At present, land cover patterns in the study area include abandoned cropland, 9 10 arable land, sloping cropland, native grassland, artificial grassland, shrubland and tree plantations. The main crops are millet (Panicum miliaceum), spring wheat (Triticum aestivum 11 L.), potatoes (Solanum tuberosum L.), soybeans (Vigna angularis), sorghum (Sorghum spp.), 12 and major grasses include Stipa breviflora, Stipa bungeana and Thymis mongolicus. 13

14 **2.2 Experimental design and field installation**

Twenty experimental plots were distributed on the hill slopes between 10° and 20° slopes, on 15 16 which rain-fed crops (e.g. wheat, millet and potatoes) had been grown before the plots were constructed. Sample plots used for shrubland and pine woodland were 10 m $\times 10$ m in size, 17 and 10 m \times 5 m in size, for the sloping cropland, artificial grassland and native grassland. The 18 pine and sea buckthorn were planted in 1978, while the artificial grassland was planted when 19 the plots were constructed in 1986. Cement ridges 30 cm above the ground were constructed 20 21 at the plot borders, while an H-flume was used to measure the surface runoff at the outlet of each plot (Fig. S1). Four replications were investigated for each vegetation pattern. 22

Pine trees were planted in the woodland plots with a mean density of $3.0 \text{ m} \times 1.5 \text{ m}$. Little grass was scattered on the surface of the plots. The shrubland plot was dominated by sea buckthorn (*Hippophea rhamnoides*), with 1 m distance between rows. During the growing season, dense grasses and thick litter provided a closed cover. For the research, plant residues were kept in the plots. *S.bungeana*, a dominant native species was sown in the semi-natural grassland plots. In the cropland, *T. aestivum* was sown in April and harvested manually at the end of July or the beginning of August, and the plant residues were kept in the plots.
 Additionally, the artificial grassland plots were covered with Sainfoin (*Onobrychis viciaefolia*)
 with a height of approximately 0.50 m, which was also harvested manually for livestock.

A total of 10 soil moisture and temperature smart sensors were installed in every plot at 5 4 depths below the ground. Both the soil moisture and temperature levels of 0-100 cm profiles 5 were measured for every 20 cm of depth from May to September (the growing season) of 6 7 2010. A pit of appropriate width was dug to allow the probes to be inserted into the soil profile of each plot. Then, the probes were inserted into the unaltered side of the pit and were 8 fixed horizontally in the direction of the maximum slope of each plot. The pits were refilled 9 10 carefully after the probes were inserted into the soil profile. During this process, it was 11 necessary to avoid any perturbations to the greatest extent possible. The completion of the probe took place at the end of 2009, and the monitoring was not begun until the soil settled, 12 approximately three months later. Before installed the sensors, soil bulk density of each depth 13 for every plot was measured using the core method (stainless steel cylinders with a volume of 14 100 cm³) with 3 replications. The total porosity was calculated according the bulk density and 15 specific weight of soil. Meanwhile, a part of collected soil samples were air dried and visible 16 17 plant material was removed, sieved through a 2 mm and then a 1 mm screen for soil nutrients analysis. Soil organic matter (SOM) was determined using the K₂CrO₇ titration method. Total 18 nitrogen (TN) was determined by the semi-micro Kjeldahl method, total phosphorus (TP) was 19 20 analyzed using colorimetry after wet digestion with H₂SO₄+HClO₄, available phosphorus was measured using colormetry after digestion with 3% ammonium carbonate (Liu et al., 1996). 21 Alkali-hydrolyzable nitrogen (AN) was determined using the method described by the 22 Cornfield (1960). 23

24 2.3 Measurement sensor

Soil moisture smart sensors with S-SMC-M005 probes were installed to measure the moisture of the soil profile. Meanwhile, 12-bit temperature smart sensors with S-TMB-M006 probes were prepared to monitor the soil profile temperature. Both the soil moisture and temperature smart sensors were designed to work with smart sensor-compatible HOBO data loggers (Decagon Devices Inc., Pullman, WA). The S-SMC-M005 soil moisture smart sensor is
capable of providing reading outside the standard volumetric water content range with an
accuracy of ±1.0%. All the data were recorded every 10 minutes using HOBO data loggers.
At the same time, each natural rainfall event was measured using a tipping-bucket gauge. The
meteorological parameters (solar radiation, air temperature and, wind velocity) were collected
as 10 minutes averages by an automatic weather station installed in the meteorological garden
approximately 1000 m away from the plot.

8 2.4 Statistical analysis

9 Since the experiments were carried out at the plot level, the environmental factors exhibited
10 homogeneous characteristics for different vegetation types. Therefore, the temporal dynamics
11 of soil moisture and its relationship with vegetation type were analyzed. The average soil
12 moisture of specific vegetation types and depths were calculated using equation 1, as follows
13 (Chen et al., 2007):

14
$$S_{ij} = \frac{1}{4 \times n} \sum_{m=1}^{4} \sum_{k=1}^{n} S_{ijmk}$$
 (1)

Where S_{ij} is the volumetric soil water content (cm³ cm⁻³) of *i*th vegetation pattern at *j*th depth; S_{ijmk} is the actual value of volumetric soil water content (cm³ cm⁻³) measurement each time; n is the total number of volumetric soil water content monitoring from May to September in 2010 and number 4 is the replications.

At the same time, we assumed that the volumetric soil water content was measured with probes precisely matching different layers in the soil profile (Wang et al., 2012). According to the principle of soil water balance, the cumulative water loss can therefore be calculated by equation 2, as follows:

Where WL_i is the cumulative loss water (mm) of *i*th vegetation type; S_{bi} is the initial volumetric soil water content (cm³ cm⁻³) of *i*th vegetation type and S_{ei} is the volumetric soil water content (cm³ cm⁻³) of *i*th vegetation type at the end stage. *D* is the depth (mm) of the 1 measurement.

Data on soil moisture and soil temperature were analyzed to provide mean and standard deviation (S.D.) for each variable measured at every depth in each plot. Analysis of variance was performed using the MIXED procedure in SAS, which computes Wald-type F-statistics using generalized least squares (GLSE) based on restricted maximum likelihood estimates of the variance components (Littll et al., 1996). In the case of significant differences in the Wald-F-statistic at P<0.05, treatment means were compared using a LSD test. All statistical analyses were conducted using the SAS 9.2 software package (SAS, 2000).

9 3 Results

10 3.1 Soil properties

Soil physicochemical properties varied among vegetation types (Table 1). Shrubland had the 11 lowest BD values compared with other vegetation types. In the surface layer, although there 12 showed non-significant difference of the BD value between shrubland (1.13 g cm⁻³) and 13 native grassland (1.15 g cm⁻³), the BD value of shrubland exhibited significant lower than 14 artificial grassland (1.16 g cm⁻³), cropland (1.16 g cm⁻³) and woodland (1.19 g cm⁻³) (P<0.05). 15 However, there explored non-significant difference between native grassland and artificial 16 grassland. BD values were similar below a depth of 40 cm, and all were significantly higher 17 than the surface layer in each plot (P < 0.05). In general, shrubland has the lowest BD with 18 highest total porosity. The level of BD values of the five vegetation types was in the 19 following order: Shrubland < Native grassland < Cropland < Artifical grassland < Woodland. 20 SOM, TN, AN, AP values from shrubland were statistically higher than those of other 21 vegetation types. The values of SOM and TN of native grassland were higher than that of 22 artifical grassland, while AN and AP values of native grassland were lower than that of 23 artificial grassland. SOM of the woodland was lower than the native grassland but higher than 24 artificial grassland. In short, the level of SOM of the five types was in the following order, 25 Shrubland (19.31 g kg⁻¹) > native grassland (14.51 g kg⁻¹) > woodland (13.59 g kg⁻¹) > 26 artifical grassland (8.31 g kg⁻¹) > cropland (7.83 g kg⁻¹). 27

3.2 Rainfall features in the study area

2 As shown in Fig. 2, seventy-six rainfall events occurred during observation period (April 1 – October 31) in 2010, with the cumulative rainfall reaching 322.6 mm. The total rainfall for the 3 growing season (May 1 - September 30) was 292.8 mm, accounting for 90.1% of the 4 observation period. The maximum and minimum daily rainfall was 27.8 mm and 0.2 mm, 5 respectively. Daily rainfall greater than 25 mm occurred on four occasions: May 25 (25.8 6 7 mm), June 29 (27.8 mm), July 16 (25.0 mm) and August 7 (27.8 mm), respectively, accounting for 32.9% of the total rainfall for the growing season. On six occasions, daily 8 rainfall was greater than 10 mm, and eleven times it was between 10 mm and 5 mm. On 47, 9 10 daily rainfall events less than 5 mm occurred during the growing season. Overall, the study 11 area mainly experienced small rainfall events, with heavy rainfall events occurring less frequently. The total rainfall during the growing season, however, was strongly influenced by 12 the heavy rainfall regimes. Therefore, the rainfall characteristics of the experimental site were 13 typical pulse rainfall events of the semi-arid zone. 14

15 **3.3 Seasonal variation pattern and pulse of soil moisture**

16 The trends of soil moisture variation of the five vegetation types exhibited a similar seasonal 17 variation during the growing season, particularly in the 0-20 cm soil layer (Fig. 2 and Fig. 3). Native grassland had the highest soil moisture (June 5) while the cropland had the lowest 18 (August 2). In the top soil layer (0-20 cm), the seasonal changes in soil moisture were 19 apparent, and each vegetation type had four peak values based on time under rainfall 20 21 conditions. However, there was no consistency in the way each vegetation type to reached its peak value. Furthermore, three-peak, double-peak and single-peak phenomena were obviously 22 present during the growing season (Fig. 2), particularly after heavy rainfall (>25mm) at 0-20 23 cm. Additionally, a hysteresis effect was apparent following a heavy rainfall event when the 24 peak value was reached. For instance, during the investigation period from May 25 to June 28, 25 after the heavy rainfall pulse (May 25, 25.8 mm) occurred, different types of vegetation 26 exhibited three peak value phenomena in the top soil layer, with the three peak times for the 27 shrubland taking place on May 27, June 4 and June 10; for the crop land on May 27, June 1 28

and June 8; the artificial grassland on May 29, June 3 and June 9; while the woodland on May
26, June 2 and June 10, and the native grassland on May 26, June 1 and June 11, respectively.
These times, therefore, represented the relatively wetter conditions of the soil. The degree of
the soil moisture variation dramatically decreased with increased soil depth.

As shown in Fig. 4, little rainfall occurred from July 5 to 14, July 20 to August 3, August 22 5 to 28 and September 8 to 19. Meanwhile, no rainfall event happened from June 9 to 28. 6 7 During these periods, soil volumetric water content declined continuously. However, the minimum mean value for each vegetation type occurred at different times in various 8 decreasing stages. For instance, during the experimental period from June 9 to June 28, before 9 10 the second heavy rainfall event happened during the growing season (June 29, 27.8 mm), no 11 rainfall was recorded on these days. The minimum mean value took place on June 27 for the cropland (0.074 $\text{cm}^3 \text{cm}^{-3}$), the woodland (0.079 $\text{cm}^3 \text{cm}^{-3}$) and the shrubland (0.085 $\text{cm}^3 \text{cm}^{-3}$) 12 on June 29, and the native grassland (0.090 cm³ cm⁻³) on June 28th. In contrast, the minimum 13 mean value for the artificial grassland $(0.089 \text{ cm}^3 \text{ cm}^{-3})$ appeared on June 30, after the second 14 heavy rainfall event. These days represented the time at which the drier soil conditions 15 occurred for the various vegetation types. In general, for all of the rainfall regimes during the 16 17 investigated growing season, largely similar curves with obviously different trends in soil moisture variation were clearly exhibited among the different vegetation types. There was no 18 doubt that the variability in soil moisture depended heavily on precipitation. 19

20 **3.4 Soil moisture variation of different types of vegetation**

21 The mean volumetric water contents of the 0-100 cm soil profile were shown in Table 2. Soil moisture variation was different at different soil depths. There was no significant difference 22 between all types of vegetation at the depth of 60-80 and 80-100 cm during the growing 23 season. For the cropland and shrubland, the volumetric water contents exhibited significant 24 differences at different depths in different months (P < 0.05). For the other 3 vegetation types, 25 in contrast, no significant differences were observed during the entire observation period 26 (P>0.05). Taking cropland as an example, in May, the volumetric water content at the 10-20 27 cm and 20-40 cm levels were significantly greater than at 80-100 cm (P<0.05), while there 28

was no significant difference among the 0-20 cm, 20-40 cm and 40-60 cm levels (P>0.05). 1 2 Although the volumetric water content in the 20-40 cm level was significant higher than the 80-100 cm level (P<0.05), no significant difference was observed among the 20-40 cm, 40-60 3 cm and 60-80 cm levels (P>0.05). The significant difference of the cropland in May was 4 consistent with June. In July, August and September, the volumetric water content in the 5 10-20 cm level was significantly greater than the 60-80 cm and 80-100 cm levels (P<0.05), 6 7 whereas no significant difference exhibited between 0-60 cm and 20-100 cm (P>0.05). On the whole, the value of volumetric water content was higher in the grassland and the shrubland 8 9 sites, whereas the woodland showed lower soil moisture values.

10 The average soil temperature showed a similar regime among different cover patterns (Fig. 5). 11 The lowest soil temperature was recorded in the shrubland (May 18) while the highest soil temperature was recorded in the cropland (July 31). There was a significant linear correlation 12 between the soil temperature and the atmospheric temperature for each vegetation type during 13 the investigation period (P < 0.01). Clearly, shrubland and woodland have lower soil 14 temperatures than the other three vegetation types. The mean minimum daily soil temperature 15 of each vegetation type appeared in May, and it did not decrease gradually until the end of 16 17 August. The mean daily maximum soil temperature was at the end of July or the beginning of August. Although the soil temperature gradually declined in September, the monthly average 18 soil temperature of each vegetation type in September was still higher than in May. 19

20 **3.5 Soil moisture decreases**

21 In light of the responses of soil moisture variation to the rainfall pulse, stepwise regression was used to analyze the soil volumetric water content profile distributions from June 9 to 28, 22 July 5 to14 and from July 20 to August 3 (Fig. 6). At the same time, three typical decreasing 23 periods in these 3 stages were selected to depict the differences in the water loss rate of 24 different vegetation types. The slope of regression equation between soil depth and soil 25 moisture indicated that the characteristics of differences in soil volumetric water content were 26 along the soil profile. Regression analysis showed that different vegetation types responded 27 differently to the rainfall events at different periods. For example, from June 9 to 28, the slope 28

was smallest in the woodland and greatest in the shrubland. Artificial grassland, native 1 grassland and cropland showed an intermediate level, successively. From July 5 to 14, the 2 slope was also greatest in shrubland, followed by native grassland, woodland and cropland, 3 respectively. Artificial grassland had the smallest slope. From July 20 to August 3, the slope 4 was smallest in artificial grassland, while greatest in woodland and shrubland. This result 5 indicated that the soil volumetric water content of woodland and shrubland changed 6 7 dramatically with the increase in soil depth, and the comparison of soil volumetric water content profile distributions revealed that it was easier for water to travel vertically in this 8 9 stage. Generally speaking, the slope was smaller in cropland and grassland, while shrubland and woodland showed a higher slope, relatively. 10

11 Different vegetation types exhibit different daily and cumulative water loss at different periods (Fig. 7). From June 11 to 27, the beginning of the average soil volumetric moisture 12 content was 0.10 cm³cm⁻³. The native grassland missed the maximum of water, nearly 23.5 13 mm in half a month. The daily water loss trend was similar to an inverted "V", whereas the 14 woodland lost the minimum of water, only 2.3 mm. In addition, the daily variation of soil 15 moisture was lower and more stable for the woodland than for the other vegetation types, 16 ranging from 0.1 to 0.4 mm. However, the daily soil moisture of the cropland showed the 17 most variability, with a range of variation from 0.89 mm to 3.0mm. The cumulative soil water 18 loss of cropland was 20.8 mm, just behind the native grassland. Finally, the artificial 19 grassland and the shrubland exhibited a medium level of loss, with cumulative water loss of 20 16.2 mm and 15.5 mm, respectively. In the meantime, the daily soil water loss of the artificial 21 grassland showed an increasing trend. 22

During another two investigated periods (from July 6 to 16 and from July 20 to August 1), there was a corresponding change of soil water loss for different vegetation types. From July 6 to 16, the cumulative soil water loss of the artificial grassland was lowest (2.9 mm), while it was highest for the native grassland and cropland (10.4 mm). The soil water loss in shrubland was slightly lower than in cropland and the native grassland. The cumulative soil water loss of woodland was lower than the shrubland and higher than the artificial grassland. The daily soil water loss trend of woodland was similar but slightly higher than for the artificial grassland.

Meanwhile, cropland and native grassland presented the most variability, ranging from 0.4 1 mm to 1.5mm and from 0.5 mm to 1.6 mm, respectively. However, from July 20 to August 1, 2 the cumulative water loss of the artificial grassland was the highest (14.6 mm) and the 3 woodland was the lowest (4.1 mm). The cropland, shrubland and native grassland presented 4 an intermediate level, with average daily losses of 0.85, 0.96 and 1.01 mm, respectively. To 5 summarize, the daily soil water loss trends of shrubland and woodland were more stable than 6 7 other types during the observation periods, although the cumulative water loss of woodland was relatively lower than in the other types. The daily soil water loss of cropland and native 8 9 grassland showed more dramatic changes than the other types of vegetation, whereas the cumulative water loss of artificial grassland exhibited strong instability compared with other 10 vegetation types. 11

12 4. Discussion

13 **4.1 Effects of rainfall features on soil moisture variation**

The hydrological response of semi-arid ecosystems is mainly controlled by rainfall regimes. 14 In a word, soil moisture was recharged and regulated by precipitation. In our study, an 15 16 increasing trend in soil moisture appeared after heavy rainfall events, but there were buffer 17 effects following rainfall events based on the groundcover. The results are consistent with the values of Fu et al. (2003), who also conducted studies in a semi-arid region of the Loess 18 Plateau. In addition, in semi-arid ecosystems, small rainfall events that cause surface wetness 19 are more frequent than large events that lead to deeper infiltration (Schwinning and Sala, 20 21 2004). The rainfall amount and the number of days between rainfall pulses clearly influenced the soil moisture variation, when events occur in close succession to one another (Loik et al., 22 23 2004). The results of the different peak value time of five vegetation types could be explained by the differences in the number of days between rainfall and soil physical properties. Close 24 succession of the rainfall events provided an additive effect. Soil moisture depended strongly 25 on precipitation. Soil moisture variation was similar to the rainfall patterns. For the "impulse 26 type", both of the soil moisture variation and the rainfall regimes are the direct manifestation, 27 particularly in the top soil layer. However, the peak value time at the 20-40 cm and 40-60 cm 28

levels exhibited temporal differences compared with the 60-80 cm and 80-100 cm levels. The 1 soil moisture trend began to flatten with increased soil depth. The result indicated that soil 2 water infiltration was different among different vegetation types. In most cases, low bulk 3 density and high porosity can cause higher infiltration rates, which result in a relatively higher 4 soil moisture content. Meanwhile, it also revealed that different vegetation types have diverse 5 water use strategies in different periods, based on the different types of response to rainfall 6 7 pulses. Finally, the characteristic of soil moisture variation suggested that the replenishment of precipitation for the deep layer was limited. 8

Usually, the root abundance of shrubs and grasses are greater than crops (Jackson et al., 1996), 9 10 and root decomposition processes change the soil structure and increase rainfall infiltration 11 due to, the channels left after the decomposition. Therefore, the results showed that grassland exhibits marked trends of soil moisture variation in the deep soil layer, whereas cropland, 12 shrubland and woodland exhibited more stable trends. Five vegetation types showed similar 13 14 seasonal moisture variation but there were differences at certain stages. Particularly, soil moisture variation of shrubland and cropland showed significant changes at different soil 15 depths. The fact that all land use types existed at different water depletion layers is likely 16 17 related to the diverse root distributions along soil profiles. Moreover, although some water recharge occurred during the growing season, the soil moisture level at the end of September 18 was obviously lower than at the beginning of early May, with the exception of woodland. Soil 19 20 moisture of woodland at the end of September was close to in early May, indicating that the rainfall pulse had affected less on the soil moisture of the woodland plot. This is partly due to 21 22 the interception, which would discuss in the next section. Overall, a strong trend of soil water recharge was observed from June to August, whereas only a slight one was observed in 23 September. 24

25 4.2 Soil water decreases

Soil moisture is depleted over days and weeks primarily by plant uptake, transpiration and soil evaporation. Soil evaporation takes place at the shallow layer with lower root density and varies temporally based on available energy, as reflected in soil temperature values, which

themselves lag behind solar radiation inputs (Loik et al., 2004). Therefore, temperature can be 1 considered as one of the key factors leading to soil water loss under high soil moisture content 2 conditions. In our research, artificial grassland, native grassland and cropland exhibited 3 relatively higher soil temperatures, corresponding to greater water loss. However, water loss 4 of artificial grassland showed different trend at different periods. From July 5 to 15, the 5 smallest regression slope of soil moisture and depth curve were found in artificial grassland 6 7 compared to other types. This revealed that water more easily travelled vertically in artificial grassland. The amount of infiltration in this process was greater than water loss. At the same 8 9 time, a relatively higher slope of cropland and native grassland was apparent during the typical decreasing moisture periods, indicating strong interaction between plant uptake and 10 soil moisture as depth increased. 11

12 On the other hand, for the native grassland and cropland, poor cover types also led to greater daily water losses via direct evaporation at shallow depth. Soil evaporation varies temporally 13 mainly due to available energy. Hence, the daily water loss of cropland and native grassland 14 showed more dramatic changes during the investigated stages. Furthermore, the temperature 15 at the woodland plot was slightly higher than that of the shrubland. This result is consistent 16 17 with the conclusion of Wang et al. (2012). However, the amount of water loss was smaller compared with the shrubland, cropland and native grassland, resulted from the interception 18 during the water budget. Crown interception of woodland, clearly, is the first process that 19 diminishes precipitation input to the soil. The foliage has a holding capacity and can intercept 20 all water from small rainfall events, but only a small portion of the water from large rainfall 21 events (Loik et al., 2004; Waring and Running, 2010). During the investigated period, small 22 rainfall events occurred more frequently than heavy rainfall events. The crown interception 23 changed the way and amount in which rainfall came into the soil. Therefore, the smaller the 24 amount of rainfall that infiltrated the woodland, the lower the water loss caused. Throughout 25 the growing season, soil moisture at the end of September was close to that of early May. For 26 27 the shrubland, the slope of regression equation between soil moisture and depth was the highest. It could be judged that intense interaction occurred between soil moisture and depth, 28 due to the strong root system of the shrub. 29

4.3 Inspirations for vegetation restoration

Severe scarcity of water resources and land use changes led to the degradation of ecosystem functioning in the semi-arid areas (Cerd à 1999; Cerd à and Doerr, 2005, 2007; Dickie and Parsons, 2012), also linked to the Loess Plateau of China. Although comprehensive soil and water loss control has achieved some degree of success, it dire challenges remain to be confronted.

As some researchers have previously suggested, different mosaic patterns should be 7 8 implemented in different area of the Loess Plateau (Fu et al., 2013; She et al., 2010). With the implementation of the Grain-for-Green project, most sloping croplands were converted to 9 woodland, shrubland and grassland. The most critical experience factor in remedying soil and 10 water erosion is to make the rainfall infiltrate locally. However, due to the crown interception, 11 the amount of rainfall infiltrating woodland is lower than the amount infiltrating shrubland, 12 13 artificial grassland and native grassland. Therefore, trees were not suitable for large area vegetation restoration. According to Jiang et al. (2013) in terms of pollen records, herbs rather 14 than 11 trees and shrubs should be used for the vegetation restoration programs, but it was 15 16 inappropriate to select simple vegetation types during the vegetation restoration on a large scale. On the other hand, because of the efficient impediment effects and high survival rate, 17 shrubland was widely adopted in the semi-arid areas, considering the fact that native 18 grassland and artificial grassland can retain more soil moisture. Consequently, our research 19 20 advocates that a well-matched mosaic vegetation pattern of planting shrub and grass would be appropriate in the study area. Overall, it is imperative that we take drastic measures in the 21 future to research the mechanisms surrounding the influence of community structure 22 characteristics and spatial distribution patterns on soil moisture dynamics, both of the 23 24 grassland and the shrubland ecosystem of the Loess Plateau.

25 5 Conclusions

The responses of vertical soil moisture variation to rainfall pulses and land uses were studied in a typical loess hilly area of the Loess Plateau in the 2010 growing season. At the study site, the only source of water is precipitation. Redistribution of precipitation by different vegetation types probably causes the variability of soil moisture under different rainfall pulses.

Soil moisture in the surface layer was significantly affected by precipitation. For the five 1 vegetation types, peak value time of soil moisture appeared after rainfall pulse with a buffer 2 effect and the trend of the soil moisture began to flatten with increased soil depth. The result 3 showed that soil water infiltration was different among the different vegetation types, which 4 indicates that different vegetation may have different water use strategies in different periods. 5 BD values exhibited significant differences among the different soil depths, particularly in the 6 7 first layer of 0-20 cm. Soil nutrient contents in the surface layer also showed significant higher than the subsoil layer. Compared with other vegetation types, shrubland has the lowest 8 9 BD and highest SOM. Meanwhile, the characteristic of soil moisture variation suggested that the replenishment of precipitation for the deep layer was limited. During the investigation 10 period, under the influence of interception, smaller amounts of rainfall infiltration occurred 11 under the woodland with lower water loss caused. Shrubland and grassland, however, showed 12 higher soil moisture content. At the same time, the interaction between soil moisture and 13 14 vegetation has vital implications to optimize vegetation structure and landscape functioning. Our research suggests that vegetation restoration should give priority to shrubland and 15 grassland (both of the artificial and native grassland) in the study region. Hence, further 16 17 studies should mainly attempt to elucidate the influence of community structure characteristics and spatial distribution patterns on soil moisture dynamics, particularly the 18 effects of fractal features on soil moisture variation, involving both grassland and shrubland 19 ecosystems. 20

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Vegetation	Depth	SOM	TN	TP	AN	AP	BD	Porosity
Туре	(cm)	$(g kg^{-1})$	$(g kg^{-1})$	$(g kg^{-1})$	$(mg kg^{-1})$	$(mg kg^{-1})$	$(g \text{ cm}^{-3})$	(%)
Artifical	0-20	10.48±0.24Ac	1.04±0.02Ac	0.81±0.10Aa	56.21 ±2.30Ab	6.56±0.01Abc	1.16±0.01Ab	55.75±0.41Ab
grassland	20-40	8.09±0.08Bc	0.83±0.04Bd	0.67±0.06Ba	46.63±2.05Ba	5.42±0.17Bc	1.26±0.02Bb	52.37±0.60Bb
	40-60	7.83±0.11Cc	0.71±0.02Cc	0.64±0.03Ba	35.79±0.85Ca	4.77±0.11Cc	1.26±0.01Bb	52.54±0.43Bb
	60-80	7.62±0.04Dc	0.63±0.03Dc	0.65±0.02Ba	31.59±2.16Da	4.75±0.06Ca	1.25±0.01Ba	52.20±0.42Ba
	80-100	7.61±0.03Dc	0.60±0.02Dc	0.58±0.02Ba	30.11±0.55Da	4.61±0.16Ca	1.27±0.01Ba	52.79±.041Ba
Cropland	0-20	9.27±0.02Ac	0.87±0.04Ac	0.66±0.01Ab	44.05±2.50Ac	8.28±0.05Ac	1.16±0.01Ab	55.84±0.42Ab
	20-40	8.03±0.32Bc	0.75±0.02Bc	0.67±0.02Aa	35.34±0.92Bc	8.24±0.04Ab	1.23±0.01Bab	53.11±0.32Bab
	40-60	7.41±0.07Cc	0.63±0.03Cc	0.60±0.03Bb	31.37±0.46Cbc	8.16±0.08Ab	1.26±0.01Cb	52.46±0.31Cb
	60-80	7.22±0.17Cc	0.60±0.04Cc	0.60±0.01Bb	30.71±0.72Ca	7.96±0.07Bb	1.26±0.01Ca	52.45±0.41Ca
	80-100	7.21±0.09Cc	0.59±0.02Cc	0.61±0.05Ba	29.01 ±0.08Ca	7.62±0.19Ca	1.26±0.01Ca	52.37±0.38Ca
Shrubland	0-20	25.34±0.86Aa	1.66±0.03Aa	0.74±0.04Aab	70.09±3.59Aa	12.01±0.06Aa	1.13±0.02Aa	57.08±1.14Aa
	20-40	20.65±0.55Ba	1.35±0.04Ba	0.67±0.02Aa	49.89±3.69Ba	9.78±0.08Ba	1.20±0.01Ba	53.86±0.43Ba
	40-60	20.14±0.93Ba	1.28±0.02Ba	0.63±0.01Bab	32.12±2.49Cb	9.17±0.14Ca	1.23±0.01Ca	52.70±0.47Ca
	60-80	19.65±0.35Ba	1.24±0.04Ba	0.60±0.10BCb	23.69±0.23Db	9.16±0.06Ca	1.24±0.01Ca	52.46±0.42Ca
	80-100	10.76±0.16Ca	1.36±0.11Ba	0.58±0.02Ca	21.81±1.70Db	9.06±0.03Ca	1.25±0.01Ca	52.37±0.38Ca
Woodland	0-20	18.31±0.06Ab	1.23±0.03Ab	1.14±0.07Ab	39.42±0.13Ac	6.01±0.10Ac	1.19±0.02Ab	54.68±0.50Aab
	20-40	14.37±0.35Bb	1.14±0.03Bb	0.84±0.09Ba	17.25±0.25Bb	5.06±0.49Bd	1.24±0.01Bb	52.46±0.42Bb
	40-60	12.26±0.07Cb	1.15±0.03Bb	0.76±0.02BCab	15.29±0.26Cbc	4.70±0.11Cd	1.26±0.01Cb	51.30±0.41Cb
	60-80	11.69±0.33Db	1.07±0.04Cb	0.75±0.03BCb	11.26±0.22Db	4.62±0.04Cc	1.30±0.01Ca	50.55±0.43Ca
	80-100	11.34±0.14Dab	1.06±0.01Cb	0.53±0.01Ca	10.62±0.27Db	4.56±0.02Ca	1.28±0.01Ca	51.63±0.32Ca
Native	0-20	21.89±1.30Ab	1.22±0.05Ab	0.67±0.03Ab	45.93±1.12Ac	4.65±003Ac	1.15±0.02Aab	56.08±0.56Aab
grassland	20-40	16.61±0.35Bb	1.06±0.02Bb	0.64±0.01Ba	39.38±1.60Bb	4.37±0.02Bd	1.24±0.02Bb	52.78±0.55Bb
	40-60	12.23±0.06Cb	1.03±0.12BCb	0.63±0.02BCab	28.93±2.15Cc	4.01±0.03BCd	1.25±0.01Bb	52.86±0.42Bb
	60-80	11.40±0.51CDb	1.04±0.04BCb	0.62±0.02BCb	22.59±1.24Db	3.92±0.08Cc	1.25±0.01Ba	52.70±0.47Ba
	80-100	10.45±0.25Db	1.04±0.01Cb	0.59±0.05Ca	23.94 ±0.48Db	3.91±0.07Ca	1.25±0.01Ba	52.69±0.42Ba

1 Table 1 Soil properties of five vegetation types

- 1 Data in the figure were mean and standard deviation (S.D.). Different uppercase letters indicate significances in different soil depth, different
- 2 lowercase letters indicate significant differences in different vegetation types (P < 0.05).
- 3 Abbreviations: soil organic matter (SOM), total nitrogen (TN), total phosphorous (TP), alkali-hydrolyzable nitrogen (AN), available
- 4 phosphorus (AP), bulk density (BD)

		Volumetric water content (cm ³ cm ⁻³)							
Months	Depth/cm	Artifical grassland	Cropland	Shrubland	Woodland	Native grassland			
	0-20	0.12±0.02 Aa	0.12±0.01 Aa	0.12±0.05 Aa	0.08±0.04 Aa	0.11±0.03 Aa			
May	20-40	0.11±0.02 Aa	0.10±0.02ABa	0.10±0.04ABa	0.08±0.03 Aa	0.12±0.04 Aa			
	40-60	0.09±0.03 Aa	0.09±0.02ABCa	0.07±0.02 ABa	0.07±0.04 Aa	0.10±0.04 Aa			
	60-80	0.08±0.03 Aa	0.07 ±0.03BCa	0.06±0.02 ABa	0.06±0.02 Aa	0.08±0.04 Aa			
	80-100	0.07±0.03 Aa	0.05±0.02Ca	0.06±0.03Ba	0.06±0.03 Aa	0.06±0.03 Aa			
	0-100	0.09±0.03a	0.08±0.03a	0.08±0.04a	0.07±0.03a	0.10±0.04a			
	0-20	0.13±0.02 Aa	0.11±0.01 Aa	0.14±0.03 Aa	0.11±0.04 Aa	0.12±0.03 Aa			
	20-40	0.12±0.02 Aa	0.10±0.02 ABa	0.13±0.02 Aa	0.09±0.03 Aa	0.14±0.04 Aa			
Ŧ	40-60	0.10±0.03 Aa	0.09±0.01 ABCa	0.09±0.03Aba	0.07 ±0.04 Aa	0.10±0.02 Aa			
June	60-80	0.09±0.03 Aa	0.07±0.02 BCa	0.06±0.02Ba	0.06±0.02 Aa	0.09±0.04 Aa			
	80-100	0.07±0.03 Aa	0.06±0.02 Ca	0.06±0.02Ba	0.06±0.02 Aa	0.07±0.02 Aa			
	0-100	0.10±0.03a	0.08±0.02a	0.09±0.03a	0.08±0.03a	0.10±0.04a			
	0-20	0.10±0.02 Aa	0.10±0.01Aa	0.12±0.03Aa	0.11±0.04 Aa	0.12±0.03 Aa			
	20-40	0.10±0.02 Aa	0.08±0.01ABa	0.10±0.02ABa	0.10±0.03 Aa	0.12±0.04 Aa			
I1	40-60	0.09±0.03 Aa	0.08±0.01ABa	0.09±0.02ABa	0.08±0.04 Aa	0.10±0.02 Aa			
July	60-80	0.09±0.04 Aa	0.07±0.01Ba	0.07±0.02Ba	0.06±0.02 Aa	0.09±0.03 Aa			
	80-100	0.08±0.03 Aa	0.06±0.02Ba	0.06±0.02Ba	0.07±0.02 Aa	0.07±0.04 Aa			
	0-100	0.09±0.02a	0.08±0.01a	0.09±0.03a	0.09±0.03a	0.10±0.04a			
	0-20	0.08±0.01 Aa	0.13±0.05Aa	0.10±0.04Aa	0.09±0.02 Aa	0.10±0.04 Aa			
	20-40	0.08±0.01 Aa	0.09±0.02ABa	0.09±0.03Aa	0.09±0.01 Aa	0.10±0.04 Aa			
August	40-60	0.07±0.02 Aa	0.08±0.01ABa	0.08±0.02Aa	0.07±0.03 Aa	0.11±0.04 Aa			
August	60-80	0.08±0.03 Aa	0.07±0.01Ba	0.07±0.02Aa	0.06±0.02 Aa	0.09±0.03 Aa			
	80-100	0.07±0.03 Aa	0.06±0.02Ba	0.06±0.02Aa	0.07±0.02 Aa	0.07±0.04 Aa			
	0-100	0.07±0.02a	0.09±0.03a	0.08±0.02a	0.08±0.02a	0.09±0.03a			
	0-20	0.07±0.01 Aa	0.09±0.02Aa	0.08±0.03Aa	0.08±0.01 Aa	0.09±0.03 Aa			
	20-40	0.07±0.01 Aa	0.08±0.02ABa	0.08±0.02Aa	0.08±0.01 Aa	0.10±0.04 Aa			
Sontombor	40-60	0.07±0.01 Aa	0.08±0.01ABa	0.08±0.02Aa	0.07±0.02 Aa	0.09±0.03 Aa			
September	60-80	0.08±0.02 Aa	0.07±0.01ABa	0.06±0.02Aa	0.06±0.02 Aa	0.09±0.03 Aa			
	80-100	0.06±0.02 Aa	0.05±0.02Ba	0.06±0.02Aa	0.07±0.01 Aa	0.06±0.03 Aa			
	0-100	0.07±0.01a	0.08±0.02a	0.07±0.02a	0.07±0.01a	0.09±0.03a			

1 Table 2. Soil moisture variation of five vegetation types during the growing season.

2 Values are mean \pm SD (n=4 for each vegetation type). Different uppercase letters indicate

3 significant differences in different soil depths, different lowercase letters indicate significant

4 differences in different vegetation patterns (P < 0.05).



7 Figure 1. Location of the study site, (Anjiapo catchment).





10 Figure 2. Characteristics of soil moisture variation in the 0-20 cm of five vegetation

- 11 types.
- 12



15 Figure 3. Characteristics of soil moisture variation in the 20-100 cm zone of five

¹⁶ vegetation types.



Figure 4. Characteristics of soil moisture variation during the growing season of fivetypes of vegetation.



Figure 5. Characteristic of soil temperature variations of five vegetation patterns.



29 Figure 6. Relationship between soil moisture content and soil depth after each rainfall

30 event.



Figure 7. Daily variation of soil moisture and cumulative soil water loss after arainfall event under five vegetation types.