

**Environmental soil
quality index and
indicators for a coal
mining soil**

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Environmental soil quality index and indicators for a coal mining soil

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Abstract

Assessment of soil quality is one of the key parameters for evaluation of environmental contamination in the mining ecosystem. To investigate the effect of coal mining on soil quality, opencast and underground mining sites were selected in the Raniganj Coalfield area, India. The physical, chemical, biological parameters, heavy metals, and PAHs contents of the soils were evaluated. Soil dehydrogenase (+79%) and fluorescein (+32%) activities were significantly higher in underground mine (UGM) soil, whereas peroxidase activity (+57%) was higher in opencast mine (OCM) soil. Content of As, Be, Co, Cr, Cu, Mn, Ni, and Pb was significantly higher in OCM soil, whereas, Cd was higher in UGM. In general, the PAHs contents were higher in UGM soils probably due to the natural coal burning in these sites. The observed values for the above properties were converted into a unit less score (0–1.00) and the scores were integrated into environmental soil quality index (ESQI). In the unscreened index (ESQI-1) all the soil parameters were included and the results showed that the quality of the soil was better for UGM (0.539) than the OCM (0.511) soils. Principal component analysis was employed to derive ESQI-2 and accordingly, total PAHs, loss on ignition, bulk density, Be, Co, Cr, Ni, Pb, and microbial quotient (respiration: microbial biomass ratio) were found to be the most critical properties. The ESQI-2 was also higher for soils near UGM (+10.1%). The proposed ESQI may be employed to monitor soil quality changes due to anthropogenic interventions.

1 Introduction

Coal, a combustible rock rich in carbon, is a crucial component of the energy mix that fuels the globe. In many countries, more than 70% of the electricity generation comes from coal. For more than 150 years, coal has been an important source of energy for both developing and industrial societies. Coal mining is one of the core industries and plays a positive role in the economic development of any country. Its environmental

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2.2 Soil analyzes

The methods described by Tandon (1993) and Baruah and Barthakur (1999) were used to determine the following soil properties: bulk density (BD) (soil core method), maximum water holding capacity (by equilibrating the soil with water), porosity (derived from bulk density), pH and EC in water (1 : 2.5, soil / water ratio), soil organic carbon (by potassium dichromate oxidation), and loss on ignition. Active microbial biomass carbon (AMBC) was measured by the glucose nutrient induced respiration method (Islam and Weil, 2000). Soil dehydrogenase activity was determined using the method of Klein et al. (1971). Phenol oxidase and peroxidase were measured with L-DOPA (L-3, 4 di hydroxy phenyl alanine) as substrate in acetate buffer (Robertson et al., 1999). Basal soil respiration (BSR) was measured as the CO₂ evolved from moist soil, adjusted to 60 % WHC, over an incubation period of 10 days at 25 ± 1 °C, in the dark (Islam and Weil, 2000). Soil metabolic quotient (AMBC/SOC) was calculated. Specific maintenance respiration rates (qCO₂) were calculated as BSR per unit of active (BSR/AMBC) microbial biomass carbon (Anderson and Domsch, 1990; Islam and Weil, 2000). Phosphatase enzyme (p-nitrophenyl phosphate method, colorimetry); fluorescein diacetate hydrolase activity (FDA) of the soil was determined by the method described by Dick et al. (1996). For analysis of soil heavy metal content, the soil samples were digested in a microwave (ETHOS, Milestone, Italy) as per USEPA 3051A method (USEPA, 2007) and filtered. The metals in the filtrate were determined by ICP-OES (iCAP 6300Duo, Thermo Fisher Scientific, UK). For soil PAH analysis, samples were extracted using 1 : 1 hexane: acetone mixture in microwave as per the USEPA method 3546 (USEPA, 1995). The concentrated extract was analyzed by GC-MS system (Varian 450 GC and 240 MS) for 16 PAHs.

2.3 Environmental soil quality indices

Two types of indexing system was followed to derive the environmental soil quality index (ESQI).

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2.3.1 Unscreened transformation

$$ESQI-1 = \sum_{i=1}^n S_i/n \quad (1)$$

Where, S denotes score of observed soil parameter, n is the number of parameters included in the index.

2.3.2 Principal component based ESQI

Principal component analysis (PCA) was used to select the appropriate properties and their weighing factors. PCs with eigen value ≥ 1 and explained at least 5% of the variation of the data are examined (Sharma et al., 2005). Under a particular principal component (PC), only the variables with high factor loadings were retained for indexing. High factor loadings were defined as having absolute values within 10% of the highest factor loading (Andrews et al., 2002a). When more than one variable was retained under a single PC, multivariate correlations were employed to determine if the variables could be considered redundant and, therefore, eliminated from the ESQI (Andrews et al., 2002b). If the highly loaded factors were not correlated then each was considered important, and thus, retained in the ESQI. Among well-correlated variables, the variable with the highest factor loading (absolute value) was chosen for the ESQI. Each PC explained a certain amount of variation (%) in the total data set; this percentage provided the weight for variables chosen under a given PC. The final PCA based ESQI equation is as follows:

$$ESQI-2 = \sum_{i=1}^n W_i S_i/n \quad (2)$$

Where W is the PCA weighing factor of the soil properties selected by PCA, and S is their respective scores. To convert the real values of the soil properties in to scores

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contains significant amounts of Zn (Apeageyi et al., 2011), thereby it is likely that the contamination of both OCM and UGM soils with Zn is from vehicular activities.

3.3 Soil PAHs

Among the soil PAHs, acenaphthylene and phenanthrene were not significantly affected between OCM and UGM soils (Table 3). Naphthalene (+26 %), fluorene (+66 %), anthracene (+43 %), fluoranthene (+44 %), pyrene (+52 %), benz(a)anthracene (+41 %), chrysene (+50 %), benzo(b)fluoranthene (+66 %), benzo(k)fluoranthene (+69 %), benzo(a)pyrene (+62 %), and total PAHs (+24.3 %) were significantly higher in UGM soils. Acenaphthene (+43 %), benzo(g,h,i)perylene (+89 %), and dibenzo(a,h)anthracene (+94 %) were significantly higher in OCM soils. In general the PAHs contents were higher in UGM soils probably due to the natural coal burning in these sites. The said coal mine has experienced mine fires and mining operations were closed for quite a few years. Tsibart et al. (2014) observed higher PAHs content in soils affected by fire. Maximal total concentrations of 14 PAHs were detected in charred peat horizons (up to 330 mg kg^{-1}) and in post-fire incipient O horizons (up to 180 mg kg^{-1}), but the high-molecular-weight PAHs (benz(ghi)perylene, benz(a)pyrene, benz(k)fluoranthene) were revealed only in charry peat horizons. During coal burning the organic compounds in the coal are partially cracked to smaller and unstable fragments. These fragments, mainly highly reactive free radicals with a very short average lifetime, lead to more stable PAH formation through recombination reactions (Mastral and Callén, 2000). Further, fluoranthene and pyrene are enriched in UGM soils, and are commonly considered as typical pyrogenic products derived from high temperature condensation of lower molecular weight aromatic compounds (Li et al., 2010). PAHs are emitted in the gas and solid phases. Both these PAHs can travel in the atmosphere and settle down on soil, water bodies and other environmental media. Natural mine fire as well as domestic use of coal for cooking in the UGM site might have contributed to elevated PAHs content in the UGM soils. Natural coal fires were

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3.4.2 Principal component analysis based index (ESQI-2)

All the soil variables were included for principal component analysis. The first five PCs had eigenvalues > 1.00 (Table 4). The highly loaded variable under PC-1 was total PAHs and was included in the ESQI. Likewise in PC-2, LOI, dibenzo(a,h)anthracene, indeno(1,2,3,c,d)pyrene, and benzo(g,h,i)perylene were highly loaded. As these parameters are highly correlated ($r > 0.700$) among themselves and the total PAHs were already included in the ESQI, only LOI from PC-2 was included in the index. Similarly Be, Co, Cr, Ni, and Pb from PC-3 was included from PC-3, all these elements were correlated among themselves, therefore the weight corresponding to PC-3 was equally divided among these elements. BD, POR, and WHC were highly loaded from PC-4, and these parameters were correlated among themselves; only BD was selected for the ESQI. Similarly BSR/AMBC was included in the ESQI from PC-5. Weights for selected variables were determined by the percent variation in the data set explained by the five PCs. The final normalized PCA based soil quality equation is

$$\text{ESQI-2} = 0.439S_{\text{total PAHs}} + 0.195S_{\text{LOI}} + 0.031S_{\text{Be}} + 0.031S_{\text{Co}} + 0.031S_{\text{Cr}} + 0.031S_{\text{Ni}} + 0.031S_{\text{Pb}} + 0.128S_{\text{BD}} + 0.084S_{\text{BSR/AMBC}} \quad (5)$$

This is probably one of the first studies where total PAHs has been used as a soil quality indicator. In line with PAHs, the LOI was also included in the ESQI. The LOI could be an indirect measure of the coal contamination in the soils, the LOI observed in the soils is much higher than the normal soils. As coal is an organic matter, it contributes to soil LOI and PAHs. The soil PAH is very important in contamination and human exposure point of view. PAH profile in UK soils, indicates that benzo[a]pyrene is a good surrogate marker, being ubiquitous in sites contaminated with PAHs and providing a consistent indicator of the amount of PAHs in contaminated soil (HPA, 2010). Fluoranthene is suggested as a complementary indicator to benzo(a)pyrene (Bostrom et al., 2002). Heavy metals are ubiquitous pollutants coal has also been reported as one of the source of heavy metal pollutants especially Cr, Ni, and CO (Masto et al., 2007b) as

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some of these elements are enriched in the coal. The bulk density is an important parameter which affects the soil productivity of the coal-mined land because it indicates the suitability of the soil for root proliferation, water-holding capacity, and long-term nutrient availability. It is found to affect the entire biological reclamation process by influencing moisture retention capacity, and porosity. The ratio BSR / AMBC is most appropriately used as an index of adversity of environmental conditions for the soil micro flora and has valuable applications as a relative measure of how efficiently the soil microbial biomass is utilizing carbon sources, and the degree of substrate limitation for the soil microorganisms (Wardle and Ghani, 1995). Soil microorganisms divert more energy from growth to maintenance as stress increases and thus the ratio of respired C to biomass C can be a much more sensitive indicator of stress. The BSR / MBC ratio indicates the carbon turnover rates in the soils, the importance of soil organic carbon in improving the overall soil quality has been reported widely (Debasish et al., 2014; Fialho and Zinn, 2014; Lozano-García and Parras-Alcántara, 2014). Paz-Ferreiro and Fu (2013) reviewed the limitations of using soil biochemical, microbiological, and biological properties for soil quality evaluation.

The ESQI-2 obtained using the PCA is presented in Fig. 1b, where the contribution of each soil indicator parameter on calculated ESQI is also shown, which gives an insight into the cause for the measured ESQI. The ESQI is higher in UGM (+10.1 %) than OCM soil. Total PAHs and LOI are the limiting parameters in UGM (−39.6 %) and OCM (−143 %) soils, respectively.

In general, the UGM has comparatively less environmental impacts than opencast mining. As underground mining operations take place below ground, they generally do not create as much air pollution, contribute less to groundwater, surface water and soil pollution, and are not as visually intrusive. Similar soil quality studies on mining area showed that reclamation of mine soil through plantation could improve the SQI score (Asensio et al., 2013).

4 Conclusions

For both the indexing methods, the underground mine soil has better soil quality values (0.539 and 0.576) than the opencast mines (0.511 and 0.518). Based on principal component analysis total PAHs, loss on ignition, bulk density, Be, Co, Cr, Ni, Pb, and microbial quotient (respiration: microbial biomass ratio) were found to be the most critical soil quality indicators. For the first time, total soil PAH has emerged as an indicator of soil quality and it is pertinent to reinforce that the index is being applied for coal contaminated soils. Another interesting finding is the loss on ignition (an indirect measure of soil organic matter) has been used as an indicator with negative score (less is better). Here again it is applicable only for the coal contaminated soils. The proposed equation as an environmental soil quality index could be valid for establishing the degree of degradation of coal contaminated soils as a function of soil physical, chemical, biological parameters, heavy metals, and PAHs.

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Table 1. Soil physical, chemical and biological properties in the opencast and underground mining site.

| Soil parameters | Underground mine ($n = 17$) | | | | Opencast mine ($n = 32$) | | | | T sig. |
|-----------------|-------------------------------|-------|-------|-------|----------------------------|-------|-------|-------|--------|
| | Min | Max | Mean | SD | Min | Max | Mean | SD | |
| BD | 1.15 | 1.59 | 1.36 | 0.128 | 1.20 | 1.55 | 1.41 | 0.096 | NS |
| POR | 39.9 | 56.9 | 48.7 | 5.30 | 35.2 | 55.2 | 46.3 | 4.10 | NS |
| WHC | 23.3 | 43.0 | 35.4 | 5.60 | 24.2 | 45.4 | 32.7 | 4.80 | NS |
| pH | 4.90 | 7.80 | 6.37 | 0.818 | 4.88 | 8.58 | 6.28 | 0.890 | NS |
| EC | 0.380 | 1.12 | 0.682 | 0.237 | 0.208 | 1.45 | 0.636 | 0.356 | NS |
| SOC | 0.526 | 1.99 | 1.09 | 0.475 | 0.508 | 7.12 | 2.04 | 1.31 | 0.000 |
| LOI | 4.36 | 16.1 | 9.17 | 3.45 | 11.4 | 24.9 | 15.9 | 2.44 | 0.000 |
| DHA | 45.3 | 264 | 144 | 61.0 | 14.3 | 67.5 | 30.7 | 14.5 | 0.000 |
| FDA | 3.34 | 14.0 | 8.53 | 3.23 | 1.12 | 15.5 | 5.80 | 3.48 | 0.009 |
| AMBC | 23.3 | 186 | 96.2 | 35.9 | 20.3 | 175 | 92.7 | 45.7 | NS |
| BSR | 498 | 812 | 675 | 90.9 | 456 | 861 | 675 | 93.3 | NS |
| PHOX | 0.007 | 0.027 | 0.017 | 0.006 | 0.003 | 0.060 | 0.020 | 0.013 | NS |
| POX | 0.001 | 0.027 | 0.010 | 0.006 | 0.005 | 0.051 | 0.023 | 0.012 | 0.000 |
| ACP | 1.29 | 26.3 | 9.17 | 6.36 | 1.93 | 35.0 | 11.6 | 8.11 | NS |
| AKP | 0.323 | 32.6 | 9.27 | 9.03 | 1.45 | 37.4 | 12.8 | 9.48 | NS |
| AMBC/SOC | 0.003 | 0.017 | 0.009 | 0.004 | 0.001 | 0.024 | 0.006 | 0.006 | 0.041 |
| BSR/AMBC | 3.65 | 27.8 | 8.44 | 5.39 | 3.76 | 20.1 | 10.3 | 7.40 | NS |

(SD, standard deviation; BD, bulk density (Mg m^{-3}); POR, porosity (%); WHC, water holding capacity (%); EC, electrical conductivity (dS m^{-1}); SOC, soil organic carbon (%); LOI: loss on ignition (%); DHA, dehydrogenase activity ($\text{mg TPF kg}^{-1} \text{h}^{-1}$); FDA, fluorescein diacetate hydrolase activity ($\text{mg fluorescein kg}^{-1} \text{h}^{-1}$); AMBC, active microbial biomass carbon (mg kg^{-1}); BSR, basal soil respiration ($\text{mg CO}_2\text{-C kg}^{-1} \text{day}^{-1}$); PHOX, phenol oxidase activity ($\text{mM g}^{-1} \text{h}^{-1}$); POX, peroxidase activity ($\text{mM g}^{-1} \text{h}^{-1}$); ACP, acid phosphatase activity ($\text{mg PNP kg}^{-1} \text{h}^{-1}$); AKP, alkaline phosphatase activity ($\text{mg PNP kg}^{-1} \text{h}^{-1}$); NS, not significant at $P < 0.05$).

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Table 2. Soil heavy metal contents in the opencast and underground mining site.

| Heavy metals (mg kg ⁻¹) | Underground mine (<i>n</i> – 17) | | | | Opencast mine (<i>n</i> – 32) | | | | T sig. |
|--|-----------------------------------|-------|-------|-------|--------------------------------|-------|-------|-------|--------|
| | Min | Max | Mean | SD | Min | Max | Mean | SD | |
| As | 0.800 | 4.50 | 2.55 | 0.862 | 0.500 | 8.50 | 3.96 | 1.82 | 0.000 |
| Be | 0.420 | 1.52 | 0.944 | 0.259 | 0.660 | 2.86 | 1.20 | 0.500 | 0.021 |
| Cd | 0.001 | 0.220 | 0.06 | 0.070 | 0.001 | 0.100 | 0.012 | 0.026 | 0.006 |
| Co | 4.60 | 25.1 | 14.5 | 5.85 | 7.90 | 54.2 | 22.0 | 10.8 | 0.002 |
| Cr | 32.5 | 93.5 | 64.3 | 16.5 | 50.0 | 187 | 97.5 | 34.4 | 0.000 |
| Cu | 11.3 | 41.0 | 21.9 | 8.57 | 14.5 | 43.0 | 27.1 | 6.91 | 0.041 |
| Mn | 100 | 824 | 436 | 229 | 169 | 5494 | 947 | 918 | 0.005 |
| Ni | 12.7 | 41.2 | 27.5 | 8.55 | 18.9 | 87.6 | 33.9 | 14.4 | 0.056 |
| Pb | 10.7 | 26.9 | 17.4 | 4.42 | 11.6 | 73.7 | 27.3 | 14.5 | 0.000 |
| Zn | 21.2 | 89.0 | 47.8 | 21.5 | 24.8 | 84.3 | 46.8 | 15.8 | NS |

NS, not significant at $P < 0.05$.

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Table 4. Continued.

| Principal components | Rotated compound matrix | | | | |
|-------------------------|-------------------------|---------------------|---------------------|--------|--------|
| | PC-1 | PC-2 | PC-3 | PC-4 | PC-5 |
| Cr | -0.217 | 0.187 | <i>0.836</i> | -0.087 | 0.117 |
| Cu | -0.117 | 0.260 | 0.701 | 0.456 | 0.122 |
| Mn | -0.129 | 0.169 | 0.669 | -0.119 | 0.086 |
| Ni | -0.176 | 0.039 | <i>0.848</i> | 0.056 | 0.142 |
| Pb | -0.055 | 0.156 | <i>0.894</i> | -0.170 | 0.031 |
| Zn | 0.181 | 0.078 | 0.491 | 0.478 | 0.092 |
| POX | -0.111 | 0.471 | 0.151 | 0.002 | 0.249 |
| Naphthalene | 0.683 | -0.062 | -0.131 | 0.193 | 0.003 |
| Acenaphthylene | 0.782 | 0.094 | -0.119 | 0.095 | 0.144 |
| Acenaphthene | 0.595 | 0.656 | -0.022 | -0.042 | -0.052 |
| Fluorene | 0.622 | -0.327 | -0.216 | 0.048 | 0.193 |
| Phenanthrene | 0.812 | -0.106 | 0.033 | 0.053 | -0.156 |
| Anthracene | 0.851 | -0.162 | -0.017 | -0.053 | 0.033 |
| Fluoranthene | 0.812 | -0.199 | -0.013 | 0.000 | 0.077 |
| Pyrene | 0.686 | -0.461 | -0.145 | -0.025 | -0.020 |
| Benzaanthracene | 0.570 | -0.313 | 0.086 | 0.074 | 0.041 |
| Chrysene | 0.708 | -0.336 | -0.168 | 0.000 | -0.179 |
| Benzo(b)fluoranthene | 0.553 | -0.648 | -0.166 | -0.062 | 0.155 |
| Benzo(k)fluoranthene | 0.437 | -0.617 | -0.176 | -0.088 | 0.160 |
| Benzo(a)pyrene | 0.526 | -0.495 | -0.132 | 0.047 | 0.186 |
| Benzo(g,h,i) perylene | -0.148 | <i>0.874</i> | 0.186 | -0.077 | -0.147 |
| Dibenzo(a,h)anthracene | -0.118 | <i>0.855</i> | 0.193 | -0.039 | 0.081 |
| Indeno(1,2,3,c,d)pyrene | -0.079 | <i>0.787</i> | 0.168 | -0.230 | -0.007 |
| Total PAHs | <i>0.976</i> | -0.020 | -0.078 | -0.033 | 0.047 |

Boldface factor loadings are considered highly weighed; bold-italic factors correspond to the indicators included in the index.

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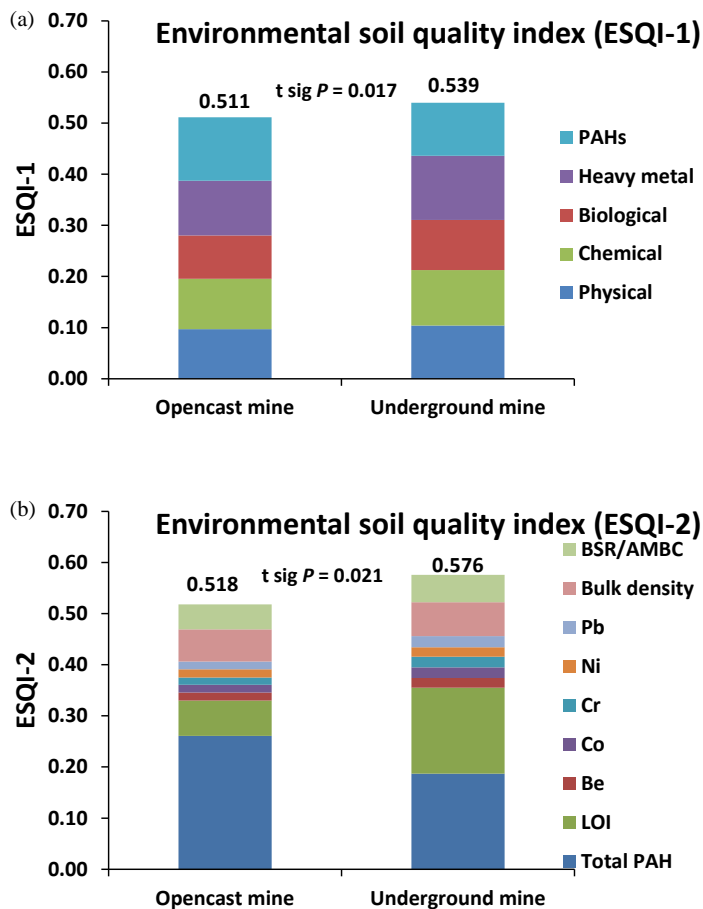


Figure 1. Environmental soil quality index of opencast and underground mine soils by (a) unscreened transformations, and (b) principal component analysis based index.

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