

Development of a composite soil degradation assessment index for cocoa agroforests under tropical conditions of southwest Nigeria

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Abstract. Cocoa agroforestry is a major landuse type in the tropical rainforest belt of West Africa, reportedly associated with several ecological changes, including soil degradation. This study aims to develop a composite soil degradation assessment index (CSDI) for determining the degradation level of cocoa soils under smallholder agroforests of southwest Nigeria. Plots where natural forests have been converted to cocoa plantations of ages 1-10 years, 11-40 years and 41-80 years, respectively representing young cocoa plantations (YCP), mature cocoa plantations (MCP) and senescent cocoa plantations (SCP) were identified to represent the biological cycle of the cocoa tree. Soil samples were collected at a depth of 0-20cm in each plot and analysed in terms of their physical, chemical and biological properties. Factor analysis of soil data revealed four major interacting soil degradation processes, decline in soil nutrient, loss of soil organic matter, increase in soil acidity and the breakdown of soil textural characteristics over time. These processes were represented by eight soil properties (extractable zinc, silt, SOM, CEC, available phosphorus, total porosity, pH, and clay). These soil properties were subjected to forward stepwise discriminant analysis (STEPDA), and the result showed that four soil properties (extractable zinc; cation exchange capacity; soil organic matter and clay) have the highest power to separate the studied soils into YCP, MCP and SCP. In this way, we hope to have controlled sufficiently for redundancy in the final selection of soil degradation indicators. Based on these four soil parameters, CSDI was developed and used to classify selected cocoa soils into three (3) different classes of degradation. The results revealed that 65% of the selected cocoa farms are moderately degraded, while 18% have a high degradation status. Finally, the value of the CSDI as an objective index of soil degradation under cocoa agroforests was statistically validated.

Keywords: Smallholder cocoa agroforests, age-sequenced plantations, minimum data set, degradation indicators, composite soil degradation assessment index, tropical conditions.

35 **Introduction**

36 Healthy soil is vital to successful agriculture and global food security (Virto, et al., 2014; Lal, 2015). Soil
37 performs several ecosystem functions such as carbon sequestration and regulation (Novara et al. 2011; Brevik et
38 al. 2015); buffering and filtering of pollutants (Keesstra et al. 2012); climate control through the regulation of C
39 and N fluxes (Brevik et al.2015); and home for biodiversity (Schultecoo et al. 2015). Nonetheless, misuse of soils,
40 arising from intensive agricultural production and unsustainable land use practices have resulted in soil
41 degradation, particularly in developing countries with poor infrastructure and financial capacity to manage natural
42 resources (Tefahunegn, 2016). Statistics show that 500 million hectare (Mha) of land in the tropics (Lal, 2015),
43 and more than 3500 million hectare (Mha) of global land area (Karlen and Rice, 2015) are currently affected by
44 soil degradation, with serious implications for food security and the likelihood of malnutrition, ethnic conflict,
45 and civil unrest (Lal, 2009). In response to these problems, an increasing interest in soil degradation has been
46 observed among researchers and policy makers (Scherr 1999; Adesodun et al. 2008; Baumhardt et al. 2015;
47 Hueso-González et al. 2014; Lal, 2015; Tefahunegn, 2016).

48 Soil degradation is a measurable loss or reduction of the current or potential capability of soils to produce
49 plant materials of desired quantity and quality (Chen et al. 2002). Many scientists viewed soil degradation as a
50 decline in soil quality (Lal 2001; Adesodun et al. 2008; Beniston et al. 2015), and soil quality (SQ) as the capacity
51 of a soil to function within ecosystem and land-use boundaries (Doran and Zeiss, 2000; Karlen et al. 2001; Doran,
52 2002; Yemefack, 2005). Unfortunately, when soil degradation reaches an advance stage, soil quality restoration
53 is practically difficult (Lal and Cummings 1979). Therefore, good knowledge of SQ is important for developing
54 appropriate anti-degradation measures (Tefahunegn, et al., 2011). Since, soil degradation and soil quality are
55 interlinked through many processes (Lal, 2015), scholars have suggested that soil degradation can be assessed
56 using soil quality assessment strategies (Tefahunegn, 2014, Pulido et al. 2017). But, an essential step when
57 assessing soil degradation based on soil quality assessment strategies is the need for careful selection of
58 appropriate indicators relevant to degradation processes under investigation.

59 Degradation of soils is complex, often the consequence of many interacting processes (Prager et al.
60 2011). However, major processes include accelerated erosion (Cerde et al. 2009; Bravo-Espinosa et al. 2014);
61 deforestation (De la paix et al. 2013); poor pasture management (De Souza Braz et al. 2013); decline in soil
62 structure (Cerde 2000); salinization associated with inadequate irrigation management (Prager et al. 2011;
63 Ganjegunte et al. 2014); alkalization and sodification (Condom et al. 1999); depletion of soil organic matter
64 (SOM) (Novara et al. 2011); reduction in the activity of soil microorganisms (Lal 2009); and soil compaction
65 (Pulido et al. 2017). For sustainable soil management in agricultural regions, it is essential for farmers and
66 scientists to identify major dominant degradation processes and their indicators.

67 Cocoa (*Theobroma cacao* L.) is a major agricultural landuse type in the tropical rainforest belt of West
68 Africa (Tondoh et al. 2015), covering an estimated total area of about 6 million-ha in Côte d'Ivoire, Ghana,
69 Nigeria and Cameroon (Sonwa et al. 2004). Unfortunately, cocoa landscapes are often associated with a range of
70 ecological changes including deforestation, biodiversity loss, destruction of soil flora and fauna from pesticide
71 usage, and accelerated soil degradation (Critchley and Bruijnzeel 1996; Salami 1998, 2001; Rice and Greenberg
72 2000; Asare 2005; Ntiamoah and Afrane 2008; Mbile et al. 2009; Adeoye and Ayeni 2011; Jagoret et al. 2012;

73 Akinyemi 2013; Schoneveld 2014; Sonwa et al. 2014, Tondoh et al. 2015). Till date, soil degradation assessments
74 at plot scale in regions undergoing farmland conversion to cocoa agroforests are limited.

75 Worldwide, agricultural practices have been regarded as one of the major causes of soil degradation
76 (Kessler and Stroosnijder 2006, Rahmanipour, et al. 2014, Karlen and Rice, 2015, Zornoza et al., 2008) It is
77 widely acknowledged that agricultural practices or land use changes in agricultural regions alter key soil
78 properties such as soil organic matter (SOM), total nitrogen (TN), cation exchange capacity (CEC), exchangeable
79 cations, water holding capacity (WHC), bulk density (BD), and total porosity (TP) (Lemenih et al. 2005; Awiti
80 et al. 2008; Trabaquini 2015; Dawoe et al. 2010, 2014; Ameyan & Ogidiolu 1989; Hadgu et al. 2009; Thomaz &
81 Luiz 2012; Zhao et al. 2014; Tesfahunegn 2014). Although, many of these soil properties are regularly used as
82 indicators of soil degradation (Trabaquini 2015), the use of individual soil characteristics often provides an
83 incomplete representation of soil degradation (De la Rosa 2005; Puglisi et al. 2005, 2006). To overcome this
84 shortcoming, an integration of soil properties into numeric indices has been proposed (Doran & Parkin, 1994,
85 Leirós, et al. 1999; Bastida et al. 2006, Gómez et al. 2009, Puglisi et al. 2005, 2006; Sharma et al. 2008; Pulido
86 et al. 2017). Thus, Sánchez-Navarro et al. (2015) developed an overall soil quality index suitable for monitoring
87 soil degradation in semiarid Mediterranean ecosystems. Pulido et al. (2017) developed a soil degradation index
88 for rangelands of Extremadura SW Spain based on six indicators, cation exchange capacity (CEC), available
89 potassium, soil organic matter (SOM), water content at field capacity, soil depth and the thickness of the Ah-
90 horizon. Gomez et al. (2009) developed three soil degradation indexes obtained through a principal component
91 analysis (PCA) of the soils under organic olive farms in southern Spain. One of the index used only three soil
92 properties, organic C, water stable macroaggregates, and extractable P. According to these authors, this index has
93 the highest potential to be used as a relatively easy and inexpensive screening test of soil degradation in organic
94 olive farms in southern Spain. Till date, less attention has been given to development of numeric indices for
95 monitoring soil degradation under crop-specific landuse management systems in tropical countries. Whereas,
96 such indices can serve as the basis for integrating and interpreting several soil measurements, thereby indicating
97 whether a landuse management system is sustainable or not.

98 The aim of the present study is to develop a composite soil degradation assessment index (CSDI) for
99 shaded cocoa agroforests under tropical conditions in southwest Nigeria. This area is currently suffering from soil
100 degradation arising from cocoa based agroforests under a “slash and burn” farming system. Soil conditions under
101 age-sequenced peasant cocoa agroforests are investigated. The agroforest ages of 1-10 years, 11-40 years and 41-
102 80 years – hereafter referred to as young cocoa plantation (YCP), mature cocoa plantation (MCP) and senescent
103 cocoa plantation (SCP) respectively – were targeted as this is in line with the biological cycle of the cocoa tree
104 (Isaac et al. 2005; Jagoret et al. 2011, 2012; Saj et al. 2013). The specific objectives are: (i) to identify the most
105 important soil degradation processes associated with shaded cocoa agroforestry in the study area; (ii) to select a
106 minimum data set (MDS) of soil degradation indicators using multivariate statistical techniques; (iii) to integrate
107 the MDS into a CSDI; and (iv) to statistically validate CSDI and evaluate to what extent the CSDI can be used as
108 a tool by researchers, farmers, agricultural extension officers and government agencies involved in rehabilitation
109 of degraded cocoa soils in southwest Nigeria (and similar environments).

125 **2.0 Materials and Methods**

126 **2.1 Study area**

127 This study was carried out in the Ife region, southwest Nigeria (Figure 1), where most of the soils have been under
128 cocoa plantations for more than eighty years (Abiodun, 1971; Berry, 1974). The climate is humid tropical with a
129 mean daily minimum temperature of 25°C and a mean maximum temperature of 33°C. The mean annual rainfall
130 ranges between 1400 mm and 1600 mm, with a long- wet season lasting from April to October, and a relatively
131 short dry season that lasts from November to March. The natural vegetation is dominated by humid tropical
132 rainforests of the moist evergreen type, characterized by multiple canopies and lianas. The area is underlain by
133 rocks from the Basement complex of Pre-Cambrian Age, which are exposed as outcrops in several areas. The
134 soils are mainly Alfisols, classified as Kanhaplic Rhodustalf in the USDA Soil Taxonomy (Soil Survey Staff,
135 2006), or Luvisols (World Soil Reference, 2006) and locally known as Egbeda Association (Smyth &
136 Montgomery 1962). The area of study lies within the Egbeda soil series, characterised by sandy loam soils, with
137 increasing clay content in the lower horizons. The soils are slightly acidic to neutral in reaction (pH 6.5). With
138 the exception of the areas set aside as forest reserves, the natural vegetation has been replaced with perennial and
139 annual crops. Cocoa agroforests in the region were traditionally established using “slash and burn” approach
140 (Tondoh et al. 2015; Ngo-mbogba et al. 2015), where primary or secondary forests are selectively cleared, burned
141 and cocoa is planted along with understory food crops (Isaac et al. 2005). Farmers have recently shifted towards
142 full-sun cocoa agroforestry, particularly in areas where natural forest is scarce (Oke and Chokor 2009). Cocoa
143 trees are regularly sprayed with chemicals to combat black pod disease (*Phytophthora* sp), but farmers depend
144 entirely on the natural fertility of the soil without application of inorganic fertilizers or organic manure.

145 **2.2 Site selection**

146 A reconnaissance survey of Ife region was carried out between March and April 2013. Considering soil
147 variability and heterogeneity, five settlements of cocoa farmers (Mefoworade, Omifunfun, Aye Coker, Aba
148 Oyinbo and Kajola-Onikanga) in the southern Ife area were randomly selected as study sites. In each site, a total
149 of eight (8) cocoa stands of different ages (since site clearance) were randomly selected and assigned to three
150 cocoa plantation age categories: YCP (10 plots), MCP (15 plots) and SCP (15 plots). All sampled plots were
151 restricted to upper slope positions of a catena where the slope angle did not exceed 2° to ensure that catenary
152 variation in soil properties between the farms studied was minimal. Local farmers served as the main source of
153 information on the age distribution of the cocoa plantations and their permission was also sought to use their
154 farms as research plots. Each research plot was visited several times and notes were made on the physical
155 characteristics of the fields, their approximate sizes, presence of other crops and neighbouring trees, levels of
156 farm maintenance and evidence of soil erosion.

158 **2.3 Soil sample collection for laboratory analysis**

159 Soil sampling was conducted in May 2013. A quadrant measuring 1000 m² was demarcated at the centre of each
160 cocoa plantation. Each quadrant was subdivided into ten 100 m² sub-quadrants and serially labelled. Soil samples
161 were drawn at the centre of the even-numbered sub-quadrants, resulting in a total of five soil samples per plot.

162 Measurements were confined to the top 0–20 cm soils for the following reasons: (i) most significant changes in
163 soil characteristics in any vegetation (especially in a tropical environment) are confined to the topmost layer of
164 the soil profile (Aweto 1981; Aweto and Iyanda 2003); (ii) these depths cover the main distribution of roots and
165 soil nutrient stocks of cocoa plantations (Hartemink 2005); (iii) biological processes, such as earthworm activities
166 are restricted to 0-10 cm layer of tropical soils; (iv) to facilitate future replication of the methodology as routine
167 soil samples are usually kept at top-soil layer (plough layer). Two categories of soil samples were taken at each
168 sampling point to promote a detailed investigation of soil-property differences. The first was an undisturbed
169 sample using a bulk-density ring measuring 5 x 5 cm (diameter and height), whereas the other sample was taken
170 using a soil auger. The first sample was used to determine bulk density (BD), water-holding capacity (WHC) and
171 saturated hydraulic conductivity (SHC), and the second sample was used to determine the other studied soil
172 properties. The soil samples were stored in labelled polythene bags and taken to the laboratory for analysis. The
173 composite soil samples aggregated from the five samples collected in each plot were air-dried for two weeks,
174 hand ground in a ceramic mortar, passed through a 2 mm sieve and analysed for chemical properties and particle-
175 size distribution. Twenty-two soil properties were selected for analysis. The analytical methods are summarized
176 in Table 1.

177 **2.4 Statistical analyses and index development**

178 Based on extensive review of literature on soil quality and degradation assessment indexing, CSDI was developed
179 using a range of statistical techniques and procedures. The methodology consisted of eight steps as shown
180 schematically in fig. 2. Each of these steps is outlined below.

181 Step 1) involved selection of relevant indicators of soil degradation. Here, we selected twenty-two (22) analytical
182 soil properties widely acknowledged as soil quality and degradation indicators.

183 In Step 2) a factor analysis was performed to group all the soil data into statistical factors with principle component
184 analysis (PCA) as the method of factor extraction (Teschfahunegn et al., 2011). Factors were subjected to varimax
185 rotation with Kaiser normalization in order to generate factor patterns that load highly significant variables into
186 one factor, thereby producing a matrix with a simple structure that is easy to interpret (Ameyan and Ogidiolu
187 1989; de Lima et al. 2008; Momtaz et al. 2009). Factors with eigenvalues of less than one (1) were ignored. The
188 order in which the factors were interpreted was determined by the magnitude of their eigenvalues. Under each
189 factor, soil properties regarded as highly important were retained. These were defined as those that had a loading
190 value within 10% of the highest loading within an individual factor (Andrews et al. 2002). Soil properties that are
191 widely acknowledged as good indicators of soil quality, but with factor loading scores ≤ 0.70 , were also retained.
192 Soil physical, chemical and biological properties that have been suggested as important soil quality indicators
193 include soil organic carbon, available nutrients and particle size, bulk density, pH, soil aggregate stability, cation
194 exchange capacity and available water content (Doran and Parkin, 1994; Larson and Pierce, 1994; Karlen et al.,
195 1997; Zornoza et al., 2007; García-Ruiz et al., 2008; Qi et al., 2009; Marzaioli et al., 2010; Fernandes et al., 2011;
196 Lima et al., 2013; Merrill et al., 2013; Rousseau et al., 2013; Singh et al., 2014; Zornoza et al.2015). In cases
197 where more than one soil property was found to be of high importance under a single PC, Pearson's correlation
198 coefficients were used to determine if any of these variables are redundant (Qi et al. 2009). When two highly

199 important variables were found to be strongly correlated ($r^2 > \pm 0.70$; $p < 0.05$), the one with the highest factor
 200 loading (absolute value) was retained (Andrews & Carroll 2001; Andrews et al. 2002; Montecchia et al. 2011).
 201 In Step 3) of the CSDI development, the highly important soil properties under each factor were subjected to
 202 stepwise discriminant analysis (STEPDA) to select key soil properties (variables). In principle, stepwise
 203 discriminant analysis generates two or more linear combinations of the discriminating variables, often referred to
 204 as discriminant functions (Teshahunegn et al., 2011). Whereas, the discriminant functions can be represented as:

$$D_i = d_{i1}Z_1 + d_{i2}Z_2 + \dots + d_{ip}Z_p. \quad (\text{eq 1})$$

205 where D_i is the score on discriminant function i , the d 's are weighting coefficients, and the Z 's are the standardized
 206 values of the p discriminating variables used in the analysis (Awiti et al. 2008). In this study, STEPDA was used
 207 to select variables with the highest power to discriminate between the treatments. The validity of the result was
 208 evaluated using the Wilk's Lambda value. This value is an index of the discriminating power ranging between 0
 209 and 1 (the lower the value, the higher the discriminating power). At each step of STEPDA, the variable that
 210 minimizes the overall Wilks' Lambda was selected. One of the advantages of STEPDA is that the final model
 211 contains the variables that are considered useful. The result of this process was an MDS consisting of the most
 212 important variables for quantifying soil degradation in the selected plantations.
 213

214 Step 4) involved the normalisation of the MDS variables to numerical scores between 0 and 1 using a linear
 215 scoring function (Masto et al. 2008; Ngo-mbogba et al. 2015). The "more is better" scoring curve was used to
 216 determine the linear score of soil variables:

$$S_L = \left(\frac{x-l}{h-l} \right) \quad (\text{eq 2})$$

217 where, S_L is the linear score (between 0 and 1) of a soil variable, x is the soil variable value, l is the minimum
 218 value and h is the maximum value of soil variable.
 219

220 During Step 5), the normalized MDS values were transformed into degradation scores (D) as described by Gómez
 221 et al. (2009) and obtained from:

$$D = 1 - S_L \quad (\text{eq 3})$$

222 where D is the degradation score and S_L is the normalized MDS value. Here, a score of 1 signifies the highest
 223 possible soil degradation score and 0 represents complete absence of degradation for a particular soil property.
 224 In Step 6) the degradation scores (D) were integrated into an index using the weighted additive method:
 225

$$\text{CSDI} = \sum_{i=1}^n (W_i D_i) \quad (\text{eq 4})$$

226
 228 where CSDI represents the composite soil degradation index, W_i is the weight of variable i , D_i represents the
 229 degradation scores of the parameters in the MDS for each of the cocoa farms, and n is the number of indicators
 230 in the MDS. W_i in eq. [4] was derived by the percentage of the total variance explained by the factor in which
 231 the soil property had the highest load divided by the total variance explained by all the factors with eigenvalues
 232 ≥ 1 (Masto et al. 2008; Armenise et al. 2013).

233 In Step 7) CSDI values were categorized into number of desired (3) classes of degradation using their Z-score
 234 value as obtained by:

235
$$Z = \frac{x - \mu}{\sigma} \quad (\text{eq 5})$$

236 where, Z is the z-score, x is the CSDI value of each plot, μ is the mean value and σ is the standard deviation. In
237 principle, z-scores explain the standard deviations of input values from the mean (Hinton 1999). For this purpose,
238 a Z values between -1 and 1 were regarded as having a *moderate* degradation status, while values of more than 1
239 was regarded as *high* and less than -1 as *low* (see results section for further explanation on this categorization).
240 In Step 8) the CSDI classification was statistically validated using a canonical discriminant analysis (CANDA).
241 Canonical discriminant analysis is a multivariate statistical technique whose objective is to discriminate among
242 pre-specified groups of sampling entities. The technique involves deriving linear combinations of two or more
243 discriminating variables (canonical variates) that will best discriminate among the *a priori* defined groups. In this
244 study, we used the “leave-one-out” cross validation procedure of CANDA. Using this procedure, a given
245 observation is deleted (excluded) and the remaining observations are used to compute a canonical discriminant
246 function that is used to assign the observation into a degradation class with the highest probability. For instance,
247 a sample with a probability of 0.003, 0.993 and 0.004 belonging to low, moderate and high degradation class
248 respectively was assigned to medium. This procedure is repeated for all observations and the result is a “hit ratio”
249 or confusion matrix, which indicates the proportions of observations that are correctly classified. Additionally,
250 CANDA was used to confirm the significance of the explanatory variables that discriminate between the three
251 soil degradation classes. In this study, the threshold (T) for the selection of variables correlating significantly with
252 the canonical discriminant functions was taken as $T = 0.2/\sqrt{\lambda}$ (eigenvalue) as suggested by Hadgu et al. (2009).
253 Scoring and indexing were performed using Microsoft Excel 2013. All statistical analyses were performed using
254 XLSTAT version 2016 (Addinsoft New York, USA).

255

256 **3.0 Results and discussion**

257 **3.1 Identification of soil degradation processes using factor analysis**

258 Table 2 shows the results of the factor analysis and reveals that the first five PCs had eigenvalues > 1 as illustrated
259 by the scree test (figure 3). Each PC explained 5% or more of the variation of the dataset. The first five PCs jointly
260 accounted for more than 77% of the total variance in the data set. In addition, it explained 68% of the variance in
261 available phosphorus, 84% in SOM, 76% in calcium, 65% in pH, 87% in clay, 90% in total nitrogen, 77% in silt,
262 83% in magnesium, 83% in sand, and 58% in bulk density. The high communalities among the soil properties
263 suggests that variability in selected soil properties is well accounted for by the extracted factors (Tefahunegn *et*
264 *al.*, 2011).

265 Extractable zinc, extractable manganese and silt had high positive loadings on PC1 (0.875, 0.857, and
266 0.838 respectively). Because a significant correlation exists between extractable zinc and extractable manganese
267 ($r=0.834$, $p<0.001$; Table 3), the latter variable was excluded. For ease of association, PC1 was labelled *soil*
268 *micronutrient degradation factor*. PC2 was loaded highly by CEC (0.884) and exchangeable calcium (0.871), but
269 given that the correlation analysis showed a strong relationship ($r=0.870$, $p<0.001$; Table 3) between CEC and
270 exchangeable calcium, the latter was also excluded. SOM, with a relatively high factor loading (0.711), was
271 retained owing to its relevance in monitoring soil quality degradation (Brejda et al. 2000; Sharma et al.2009;
272 Masto et al. 2008; 2009; Zornoza, et al., 2015). Because the correlation coefficient between SOM and CEC was

273 relatively low ($r=0.578$; $p<0.001$; Table 3), both were retained as highly important variables. Given that SOM
274 was significantly correlated with several of the eliminated soil properties in the group, the second component
275 factor was labelled the *soil organic matter degradation factor*.

276 The third component factor (PC3) was highly loaded on available phosphorus (0.810) and total porosity
277 (0.801). Because the correlation coefficient between the two variables is relatively low ($r=0.578$; $p<0.001$; Table
278 3), both properties were retained. The group of variables associated with the third factor was termed the *available*
279 *phosphorus degradation factor*. The fourth factor was labelled as *soil acidity degradation factor* because it was
280 highly loaded on pH (0.791) only. Similarly, the fifth factor was labelled *soil textural degradation factor* because
281 it was dominated by clay (0.812).

282 So far, the PCA result suggests that soil degradation in the study region is mainly linked to four
283 degradation processes, namely 1) decline in soil nutrient, 2) loss of soil organic matter, 3) increase in soil acidity
284 and 4) the breakdown of soil textural characteristics arising from differences in clay eluviation (Figure 4). Figure
285 5 summarises the results of the interrelationship among the 22 soil properties as a correlation circle. The figure
286 shows that the first two PCA axes jointly accounted for 40.08 % of the total variance, with the first axis
287 (eigenvalue = 8.545) representing mainly micronutrients with extractable manganese, zinc, silt and total nitrogen
288 in contrast to bulk density, copper and sand. The second axis (eigenvalue = 3.96) is represented by CEC and
289 exchangeable calcium as opposed to the pH content of the soils. Figure 6 represents the percentage contributions
290 of the investigated soil properties in selected cocoa plantation chronosequence (CPC).

291

292 **3.2 Selecting a minimum dataset (MDS) of soil degradation indicators**

293 The PCA results presented thus far suggest that eight indicators (extractable zinc, silt, SOM, CEC,
294 available phosphorus, total porosity, pH, and clay) can be used to assess soil degradation in the study area.
295 However, the collection and analysis of such a large number of indicators is not viable for monitoring programmes
296 covering extensive areas and the identification of key soil degradation indicators will be very useful. The eight
297 soil properties were consequently subjected to forward stepwise discriminant analysis (STEPDA) to determine
298 which of them are most important for soil degradation monitoring in the study area. Figure 7 and Table 4 show
299 that STEPDA separated cocoa plantation chronosequence (CPC) into three groups (YCP, MCP and SCP), based
300 on the explanatory variables (8 soil parameters) included in the model. The first discriminant function separates
301 the MCP from YCP and SCP, while the second discriminant function separates YCP from MCP and SCP. The
302 overall Wilks' lambda test ($\lambda=0.047$; $p<0.001$) confirms that the means of the cocoa plantation
303 chronosequence (CPC) were significantly different for the two discriminant functions.

304 Table 4 shows that the first discriminant function which accounts for more than 80% of the variance in
305 soil properties is positively correlated with organic matter (0.952; $p<0.001$), extractable zinc (0.806; $p<0.001$),
306 CEC (0.611; $p<0.001$), thus it is labelled *soil organic matter and macro nutrients* dimension. This result suggests
307 that the plots in MCP have higher concentrations of soil nutrients than YCP and SCP. Similarly, the second
308 discriminant function, which accounts for more than 19% of the variance in soil properties is positively correlated
309 with CEC (0.622; $p<0.001$) and SOM (0.096), but negatively correlated with silt (0.520), clay (0.139), porosity

310 (0.309), zinc (0.527), and available phosphorus (0.035). This suggests that the YCP cases have poor physical soil
311 properties compared to MCP and SCP. This function is labelled *soil physical and micronutrient dimension*.

312 The result of STEPDA confirmed that only four soil properties are significant in discriminating between
313 the cocoa plantation chronosequence (CPC). These soil properties and their partial regression (R^2) are SOM
314 ($R^2=0.797$, $p<0.001$; Wilks' Lambda=0.203), extractable zinc ($R^2=0.548$, $p<0.001$; Wilks' Lambda=0.259), CEC
315 ($R^2=0.379$, $p<0.001$; Wilks' Lambda=0.432) and clay ($R^2=0.169$, $p<0.05$; Wilks' Lambda=0.866). The relative
316 importance of these variables, as indicated by the length of their eigenvectors, is (in decreasing order) SOM,
317 extractable zinc, CEC, and clay. Consequently, these four soil properties constitute a minimum dataset (MDS) of
318 soil degradation indicators in our study area.

319 **3.3 MDS normalization, transformation and integration into CSDI**

320 The four selected indicators of the MDS were normalized and transformed into degradation scores (D) as
321 described in Section 2.4. Weights were assigned to each degradation score using the result of the factor analysis
322 (Table 2). As an example, the procedure to calculate the weighting factor for extractable zinc was as follows: the
323 individual percentage variance for PC1 (23.70), was divided by 77.15%, the cumulative percentage of variation
324 explained by all the retained PCs (Table 3), to yield the weight of 0.31. After assigning different weights to each
325 parameter, they were integrated into a CSDI. This index is the sum of the normalised and weighted values of each
326 parameter. CSDI was computed for each cocoa agroforests as:

$$327 \text{CSDI} = 0.21 (\text{DSOM}) + 0.31 (\text{DZn}) + 0.21 (\text{DCEC}) + 0.17 (\text{DClay}) \quad (\text{eq 6})$$

328 Ordering the variables included in the equation as a function of the loading of the coefficient gave:

$$329 \text{CSDI} = 0.31 (\text{DZn}) + 0.21 (\text{DSOM}) + 0.21 (\text{DCEC}) + 0.17 (\text{DClay}) \quad (\text{eq 7})$$

330 where, CSDI is the composite soil degradation index and DZn, DSOM, DCEC and DClay are the degradation
331 scores of extractable zinc, organic matter, CEC and clay respectively.

332 **3.4 Classification into degradation classes**

333 Table 5 shows the soil degradation classification of CSDI scores by solving equation 5. In our case, μ and σ were
334 calculated as 0.289 and 0.094 respectively, resulting in CSDI values of 0.195 when $Z = -1$ and 0.383 when $Z = 1$.
335 Consequently, the CSDI classes are *Low* (<0.195) and *High* (>0.383). CSDI values between 0.195 and 0.383
336 were regarded as *Moderate*. The interpretations of these classes is shown in table 6 (modified from Gómez et al.
337 2009). Most (65%) of the selected cocoa farms are moderately degraded, while 18% have a high degradation
338 status (Table 5). A significant difference was observed in the degradation status of YCP, MCP and SCP (ANOVA
339 test, $F_{2,39}=57.59$; $P<0.001$). Fig 8 shows that 30% of YCP, 53.33% of MCP, and 100% of SCP are moderately
340 degraded. However, 70% of YCP is highly degraded and 47% of MCP show no sign of degradation. This implies
341 that MCP plots are less degraded compared to YCP and SCP. This result is consistent with other studies in West
342 Africa. For instance, Dawoe et al. (2014) reported that, in humid lowland Ghana, soil properties and quality
343 parameters of a ferric lixisol improved under cocoa plantations that have been operating for 15-30 years and were
344 better than that of young cocoa plantations with a three-year production age. Similar results were obtained by
345 Tondoh et al. (2015), who reported that, in Côte d'Ivoire, there was a steady degradation of soil quality over time
346 in full-sun cocoa stands planted on ferralsols for 10 years, but the degradation value was less pronounced in 20-

347 year-old plantations. Comparing our results with those of Dawoe et al. (2014) and Tondoh et al. (2015) highlights
348 the effects of poor and unsustainable land management practices on soil degradation in peasant cocoa agroforests
349 in West Africa. Traditionally, cocoa plots are cultivated with food crops in the first three to five years of
350 development until the canopies have formed. Given that smallholder cacao farmers in the study area do not use
351 chemical fertilizers to improve soil quality, degradation of the physical, chemical and biological properties of
352 cocoa soils are imminent during this phase of plantation establishment.

353 **3.5 Statistical validation of CSDI**

354 A canonical discriminant analysis (CANDA) was used to validate the CSDI classification. The values of
355 the four soil properties (organic matter, extractable zinc, CEC and clay) were used as data input. Fig. 9 and Table
356 7 show that the three soil degradation classes (*low*, *moderate* and *high*) were significantly separated on the first
357 and second canonical functions (Wilk's Lambda=0.156, $F_{6,68}=13.04$, $p<0.0001$). Of the total variance, 93.46%
358 was accounted for by the first canonical function, which was significant at $p<0.001$. The second canonical
359 function accounted for 6.54% of the total variance and was significant at $P<0.005$. Extractable zinc, organic matter
360 and cation exchange capacity significantly contributed to the distinction among soil degradation classes and were
361 positively associated with the first canonical function (Table 7). Clay also contributed significantly to the
362 distinction among soil degradation classes, but was positively associated with the second canonical function
363 (Table 7).

364 CANDA classification results in Table 8 reveals that the CSDI model performs reasonable well, showing a
365 low level of misclassification. The table shows that for the original grouped cases, the CANDA correctly classified
366 6 of the 7 (85.7%) low, 23 of 26 (88.4%) moderate and all of the high cases. The implication of the CANDA
367 accuracy assessment is that the proposed classes of soil degradation (*Low*, *Moderate* and *High*) were significantly
368 separated by the four canonical variables included in the model and that the model can consequently be used with
369 a high degree of confidence. Result from this study indicate that the CSDI can effectively be used to monitor and
370 evaluate the degree of soil (Alfisols) degradation under cocoa plantation in the study area (and similar
371 environments). However, more work is needed, to apply and evaluate the index on different soil types from
372 different cocoa producing regions or countries.

373 **4.0 Conclusions**

374 In this study, we developed a composite soil degradation index (CDSI) to cost-effectively assess the status
375 of soil degradation under cocoa agroforests. Of the initial twenty-two (22) soil properties evaluated, multivariate
376 statistical analyses revealed that four (4) soil properties (extractable zinc, SOM, CEC and clay) were the main
377 indicators of soil degradation. This minimum dataset (MDS) of soil degradation indicators was used to produce a
378 CSDI, which was classified into three classes of degradation. According to this classification 65% of the selected
379 cocoa farms are moderately degraded, 17.5% have a high degradation status and 17.5% show no sign of
380 degradation. This classification corresponded well with a CANDA classification performed on the same dataset.

381 The findings suggest that the selection of a small set of relevant indicators will be more cost-efficient and
382 less time consuming than using a large number of soil properties that may be irrelevant to the processes of

383 degradation. They also suggest that soil degradation under cocoa agroforests (in this region at least) is mainly
384 attributed to a decline in soil nutrient, loss of soil organic matter, increase in soil acidity and the breakdown of
385 soil textural characteristics over time. This study shows that both physical and chemical soil properties are
386 degraded under long-term cocoa production. The implications are serious for cocoa production sustainability on
387 acidic Alfisols. Degradation of physical components of these soils portends serious risks to crop yields.
388 Degradation of chemical soil properties, coupled with non-application of fertilizers, will likely exacerbate soil
389 degradation processes. To prevent smallholder cocoa production from becoming unsustainable in the long-term,
390 it is critical to advise farmers of the need for the application of artificial fertilizers, particularly under young cocoa
391 plantations. Although the application of fertilizers will substantially improve the soil structure and nutrient
392 conditions of cocoa soils, the poor transportation system in rural areas and prohibitive costs associated with
393 artificial fertilizer application in cocoa groves remains a challenge to both farmers and government.

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403 **6.0 References**

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Figure 1: Location map of the study area

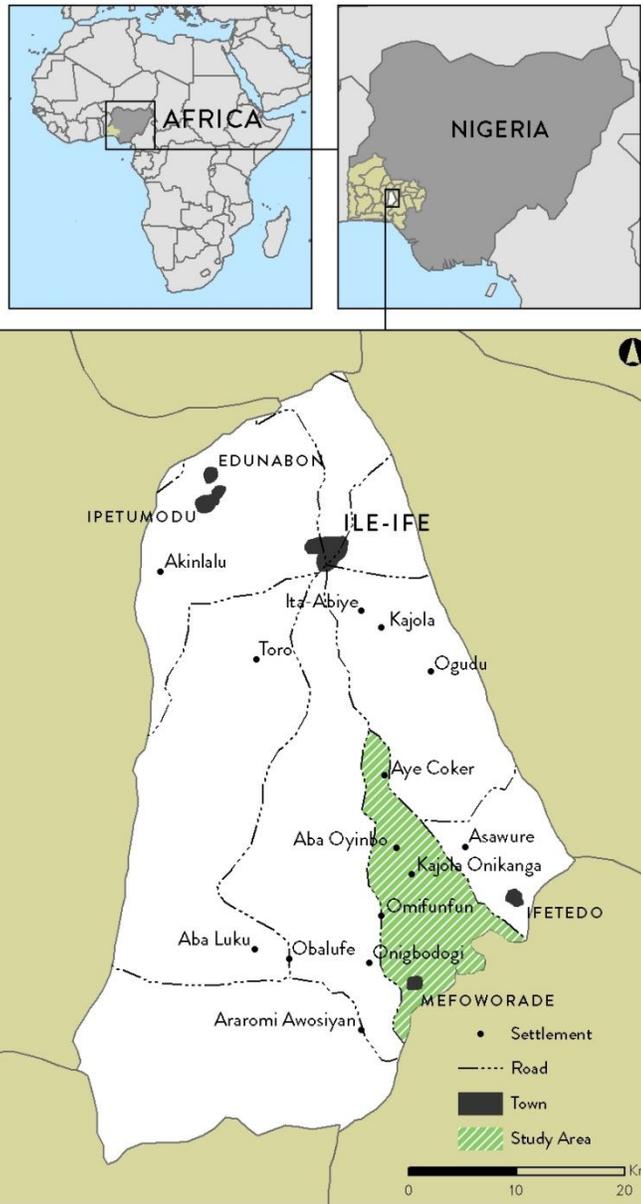
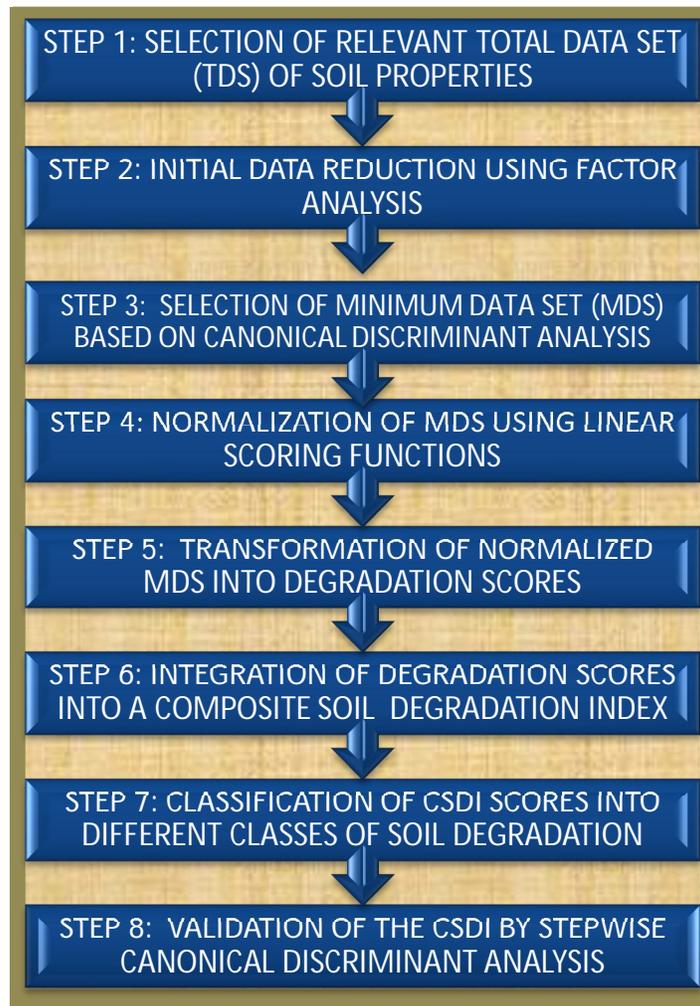
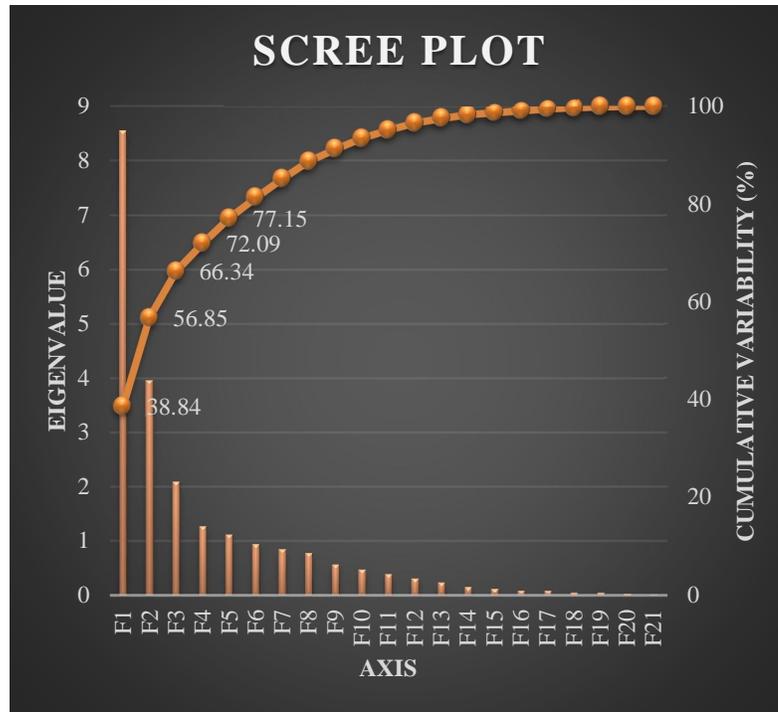


FIG 2. Analytical framework for development of CSDI



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Figure 3: Scree test result from factor analysis



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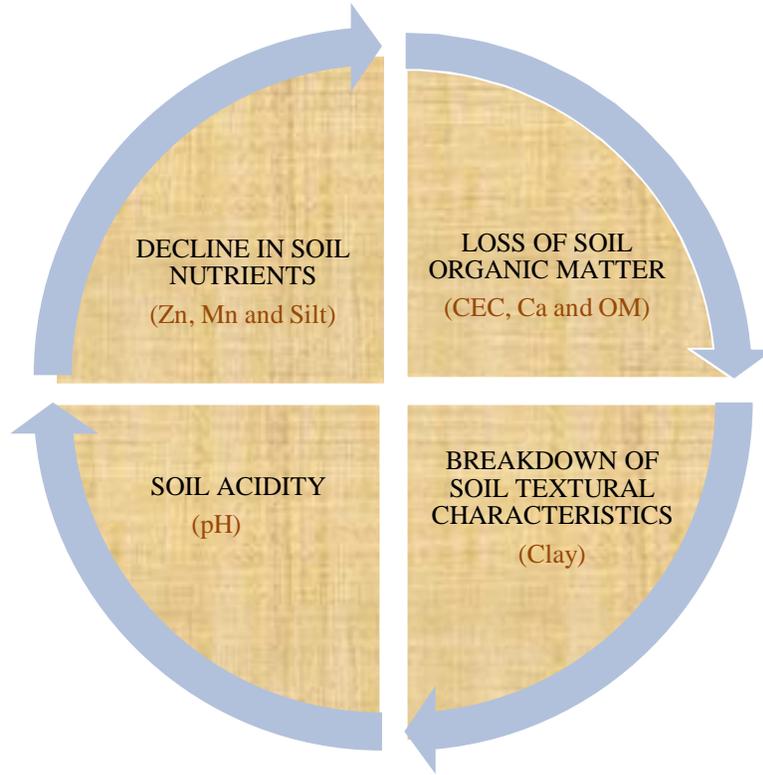
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Fig 4. Soil degradation processes and indicators under cocoa agroforests in southwest



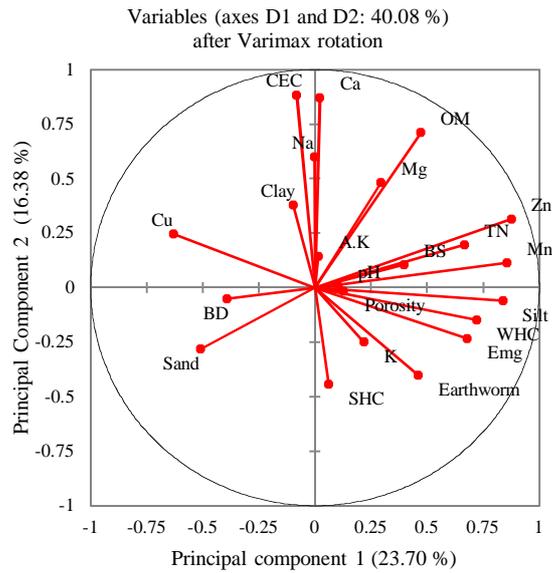


Figure 5: Principal Components' distribution of the investigated soil properties in age-sequenced peasant cocoa plantations. BD- Bulk density; WHC- Water holding capacity; SHC- Saturated hydraulic conductivity; OM- Organic matter; A.P – Available phosphorus; TN-Total nitrogen; Ca-Exchangeable calcium, Mg- Exchangeable magnesium; K- Exchangeable potassium; .Na- Exchangeable sodium; CEC- Cation exchange capacity; BS- Base saturation; Cu – Extractable copper; Zn- Extractable zinc; Mn- Extractable manganese ; EMg – Extractable magnesium; Earthworm population.

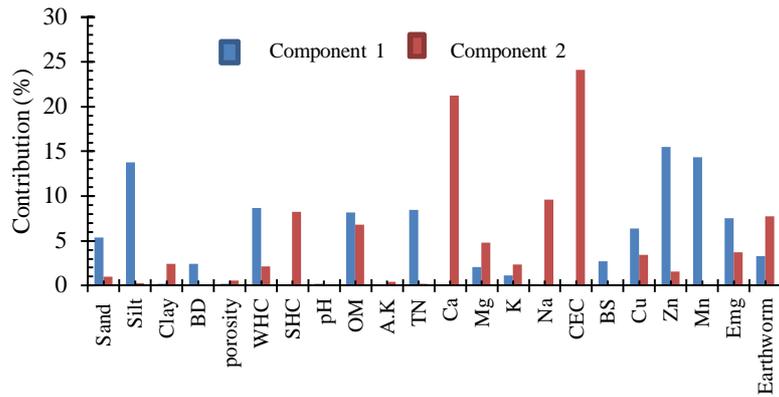


Figure 6. Percentage contributions of the investigated soil properties in age-sequenced peasant cocoa plantations. BD- Bulk density; WHC- Water holding capacity; SHC- Saturated hydraulic conductivity; OM- Organic matter; A.P – Available phosphorus; TN-Total nitrogen; Ca-Exchangeable calcium, Mg- Exchangeable magnesium; K- Exchangeable potassium; .Na- Exchangeable sodium; CEC- Cation exchange capacity; BS- Base saturation; Cu – Extractable copper; Zn- Extractable zinc; Mn- Extractable manganese ; Emg – Extractable magnesium; Earthworm population

Figure 7: First and second discriminant function separating different cocoa plantations in southwest Nigeria

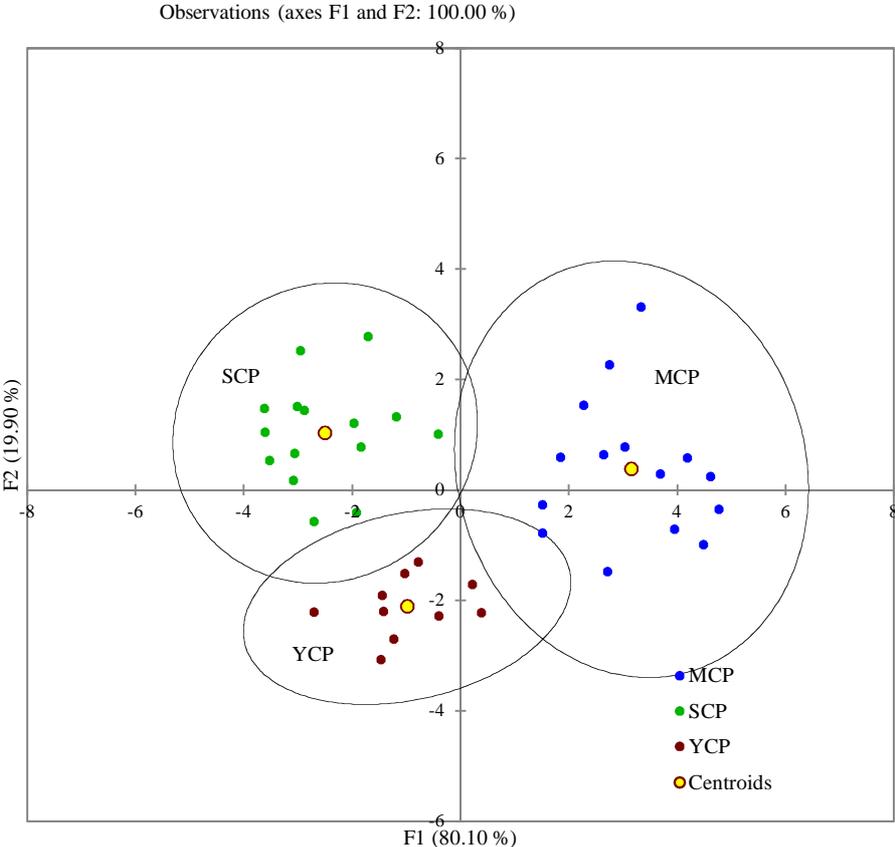


Figure 8 Percentages of degraded farms across cocoa chronosequence plantations (YCP, MCP and SCP)

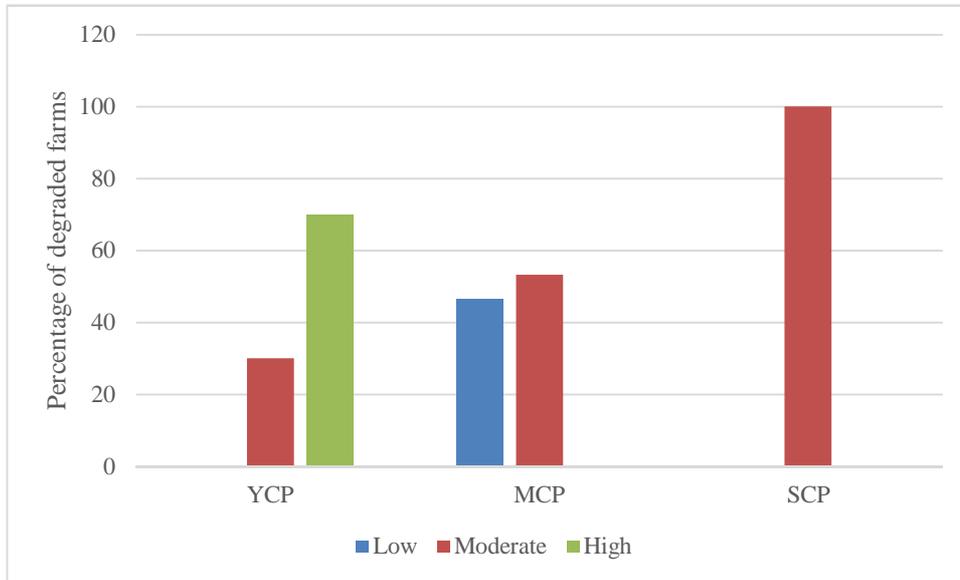


Figure 9. First and second canonical function of canonical discriminant analysis separating studied soils into three degradation classes (*Low, Moderate and High*)

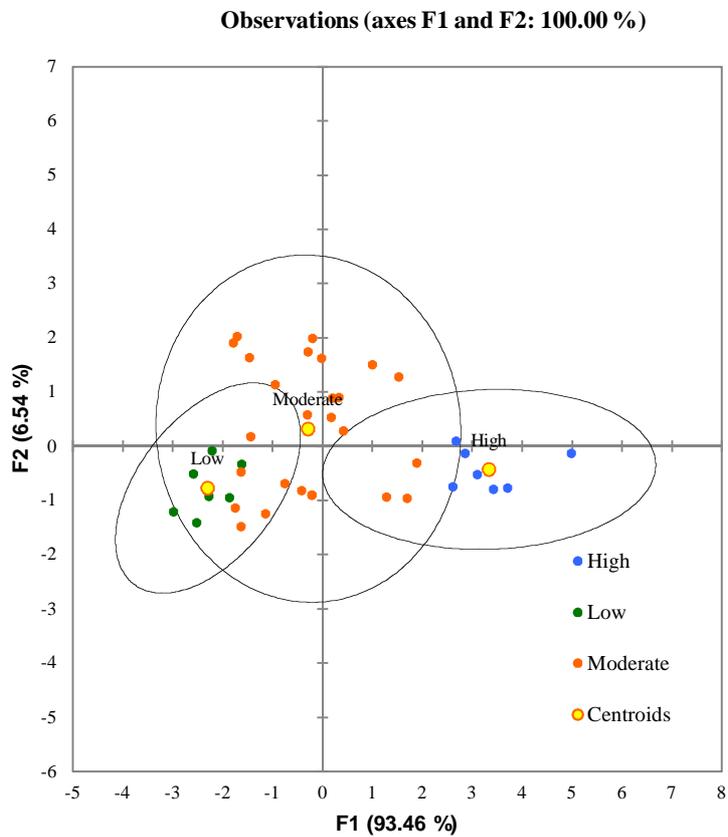


Table 1. Methods and field analysis of soil data

| Soil properties | Method of determination and reference |
|--|---|
| Sand, silt and clay (%) | Pipette method (Gee & Or 2002) |
| Bulk density (g/cm ³). | Core method (Grossman & Reinsch 2002) |
| Total porosity (%) | Computed from value of bulk density (Vomocil, 1965) |
| Water-holding capacity (%) | Oven dry method |
| Saturated hydraulic conductivity (cm hr ⁻¹) | Determined in the laboratory using a constant head permeameter (Reynolds & Elrick 2002) |
| pH (KCl) | Potentiometrically in 0.1 M CaCl ₂ solution (Peech 1965) |
| Organic matter (%) | Walkley and Black (1934) |
| Available phosphorus (mg kg ⁻¹) | Olsen and Sommer 1982 |
| Total nitrogen (%) | Kjeldahl method |
| Exchangeable Ca and Mg (mg kg ⁻¹) | Atomic absorption spectrophotometer |
| Exchangeable Na and K (mg kg ⁻¹) | Flame photometer |
| Cation exchange capacity (cmol _c kg ⁻¹) | Summation method (Juo, <i>et al.</i> 1976) |
| Base saturation (%) | Calculated as the percentage of the CEC occupied by basic cations |
| Extractable Zn, Mn, Mg and Cu (mg kg ⁻¹) | Atomic absorption spectrophotometer |
| Earthworm population (per m ²) | Anderson & Ingram 1993 |

Ca= calcium; Mg= magnesium; Na = sodium; K= potassium; Zn= zinc; Mn= manganese Cu= copper.

Table 2: Rotated factor loadings for the first five factors including proportion of variance, eigenvalues and communalities of measured soil properties

| Eigenvalue | 8.545 | 3.964 | 2.088 | 1.265 | 1.113 | |
|--|--------------------------------|--------------|--------------|--------------|--------------|----------------------|
| Total Variance (%) | 23.702 | 16.382 | 14.642 | 9.131 | 13.300 | |
| Cumulative variance | 23.702 | 40.083 | 54.725 | 63.856 | 77.155 | |
| Soil degradation indicators | Principal component, PC | | | | | Communalities |
| | PC 1 | PC 2 | PC 3 | PC 4 | PC 5 | |
| Sand (%) | -0.510 | -0.282 | -0.093 | -0.094 | -0.688 | 0.830 |
| Extractable zinc (mg kg ⁻¹) | 0.875 | 0.315 | 0.037 | 0.062 | 0.162 | 0.896 |
| Extractable manganese (mg kg ⁻¹) | 0.857 | 0.114 | 0.152 | -0.007 | 0.313 | 0.868 |
| Silt (%) | 0.838 | -0.060 | -0.154 | 0.217 | -0.014 | 0.777 |
| Cation exchange capacity (cmol _e kg ⁻¹) | -0.081 | 0.884 | -0.124 | -0.094 | -0.067 | 0.816 |
| Exchangeable calcium (mg kg ⁻¹) | 0.022 | 0.871 | -0.007 | 0.028 | 0.084 | 0.767 |
| Organic matter (%) | 0.472 | 0.711 | 0.142 | -0.209 | 0.231 | 0.846 |
| Available phosphorus (mg kg ⁻¹) | 0.016 | 0.144 | 0.810 | 0.063 | 0.075 | 0.686 |
| Total porosity (%) | 0.128 | -0.016 | 0.801 | -0.087 | 0.233 | 0.719 |
| pH (KCl) | 0.104 | 0.008 | -0.029 | 0.791 | 0.143 | 0.658 |
| Clay (%) | -0.097 | 0.378 | 0.235 | -0.070 | 0.812 | 0.871 |
| Bulk density (g cm ⁻³). | -0.393 | -0.051 | -0.143 | -0.633 | 0.055 | 0.582 |
| Water-holding capacity (%) | 0.721 | -0.147 | 0.358 | 0.367 | 0.278 | 0.882 |
| Saturated hydraulic conductivity (cm hr ⁻¹) | 0.060 | -0.442 | 0.603 | 0.480 | 0.204 | 0.835 |
| Total nitrogen (%) | 0.667 | 0.196 | 0.583 | 0.187 | 0.225 | 0.908 |
| Exchangeable magnesium (mg kg ⁻¹) | 0.295 | 0.481 | 0.260 | 0.079 | 0.508 | 0.650 |
| Exchangeable potassium (mg kg ⁻¹) | 0.219 | -0.249 | 0.099 | 0.094 | 0.624 | 0.518 |
| Exchangeable sodium (mg kg ⁻¹) | -0.001 | 0.601 | 0.032 | 0.289 | 0.393 | 0.600 |
| Base saturation (%) | 0.397 | 0.104 | 0.355 | 0.272 | 0.661 | 0.806 |
| Extractable copper (mg kg ⁻¹) | -0.632 | 0.247 | -0.382 | -0.463 | -0.168 | 0.849 |
| Extractable magnesium (mg kg ⁻¹) | 0.679 | -0.232 | 0.518 | 0.210 | 0.078 | 0.834 |
| Earthworm population (per m ²) | 0.459 | -0.401 | 0.552 | 0.144 | 0.282 | 0.776 |

Rotation method: Varimax with Kaiser normalization.
 Boldface factor loadings are considered highly weighted;
 Extraction method: principal component analysis.

Table 3: Correlation coefficient between highly weighted variables under PC's with high factor loading

| | | | |
|--------------------------|--------------------------|-----------------------|----------------|
| PC 1 variables | Extractable zinc | Extractable manganese | Silt |
| Extractable zinc | 1.000 | 0.834** | 0.653* |
| Extractable manganese | 0.834** | 1.000 | 0.612* |
| Silt | 0.653* | 0.612* | 1.000 |
| | | | |
| PC2 variables | Cation exchange capacity | Exchangeable calcium | Organic matter |
| Cation exchange capacity | 1.000 | 0.870** | 0.523* |
| Exchangeable calcium | 0.870** | 1.000 | 0.619* |
| Organic matter | 0.523* | 0.619* | 1.000 |
| | | | |
| PC3 variables | Available phosphorus | Total porosity | |
| Available phosphorus | 1.000 | 0.578* | |
| Total porosity | 0.578* | 1.000 | |
| | | | |
| PC4 variable | pH | | |
| pH | 1.000 | | |
| | | | |
| PC5 variable | Clay | | |
| Clay | 1.000 | | |

* Significant difference at P = 0.05. ** Significant difference at P = 0.01.

Table 4: Result of stepwise discriminant analysis (STEPDA) separating YCP, MCP and SCP

| | Discriminant function | |
|-----------------------------------|---|---------|
| | 1 | 2 |
| Significance | 0.000 | 0.000 |
| Eigenvalue | 6.826 | 1.696 |
| % of variance | 80.101 | 19.899 |
| Cumulative % variance | 80.101 | 100.000 |
| Canonical correlation coefficient | 0.934 | 0.793 |
| Variables | Canonical correlation coefficients | |
| Silt | 0.353 | -0.520 |
| Clay | 0.373** | -0.139 |
| pH | 0.029 | -0.211 |
| Organic matter | 0.952* | 0.096 |
| Cation exchange capacity | 0.611* | 0.622 |
| Extractable Zinc | 0.806* | -0.527 |
| Available Phosphorus | 0.186 | -0.035 |
| Porosity | 0.158 | -0.309 |

*, **, Significant at $p < 0.05$ and $p < 0.001$ respectively.

Table 5: CSDI value, classification and membership probabilities

| CPC | CSDI Value | Z-Score value | Membership probabilities | | |
|-------|------------|---------------|--------------------------|--------------|--------------|
| | | | Low | Moderate | High |
| YCP1 | 0.3693 | 0.8543 | 0.000 | 0.175 | 0.825 |
| YCP2 | 0.3982 | 1.1615 | 0.000 | 0.040 | 0.960 |
| YCP3 | 0.4421 | 1.6289 | 0.000 | 0.001 | 0.999 |
| YCP4 | 0.4430 | 1.6379 | 0.000 | 0.001 | 0.999 |
| YCP5 | 0.5261 | 2.5227 | 0.000 | 0.000 | 1.000 |
| YCP6 | 0.3624 | 0.7807 | 0.000 | 0.209 | 0.791 |
| YCP7 | 0.4238 | 1.4337 | 0.000 | 0.005 | 0.995 |
| YCP8 | 0.4034 | 1.2173 | 0.000 | 0.030 | 0.970 |
| YCP9 | 0.3591 | 0.7459 | 0.000 | 0.389 | 0.610 |
| YCP10 | 0.3936 | 1.1131 | 0.000 | 0.071 | 0.929 |
| MCP1 | 0.1916 | -1.0359 | 0.471 | 0.529 | 0.000 |
| MCP2 | 0.2175 | -0.7604 | 0.410 | 0.590 | 0.000 |
| MCP3 | 0.1977 | -0.9715 | 0.844 | 0.156 | 0.000 |
| MCP4 | 0.2333 | -0.5931 | 0.426 | 0.574 | 0.000 |
| MCP5 | 0.2386 | -0.5359 | 0.613 | 0.387 | 0.000 |
| MCP6 | 0.1757 | -1.2051 | 0.449 | 0.551 | 0.000 |
| MCP7 | 0.2790 | -0.1068 | 0.012 | 0.988 | 0.000 |
| MCP8 | 0.2669 | -0.2347 | 0.046 | 0.954 | 0.000 |
| MCP9 | 0.2584 | -0.3256 | 0.078 | 0.922 | 0.000 |
| MCP10 | 0.2564 | -0.3463 | 0.030 | 0.970 | 0.000 |
| MCP11 | 0.1187 | -1.8117 | 0.993 | 0.007 | 0.000 |
| MCP12 | 0.1836 | -1.1217 | 0.703 | 0.297 | 0.000 |
| MCP13 | 0.1645 | -1.3246 | 0.928 | 0.072 | 0.000 |
| MCP14 | 0.1476 | -1.5039 | 0.944 | 0.056 | 0.000 |
| MCP15 | 0.1367 | -1.6203 | 0.986 | 0.014 | 0.000 |

CPC= Cocoa plantation chronosequence = YCP, MCP and SCP

Table 5 continue: CSDI value, classification and membership probabilities

| CPC | CSDI Value | Z-SCORE value | Membership probabilities | | |
|-------|------------|---------------|--------------------------|--------------|-------|
| | | | Low | Moderate | High |
| SCP1 | 0.2331 | -0.5948 | 0.100 | 0.900 | 0.000 |
| SCP2 | 0.2949 | 0.0625 | 0.008 | 0.977 | 0.015 |
| SCP3 | 0.2733 | -0.1668 | 0.012 | 0.988 | 0.000 |
| SCP4 | 0.2802 | -0.0938 | 0.010 | 0.989 | 0.001 |
| SCP5 | 0.3326 | 0.4636 | 0.000 | 0.992 | 0.008 |
| SCP6 | 0.2851 | -0.0411 | 0.003 | 0.997 | 0.000 |
| SCP7 | 0.3242 | 0.3739 | 0.000 | 0.996 | 0.003 |
| SCP8 | 0.2837 | -0.0563 | 0.002 | 0.998 | 0.000 |
| SCP9 | 0.3770 | 0.9365 | 0.000 | 0.995 | 0.005 |
| SCP10 | 0.3520 | 0.6705 | 0.000 | 0.930 | 0.070 |
| SCP11 | 0.2218 | -0.7153 | 0.078 | 0.922 | 0.000 |
| SCP12 | 0.2941 | 0.0539 | 0.001 | 0.999 | 0.000 |
| SCP13 | 0.2589 | -0.3200 | 0.007 | 0.993 | 0.000 |
| SCP14 | 0.2918 | 0.0302 | 0.002 | 0.998 | 0.000 |
| SCP15 | 0.2551 | -0.3611 | 0.007 | 0.993 | 0.000 |

CPC= Cocoa plantation chronosequence = YCP, MCP and SCP

Table 6: Classification of soils into degradation levels and their interpretations modified after Gómez et al. (2009)

| Range | Classes of degradation | Interpretation |
|--------------|------------------------|--|
| < 0.195 | Low | Farms with little or no form of degradation and their nutrient deficiencies can be restored with moderate effort |
| 0.195 -0.383 | Moderate | Farms with moderate soil quality degradation, where some action should be taken to improve soil conditions |
| > 0.383 | High | Farms are currently degraded and their soil quality restoration will require sustained management efforts |

Table 7: Standardized and unstandardized coefficient functions of canonical discriminant analysis

| | Constant | Zn | OM | CEC | Clay |
|-------------------------|----------|---------|---------------------|---------------------|---------------------|
| Function 1 ^ψ | -11.863 | 0.599* | 1.225* | 0.226* | 0.054 ^{ns} |
| Function 2 ^ψ | -5.248 | -0.326* | 0.092 ^{ns} | 0.214 ^{ns} | 0.365 * |
| Classes of degradation | | | | | |
| Low | -145.980 | 6.851 | 10.885 | 6.634 | 3.977 |
| Moderate | -104.651 | 5.889 | 7.806 | 5.776 | 3.459 |
| High | -74.970 | 3.359 | 3.489 | 5.202 | 3.564 |

OM- Organic matter (%); CEC- Cation Exchange Capacity (cmol_c kg⁻¹); Zn - Extractable zinc (mg kg⁻¹); Clay (%).

^ψ Wilks' lambda test of functions ($F_{\text{observed}} = 22.576$ and $F_{\text{critical}} = 2.499$) shows that the discriminant model was significant at probability $P=0.000$, for the two functions, indicating that these functions contributed more to the model.

^ψ Eigen value for F1= 3.506 and F2 = 0.426;

Threshold for F1 is $0.2/\sqrt{3.506} = 0.106$; F2 is $0.2/\sqrt{0.426} = 0.30$

* Significant;

^{ns} Not Significant

Table 8: Cross-validation results by canonical discriminant analysis

| Case | Actual group | Discriminant analysis of classification of predicted group membership | | | | | % correct |
|-----------------|--------------|---|-----------|----------|-------|---------|-----------|
| | | Low | Moderate | High | Total | | |
| Original group | from \ to | | | | | | |
| | Low | 6 | 1 | 0 | 7 | 85.71% | |
| | Moderate | 2 | 23 | 1 | 26 | 88.46% | |
| | High | 0 | 0 | 7 | 7 | 100.00% | |
| | Total | 8 | 24 | 8 | 40 | 90.00% | |
| Cross-validated | from \ to | | | | | | |
| | Low | 6 | 1 | 0 | 7 | 85.71% | |
| | Moderate | 2 | 22 | 2 | 26 | 84.62% | |
| | High | 0 | 0 | 7 | 7 | 100.00% | |
| | Total | 8 | 23 | 9 | 40 | 87.50% | |

Percent of "grouped" cases correctly classified =87.50%

Boldface figure in each group is number of cases correctly classified by canonical discriminant analysis