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5 **Experimental sand burial affects seedling survivorship, morphological traits and**
6 **biomass allocation of *Ulmus pumila* var. *sabotusa* in Horqin Sandy Land, China**

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22 **Abstract** As a native tree species, *Ulmus pumila* var. *sabotusa* (sandy elm) is widely distributed in Horqin Sandy Land,
23 China. However, seedlings of this species have to withstand various depths of sand burial after emergence because of
24 increasing soil degradation, which is mainly caused by overgrazing and climate change. An experiment was conducted to
25 evaluate the changes in its survivorship, morphological traits and biomass allocation when seedlings buried at different
26 burial depths: unburied, controls and seedlings buried vertically up to 33, 67, 100 or 133% of their initial mean seedling
27 height. The results showed that partial sand burial treatments (i.e., less than 67% burial) did not reduce seedling survivorship,
28 which still reached 100%. However, seedling mortality increased when sand burial was equal to or greater than 100%. In
29 comparison with the control treatment, seedling height and stem diameter increased at least by 6 and 14% with partial burial,
30 respectively. In the meantime, seedling taproot length, total biomass, and relative mass growth rates at least enhanced by 10%,
31 15.6%, and 27.6%, respectively, with the partial sand burial treatment. Furthermore, sand burial decreased total leaf area and
32 changed biomass allocation in seedlings, partitioning more biomass to aboveground organs (e.g., leaves and stems) and less
33 to belowground parts (roots). Complete sand burial after seedling emergence inhibited its re-emergence and growth, even
34 leading to its death. Our findings indicated that seedlings of sandy elm had some resistance to partial sand burial and were

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60 ~~adapted~~ to sandy environments ~~from an evolutionary perspective~~. The negative effects of ~~excessive sand burial~~ after seedling
61 emergence ~~might~~ help to understand failures in recruitment of sparse elm ~~in the~~ study region.

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63 **Keywords:** sand ~~burial~~, seedling, sandy elm, morphological, biomass allocation, Horqin ~~Sandy L~~and

64 **Introduction**

65
66 Horqin Sandy Land, shaped in the middle the Pleistocene period, is located in the southeast of the Mongolia Plateau, China
67 (Qiu, 1989). Because of climatic changes (rainfall distribution and global warming) and excessive human disturbances (i.e.,
68 over-utilization of renewable natural resources), ~~vegetation degradation~~ and land desertification have become more obvious
69 in the past 50 years (Cao et al., 2008; Jiang et al., 2003; Zhang et al., 2004). Sand moves fast in the horizontal or vertical
70 space because of the effects of strong winds during spring and summer, leading to different burial depths, which might range
71 from 0.5cm to 56.0cm (Liu et al., 2014).

72 Soil genesis is the pivotal process that determines the evolution of ~~the soil system~~, and offers ~~services~~ and resources to
73 mankind (Berendse et al., 2015; Niu et al., 2015). Simultaneously, ~~disturbances (such as land-use intensification and~~
74 ~~overgrazing)~~ have a profound impact on ~~the soil genesis process because of the increasing population and consumption~~,
75 (Brevik et al., 2015; Verheijen et al., 2009; Wang et al., 2016). ~~Excessive human interferences~~ change ~~soil hydrological~~,
76 geochemical and biological cycles, inducing ~~serious land degradation such as acidification, salinization and desertification~~
77 (Bellamy et al., 2005; Foley et al., 2005; Gabarrón-Galeote et al., 2013; Smith et al., 2015). It is well known that vegetation
78 plays an important role ~~in controlling soil genesis and degradation in fragile ecosystems such as~~ estuarine, desert and sandy
79 lands (Berendse et al., 2015; Cerdà 1998; Miao et al., 2014). ~~Moreover, vegetation functional traits (e.g., morphology and~~
80 ~~establishment) in response to environmental stress (e.g., nutrient deficiency, water deficit, high irradiance, extreme~~

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103 temperatures) might be important adaptive life history adaptive strategy (Miner et al., 2005; Wang et al., 2014). Plants
104 usually have to face a trade-off between survival and growth in response to environmental changes by regulating their
105 phenotypic plasticity (e.g., biomass allocation, relative growth rate) and/or physiological traits (e.g., antioxidant enzyme
106 activities, membrane permeability, contents of osmotic substance) (Li et al., 2015; Qu et al., 2012; Tian et al., 2015; Wu et al.,
107 2013).
108 In sandy ecosystems, instability of the soil surface is one of the most ~~damaging~~ factors ~~to biological activity~~. ~~Furthermore, a~~
109 sparse vegetation cover, ~~and a~~ loose soil texture ~~are~~, highly susceptible to sand movements (Liu and Guo, 2005; Yan et al.,
110 2005). Sand movement, the most direct ~~evidence~~ caused by land degradation, is regarded as a selective force, ~~determining~~
111 colonization, ~~establishment~~ ~~and establishment of~~ vegetation (Maun, 1994; Maun and Lapierre, 1986). Plants might
112 respond differently to, ~~various~~ degrees of sand burial, and evolve different regenerative adaptations ~~during~~ the periods of soil
113 seed bank formation, seed germination, and ~~seedling~~ emergence and development (Li et al., 2014; Qian et al., 2015; Tang et
114 al., 2016).
115 As an indigenous tree species, *Ulmus pumila* var. *sabolusa* (sandy elm) has been widely distributed in the leeward slope of
116 fixed and semi-fixed sand dunes and became the main component of sparse woodlands of the Horqin Sandy Land (Jiang et
117 al., 2013; Tang et al., 2014; Tang et al., 2013). Since prehistoric times, it has been closely relate to human life, providing
118 hardwood for farming tools and furniture, fuel for nomad, and fodder from its tender leaves, young fruits and edible
119 bark (Ma, 1989; Schlütz et al., 2008). In addition, the sparse-elm woodlands, not only offered shelter for wildlife and
120 domestic animals and a suitable environment for psammophytes; but also protected soil from wind erosion and burial.

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144 providing a very important ecological and social function in these arid and semi-arid lands (Yang et al., 2003). Despite
145 we realize of the effects of sand burial on establishment of sandy elm, much of our comprehension and recognition to date
146 come from ocular observations at the field rather than from controllable experiments (Maun, 1997). For example, we
147 observed that even though many plant of non-dormant, dispersed seeds germinated and seedling emergence occurs in the late
148 spring, few surviving seedlings were detected in the following field surveys in the degraded sparse woodlands. This
149 phenomenon is hampering its recruitment and will have negative effects on future community structure of these woodland
150 ecosystems.

151 Studies on the effects of sand burial have been widely reported in the field of seedlings survival (Belcher, 1977; Cheplick
152 and Grandstaff, 1997; Harris and Davy, 1987; Li et al., 2015; Liu et al., 2008; Perumal and Maun, 2006), physiological
153 characteristics (Shi et al., 2004; Wang et al., 2012; Zhao et al., 2015), and reproductive strategies (Liu et al., 2014; Sun et al.,
154 2014; Zhao et al., 2007) of coastal marshes and wetlands plants. In general, it appears to be a threshold sand burial depth for
155 each plant species to maintain vigor and the subsequent sustain growth (Maun, 1997). Below that burial level, plant emergence
156 and development have been promoted by increasing sand burial depth (Qu et al., 2012; Yang et al., 2007). Once the threshold
157 have been exceeded, a deterioration of seedling vigor and reduced growth has occur, and even led to seedling death
158 (Maun, 1997; Maun and Lapierre, 1986). However, no research has been conducted on the effects of continual sand
159 accumulation on sandy elm seedlings after emergence to date, because of the limited area of sparse elm woodland. So we
160 investigated the effects of experimental sand burial on seedling survivorship and growth of sandy elm. The main objectives
161 of this study were: (1) to evaluate the effect of sand burial on seedling survivorship; (2) to access the changes on seedling

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220 morphological traits and biomass allocation in response to sand burial, and (3) to explain the failure of sandy elm
221 regeneration, and provide a theoretical basis for achieving a successful recruitment and vegetation establishment on sandy
222 elm woodlands.

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224 Materials and methods

225 Study site

226 The experiment was conducted at the WuJanaodu Desertification Experimental Station of the Institute of Applied Ecology,
227 Chinese Academy of Sciences (43.°02.'N,119.°39.'E, 480_m a.s.l.), located in western Horqin Sandy Land, China (Figure 1).
228 This site experiences temperate continental climate. Mean annual temperature and precipitation are 7.3°C and 315_mm,
229 respectively. Almost 75% of precipitation occurs from June to September during the growing season. Annual average wind
230 speed is 4.4_m_s⁻¹; the windy season is from March to June (Liu et al., 2012; Miao et al., 2014). The landscape is
231 characterized by sparse woodlands, sand dunes and lowland areas. The dominant soils are aerolian soils, and major plant
232 species include some shrubs (e.g., Salix gordejewii and Caragana microphylla), and perennial and annual herbs (e.g.,
233 Bassiadasyphylla, Agriophyllum squarrosum) (Cao et al., 2011).

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234 Experimental methods

235 In mid-May 2015, sandy elm seeds were first collected from multiple, mature individuals and then mixed altogether. After
236 careful selection, uniform and intact seeds were chosen and sowed in plastic pots (45_cm diameter, 30_cm height). Sandy soil
237 was taken from nearby woodlands, and it was sieved to remove debris and branches. All seeds were covered by sand to a

252 depth of 0.5-1.0 cm. In a parallel study, we found that that depth was the most suitable promoting the greatest percentage and
253 speed of seedling emergence for sandy elm (Tang et al., 2016). Holes in the bottom of the pots were covered with nylon
254 mesh to prevent soil loss, while allowing drainage of water. All pots were watered every three days to keep the soil moist.
255 Twenty days after sowing, 8 to 12 seedlings emerged; eight similar seedlings were retained in each pot, and the rest were
256 removed. Mean seedling height (5.4±0.5 cm) was obtained after measuring the height of each seedling in every pot.
257 Afterwards, seedlings were experimentally buried to either 0 (T0, no burial, control treatment) or 33% (T33; 1.8 cm), 67%
258 (T67; 3.6 cm), 100% (T100; 5.4 cm) or 133% (T133; 7.2 cm) soil depth of the original overall mean seedling height. For
259 this purpose, sandy soil was added to the pots according to the different burial depths. Each seedling was kept vertical while
260 buried. Six replicates were used per treatment, so there was a total of 30 pots in this experiment. Meanwhile, 15 randomly
261 selected seedlings were harvested to determine the original measurements for growth analysis before sand burial.
262 Surviving seedlings were counted after 45 days of treatment initiation. They were considered alive when there was fresh
263 phloem occurred in both stem and roots, and green tissue on leaf blades. Seedling height was first measured from the new
264 soil surface level to the seedling apex, and then marked immediately. Stem diameter was measured close to the burial surface
265 using a vernier caliper. In the meantime, 15 randomly selected seedlings were dug out in each treatment; roots were picked
266 up as intact as possible from the sandy soil. Taproot lengths were measured, and total leaf area was obtained using a Portable
267 Area Meter (Li-Cor3000A, Lincoln, Nebraska, USA). Finally, plant organs (i.e., leaves, stems and roots) were dried at 80°C
268 and weighed there after reaching a constant mass for each seedling in the laboratory.

269 Calculations

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284 The (1) relative height growth rate (RHGR, mm·cm⁻¹·d⁻¹), and (2) relative mass growth rate of seedlings (RMGR, mg
285 ·mg⁻¹·d⁻¹) were calculated according to the following equations (Walck et al., 1999; Zhao et al., 2007):

286
$$RHGR = \frac{H_2 - H_1}{H_1(T_2 - T_1)} \quad (1);$$

287
$$RMGR = \frac{\ln M_2 - \ln M_1}{T_2 - T_1} \quad (2);$$

288 where H₂ and H₁ were seedling heights at the end and beginning (i.e., immediately before sand burial) of the experiment,
289 respectively; M₂ or M₁ were the total dry biomass of seedlings either after 45 days from study initiation or just before sand
290 burial, respectively; ln was the natural logarithm, and T₂-T₁ was time from sand burial (i.e., 45 days).

291 *Statistical analysis*

292 All data were tested for normality and homogeneity of variance prior to analysis. Data were log-transformed if necessary
293 (Sokal and Rohlf 1995). The effects of experimental sand burial on seedling height, RHGR, plant stem diameter, total leaf
294 area, RMGR, dry biomass and percentage biomass allocation were evaluated by one-way ANOVA. Whenever F tests were
295 significant, Tukey's test was used to compare treatment means at P<0.05. All statistical analysis used SPSS 21.0 (SPSS Inc.,
296 Chicago, USA), and drawing was made using Origin Pro 9.0 (Origin Lab Corp, USA).

298 **Results**

299 *Effects of permanent sand burial on seedling survival*

300 The effect of sand burial depth on seedling survival was significant (F_{4,25}=38.339, P<0.001). During the whole study,
301 seedling survival was 100% on the unburied (T0) and partial burial treatments (T33, T67). Simultaneously, seedling survival

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309 (84.48±8.8%) was significantly lower in the completely sand burial treatment (T100) than in the control treatment. No
310 seedling survived after burial depth exceeded the overall mean original height of seedlings (i.e., on T133).

311 *Changes of morphological seedling traits in response to sand burial*

312 Seedling height was significantly affected by sand burial depths after 45 days of burial ($F_{3,56}=139.978$, $P<0.001$). The highest
313 seedling height was observed in the T33 treatment, which was significantly greater than that in the T67 treatment (Figure 2A;
314 $P<0.05$). Height of seedlings in the control treatment was significantly lower than that in the T33 and T67 treatments, but
315 higher than that in the T100 treatment (i.e., 10.66 ± 0.66 cm; Figure 2A, $P<0.05$).

316 The relative height growth rate of seedlings (RHGR) was significantly affected ($F_{3,56}=286.877$; $P\leq 0.001$) after 45 days
317 of sand burial (Figure 2B). Highest (0.057 ± 0.004 mm \cdot cm $^{-1}$ d $^{-1}$) and lowest (0.023 ± 0.006 mm \cdot cm $^{-1}$ d $^{-1}$) relative growth rates
318 for seedling height were shown in the T33 and T100 treatments, respectively (Figure 2B). The pattern of change with burial
319 depth was similar to that described for seedling height (Figure 2A); values were greater in the control than 100% covered by
320 sand (Figure 2B; $P<0.05$).

321 After 45 days from initiation of the study, stem diameter ($F_{3,56}=26.669$, $P\leq 0.001$), taproot length ($F_{3,56}=30.942$, $P<0.001$) and
322 total leaf area ($F_{3,56}=35.961$, $P<0.001$) of seedlings were also affected by sand burial (Figure 3 A, B, C). Stem diameter was
323 20% greater ($P<0.05$) in the T33 than in the T0 treatment (Figure 3A). However, stem diameters were similar in the control
324 and T67 treatments (Figure 3A, $P>0.05$). Values in the T100 treatment, nevertheless, were 13.4% lower than those in the
325 unburied control (Figure 3A). While taproot length was lowest in the control treatment, it was highest in the T67 treatment
326 (Figure 3B; 35.7% higher than that in the control; $P<0.05$). The total leaf area of seedlings was significantly greater in the

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347 control than in the T67 and T100 treatments (Figure 3C; $P < 0.05$). The lowest total leaf area, however, was found in the T100
348 treatment (Figure 3C).

349 *Effects of sand burial on biomass growth and relative mass growth rate*

350 There were significant differences in total seedling biomass ($F_{3,56}=129.949$, $P \leq 0.001$) and its component organs [e.g., leaves
351 ($F_{3,56}=93.965$, $P < 0.001$) and roots ($F_{3,56}=50.474$, $P = 0.002$)] after the experiment. The only exception was for seedling stem
352 biomass ($F_{3,56}=2.017$, $P = 0.122$) which was similar in all sand burial treatments (Table 1). Greatest total biomasses were
353 reached in the T33 (369.65 ± 17.27 mg) and T67 treatments (372.50 ± 15.74 mg) (Table 1). Total biomass of seedlings was
354 significantly lower in T100 treatment than in those treatments (Table 1). Patterns shown for the biomasses of leaves and
355 roots among treatments were similar for the total biomass of seedlings (Table 1).

356 Significant differences were found in allocation of seedling biomass to leaves ($F_{3,56}=12.841$, $P < 0.001$), stems ($F_{3,56}=27.579$,
357 $P \leq 0.001$) and roots ($F_{3,56}=7.594$, $P < 0.001$). On leaves, percentage biomass allocation was greatest in the T33 and T67
358 treatment, and lowest in the control and T100 treatments (Table 2). Percentage biomass allocation to stems was greatest in
359 the T100 treatment ($24.1 \pm 1.9\%$), values on stems was greater in the control than in the T33 and T67 treatments (Table 2).
360 Finally, percentage biomass allocation to roots showed a slight decreasing trend from the control to T33 and T67 treatments
361 (Table 2); values determined in the T100 treatment for this organ were significantly lower than in the control and T33
362 treatment (Table 2).

363 The relative mass growth rate of seedlings was significantly affected by sand burial at the end of experiment ($F_{3,56}=136.370$,
364 $P < 0.001$). Greatest relative mass growth rate values of 0.031 ± 0.001 mg \cdot mg⁻¹ day⁻¹ were shown both in the T33 and T67

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393 treatments (Figure 4). These values were significantly greater than those found in the control (Figure 4, $P < 0.05$). Lowest
394 relative mass rates of growth were determined on seedlings grown in the T100 treatment ($0.026 \pm 0.001 \text{ mg} \cdot \text{mg}^{-1} \text{ day}^{-1}$;
395 Figure 4).

397 Discussion

398 Seedling survivorship in response to permanent sand burial

399 In sand land regions, seedlings might be buried at different depths between emergence and the end of the windy season, from
400 late spring to early summer (Chen and Maun, 1999) and this was stimulated in our experiment. After the 45-days partial
401 burial with sand (to 33% or 67% of their height) did not influence the survival of seedling of sandy elm seedling, as there
402 was no mortality. These results agreed with studies of He et al. (2008), Liu et al. (2008) and Qu et al. (2012), which reported
403 that survivorship of *Artemisia halodendron*, *Corispermum macrocarpum* and *Caragana microphylla* was either maintained
404 or increased by moderate sand burial in Horqin Sandy Land. Survivorship of these shrubs, however, declined among plant
405 species once their seedlings were covered by sand either equal to or more than 100% of their height, and this was also the
406 case for our experiment. Survival decreased sharply by a mean of 15.6%, when seedling were completely buried (to 100% of
407 their height), while deeper sand burial resulted in no survival of sandy elm at all, as seedlings withered and rotted in the
408 soil. This precise threshold offers a clear primary explanation for the absence of sandy elm seedling after relatively deep
409 sand burial. Field survey in recent years has showed that serious land degradation and reduction of vegetation cover has
410 aggravated sand mobility, particularly in the leeward and semi-fixed dunes. Seedlings could successfully complete their

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3.6 (T67) cm soil depth].

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433 periodic recruitments only taking advantage of scarce favorable spatio-temporal chances (Tian et al., 2015; Wu et al., 2013)

434 Maun (1981, 1997) and Disraeli (1984) also indicated that a certain tolerance to partial sand burial was an effective strategy

435 for survival and subsequent establishment of seedlings in sandy environments. Most seedlings of the grass *Distichlis spicata*

436 died when completely covered by sand in North America (Brown, 1997; Li et al., 2015), while some *Artemisia squarrosus*

437 seedlings still remained alive even though sand burial depths reached 266% of the initial seedling height in the Horqin Sandy

438 Land (Li et al. 2015). Thus, compared with other species, seedling of sandy elm showed moderate resistance to sand burial.

439 Harris and Davy (1987) and Perumal and Maun (2006) suggested that plant energy exhaustion and suppression of

440 photosynthesis were implicated in the severely reduced intense radiation and high temperature to some extent. Seedlings of

441 sandy elm have adapted to extreme conditions and previous research has confirmed that sandy elm had a higher transpiration

442 rate and stomatal conductance with lower photosynthesis water-use efficiency and less sensitivity to high temperature and

443 irradiance, compared with other native tree species such as *Malus baccata*, *Prunus padus* and *Pinus sylvestris*, especially in

444 the midday (Park et al., 2012).

445 *Effects of sand burial on seedling morphological traits*

446 Sand burial modifies the environment of living plants, forming new microhabitat available for seedling (Disraeli, 1984;

447 Sun et al., 2014). Plants would be expected to adjust their morphological performances and developments to maximize

448 photosynthetic efficiency and sustain survival (Wang et al., 2014). Our results demonstrated that various seedling

449 morphological traits (i.e., height; stem diameter; taproot length; total leaf area; dry biomass; partitioning of biomass to shoots

450 and roots; RHGR and RMGR) were increased by partial butial, especially at T33. Thus seedling height of sandy elm was

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494 greater ~~in the partially buried than in the unburied and completely buried treatments, which~~ indicated that partial sand burial
 495 stimulated stem elongation. This ~~might~~ be explained ~~by the feat that~~ the processes of growth and elongation, ~~benefiting from~~
 496 ~~improved~~ water ~~maintenance~~ and nutrient uptake in ~~these~~ arid and semi-arid regions (Li et al., 2015). ~~In Horgin Sandy Land,~~
 497 ~~dry sandy layer was reported in the first 5 cm from soil surface level in semi-fixed dunes. This suggests that suitable sand~~
 498 ~~burial depth could be beneficial in reducing soil temperature and keep moisture for root uptake, which are critical to~~
 499 ~~seedlings survival and resource capturing~~ (Niu et al., 2015). ~~Our finding was~~ also consistent with previous research reported
 500 ~~from~~ Disraeli (1984), ~~who reported~~ that partial burial stimulated growth of *Ammophila* ~~by~~ *eviligulata* in coastal dunes of
 501 northeastern North America. ~~Belcher (1977) also determind~~ that seedling heights of *Rosa rugosa* ~~was~~ higher in the partial
 502 than in the unburied and ~~completely~~ continuous sand burial treatments in ~~the~~ desert.
 503 Seedling height growth rate was a critical parameter to determine the speed of growth. The greater RHGR of seedlings in the
 504 partial (T33 and T67) than in the unburied and completely bury~~ied~~ treatments (T100) was an indication that partial burial did
 505 contribute to ~~a greater~~ seedling height after a 45-day-growth period via accelerating the speed of growth in height.
 506 Nevertheless, the greatest seedling growth in height in the T33 treatment came from its greatest RHGR ~~in this~~ than any other
 507 treatments. ~~Liu et al. (2008) and Miao et al. (2012) also found that shallow soil~~ burial depths could promote the relative
 508 growth rates in height ~~on~~ *Salix gordejewii*, *Artemisia wudanica* and *Artemisia*, ~~halodendron~~. However, ~~there is not universally~~
 509 ~~true as~~ some species(e.g., *Artemisi*, ~~gmelinii~~) ~~have~~ decreased their growth rates as a result of sand burial (Liu et al., 2008).
 510 These findings confirmed that ~~the phenotypic response to the degree of sand burial might be species-specific.~~
 511 Compared with the unburied treatment, partial burial treatments fostered increments in stem diameter and taproot length. Sun

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553 et al. (2014a) also showed that seedling diameter and taproot length of *Suaeda salsa* were increased by a partial burial
 554 treatment in the coastal marches of the Yellow River estuary, China. Caldwell et al. (1998) found that increases in taproot
 555 length were conducive to a greater nutrient and water uptake from deeper soil depths. Total leaf area, however, was either
 556 similar or lower in the partial burial than in the unburied treatment. This was in agreement with the results of Liu and Guo
 557 (2005), who noted that increasing depth of sand burial decreased the total leaf area of *Caragana intermedia*.
 558 Changes of biomass allocation and relative mass growth rate, which are involved in successful seedling recruitment, have
 559 been regarded as the results of variations in plant adaptive strategies in response to environmental changes. (Wu et al., 2013).
 560 Appropriate resource allocation is essential for plant establishment and growth (Bazzaz, 1997). Also, plants may shift
 561 resource allocation to minimize the effects of external environmental changes (Maun, 1997; Ni et al., 2015). Numerous
 562 findings, especially those on sandy environments, have reported that plants could withstand episodes sand burial by changing
 563 biomass allocation. Some species (e.g. *Artemisia ordosia*, *Elymus farctus*) may transfer biomass from underground to leaf and
 564 stem organs (Brown, 1997; Li et al., 2010), while others (e.g., *Caragana microphylla*, *Nitraria sphaerocarpa*) have either
 565 maintained or increased biomass allocation to roots (He et al., 2008; Sykes and Wilson, 1990). In our experiment, it was
 566 somewhat surprising that no differences were observed in the dry biomass of seedling stems among all sand burial treatments.
 567 However, seedlings showed an increased stem diameter in the T33 sand burial treatment; similar to results of Zhao et
 568 al.(2015), this was most likely because of fresh stem had a greater water content in the T33 than in the other treatments.
 569 Additionally, partial burial treatments produced greater dry biomass for leaves, roots and the whole seedlings in comparison
 570 with unburied and completely sand burial treatments. Previous studies also determined that 67% burial of seedlings of the

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611 shrub *Caragana intermedia* determined a greater biomass allocation to leaves and stems ~~than to~~ roots, compared with values
 612 in the unburied control (Xu et al., 2013). Meanwhile, there was a trend for an increasing aboveground (leaf + stem), and a
 613 decreasing belowground allocation with increasing burial depth ~~for sandy elm seedlings~~. Nearly 50% of the total seedling
 614 biomass corresponded to leaves. ~~This indicated sufficient leaves are necessary on sandy elm to sustain~~ photosynthesis and
 615 maintain evapotranspiration ~~after exposure to high temperature and intense irradiance environments during~~ the growing
 616 season (Dulamsuren et al., 2009; Li et al., 2003; Park et al., 2012). ~~The relatively investment in root was slight decreased,~~
 617 ~~which indicated that, on one hand, greater soil moisture availability weakened the dependence on root function, and on the~~
 618 ~~other hand, the plant's need to divert biomass aboveground for light interception and net assimilation rate~~ (Maun, 1994; Sun
 619 et al., 2010; Wang et al., 2014).

620 Relative mass growth rates measure the mean efficiency rate ~~for~~ producing new biomass (Walck et al., 1999). Dalling and
 621 Hubbell (2002) ~~showed~~ that seedling growth rate ~~was a better determinant of~~ successful seedling establishment ~~than biomass~~.
 622 In our experiment, relative mass growth rates were higher in the partial than in the other treatments, indicating that moderate
 623 sand burials ~~were~~ beneficial for ~~a rapid biomass increase~~. However, all mass relative growth rates were small compared with
 624 those of other plant species (e.g., *Artemisia wudanica*, *Solidago shortii* and *Solidago altissima*) in the same area. ~~This~~
 625 suggests that ~~the relative lower biomass accumulation on sandy elm seedlings during the first growing season places these~~
 626 ~~seedlings at a disadvantage when considering soil resource competition and coexistence with other species~~ (Brown, 1997;
 627 Liu et al., 2014; Wu et al., 2013). ~~Reduced dry matter accumulation could also have contributed to increased mortality.~~
 628 ~~Although there were striking effects on biomass accumulation and allocation reflecting~~ the plasticity of various

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658 morphological traits. Partial sand burial treatments did not change the survivorship, and these treatments facilitate individual
659 seedling growth and population regeneration through phenotypic plasticity or various morphological traits. Our study,
660 however, was conducted in pots. We have to recognize it has limitations in comparison to field studies. Under natural
661 rangeland conditions, some factors (e.g., abrasion of plant tissues by sand grains; grazing by herbivores and granivores)
662 might reduce or eliminate some of the positive effects of the partial burial treatments (Dulamsuren et al., 2009; Jeffrey et al.,
663 2009). Furthermore, we found evidence of allometry of each plant proportion with increasing seedling age in our experiment.
664 Therefore, more comprehensive studies on physiological and biochemical mechanisms involved on sandy elm seedling
665 survivorship and performance under field, sand burial conditions at different growth stage in future research.

666 **Conclusion**

667 Sand burial affected seedling survivorship, growth and biomass allocation of *Ulmus pumila* var. *sabulosa* through phenotypic
668 plasticity of morphological traits. Seedlings of sandy elm showed adaptive responses to moderate sand burial, consistent with
669 its evolution in the sandy environment. Partial sand burial treatment did not influence seedling survivorship, but complete
670 sand burial significantly increased mortality. Compared with the unburied treatment, seedling height, relative height growth
671 rates, taproot length, total biomass and relative mass growth rates were stimulated by partial burial with sand. At the same
672 time, percentage biomass allocation of seedlings was changed, diverting more biomass to aboveground organs (e.g., leaf and
673 stem) to sustain normal photosynthesis and evapo-transpiration. Complete sand burial after seedling emergence, however,
674 inhibited their growth, and even resulted in seedling death. Consequently, burial depths should be controlled by making
675 enclosures and increasing vegetation coverage to facilitate regeneration or re-establishment of sandy elm. The observed

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711 variation in all parameters ~~has defined the tolerance of *Ulmus pumila* var. *sabulosa* to sandy environments and its capacity to~~
712 ~~acclimate them. Hence our research provides a theoretical support for recruitment in sandy sparse elm woodlands.~~

713

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718 Davy from University of East Anglia for guiding of statistical analysis ~~and language polishing for the original manuscript.~~

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Table legends

Table. 1 Dry biomass of leaves, stems and roots for seedlings of *Ulmus pumila* var. *sabulosa* exposed to various sand burial treatments during a 45-day-growth period. These treatments included sand burial of seedlings to a depth equivalent to 33 (T33), 67 (T67) or 100% (T100) of the mean seedling height at the initiation of the study. Each histogram is the mean \pm 1 S.E. of n=15. Different letters in a row among seed burial depths are significantly different at P<0.05.

Table. 2 Percentage biomass allocation to leaves, stems and roots on seedlings of *Ulmus pumila* var. *sabulosa* expose to various sand burial treatments during a 45-day-growth period. These treatments included sand burial of seedlings to a depth equivalent to 33 (T33), 67 (T67) or 100% (T100) of the mean seedling height at the initiation of the study. Each histogram is the mean \pm 1 S.E. of n=15. Different letters above histograms among seed burial depths are significantly different at P<0.05.

Figure legends

Fig. 1 The geographic location of study area in Horqin Sandy Land, China.

Fig.2 Seedling height and relative growth rate for height (RHGR) of *Ulmus pumila* var. *sabulosa* exposed to various sand burial treatments during a 45-day- growth period. These treatments included sand burial of seedlings to a depth equivalent to 33 (T33), 67 (T67) or 100% (T100) of the mean seedling height at the initiation of the study (see the Material and Methods Section for further details). Each histogram is the mean \pm 1 S.E. of n=15. Different letters above histograms among seed burial depths are significantly different at P<0.05.

Fig.3. Stem diameter, taproot length and total leaf area on seedlings of *Ulmus pumila* var. *sabulosa* exposed to various sand burial treatments during a 45-day-growth period. These treatments included sand burial of seedlings to a depth equivalent to 33 (T33), 67 (T67)

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or 100% (T100) of the mean seedling height at the initiation of the study. Each histogram is the mean \pm 1 S.E. of n=15. Different letters
above histograms among seed burial depths are significantly different at P<0.05.
Fig.4 Relative mass growth rates (RMGR) on seedlings of *Ulmus pumila* var. *sabulosa* exposed to various sand burial treatments during a 45-day-growth period. These treatments included sand burial of seedlings to a depth equivalent to 33 (T33), 67 (T67) or 100% (T100) of the mean seedling height at the initiation of the study. Each histogram is the mean \pm 1 S.E. of n=15. Different letters above histograms among seed burial depths are significantly different at P<0.05.

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- Fig.4 Seedling dry biomass and percentage biomass allocation to leaves, stems and roots on seedlings of *Ulmus pumila* var. *sabulosa* exposed to various sand burial treatments during a 45-day-growth period. These treatments included sand burial of seedlings to a depth equivalent to 33 (T33), 67 (T67) or 100% (T100) of the mean seedling height at the initiation of the study. Each histogram is the mean \pm 1 S.E. of n=15. .
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Table 1

<u>Treatment</u>	<u>Stem</u>	<u>Root</u>	<u>Leaf</u>	<u>Total</u>
	<u>mg</u>	<u>mg</u>	<u>mg</u>	<u>mg</u>
<u>T0</u>	66.62 ±3.49a	91.7 ±7.51b	160.63 ±9.15b	318.95 ±14.85b
<u>T33</u>	69.52 ±7.76a	104.59 ±9.89c	195.55 ±11.54c	369.65 ±17.27c
<u>T67</u>	68.69 ±4.05a	101.64 ±6.87c	200.17 ±14.73c	372.5 ±15.74c
<u>T100</u>	65.51 ±3.52a	69.61 ±9.84a	137.55 ±12.43a	272.67 ±16.42a

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<u>Treatment</u>	<u>Stem</u>	<u>Root</u>	<u>Leaf</u>
	<u>%</u>	<u>%</u>	<u>%</u>
<u>T0</u>	20.9 ±1.4b	28.8 ±1.6b	50.3 ±1.4b
<u>T33</u>	18.8 ±1.4b	28.3 ±1.4b	52.9 ±1.6c
<u>T67</u>	18.5 ±1.3a	27.3 ±1.7ab	54.2 ±2.4c
<u>T100</u>	24.1 ±2.4c	25.5 ±2.4c	50.4 ±2.4c

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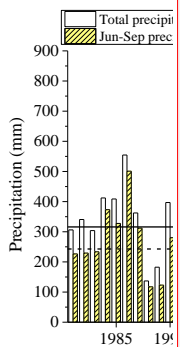
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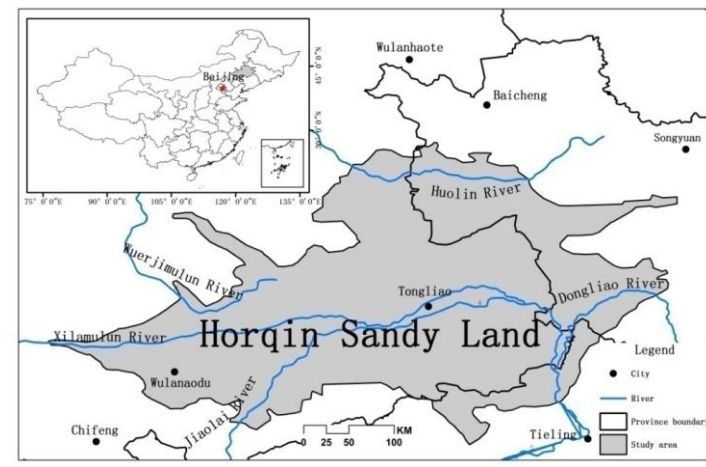
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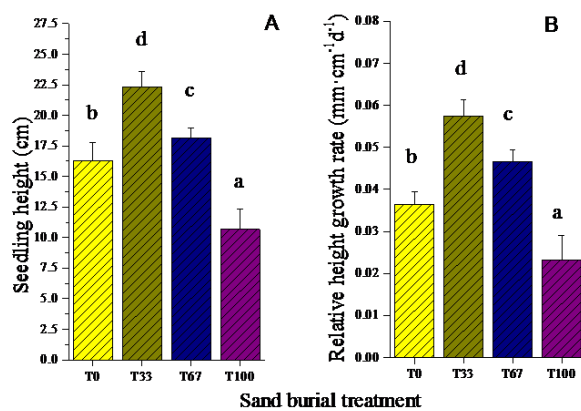


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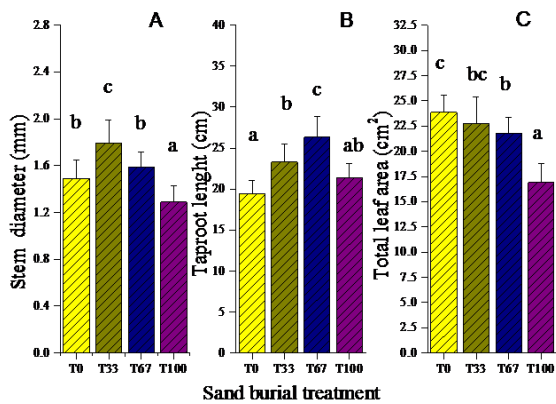


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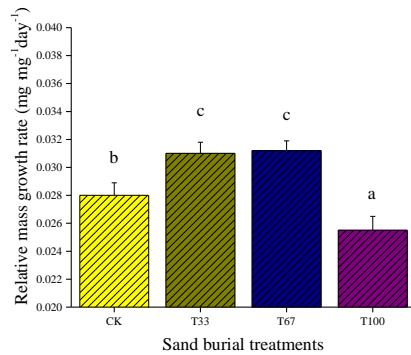


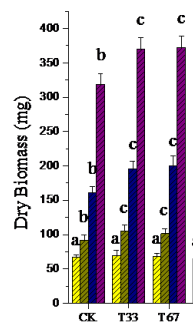
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