#### **REVIEW 1:** Anonymous Referee (minor revision)

REVIEW 2: Janina Kammann; University of Copenhagen (minor revision)

bstract	Anonymous:	page 1 line 9-10 - word 'strongly' twice in same sentence
	Anonymous:	page 2 line 15-18 - 'can also do' = expression
1 Introduction		page 2 line21 - 'Ulrikse, 1982' = spelling
20	Anonymous:	page 4 line 1 - '1962)(Fi' = missing blank space
	Kammann:	page 3 line 12- Kelbra is not shown in the map
Geological setting		page 4 line 2 south of Kyffhäuser
		poge 4 line 14 - (Fig. 2)
ļ		page 4 line 18 - (Fig. 3a)
1		page 4 line 20 - 1, which exceeds the inclination of the leaning tower of Pisa at 3.97'
	Kammann:	The authors mention that the profiles were acquired with two different generations of the ELVIS source and two pictures are provided in figure 6. I am missing a comparison of these sources, what are the differences and which profiles were acquired with which source? If there are no differences in data quality, mentioning the two source types and the figure seem unnecessary.
		On page 4 "3 Seismic survey" the chapter starts by giving a general introduction of the position and elevation of the profiles. Adding the length of the profiles would give an idea about the special dimension of the study.
		page 5 line 2 - the authors write about profile 4: "To meet the requirements of this challenging investigation area the equipment and the configuration used for the shear wave reflection seismics had to be adapted by splitting the streamer." – It is not clear what is meant by that as the receiver number seems to be constant for all profiles (figure 7). Please clarify what is meant.
		page 5 line 7 - ' (e.g. Dasios et al., 1999; Inazaki, 2004)'.
		page 5 line 6 - The authors write: "This source-receiver combination reduces the converted waves", this however is slightly incorrect. The reduction of converted waves is achieved by vertical stacking of opposite polarization of the SH data after conscorrelation.
		page 5 line 32 - 'Therefore the mean CMP-fold of the profiles results in 18 traces'
	Anonymous:	page 6 line 29-60 - I missed an explanation how the velocity analysis was done. That doesn't need to be a big paragraph but maybe just stating the type of analysis that was used to gain the velocity field.
		page 7 line 2 - 'although' = ?
4 Data processing	Kammann:	The sections "Seismic survey" and "Data processing" appear partly too detailed, especially the description of pre-processing steps. Shorter and more concise description emphasizing the essential information on the measuring setup and processing would benefit the reader. However, as the FK-filter is crucial, this part could be supported with a plot of the FK-spectrum and the applied filter in figure 8. Alternatively, make a reference to the FK-plot in table 2.
		page 6 line 14 - 'improve the signal-noise ratio, by)'
		page 6 line 23 - 'Besides the vertical stack the S/N ratio was improved'
	Anonymous:	page 7 line 16 - change '100-150 ms' to '100 to 150 ms'
c		page 7 line18 - 'between 30 m and 80 m distance and 100 to 200 ms TWT' = hard to see in small figure
atic		page 7 line 25 - insert 'but more reflectivity'
oret		page 7 line 26 - 'similar for all profiles' = not true
5 Structural imaging and Interpretation		page 7 line 32 - change "VINT of the seismic sections 51, 52 and 53 do not constantly increasing with depth, rather' to 'VINT in the seismic sections 51, 52 and 53 do not constantly increase with depth. in fact'
		na capital in particular a second differences, similarities as you did in structural interpretation
	Kammann:	page 7 line 28 - 'that have vertical offsets of ca. 1 m'
	Anonymous:	page 8 line 32 - 'Fig. 10a' = is Fig. 11b meant here?
		page 9 line 3 - 'Fig. 10b' = is Fig. 11c meant here?
	Kammann:	page 8 line 29 - 'reflections observed in the seismic image' instead of 'reflectors'
		page 9 line 13 - 'Section 3 reveals another 30m wide and 20 deep depression structure (Fig. 11b, c, S3), located 15 m south of the church.'
		page 9 line 16 - ' in the near-surface, low velocity zones or significant diffractions.'
	Anonymous:	page 9 line 21 - 'only 8 m' = 'only 80 m'
		page 10 line 8 - 'voids' = rephrase
		page 11 line 15 - 'P-wave profile' = show data and fault
		page 11 line 34 - insert comma
		page 12 line 3 - insert 'upper 15 m'
	Kammann:	page 9 line 21 - '80 m'
5		page 9 line 24 - '. to asphalt, concrete or cobblestone streets.'

#### agreed not agreed comment

We replaced the second	'strongly' with	'heavily'
------------------------	-----------------	-----------

- We have removed the colloquial language.
- Spelling mistake corrected.
- We added the missing space.
- We removed the unimportant information
- We changed '...south of the Kyffhäuser is ...' to 'south of the Kyffhäuser hills is ...'.

Sorry, the images of figure 3 did not match the numbering within the text. We have changed the order of the images.

Sorry, the images of figure 3 did not match the numbering within the text. We have changed the order of the images.

We changed the text in accordance with the suggestion of the reviewer

Profile S1 was aquired with ELVIS 6 and S2, S3 and S4 were aquired with ELVIS 7. We now inserted this information into the text. Both sources are basically comparable, as described in the text. Comparison of single records acquired with ELVIS 6 and ELVIS 7 reveal minor differences. The older ELVIS 6 has a stronger weighting of the lower frequencies and the new ELVIS 7 has a stronger weighting of the higher frequencies, resulting in better resolution. After data processing, these minor differences are not visible anymore because of the energy loss of higher frequencies, since the useful signal of our data contains mostly frequencies below 80 Hz. However, as this article is a case study and not a technical paper. we decided not to go into too much detail regarding the electrodynamic micro-vibrators, because information about this source have already been published by, e.g. Krawczyk et al., 2012/2013 or Polom 2003/2013. Thereby, we keep the main focus of this article on the imaging of near-surface subrosion structures.

We added the length of each seismic profile.

We added 'see below for detailed description' at the end of this paragraph. The explanation is given later, when the survey setup is described in detail. Here the explanation from our text: 'Prior to survey, the landstreamer was adapted for the limited space in Bad Frankenhausen and separated into three parts for manual handling, each containing 24 geophones. We added 'e.g.' to the reference.

- We have explained this now more detailed for a better understanding.
- We changed the text in accordance with the suggestion of the reviewer.
- In a short sentence we now state the type of velocity analysis
- The word 'although' was misplaced. We removed it.
- We added a reference to the FK plot in Table 2 and shortened the text of the 'Seismic survey' section.
- We changed the text in accordance with the suggestion of the reviewer.
- We changed the text in accordance with the suggestion of the reviewer.

We changed '-' to 'to' in the entire section.

- Now the images in Figure 10 are bigger. We shortened the sections down to 1000 ms instead of imaging 1200 ms, and we have omitted two of the repeated time axes.
- We changed the text in accordance with the suggestion of the reviewer.
- Sorry for the mistake. In this part we describe the similarities of profiles S1, S2 and S3. We have changed this to make it clear.
- We changed the text in accordance with the suggestion of the reviewer.
- We added a short comparision of S4 to S1, S2 and S3.
- We changed the text in accordance with the suggestion of the reviewer.

Yes, we meant Figure 11 b.

The numbering is correct. The figure reference is referring to the low-velocity zones mentioned at the beginning of the sentence. For a better understanding we have shifted the reference from the end to the beginning. We changed 'reflectors' to 'reflections'.

- We changed the text in accordance with the suggestion of the reviewer.
- We changed the text in accordance with the suggestion of the reviewer.
- Sorry for the mistake. Of course the average profile length is 80 m.
- We changed the first 'voids' to 'cavities'
- The P-wave section is not shown, because the data is still in the processing stage. In the future, this P-wave seismic section, together with other P- and SH-wave profiles, will be shown in a separate publication regarding the regional geology of Bad Frankenhausen. We left out this information here, and changed Fig. 4 accordingly.
- We changed the text in accordance with the suggestion of the reviewer.
- We changed the text in accordance with the suggestion of the reviewer.
- Sorry for the mistake. Of course the average profile length is 80 m.
- We changed the text in accordance with the suggestion of the reviewer

		page 11 line 15 - Figure 4 shows the location of a P-wave profile which is further discussed in chapter 6 for comparison with profile 54. For me as a reader, it would be interesting to compare these two profiles, however, the profile was not accessible as referenced (Wadas et. al., 2016). Please ensure that the work is referenced properly or include the P-wave data.
		page 11 line 22 - ' are shown to be associated with salt dissolution ().'
7 Summary and Outlook		page 12 - In section "Summary and Outlook" the planned LIDAR scans could be mentioned as well (or instead).
	Anonymous:	The manuscript seems a bit over-referenced, especially the introduction and geological setting chapters are highly fragmented by numerous references, some of them repeated several times.
References		Further to that more than 20% of the references are in German which for an international audience is of no use.
		page 16 line 6 & page 17 line 24 - 'Knoth & Schwab, 1972' in bibliography but not in text, same for 'Polom, 2013'
		page 18 line 20 - Ulriksen in text – Ullriksen in bibliography
	Anonymous:	A few figures suffer from small annotation. While it is fine to have them on screen, for the printed journal they seem to be too small.
		Figure 1: text in figure too small
		Figure 2: in caption change 'yellow lines' to 'light green lines'
		Fugure 4: annotation for printed version too small, especially street names which are referred to in text
		Figure 7: upper part too small; the fold is very similar for each line and might not be as interesting as the elevation
		Figure 8: this figure is certainly worth being shown as big as figure 9, maybe in the same manner
		Figure 10: missing description of red circles
		Figure 10 and 11: A seismic interested person would certainly like to see the seismic data in a larger display. Both figures could gain from: - not showing all data down to 1200ms or 150m - omitt some of the repeated time and depth axis and annotations - less gaps between the panels.
Figures		Figure 11: I personally think that the interpreted results should be overlaid on the seismic. I find it very hard to transfer the faults and especially the depressions from 11c to 11b and therefore h to follow the interpretation at all.
	Kammann:	
		Figure 1: could be more clear and maybe simplified, especially the legend. The reference LBEG (2015) is not in the reference list. The caption is unclear. Also the borehole information used for interpretation of the shear-wave data differ a lot from the average stratification.
		Figure 7: compares 4 shots of the different profiles in means of noise and surface conditions. Which processing steps were applied to these shots?
	Anonymous	While it is interesting and might for some readers be appealing, some parts of the manuscript are written in great detail and a bit repetitive. The manuscript could gain much from more conciseness.
a		Please be careful with the use of 'seismics' as opposed to seismic. 'Seismics' it is not a noun and often only used in the informal language or colloquial speech (probably check with the desk edite which way it is used in the journal).
ner	Kammann:	The numbering of the figures, parenthesis and use of capital letters should be checked in the text.
Ge		There are some inconsistencies writing S/N ratio or signal-to-noise ratio in the text, please check.
0		Please also check that the terms "reflector" and "reflection" are used correctly.
		The term Tertiary is not used anymore and should be substituted by Paleogene or Neogene (e.g. Page 3 Line 29 and following, Figure 1).

The P-wave section is not shown, because the data is still in the processing stage. In the future, this P-wave seismic section, together with other P- and SH-wave profiles, will be shown in a separate publication regarding the regional geology of Bad Frankenhausen. We left out this information here, and changed Fig. 4 accordingly.

- We changed the text in accordance with the suggestion of the reviewer.
- Now the planned LiDAR scans are mentioned in section 'Summary and Outlook'.

We removed some repeated or unnecessary references.

We are aware of the large amount of German references. Since most of the data about the geological setting of our research area were acquired by German scientists a few decades ago, at a time Bad Frankenhausen was located in the German Democratic Republic, the common scientific language was German. Research in this area started to be published in English only during the 90s. However, we removed some of the repeated or unnecessary German references.

We removed the references from the bibliography.

We corrected the spelling mistake.

We checked the images and used a larger font size. For all figures we had to take into consideration that the maximum width of a two-olumn figure is 12cm and a one-column figure is 8.3 cm, which is predefined by the LaTeX template of SE. This limits the overall size of the figures.

We used a larger font size.

We changed 'yellow lines' to light green lines'.

We replaced the entire image and used a larger font size.

We removed the fold and used a larger font size for the elevation.

We changed the figure in accordance with the suggestion of the reviewer.

We added a description of the red circles in the caption.

- Now the images of Figures 10 and 11 are bigger. We shortened the sections down to 1000 ms instead of imaging 1200 ms, and we omitted two of the repeating time-/depth-axes.
- In the first version of the manuscript, Figure 11 was shown in the same manner you suggested, but after showing it to X some neutral colleagues, all of them agreed that the interpretation is not clearly visible due to the seismic in the background. After some discussions we decided that the best style to show the interpreted results is as it is now.

The reference for 'LBEG 2015' is in the reference list, but it was written out. Now we added the abbrevation in the bibliography.

The legend is already simplified. It shows only the abbrevations of the geological units seen on the geological map. The average stratification on the right covers the entire area of the geological map and was included in order to give a general overview of the stratification in the area. The borehole stratification gives detailed information about the geological units at the borehole location, which is located in profile S1. Variations are normal and depend on the complexity of the local geology.

The only processing steps applied to the data are vibroseis correlation, an AGC, a bandpass filter around the sweep frequency range and amplitude normalization. We added this information to the caption.

We shortened some parts of the manuscript a little bit.

We changed 'seismics' to 'seismic'.

We checked the numbering, the parenthesis and use of capital letters.

We checked for consistent spelling.

- We checked the text for the correct use of the words 'reflector' and 'reflections'.
- We replaced Tertiary with Paleogene and Neogene.

# High-resolution shear wave reflection seismics seismic as tool to image near-surface subrosion structures — a case study in Bad Frankenhausen, Germany

Sonja Wadas<sup>1</sup>, Ulrich Polom<sup>1</sup>, and Charlotte Krawczyk<sup>1,2,3</sup>

<sup>1</sup>Leibniz Institute for Applied Geophysics, Stilleweg 2, D-30655 Hannover, Germany <sup>2</sup>now GFZ German Research Centre for Geosciences, Telegrafenberg, D-14473 Potsdam, Germany <sup>3</sup>Institute for Applied Geosciences, TU Berlin, Ernst-Reuter-Platz 1, D-10587 Berlin, Germany *Correspondence to:* Sonia Wadas (sonia.wadas@liag-hannover.de)

Abstract. Subrosion is the subsurface leaching of soluble rocks that results in the formation of depression and collapse structures. This global phenomenon is a geohazard in urban areas. To study near-surface subrosion structures four shear-wave reflection seismic profiles, with a total length of ca.  $332 \text{ m}_{2}$  were carried out around the famous leaning church tower of Bad Frankenhausen in northern Thuringia, Germany, which shows an inclination of 4.93° from the vertical. Most of the ge-

- 5 ological underground of Thuringia is characterized by soluble Permian deposits, and the Kyffhäuser-Southern-Margin Fault is assumed to be a main pathway for water to leach the evaporite. The seismic profiles were acquired with the horizontal micro-vibrator ELVIS developed at LIAG and a 72 m long landstreamer equipped with 72 horizontal geophones. The highresolution seismic sections show subrosion-induced structures to a depth of ca. 100 m and reveal five features associated with the leaching of Permian deposits: (1) lateral and vertical varying reflection patterns caused by strongly heterogeneous strata,
- 10 (2) discontinuous reflectors, small offsets, and faults, which show the underground is strongly heavily fractured, (3) formation of depression structures in the near-surface, (4) diffractions in the unmigrated seismic sections that indicate an increased scattering of the seismic waves, (5) varying seismic velocities and low-velocity zones that were are presumably caused by fractures and upward-migrating cavities. A previously undiscovered, southward-dipping, listric normal fault was also found, located northward-northwards of the church. It probably serves as a pathway for water to leach the Zechstein formations-Permian
- 15 Formations below the church and causes the tilting of the <u>church</u> tower. This case study shows the potential of horizontal shear-wave reflection seismics in imaging seismic to image near-surface subrosion structures in an urban environment with a horizontal resolution of less than 1 m in the uppermost 10–15 m.

# 1 Introduction

Subrosion, the underground leaching of soluble rocks, is a global phenomenon and the entire process is not yet well understood. It requires the presence of soluble rocks (e.g. evaporites), unsaturated water (e.g. groundwater and meteoric water), and fractures or faults that enable the unsaturated water to circulate in order to create subsurface cavities (Smyth, 1913; Martinez et al., 1998; G Depending on the leached material and the parameters of the subrosion process, especially the dissolution rate (Cooper, 1986), different kinds of structures may evolve in the subsurface. The two main types are (1) collapse and (2) depression structures (for a detailed classification sees Waltham et al. (2005); Gutiérrez et al. (2008)). Strong dissolution creates sinkholes with diameters up to several tens of meters due to the development of large underground cavities and the subsequent collapse of overlying deposits (Davies, 1951; White & White, 1969). A low dissolution rate results in sag depression structures (Beck, 1999).

5 1988).

Subrosion by itself is a natural process, but it can be influenced by anthropogenic factors, such as mining (Brady & Brown, 2006), manipulation of the aquifer system and/or the groundwater flow (Bell, 1988), and the extraction of saline water (Getchell & Muller, 1995). Especially the The formation of sinkholes is a dangerous geohazard if they occur it occurs in urban areas, where they it can then lead to building and infrastructure damage and life-threatening situations (O'Connor & Murphy, 1997; Waltham, 200

10 Because of the constant increase in the world's population and rapid growth of urban areas towards zones affected by subrosion, detailed knowledge of these structures and their generation process is increasingly in demand.

A few studies have dealt with the understanding of the processes and the imaging of subrosion structures e.g. cavities, collapse sinkholes and depressions (Waltham et al., 2005). To monitor sinkhole development, ortho-rectified aerial photos and differential field GPS are used (Yechieli et al., 2002; Abelson et al., 2006), while the vertical displacement is detectable by

- 15 radar interferometry (?Baer et al., 2002; Abelson et al., 2003) (Baer et al., 2002; Abelson et al., 2003) and plane-table leveling (Scholte, 2011). Gravimetric methods are suitable for the detection of cavities and mass movement (Arzi, 1975; Neumann, 1977; Butler but they also deliver information about possible cavity fills, as geoelectric (?Militzer et al., 1979; Bataynek & Al-Zoubi, 2000; Miensopust geoelectric (Militzer et al., 1979; Bataynek & Al-Zoubi, 2000; Miensopust et al., 2015) and geomagnetic (Bosch & Müller, 2001) methodsean also do. Analogue and digital mechanical modeling of the development and the propagation of collapse sink-
- 20 holes is also a valuable tool (?Abdulla & Goodings, 1996; Tharp, 1999; Augarde et al., 2003). Seismie (Steeples et al., 1986; Evans et al Seismic (Steeples et al., 1986; Bolger et al., 1995; Shtivelman et al., 2002; Krawczyk et al., 2012) and ground-penetrating radar (GPR) (Kaspar & Pecen, 1975; Ulriksen, 1982; Bolger et al., 1995; Forkmann, 1997; ?; Miensopust et al., 2015) (Kaspar & Pecen, 1975) deliver an image of the underground and provide physical attributes. Most of the previous case studies were carried out in rural areas, since especially geophysical methods based on electric and electromagnetic principles are often affected in urban areas
- 25 by strong electromagnetic noise and the presence of ferrous materials in urban areas (Bosch & Müller, 2001). Investigations using boreholes (Yechieli et al., 2002; Miller et al., 2009) are also not applicable at the most urbanized locations due to the densely built-up areas, strict approval procedures and high costs (Schmidt, 2005). Reflection seismics seismic is widely used for mining exploration purposes (Ziolkowski & Lerwill, 1979), but also in the context of geohazards such as subrosion (Miller & Millahn, 2006; Miller & Steeples, 2008) (Miller & Steeples, 2008). Several studies of reflection seismics seismic in
- 30 karst regions exist, but again mostly in rural areas (e.g. Evans et al., 1994; Odum et al., 1999; Miller & Millahn, 2006; Miller & Steeples, whereas investigations in urban areas are sparse, especially using shear-wave reflection seismics seismic (Krawczyk et al., 2012). This method delivers high-resolution images of the near-surface, even in urbanized regions (Polom et al., 2010; Krawczyk et al., 2013). Compared tofor exmaple , for example GPR, it is not affected by saline water, which is the case in regions with e.g., Permian deposits (Annan, 2008). This is also the case in the study area in Bad Frankenhausen, located in
- 35 Thuringia in Germany.

Thuringia has a widespread sinkhole problem because of Permian deposits close to the surface that are exposed to natural and man-made subrosion processes. One of the most famous examples for the destructive consequences of subrosion is the leaning church tower in the medieval city center of Bad Frankenhausen. To date, geophysical methods that image the near-surface down to ca. 100 m depth with high-resolution, are still missing. Here, the application of shear wave reflection seismics

5 <u>seismic</u> using equipment and surveying configurations adapted for urban areas <u>may is designed to</u> fill this gap. This is tested for the specific location in Bad Frankenhausen, with four shear wave reflection seismic profiles that were carried out around the leaning church tower. This paper shows the capabilities of high-resolution shear-wave reflection <u>seismics seismic</u> to detect and characterize subrosion-controlled unstable zones and structures.

# 2 Geological setting

- 10 The city of Bad Frankenhausen is located in northern Thuringia in Germany, at the southern border of the Kyffhäuser hills (Fig. 1), which are a small range of hills in Germany, bounded by the Harz mountain Mountain range to the north and the Thuringian Basin to the south (for an entire regional overview see Seidel (2003)). The N–S extension of the Kyffhäuser hills is ca. 6 km and the W–E extension ca. 13 km, with the highest peak being at 473 m a.s.l. The surrounding areas have a mean altitude of 150 m a.s.l.
- 15 The Kyffhäuser hills are bounded by several faults. To the north, south of the city of Kelbra, is the NW–SE trending Kyffhäuser-Northern-Margin Fault, which belongs both to the Kyffhäuser-Crimmitschau Fault Zone and the Kelbra Fault Zone. The fault has an offset of ca. 600m in the central part and ca. 250m near the tips of the fault (Schriel & Bülow, 1926a, b; ?). The northward-dipping W–E trending Kyffhäuser-Southern-Margin Fault (KSM Fault) is located south of the Kyffhäuser hills in the northern part of Bad Frankenhausen (Schriel & Bülow, 1926a, b). Other large fault zones of this region are the Kelbra Fault
- Zone, the Finne-Gera-Jachymov Fault Zone and the Hornburger Fault (Katzung & Ehmke, 1993; Puff, 1994; ?) (Puff, 1994). The sediments in the south of the low hill range and north of Bad Frankenhausen are deposits from the Zechstein Sea, which was an epicontinental ocean during the Permian. Due to sea-level changes, conglomerates, carbonates, sulfates, and salt were cyclically deposited (Richter & Bernburg, 1955). The main marine formations, in order from stratigraphically lower to higher, are Werra, Staßfurt and Leine, with the first two being the most common in the research area. The Werra Formation consists
   of anhydrite, limestone, copper shales, and conglomerates(Schriel & Bülow, 1926a, b). Anhydrite and gypsum layers are also
  - part of the Staßfurt Formation (Schriel & Bülow, 1926a, b; Kugler, 1958; Reuter, 1962) (Kugler, 1958; Reuter, 1962).

The marine sedimentation phase was followed by the terrestrial sedimentation phase of the Triassic Buntsandstein with claystones, sandstones and shales, which are only found outside the low hill range at isolated spots, whereas Muschelkalk and Triassic Muschelkalk and Triassic Keuper are found a few kilometers south of Bad Frankenhausen. Cretaceous and Jurassic de-

30 posits are not found in the entire area (Schriel & Bülow, 1926a, b; ?; Knoth et al., 1998; Beutler & Szulc, 1999) (Schriel & Bülow, 1926a,

During the Early TertiaryPaleogene, the northern part of the Kyffhäuser hills was uplifted again (Freyberg, 1923) and tilted, resulting in a 300 m fault throw on the northern margin and a southward-dipping terrain. Therefore, the low hill range is described as a half-horstas is the Harz Mountain (Katzung & Ehmke, 1993; Puff, 1994; ?). Tertiary, as are the Harz Mountain

(Katzung & Ehmke, 1993; Puff, 1994). Paleogene deposits are exposed only at isolated locations at the southern and western margins(Schriel & Bülow, 1926a, b). In contrast the Quaternary sediments, with e.g., glacial till, detritus and loess cover a large area (Schriel & Bülow, 1926a, b; Kahlke, 1975–1990).

The entire region south of the Kyffhäuser hills is affected by subrosion (Schriel & Bülow, 1926a, b; Reuter, 1962) (Fig. 2).

- 5 The accumulation of subrosion structures south of the Kyffhäuser <u>hills</u> is the result of the combination of soluble rocks (Zechstein Formations) in the subsurface and their contact with <u>fresh\_ground</u> water from the southward-draining hill range that ascends alongside the KSM Fault (Reuter, 1962). The presence of salt springs <u>due to salt water ascension</u> and the occurrence of numerous sinkholes and depressions at the surface are indicators of soluble rocks near the surface like the Zechstein Formations (Kugler, 1958; Reuter, 1962). Especially these formations (Kugler, 1958). These formations especially contain many
- 10 fractures and faults, which disturb the mechanical integrity of the subsurface. Additionally the fractures and faults serve as pathways for meteoric and groundwater. The dissolution probably occurs along these features, as shown by a study of Kaufmann (2014), who investigated three research areas in the vicinity of the Harz Mountains in Germany with geophysical surveys using gravimetric, electric, and magnetic methods, and numerical modelling.
- The Barbarossa Cave, located ca. 5 km westward of Bad Frankenhausen, is situated in anhydrite of the Werra Formation and is proof of the leaching processes that occurred in the geological past (Steinmüller & Siegel, 1963). One of the oldest sinkholes of the region is called **Quellgrund** Quellgrund', first mentioned in records in 998, and located in the medieval center of Bad Frankenhausen (Fig. 3a). The largest sinkhole of the Kyffhäuser region is the so called 'Äbtissinnen Grube' between Rottleben and Bad Frankenhausen. It probably developed in the 16<sup>th</sup> Century and has a diameter of 160 × 120 m and a depth of ca. 40 m. Many other subrosion features document the continuing subrosion until today. The most recent sinkholes are found
- 20 in the north-east of Rottleben directly next to the 'Äbtissinnen Grubeand Bilzingsleben' and Bilzingsleben, a few km south of Bad Frankenhausen (Fig. 3b). The most famous subrosion phenomenon is the leaning church tower (Fig. 3c) in the medieval center of Bad Frankenhausen, north-east of the Quellgrund sinkhole (Reuter, 1962) 'Quellgrund' sinkhole. It is estimated that the tower started tilting in 1640 and its current inclination is 4.93° from the vertical (Scheffler & Martienßen, 2013), which exceeds that of the leaning the inclination of the tower of Pisa at 3.97°. Additionally, almost all buildings in the area around
- 25 the leaning tower have cracks. Repeated leveling surveys indicate a constant subsidence of the whole area (Scholte, 2011). Surveying around the leaning church tower is challenging regarding geophysical investigations. Since the church is located in the medieval center of the town the area is densely built-up. In addition the topography is strongly alternating and different soil conditions are present at the surface.

#### **3** Seismic survey

- 30
- Four shear wave reflection seismic profiles were carried out around the leaning church tower of the Oberkirche 'Oberkirche' in Bad Frankenhausen (Fig. 4, 5 & 65). Profile S1 has a length of 86.5 m and was carried out on unpaved ground beside the church and crossed over remains of walls of the medieval city. Along the profile the topographic elevation decreases from 155.2 m to 146.6 m from NE to SW (Fig. 5a,b). Profile S2 with a length of 82.5 m runs to the east of the leaning tower on

unpaved ground and on a cobbled street known as Schwedengasse. As an additional challenge stairs were crossed with this profile (Fig. 5c,d). The topographic elevation alongside profile S2 increases from 148.3 m to 157.4 m from south to north. Profile S3 has length of 79.0 m and was carried out on unpaved ground and is located on a ca. 0.5 m narrow path behind the church. The topographic elevation decreases from 151.2 m to 148.3 m from SE to NW (Fig. 5e,f). Profile S4 with a length of 84.5 m

5 investigated the subsurface below the street Am Schlachtberg'Am Schlachtberg', which is surfaced by asphalt and concrete. The topographic elevation decreases from 158.3 m to 147.8 m from north to south (Fig. 5g,h). To meet the requirements of this challenging investigation area the equipment and the configuration used for the shear wave reflection seismics seismic surveys had to be adapted by splitting the landstreamer (see below for detailed description).

For the seismic surveying a horizontal micro-vibrator as source (Fig. 6) and horizontal geophones as receivers were used in

- SH configuration. This source-receiver combination reduces converted waves and the registration of converted waves. These waves were additionally suppressed by vertical stacking of records with opposite polarization during data processing. As a result this survey configuration enables straight forward data processing. The slower seismic velocities of SH waves enable images of higher resolution than using P waves (Dasios et al., 1999; Inazaki, 2004) (e.g. Dasios et al., 1999; Inazaki, 2004). A further advantage is the autonomous suppression of surface Love waves, which occurs if the first subsurface layer is of higher
- 15 seismic velocity than the second layer, which is often the case on paved or compacted roads.

The electro-dynamic micro-vibrators ELVIS 6 and ELVIS 7 (Fig. 6) are basically comparable and are used to generate horizontally-polarized shear waves (Polom, 2003; Druivenga et al., 2011; Krawczyk et al., 2012). ELVIS 6 was used on profile S1, and ELVIS 7 was used on profiles S2, S3 and S4 due to technical problems with ELVIS 6. The most important advantage of these small sources is the usability in urban areas (?Krawczyk et al., 2013) (Krawczyk et al., 2013), such as the medieval center of Bad Frankenhausen, which is extremely built-up. Secondly, an enhanced signal-to-noise ratio (S/N

ratio) due to the correlation of the sweep and the recorded data, which compresses the time-stretched signal to a short wavelet comparable to an impulse signal and the noise outside of the sweep frequency range is also reduced (Yilmaz, 2001).

20

The micro-vibrator enables good ground coupling even in areas difficult to access due to the small base plate and a total weight of ca. 95 kg, which can be increased by a person sitting on top of the micro-vibrator (Krawczyk et al., 2012). Coupling

25 to the ground is important, because the maximum investigation depth depends partly on the emitted energy; other important factors are e.g., the thickness of the weathering layer, the scattering of the seismic wave and the number and size of fractures (Brückl et al., 2005).

To record the reflected seismic shear waves, 72 horizontal geophones of type SM-6 (Input/Output Inc., 1999) attached to a landstreamer were used (Krawczyk et al., 2012). The landstreamer was mainly adapted for near-surface reflection seismic

30 profiling on paved or compacted ground and uses a fixed geophone spacing of 1 m. Typically, the streamer is towed by a car that contains the recording system(Krawczyk et al., 2012). The trigger signal was emitted simultaneously with the start of the sweep signal to begin the digital recording. Both, trigger and sweep were sent via cable from a generator developed at LIAG (Krawczyk et al., 2012, 2013) (Krawczyk et al., 2013).

The four shear-wave reflection seismic profiles (total length of ca. 332 m) were gathered acquired in July 2014. Since the target depth was ca. 80 m and due to the limited space at the surface, a fixed receiver-configuration with only the source moving

forward was used. Prior to the survey the landstreamer was adapted for the limited space in Bad Frankenhausen and separated into three parts for manual handling, each containing 24 geophones. The vibration point distance was 2 m, 4 vibrations were excited at each vibrator location using alternating polarities. Therefore the mean CMP-fold of the profiles results in an average of 18 seismic traces per CMP. The sweep frequency was 20 to 160 Hz and the sweep had a length of 10 s (Table 1) and the record

5 length was 12 s (Table 1). Each profile was geodetically surveyed using an electronic tachymeter referenced by DGPS-based fix points.

## 4 Data processing

The data processing contained two main steps; first, the preprocessing and second, the post-geometry processing ((Table 2); for detailed explanations regarding the processing algorithms see Hatton et al. (1986); Lavergne (1989); Baker (1999); Klingen (2001); Yilmaz (2001); Brückl et al. (2005); Stark (2008); Zhang et al. (2008); Fatima et al. (2010).

The first step of data preprocessing was to check the geodetic coordinates for induced DGPS errors caused by limited satellite access. If the derivation was  $\geq$  1cm the coordinates were manually corrected. The mean errors were 5cm for S1, 8cm for S2, 2cm for S3, and 5cm for S4. After loading the data into the processing software a visual examination of each single record was done for quality assessment and to remove noisy traces(Fig. 7). The following . The vibroseis correlation correlated the pilot sweep with the recorded traces in order to compress the time-stretched signal to a short wavelet such as an impulsive signal.

15 sweep with the recorded traces in order to compress the time-stretched signal to a short wavelet such as an impulsive signal Afterwards the survey geometry was installed and a crooked-line binning using a 0.5 m bin interval was applied.

The first steps of the post-geometry processing sequence were amplitude scaling and frequency filtering to enhance the reflection response and to attenuate noise to improve the resolution and the data quality. To accomplish that an automatic gain control (AGC, 220 ms), a bandpass filter (15/17 Hz–163/165 Hz) and amplitude normalization were applied to our data.

- 20 Subsequently, the four records of every vibrator location were vertically stacked to improve the Signal-to-Noise signal-noise ratio (S/N ratio), by reducing the statistically-distributed noise and amplification of the seismic response. In this processing stage the records of the four seismic datasets needed different handling because of varying data quality, caused by the different surface conditions (Fig. 7). In the proximity of the source high-frequency noise and harmonic distortions caused by impaired source coupling were observed in the records in all profiles. S1 and S2, which have a noticeable change in the topographic
- 25 elevation of almost 10 m, were carried out mostly on unpaved soil and on cobblestone. As a consequence the coupling of the base plate to the surface was often hampered, resulting in partly strong harmonic distortions (Fig. 7). S3 was also carried out on unpaved soil, but the source could be balanced better leading to better ground coupling of the base plate. S4 was surveyed on a sloping terrain, but in contrast to S1 and S2 the surface was paved by asphalt and concrete, leading to less harmonic distortions due to better ground coupling of the source. Besides the vertical stack the S/N ratio was additionally improved by individually

muting the data parts of each profile that were irrelevant for the following processing steps using a top mute.

30

The following processing steps were performed iteratively. Since most of the reflection signals were covered by noise and harmonic distortions the top mute was followed by a frequency-wavenumber filter (FK Filterfilter), which eliminated the disturbing effects of the noisy frequencies and the harmonic distortions (Fig. 8, Table 2). For each of the four datasets an

individual FK filter was applied followed by a bandpass filter of 15/17 Hz–85/87 Hz, because iterative frequency analyses revealed that the reflection signals contain mostly frequencies below 85 Hz. To prepare the data for the next processing steps (e.g. the velocity analysis), the datasets were sorted from shot domain sort to CMP domain sort. The analysis intervals velocities were picked manually using the semblance, the offset gathers and the constant velocity stacks. The intervals for the velocity

- 5 analysis were 5 m or 10 m in order to capture the lateral velocity variations. Using the Normal Move-Out NMO correction the reflection hyperbolas were corrected to get zero-offset travel times. Residual statics correction reduced the inaccuracies at the near-surface. Afterwards the CMPs were stacked and the first seismic sections were created. After generating the seismic time sections of the four SH-wave profiles, they were examined in detail in order to iteratively improve the velocity analysis and the data processing (Fig. 9a). Due to some remaining noise a frequency analysis was conducted on the stacked data and as a result
- 10 a Bandpass Filter bandpass filter was applied (Fig. 9b). The final frequency bandwidths were 17/19 Hz–68/70 Hz for S2 and 17/19 Hz–73/75 Hz for S1, S3 and S4, although the The main parts of the reflection signals were between ca. 20 and 60 Hz. In combination with the application of individual Spectral Balancing spectral balancing applied on each of the four profiles the resolution was improved (Fig. 9c). Afterwards a FD-migration processing was applied to shift the reflectors towards their correct position and to remove diffraction signatures. Finally the seismic sections were converted into depth sections to enable
- 15 a lithological correlation with five wells located near the leaning church tower.

# 5 Structural imaging and Interpretation

In the following, reflection patterns, seismic velocities and interpretations of the seismic sections are described (Figs. 10, 11). The seismic attributes, amplitude, and continuity, were used for the analysis of the reflection pattern in the unmigrated time sections (Fig. 10a). Profile S1 shows reflectors of high amplitudes from the surface down to 100 ms TWT, especially between 20 m and 60 m horizontal distance (Fig. 10a, S1). The underlying patterns down to 12001000 ms TWT are characterized by discontinuous reflectors with small offsets and vertical differences of up to ca. 60 ms TWT (ca. 1–101 to 10 m) (e.g. between 60 m and 80 m distance and 200–600200 to 600 ms TWT), and by a partly weaker reflection pattern that is recognizable by lower amplitudes. Dipping reflectors are also present, particularly in the near-surface (e.g. between 50 m and 80 m distance and 50–30050 to 300 ms TWT). Besides these features, diffractions are present, especially in the area below 600 ms TWT. In section S2 the highest amplitudes are found in the uppermost 100–150100 to 150 ms (Fig. 10a, S2). Down to 12001000 ms TWT the seismic section S2 shows the same characteristics as S1 with offsets that result in discontinuous reflectors (e.g., between 40 m and 80 m distance and 200–600200 to 600 ms TWT). Shallow-dipping reflectors at the near-surface (e.g. between 30 m and 80 m distance and 10–20010 to 200 ms TWT) and diffractions, especially below 400 ms TWT are also present. Section S3 is comparable with S1 and S2 because the reflectors are strongly discontinuous (e.g. between 40 m and 70 m distance and

30 150-600150 to 600 ms TWT). The highest amplitudes are found in the uppermost 200 ms TWT (Fig. 10a, S3). Diffractions, which are not as pronounced as in sections S1 and S2, are visible below 500 ms TWT. Some dipping reflectors are present in the first 200 ms TWT between 40 m and 60 m distance. The reflection pattern of S4 is not comparable with the other profiles, because in contrast, the reflectors are more continuous, of higher amplitude, and most of them dip southward (e.g., between

15 m and 60 m distance and 200–600200 to 600 ms TWT). No diffractions occur in the lower half of the profile, but more reflectivity is observed (Fig. 10a, S4).

Six features are similar for all profiles <u>S1</u>, <u>S2</u> and <u>S3</u>. Firstly, the reflection patterns show lateral variations. Secondly, the highest amplitudes are found in the uppermost <del>150–200</del>150 to 200 ms TWT, at least in the sections <u>S1</u>, <u>S2</u> and <u>S3</u>, and and

5 thirdly, there is a partly weak reflection pattern below 200 ms TWT. Fourthly, discontinuous reflectors <u>exist</u> with small offsets that have vertical <u>differences offsets</u> of ca. 1 m to 10 m. Fifthly, the downward-dipping reflectors located at the subsurface form depression-like structures. Sixthly, diffractions are visible in the sections S1, S2 and S3.

One of the key factors in obtaining a good image of the underground is the generation of a proper velocity field (Fig. 10b). The shear-wave interval velocities  $V_{INT}$  of  $(V_{INT})$  in the seismic sections S1, S2 and S3 do not constantly increasing-increase

- 10 with depth, rather in fact anomalous low-velocity zones are present in the near-subsurface.between ca. 100 to 400 ms TWT. This results in lateral and vertical velocity variations, especially in the uppermost 1000 ms TWT. In general, the shear wave velocities range between ca.  $160 \text{ m s}^{-1}$  and  $580 \text{ m s}^{-1}$ . The velocity field of S1 shows an increase of the velocity from 0 to 100 ms TWT with V<sub>INT</sub> values of  $150 \text{ m s}^{-1}$  to  $270 \text{ m s}^{-1}$  (Fig. 10b, S1). From 50 m to 80 m distance and 100 to 300 ms TWT a low-velocity zone is visible with values of 100 to  $270 \text{ m s}^{-1}$ . West of this, and in the same time interval, velocity values of
- 15  $270 \text{ m s}^{-1}$  to  $300 \text{ m s}^{-1}$  were determined. Below this zone the velocities almost constantly increase with depth to the highest observed velocity of ca.  $480 \text{ m s}^{-1}$ . In general, the velocity field of S2 contains velocity values that range between  $180 \text{ m s}^{-1}$ and  $550 \text{ m s}^{-1}$  (Fig. 10b, S2). In the first 100 ms TWT the interval velocity increases from  $180 \text{ m s}^{-1}$  to  $300 \text{ m s}^{-1}$ . A lowvelocity zone is visible from 20 m to 80 m distance and between 100 to 400 ms TWT in the southern part of the profile with the lowest velocity value of ca.  $180 \text{ m s}^{-1}$ . The velocity field of S3 shows increasing shear wave velocities from ca.  $160 \text{ m s}^{-1}$
- to  $280 \text{ m s}^{-1}$  between 0 and 100 ms TWT (Fig. 10b, S3). Similar to the sections S1 and S2, S3 shows a low-velocity zone between ca. 200 and 350 ms TWT and 40 m to 78 m distance with the lowest value of ca.  $185 \text{ m s}^{-1}$ . Below this zone, the velocities almost constantly increase with depth up to ca.  $555 \text{ m s}^{-1}$ . The interval velocities of S4 range from ca.  $180 \text{ m s}^{-1}$  to  $580 \text{ m s}^{-1}$  and show no. In contrast to the velocity fields of S1, S2 and S3, which show remarkable low-velocity zone zones the velocities constantly increase with depth and the values are generally higher (Fig. 10b, S4).

# 25 5.1 Interpretation

For geological interpretation the reflection patterns reflectors and the shear wave velocities were correlated with lithologies derived from nearby boreholes (Fig. 11). Since the three boreholes located around the leaning church tower all show almost identical lithologies, only the lithologies of the research borehole Ky 1/2014 are described in detail (Fig. 11a). The first 3 m consist of anthropogenic deposits e.g. back fill, sand, gravel and debris. Clay and solifluction soils of the Quaternary are found between ca. 3 m and 7 m depth, followed by deposits of the ZechsteinPermian, in this case the Staßfurt-furt- and the Leine Formations, which are discontinuous due to several cavities and cavity fillings that indicate karst. The Staßfurt anhydrite is found between ca. 7 m and 74 m depth, and the Stinkschiefer 'Stinkschiefer' that belongs to the Staßfurt carbonate, reaches down to a depth of ca. 80 m. The Werra Formation at depths of 80 m to 163.8 m consists of anhydrite, carbonate and clay. The oldest Zechstein Permian deposits are conglomerates, which are found between 163.8 and 165.2 m depth. From ca. 165.2 m to

347.7 m deposits of the Kyffhäuser Formation, with sandstones, silts, argillites and conglomerates, are found. At the base of this formation, the KSM Fault is detected with a 40° dip. Below, a second package of the Staßfurt- and the Werra Formations was drilled, which indicates that the KSM Fault is a thrust fault that was probably active during the TertiaryPaleogene and the Neogene. The KSM Fault is assumed to act as the main pathway for water to leach the Permian deposits in the near-surface.

- 5 Combining all structural and lithologic information leads to the following geological interpretation (Fig. 11b, c). The uppermost 10 to 15 m depth of the four profiles, with increasing  $V_{INT}$  values of 160 m s<sup>-1</sup> to 300 m s<sup>-1</sup>, are typical of unconsolidated Quaternary deposits, if compared to studies in other research areas (Gomberg et al., 2003; Brückl et al., 2005). The reflectors reflections below, down to 150 m depth, represent various Zechstein Permian deposits. The discontinuous reflection patterns and the small offsets are indicators of faults and a-strongly fractured strata. This is visible in sections S1 and S2 at ca. 40 m
- 10 and 80 m depth (Fig. 10a11b, S1 & S2). The faults in the near-surface partly form conjugate normal faults, and the layers are displaced along these structures. The sediments are falling into these secondary openings due to the constant subrosion. As a result, depressions are forming at in the near-surface, also visible as dipping reflectors. The depressions consist of anthropogenic and Quaternary deposits and of the Staßfurt anhydrite.

The low-velocity zones (Fig. 10b) occur predominantly in the proximity of these depressions at ca. 20 to 40 m depth(Fig. 10b)...
The depression in the W-E trending profile S1 is located ca. 8 m north of the church (Fig. 11c, S1). It has an extent of ca. 30 m and reaches a depth of ca. 20 m. The immediate proximity of this depression to the leaning tower and the diffractions at depths of 40 m, which are probably induced by small subsurface structures due to the strongly fractured underground, indicate that these subrosion structures are the main reason for the tilting of the tower. The same holds for the northern depression in the N-S trending profile S2, which is located 5 m east of the tower (Fig. 11c, S2). It shows an extent of ca. 40 m and a depth of

- 20 ca. 20 m. The southern depression of S2 is located below the Schwedengasse 'Schwedengasse' road, 25 m south of the church, with an extent of 20 m and a depth of ca. 15 m. The depression-like structure, as imaged by profile S2 at the tower, is probably the same as the one in section S1, but viewed from another direction. The diffractions in both sections imply small-scale structures in the subsurface at depths of 40 m and below e.g. a fractured underground/strata, faults or cavities; the latter was drilled by the research boreholes. In section S2, there is indication of another reveals another ca. 30 m wide and 20 m
- 25 deep depression structure (Fig. 11b, c, S3). It is, located 15 m south of the churchwith an extent of ca. 30m and a depth of ca. 20m.

Section S4 shows a different image of the subsurface compared to the other seismic sections (Fig. 11c, S4). The section does not show depression structures in the near-surface, and low-velocity zones or large diffractionsare also missing. Instead significant diffractions. Instead, the main features of S4 are southward-dipping reflectors that represent a listric normal fault.

### 6 Discussion

30

Carrying out seismic surveys in an urban environment is always a challenge due to traffic passing passing traffic, noise from construction sites and any other type other types of industrial noise. These factors lead to many interruptions of the surveys

in Bad Frankenhausen. Two ways to reduce these disturbances would be road closure or night surveyssurveying. Due to the average profile length of only 880 m and sufficient time schedule these options were not used.

Another problem are densely built-up areas with limited space, like the medieval center of Bad Frankenhausen. In addition, the ground conditions differ from unpaved soil to paved soil to streets consisting of asphalt, concrete or cobblestone streets. The equipment used was accordingly adjusted to meet these special requirements.

Different seismic sources are generally available, e.g. explosive charges, hammer blows or weight drops, but these are not applicable in Bad Frankenhausen due to the lack of space and/or the resulting damage of the surface (Drijkoningen, 2003). For that reason the micro-vibrator ELVIS, which was developed at LIAG, was used for the seismic surveys (Fig. 6). Another advantage of the vibroseis technique is that, compared to impulse sources, the emitted high-precision frequency-modulated

signal generates a repeatable and consistent wavelet (Klauder et al., 1960; Goupillaud, 1976; Nijhof et al., 1998; Drijkoningen, 2003).

The data processing here was based on general processing procedures, as described by Krawczyk et al. (e.g. 2012) and Pugin et al. (2013a). Additionally, spectrum balancing was applied to improve the vertical resolution. Velocity analysis was a difficult task, because reflection hyperbolas were barely visible, due to the discontinuous reflectors and weak reflection

- 15 patterns (Fig. 10a). Additionally, an often observed decrease of the interval shear-wave velocities in the shallow subsurface in the uppermost 1000 ms TWT indicated low-velocity zones resulting in lateral and vertical velocity variations of an average value of  $100 \text{ m s}^{-1}$  (Fig. 10b). These velocity variations and the low-velocity zones also impaired the depth conversion process. To prevent imaging artifacts, simplified 1D velocity profiles derived from the 2D velocity fields were used.
- The discontinuous reflection patterns, the partly low amplitudes and the low-velocity zones indicate the formation of fractures, faults (Fig. 11b,c), and probably cavities leading to lower density and higher porosity values. The formation of these structures is mainly caused by leaching processes in the subsurface. The voids cavities or the disturbances of the wavefield caused by the these voids and other small structures are detectable by e.g., the presence of diffractions within the unmigrated sections (Fig. 10a), if the size of the scatterer scattering object is smaller than the wavelength of the seismic signal. The voids also have an effect on the surrounding material, like the formation of fractures due to instabilities. This change of rock integrity
- 25 is detectable by the presence of discontinuous reflectors and shear wave velocity variations.

5

Seismic velocities strongly vary depending on the physical rock properties. Shear wave velocities of anhydrite range from  $2000 \text{ m s}^{-1}$  to  $3600 \text{ m s}^{-1}$ , and gypsum ranges from  $700 \text{ m s}^{-1}$  to  $2700 \text{ m s}^{-1}$ , as derived from laboratory experiments (Brückl et al., 2005; Mielsch & Priegnitz, 2012). Wave propagation within an intact rock is faster than in a rock with fractures, faults and cavities, due to their disturbing effect on the wavefield (Barton, 2007). The observed velocities of the four seismic sections

30 here, which contain a mixture of anhydrite and gypsum, are slower with a maximum value of ca.  $580 \text{ m s}^{-1}$  (Fig. 10b), because the strata is strongly fractured.

Fractures In general, fractures can range in size from microcracks (up to a few micrometers) to faults (up to hundreds of km in length and tens of km in depth), and there are always smaller fractures present than those imaged in the seismic sections. The horizontal resolution is determined by the radius of the Fresnel zone, the smaller the zone the higher the resolution. The

35 radius of the Fresnel zone is determined by the wavelength, which depends on the central frequency and the velocity of the

seismic wave. As a result, a high frequency and a slow seismic velocity will lead to a smaller wavelength and therefore to an improved resolution (Brückl et al., 2005). The strongly fractured strata/underground below Bad Frankenhausen and the unconsolidated sediments at in the near-surface result in slow seismic velocities between 160 and 580 m s<sup>-1</sup>. For the sweep signal a frequency bandwidth from 20 to 160 Hz was chosen and data analyses revealed that the useful signal mainly contains

5 frequencies between 20 and 85 Hz. This results in high-resolution imaging of the shallow subsurface with a resolution of less than 1 m in at depths down to ca. 15 m and a resolution of ca. 2-32 to 3 m in at 50 m depth.

Boadu & Long (1996) found that seismic amplitudes and phases change at fractures, depending on the fracture parameters, such as fracture length and fracture spacing. Only part of the energy is reflected at the fault itself and the rest is either scattered or guided by the fracture. This results in a loss of reflected energy and a reduced amplitude size; this effect is less pronounced

10 for lower frequencies (L'Heureux et al., 2009). This is the case here (Fig. 10a), where many small structural features induce scattering.

Depressions are visible in the seismic sections S1, S2 and S3 (Fig. 11). The tilting of the church tower and the subsidence of the surface are associated with the subrosion structures seen in S1 and S2 (Fig. 10, 11). Seismic section S2 shows a depression structure below the Schwedengasse 'Schwedengasse' road. Additionally, in a small area between the church and the

- 15 Schwedengasse 'Schwedengasse' road, ca. 10 m north of the eastern end of profile S3, slight subsidence of the surface is visible. This is also the case in the eastern part of section S3. Probably a new depression structure is forming at this location and is proceeding to the surface. For further observation of the evolution of this structure repeated LiDAR scans of this area are planned. Such sagging of layers and upward migration of voids were also shown by Miller & Millahn (2006) and McDonnell et al. (2007) from research areas in Texas and Kansas in the USA.
- To interpret the structures imaged by profile S4, the results of outcrop investigations, geological mapping (Schriel & Bülow, 1926a, b), and research and exploration boreholes were taken into consideration (Figs. 1, 11). These geological investigations indicate a west-to-east striking northward-dipping thrust fault at the southern margin of the Kyffhäuser , also hills, known as the Kyffhäuser-Southern-Margin Fault (KSM Fault). The Zechstein Permian deposits of the Werra-, Staßfurt- and Leine Formations have been thrusted along this fault, which is probably an important pathway for water to leach the Zechstein
- 25 Permian deposits. Similar observations of leaching processes were made by Abelson et al. (2003) and Frumkin et al. (2011) along the western Dead Sea shoreline, where a rift valley that features several normal faults was identified as a possible water pathway. The imaging of the KSM Fault at depth of ca. 347 m was not possible because of the limited investigation depth of the used equipment and the survey configuration. Instead another, so far unknown, fault is imaged on profile S4and also by a P-wave profile carried out along the same track. The visible structure is a southward-dipping listric normal fault and it that can
- 30 be observed down to a depth of ca. 120 m in the shear wave section (Figs. 10, 11)and down to ca. 350m depth in the P wave section (Wadas et al., 2016).

The observations in Bad Frankenhausen can be compared with the results of other studies, which have determined seismic velocities in the context of characterizing subrosion-induced structures, but with a lower resolution. P-wave refraction seismics seismic has been used to detect the subsurface velocity structure, and as the inversion of surface waves have been used to

35 calculate shear wave velocities. In the refraction seismics refraction seismic strong lateral variations of the velocities and zones

with decreased seismic velocities are shown that are to be associated with salt dissolution (e.g., Karaman & Karadayilar, 2004; Dobecki & Upchurch, 2006). With a resolution of 15 to 20% of the investigated depth (Briaud, 2013), this technique has a lower resolution with respect to the shear wave reflection method. Especially shear wave velocities are of importance, because of their relationship to the shear modulus, which is an indication of the stiffness of subsurface rocks. Therefore low-velocity

5 zones could be used to determine hazard-prone areas and this will be further investigated by future work.

The inversion of Rayleigh surface waves helps to deliver shear wave velocities (e.g. using the Multichannel Analysis of Surface Waves (MASW)). Some studies (Debeglia et al., 2006; Dobecki, 2010) revealed vertical and in particular lateral variations in the subsurface with low-velocity zones associated with loosening of shallow sediment layers during the formation of voids and the development of sinkholes. This correlates with the results of this study (Fig. 10b). The advantages of the MASW

- 10 method are less acquisition and processing time compared to active shear wave reflection seismics. The disadvantages of MASW are the lower penetration depth, the inferior vertical and lateral resolutions (Ismail et al., 2014), and that the method is based on a 1D inversion process leading to smearing effects in 2D (Park & Taylor, 2010). If only an overview of the velocities is required, Rayleigh surface wave inversion is a valuable tool to estimate the ground stability. For a detailed analysis the use of shear wave reflection seismics seismic is recommended. As shown, even in urban areas this method delivers a high-resolution
- 15 image of both the structures and the shear wave velocities in the near-surface (Polom et al., 2010; Krawczyk et al., 2012; Pugin et al., 2013b). In this study, we provide images down to a depth of ca. 100 m with a resolution of less than 1 m in the shallow subsurface (upper 10 to 15 m).

# 7 Summary and Outlook

25

In this study we show the potential of shear-wave reflection seismics seismic to identify subrosion-induced structures in 20 the near-surface in an urban environment. The survey carried out in the medieval city center of Bad Frankenhausen confirms 21 the benefits of this technique, e.g. to detect and characterize unstable zones. The seismic sections reveal five main features 22 associated with subrosion:

- 1. laterally and vertically variable reflection patterns caused by strongly heterogeneous strata,
- 2. discontinuous reflectors, small-scale offsets and small normal faults, which were caused by a strongly fractured strata due to leaching of the Zechstein formations.
- 3. dipping reflectors and depression structures at the near-surface caused by deposits slowly sagging into secondary openings and cavities,
- 4. diffractions in the unmigrated seismic sections are possible indicators of cavities in the subsurface, which developed due to the subrosion processes, and,
- 30 5. laterally and vertically varying seismic velocities and low-velocity zones in the near-surface caused presumably by fractures and upward migrating cavities.

A previously undiscovered southward-dipping listric normal fault was found northward of the church. This fault probably serves as a main pathway for water to leach the Zechstein Formations below the church leading, to the tilting of the tower.

Future work will comprise advanced data processing such as pre-stack migration, and additional shear wave reflection seismic surveys in Bad Frankenhausen – and, e.g. repeated LiDAR scans to observe the development of a new depression

5 <u>structure south of the church</u>. In order to compare the results from Bad Frankenhausen, seismic surveys in Schmalkalden in southern Thuringia, another area affected by subrosion, are planned.

# 8 Data availability

The seismic data, which was used in this paper, is the property of LIAG. The data is available from the authors upon request. Please contact the first author (Sonja Wadas) for details.

10 Acknowledgements. We would like to thank LIAG's seismic data acquisition and technical development team; Jan Bayerle, Eckhardt Großmann, and Sven Wedig. Lutz Katzschmann from the Thuringian State Institute for Environment and Geology encouraged this work. The, and the citizens of Bad Frankenhausen are thanked for their cooperation. We acknowledge the reviews from Janina Kammann and an anonymous referee that helped improving the manuscript.

# References

5

- Abdulla, W.A. & Goodings, D.J.: Modeling of sinkholes in weakly cemented sand, J. Geotech. Eng., 122, 12, 998–1005, DOI = 10.1061/(ASCE)0733-9410(1996)122:12(998), 1996.
- Abelson, M., Baer, G., Shtivelman, V., Wachs, D., Raz, E., Crouvi, O., Kurzon, I. & Yechieli, Y.: Collapse-sinkholes and radar interferometry
- reveal neotectonics concealed within the Dead Sea basin, Geophys. Res. Lett., 30, 10, 52.1–52.3, DOI = 10.1029/2003GL017103, 2003.
- Abelson, M., Yechieli, Y., Crouvi, O., Baer, G., Wachs, D., Bein, A. & Shtivelman, V.: Evolution of the Dead Sea sinkholes, Geol. Soc. Am. Spec. Pap., 401, 241–253, DOI = 10.1130/2006.2401(16), 2006.
  - Annan, A.P.: Electromagnetic Principles of Ground Penetrating Radar, In: Jol, H. (ed.), Ground Penetrating Radar Theory and Applications, Elsevier B.V., Amsterdam, Netherlands, 2008.
- 10 Arzi, A.: Microgravimetry for engineering applications, Geophys. Prospect., 23, 3, 408–425, DOI = 10.1111/j.1365-2478.1975.tb01539.x, 1975.
  - Augarde, C.E., Lyamin, A.V. & Sloan, S.W.: Prediction of Undrained Sinkhole Collapse, J. Geotech. Geoenviron., 129, 3, 197–205, DOI = 10.1061/(ASCE)1090-0241(2003)129:3(197), 2003.

Baer, G., Schattner, U., Wachs, D., Sandwell, D., Wdowinski, S. & Frydman, S.: The lowest place on Earth is subsiding-

15 An InSAR (interferometric synthetic aperture radar) perspective, Geol. Soc. Am., 114, 1, 12–23, DOI = 10.1130/0016-7606(2002)114<0012:TLPOEI>2.0.CO;2, 2002.

Baker, G.S.: Processing Near-Surface Seismic Reflection Data: A primer, Course Note Series-Soc. Expl. Geophys., 9, 1–7, 1999.

Barton, N.: Rock quality, seismic velocity, attenuation and anisotropy, Taylor and Francis Group, London, UK, 2007.

Batayneh, A.T. & Al-Zoubi, A.: Detection of a solution cavity adjacent to a highway in southwest Jordan using electrical resistivity methods.
J. Environ. Engin. Geophys., 5, 4, 25–30, DOI = 10.4133/JEEG5.4.25, 2000.

2002(@)(@)Bat02 Batayneh, A.T., Abueladas, A.A. & Moumani, K.A.: Use of ground-penetrating radar for assessment of potential sinkhole conditions: an example from Ghor al Haditha area, Jordan, Environ. Geol., 41, 8, 977–983, DOI = 10.1007/s00254-001-0477-8, 2002.

Beck, B.F.: Environmental and engineering effects of sinkholes — the process behind the problems, Environ. Geol., 12, 2, 71–78, DOI = 10.1007/BF02574791, 1988.

- 25 Bell, F.G.: Subsidence associated with the abstraction of fluids, Eng. Geol. Spec. Pub., 5, 363–376, DOI = 10.1144/GSL.ENG.1988.005.01.40, 1988.
  - Benson, R., Yuhr, L. & Passe, P.: Assessment of potential karst conditions for a new bridge in the Florida Keys, In: Bell, R. (ed.), Proceedings of a Symposium on the Application of Geophysics to Environmental and Engineering Problems, Environ. Engin. Geophys. Soc., Denver, USA., 1995.
- 30 Beutler, G.:Der Einfluss der mitteldeutschen Hauptabbrehe auf die Mehtigkeitsentwicklung der Trias, Berl. Geowiss. Abh., 168, 31–42, 1995.-
  - Beutler, G. & Szulc, J.: Die paläogeographische Entwicklung des Germanischen Beckens in der Trias und die Verbindung zur Tethys, In: Hauschke, N. & Wilde, V. (eds.), Trias–Eine ganz andere Welt, Dr. Friedrich Pfeil Scientific publisher, Munic, Germany, 1999.
  - Boadu, F.K. & Long, L.T.: Effects of fractures on seismic-wave velocity and attenuation, Geophys. J. Int., 127, 1, 86-110, DOI =
- 35 10.1111/j.1365-246X.1996.tb01537.x, 1996.

- Bolger, R., Pelton, J., Liberty, L. & Waag, C.: Joint orientation and near-surface structure and stratigraphy in the vicinity of large sediment boils erupted during a major earthquake, In: Bell, R. (ed), Proceedings of a Symposium on the Application of Geophysics to Environmental and Engineering Problems, Environ. Engin. Geophys. Soc., Denver, USA, 1995.
- Bosch, F.P. & Müller, I.: Continuous gradient VLF measurements: a new possibility for high resolution mapping of karst structures, First
  Break, 19, 6, 343–350, DOI = 10.1046/j.1365-2397.2001.00173.x, 2001.
- Brady, B.H.G. & Brown, E.T.: Rock Mechanics-For Underground Mining, Springer-Verlag, Berlin, Germany, 2006.

Briaud, J.-L.: Geotechnical Engineering: Unsaturated and Saturated Soils, Wiley-Blackwell Publishing, New York City, USA, 2013.

Brückl, E., Dresen, L., Edelmann, H.A.K., Fertig, J., Gaertner, H., Gelbke, C., Kirchheimer, F., Krummel, H., Liebhardt, G., Orlowsky, D., Reimers, L., Sandmeier, K.-L., Schneider, C., Utecht, T. & Witka, T.: Seismik, In: Knödel, K., Krummel, H. & Lange G. (eds), Handbuch

- 10 zur Erkundung des Untergrundes von Deponien und Altlasten, Springer-Verlag, Berlin, Germany, 2005.
  - Butler, K.: Microgravimetric and gravity gradient techniques for detection of subsurface cavities, Geophysics, 49, 7, 1084–1096, DOI = 10.1190/1.1441723, 1984.

Carpenter, P., Doll, W. Kaufmann, R.:Geophysical character of buried sinkholes on the Oak Ridge reservation, Tennessee, J. Environ. Eng. Geophys., 3, 3, 133–145, DOI = 10.4133/JEEG3.3.133, 1998.

- 15 Cooper, A.H.: Subsidence and foundering of strata caused by the dissolution of Permian gypsum in the Ripon and Bedale areas, North Yorkshire, Geol. Soc. London Spec. Pub., 22, 127–139, DOI = 10.1144/GSL.SP.1986.022.01.11, 1986.
  - Dasios, A., McClann, C., Astin, T.R., McCann, D.M. & Fenning, P.: Seismic imaging of the shallow subsurface: shear-wave case histories, Geophys. Prospect., 47, 4, 565–591, DOI = 10.1046/j.1365-2478.1999.00138.x, 1999.

Davies, W.E.: Mechanics of Cavern Breakdown, Nat. Speleo. Soc., 13, 36-43, 1951.

- 20 Debeglia, N., Bitri, A. & Thierry, P.: Karst investigations using microgravity and MASW; Application to Orléans, France, Near Surf. Geophys., 4, 4, 215–225, DOI = 10.3997/1873-0604.2005046, 2006.
  - Dobecki, T.L. & Upchurch, S.B.: Geophysical applications to detect sinkholes and ground subsidence, The Leading Edge, 25, 3, 336–341, DOI = 10.1190/1.2184102, 2006.

Dobecki, T.L.: Sinkholes and pitfalls in urban geophysics, The Leading Edge, 29, 8, 944–951, DOI = 10.1190/1.3480007, 2010.

- 25 Drijkoningen, G.G.: Seismic Data Acquisition, Lecture Notes, TU Delft Netherlands, URL = www.geodus1.ta.tudelft.nl/PrivatePages/G.G.Drijkoningen/ LectureNotes, 2003.
  - Druivenga, G., Grossmann, E., Grüneberg, S., Polom, U. & Rode, W.: Transportabler Scherwellenvibrator, Deutsches Patent-und Markenamt, Offenlegungsschrift DE 103 27 757 B4, 2011.

Dutta, N., Bose, R. Saikia, B.:Detection of solution channels in limestone by electrical resistivity method. Geophys. Prospect., 18, 3, 405–414, DOI = 10.1111/j.1365-2478.1970.tb02121.x, 1970.

- $30 \qquad 405-414, \text{DOI} = 10.1111/j.1365-2478.1970.tb02121.x, 1970.$ 
  - Evans, M.W., Snyder, S.W. & Hine, A.C.: High-resolution seismic expression of karst evolution within the Upper Floridian aquifer system; Crooked Lake, Polk County, Florida, J. Sediment. Res., 64, 2b, 232–244, DOI = 10.1306/D4267F9B-2B26-11D7-8648000102C1865D, 1994.
- Fatima, M., Kumar, L., Bhattacharjee, R.K., Rao, P.H. & Sinha, D.P.: Improving Resolution with Spectral Balancing-A Case study, 8th
  Biennial International Conference & Exposition on Petroleum Geophysics, Hyderabad, India, 1–3 February, 2010.
- Forkmann, B.: Ergebnisbericht über eine flächenhafte GEORADAR-Vermessung in der Umgebung des Turmes der Oberkirche in Bad Frankenhausen, internal report, 1997.

Franzke, J., Heise, G. Rauche, H.:Analyse der strukturellen Entwicklung der Finne-Strung und der Naumburger Mulde, Hallesches Jb. Geowiss., 11, 77–95, 1986.

- Freyberg, B.V.: Die tertiären Landoberflächen in Thüringen. Fortschritte der Geologie und Paläontologie Vol. 6, Gebrüder Bornträger Verlag, Berlin, Germany, 1923.
- 5 Frumkin, A., Ezersky, M., Al-Zoubi, A., Akkawi, E. & Abueladas, A.-R.: The Dead Sea sinkhole hazard: Geophysical assessment of salt dissolution and collapse, Geomorphology, 134, 1–2, 102–117, DOI = 10.1016/j.geomorph.2011.04.023, 2011.
  - Galloway, D., Jones, D.R. & Ingebritsen, S.E.: Land Subsidence in the United States, USGS Circular, 1182, URL = http://pubs.usgs.gov/circ/circ1182/, 1999.

Getchell, F.J. & Muller, E.H.: Subsidence and related features in the Tully Valley, Central New York, Int. J. Rock Mech. Min., 33, 2, 829-843,

- 10 URL = http://info.ngwa.org/gwol/pdf/920157329.PDF, 1995.
  - Gomberg, J., Waldron, B., Schweig, E., Hwang, H., Webbers, A., VanArsdale, R., Tucker, K., Williams, R., Street, R., Mayne, P., Stephenson, W., Odum, J., Cramer, C., Updike, R., Hutson, S. & Bradley, M.: Lithology and Shear-Wave Velocity in Memphis, Tennessee, B. Seismol. Soc. Am., 93, 3, 986–997, DOI = 10.1785/0120020164, 2003.

Goupillaud, P.L.: Signal design in the 'Vibroseis' technique, Geophysics, 41, 6, 1291-1304, 1976.

- 15 Gutiérrez, F., Guerrero, J. & Lucha, P.: A genetic classification of sinkholes illustrated from evaporite paleokarst exposures in Spain, Environ. Geol., 53, 5, 993–1006, DOI = 10.1007/s00254-007-0727-5, 2008.
  - Hatton, L., Worthington, M.H. & Malin, J.: Seismic Data Processing-Theory and Practice, Blackwell Scientific Publications, Oxford, UK, 1986.

Hoffmann, S., Beilecke, T., Polom, U., Werban, U., Leven, C. Engeser, B.:Integrierter Einsatz von Scherwellenseismik und

20 Direct-Push-Verfahren zur Erkundung eines urbanen Grundwasserleiters, Grundwasser, 13, 2, 78–90, DOI = 10.1007/s00767-008-0067-8, 2008.

Inazaki, T.: High resolution reflection surveying at paved areas using S-wave type land streamer, Explor. Geophys., 35, 1–6, 2004.

Input/Output, Inc.: Brochure: SM-6 Geophone, Sensor Netherlands, 1999.

Ismail, A., Denny, F.B. & Metwaly, M.: Comparing continuous profiles from MASW and shear-wave reflection seismic methods, J. Appl.

25 Geophys., 105, 67–77, DOI = 10.1016/j.jappgeo.2014.03.007, 2014.

Jahn, G., Gabriel, G., Krawczyk, C.M. Vogel, D.:Charakterisierung von Subrosionsprozessen mittels Schwerenetzwerk an der Kyffhuser Sdrandstrung in Bad Frankenhausen-Poster, 74th Yearly conference of the German Geophysical Society (Deutschen Geophysikalischen Gesellschaft (DGG)), Karslruhe, Germany, 10–13 March, 2014.

Kahlke, H.D.: Quartärpaläontologie, In: Abhandlungen und Berichte des Instituts für Quartärpaläontologie Weimar Vol. 1–9, Abh. Akadem.

- 30 Verlag, Berlin, Germany, 1975–1990.
  - Karaman, A. & Karadayilar, T.: Identification of karst features using seismic P-wave tomography and resistivity anisotropy measurements, Environ. Geol., 45, 7, 957–962, DOI = 10.1007/s00254-003-0953-4, 2004.

Kaspar, M. & Pecen J.: Detection of caves in a karst formation by means of electromagnetic waves, Geophys. Prospect., 23, 4, 611–621, DOI = 10.1111/j.1365-2478.1975.tb01548.x, 1975.

- 35 Katzung, G. & Ehmke, G.: Das Prätertiär in Ostdeutschland: Strukturstockwerke und ihre regionale Gliederung, Publisher Sven von Loga, Cologne, Germany, 1993.
  - Kaufmann, G.: Geophysical mapping of solution and collapse sinkholes, J. Appl. Geophys., 111, 271–288, DOI = 10.1016/j.jappgeo.2014.10.011, 2014.

- Keydar, S., Medvedev, B., Ezersky, M. & Sobolevsky, L.: Imaging Shallow Subsurface of Dead Sea Area by Common Shot Point Stacking and Diffraction Method Using Weighted Multipath Summation (Case Study), J. Civil Eng. Sci., 1, 2, 75–79, 2012.
- Klauder, J.R., Price, A.C., Darlington, S. & Albersheim, W.J.: The Theory and Design of Chirp Radars, The Bell System Tech. J., 39, 4, 745–808, 1960.
- 5 Klingen, B.: Fouriertransformation f
  ür Ingenieure und Naturwissenschaftler, Springer-Verlag, Berlin, Germany, 2001.
   Knoth, W. Schwab, M.:Abgrenzung und geologischer Bau der Halle-Wittenberg-Scholle, Geologie, 12, 10, 11538–1172, 1972.
  - Knoth, W., Kriebel, U., Radzinski, K.H. & Thomae, M.: Die geologischen Verhältnisse von Halle und Umgebung, Hallesches Jb. Geowiss. Reihe B, 4, 49–61, 1998.
  - Krawczyk, C.M., Polom, U., Trabs, S. & Dahm, T.: Sinkholes in the city of Hamburg-New urban shear-wave reflection seismic system
- enables high-resolution imaging of subrosion structures, J. Appl. Geophys., 78, 133–143, DOI = 10.1016/j.jappgeo.2011.02.003, 2012.
   Krawczyk, C.M., Polom, U. & Beilecke, T.: Shear-wave reflection seismics as a valuable tool for near-surface urban applications, The Leading Edge, 32, 3, 256–263, DOI = 10.1190/tle32030256.1, 2013.
  - Kugler, H.: Studien zur pleistozänen Formung der Hainleite, der Windleite, des Wippertales und des Frankenhäuser Beckens, Wiss. Zeitschr. Karl-Marx-Univ., 2, 355–385, 1958.
- 15 Lavergne, M.: Seismic methods, Éditions Technip, Paris, 1989.
  - LBEG Landesamt für Bergbau, Energie und Geologie: Symbolschlüssel Geologie—Symbole für die Dokumentation geologischer Feld- und Aufschlussdaten, Geozentrum Hannover, Germany, URL = www.lbeg.niedersachsen.de/download/74117/Symbolschluessel Geologie.pdf, 2015.
  - Lauritzen, S. Lundberg, J.:Solutional and erosional morphology, In: Klimchouk, A., Ford, D., Palmer, A. Dreybrodt, W.(eds), Speleogenesis-Evolution of Karst Aquifers, Nat. Speleo. Soc., USA, 2000.
  - L'Heureux, E., Milkereit, B. & Vasudevan, K.: Heterogeneity and seismic scattering in exploration environments, Tectonophysics, 472, 1, 264–272, DOI = 10.1016/j.tecto.2008.04.001, 2009.
  - Martinez, J., Johnson, K. & Neal, J.: Sinkholes in Evaporite Rocks, Am. Sci., 86, 1, 38–51, DOI = 10.1511/1998.17.909, 1998.

McDonnell, A., Louck, R.G. & Dooley, T.: Quantifying the origin and geometry of circular sag structures in northern Fort Worth Basin,

- Texas: Paleocave collapse, pull-apart fault systems, or hydrothermal alteration?, Am. Assoc. Pet. Geophys. Bull., 91, 9, 1295–1318, DOI = 10.1306/05170706086, 2007.
  - Mielsch, H. & Priegnitz, M.: Evolution of microstructure and elastic wave velocities in dehydrated gypsum samples, Geophys. Res. Lett., 39, 24, 1–6, DOI = 10.1029/2012GL053674, 2012.

Miensopust, M.P., Igel, J., Günther, T., Dlugosch, R. & Hupfer, S.: Electric and Electromagnetic Investigation of a Karst System, Near

- 30 Surface Geoscience 2015—21st European Meeting of Environmental and Engineering Geophysics, Turin, 06 Septembre 2015, DOI = 10.3997/2214-4609.201413704, 2015.
  - Militzer, H., Rosler, R. & Losch, W.: Theoretical and experimental investigations for cavity research with geoelectrical resistivity methods. Geophys. Prospect., 27, 3, 640–652, DOI = 10.1111/j.1365-2478.1979.tb00991.x, 1979.
  - Miller, R.D. & Millahn, K.: High-Resolution Seismic Reflection Investigations of Dissolution Sinkholes, EAGE 68th Conference & Exhibi-
- tion, Vienna, Austria, 12–15 June, 2006.

20

Miller, R.D. & Steeples, D.W.: High-resolution Seismic-reflection Imaging of I-70 Sinkholes, Russell County, Kansas, Kans. Geol. Surv., USA, 2008.

- Miller, R.D., Ivanov, J., Sloan, S.D., Walters, S.L., Leitner, B., Rech, A., Wedel, B.A., Wedel, A.R., Anderson, J.A., Metheny, O.M. & Schwarzer, J.C.: Shear-wave Seismic Study above Vigindustries, Inc. Legacy Salt Jugs in Hutchinson, Kansas, Kans. Geol. Surv., USA, 2009.
- Neumann, R.: Microgravity method applied to the detection of cavities, Symposium on Detection of Subsurface Cavities at Vicksburg, Mississippi, USA, 1977.
- 5 Nijhof, V., Ghose, R., Brouwer, J., Matsubara, Y., Kaida, Y.Y. & Takahasi, T.: Shallow to very shallow high-resolution reflection seismic using a portable vibrator system, Geophysics, 63, 4, 1295–1309, DOI = 10.1190/1.1444431, 1998.
  - O'Connor, K.M. & Murphy, E.W.: TDR monitoring as a component of subsidence risk assessment over abandoned mines, Int. J. Rock Mech. Min., 34, 3–4, 230.e1–230.e15, DOI = 10.1016/S1365-1609(97)00134-2, 1997.

Odum, J.K., Stephenson, W.J., Williams, R.A., Pratt, T.L., Toth, D.J. & Spechler, R.M.: Shallow High-Resolution Seismic-Reflection Imag-

- 10 ing of Karst Structures within the Floridian Aquifer System, Northeastern Florida, J. Environ. Eng. Geophys., 4, 4, 251–261, DOI = 10.4133/JEEG4.4.251, 1999.
  - Parise, M.: Surface and Subsurface Karst Geomorphology in the Murge (Apulia, Southern Italy), Acta Carsol., 40, 1, 79–93, DOI = 10.3986/ac.v40i1.30, 2011a.

Parise, M. & Lollino P.: A preliminary analysis of failure mechanisms in karst and man-made underground caves in Southern Italy, Geomor-

- 15 phology, 134, 132–143, DOI = 10.1016/j.geomorph.2011.06.008, 2011b.
- Park, C.B. & Taylor, C.: 3D Masw Characterization Of Sinkhole: A Pilot Study At Usf Geology Park, Tampa, Florida, 23rd EEGS Symposium on the Application of Geophysics to Engineering and Environmental Problems, 498–507, DOI = 10.4133/1.3445474, 2010.
   Polom, U.: Schwingungserzeuger f
  ür seismische Anwendungen, Deutsches Patent- und Markenamt, Patentschrift Nr. 102 35 126 C1, 2003.

Polom, U., Hansen, L., Sauvin, G., L'Heureux, J.-S., Lecomte, I., Krawcyzk, C.M., Vanneste, M. & Longva, O.: High-Resolution SH-

20 Wave Seismic Reflection for Characterization of Onshore Ground Conditions in the Trondheim Harbor, Central Norway, In: Miller, R.D., Bradford, J. & Holliger, K. (eds), Advances in Near-Surface Seismology and Ground-penetrating Radar, Wiley-Blackwell, New York City, USA, 2010.

Polom, U., Bagge, M., Wadas, S., Winsemann, J., Brandes, C., Binot, F. Krawczyk, C.M.:Surveying near-surface depocentres by means of shear wave seismics, First Break, 31, 8, 67–79, 2013.

- 25 Puff, P.: Thüringen, Geologische Übersicht 1:400 000, Thüringer Landesanstalt für Bodenforschung, Weimar, Germany, 1994. Pugin, A.J.-M., Brewer, K., Cartwrigth, T., Pullan, S.E., Didier, P., Crow, H. & Hunter, J.A.: Near surface S-wave seismic reflection profiling—new approaches and insights, First Break, 31, 49–60, 2013a.
  - Pugin, A.J.-M., Pullan, S.E. & Hunter, J.A.: Shear-wave high-resolution seismic reflection in Ottawa and Quebec City, Canada, The Leading Edge, 32, 3, 250–255, DOI = 10.1190/tle32030250.1, 2013b.
- Reuter, F.: Gebäudeschäden durch Untergrundsenkungen in Bad Frankenhausen (Kyffhäuser), internal report, 1962.
   Richter, B. & Bernburg, G.: Stratigraphische Gliederung des deutschen Zechsteins, Zeitschr. Deutsch. Geol. Gesell., 105, 843–854, 1955.
   Scheffler, T. & Martienßen, T.: Überwachungsmessungen zur Bestimmung der Deformationen von Kirchtürmen, Schriftenreihe des Instituts für Markscheidewesen und Geodäsie der TU Bergakademie Freiberg, 2013, 1, 83–96, 2013.

Schmidt, W.: Geological and Geotechnical Investigation Procedures For Evaluation of the Causes of Subsidence Damage In Florida, Fla.

- 35 Geol. Surv. Spec. Pub., 57, 1–28, 2005.
  - Scholte, B.: Kurzbericht zum Senkungsnivellement, zur Schiefstellung der Kirche und zum Horizontalverschiebungsnetz im Bewilligungsfeld Kyffhäuser Sole der Kur-Gesellschaft mbH Bad Frankenhausen, Glückauf-Vermessung GmbH Sondershausen, internal report, 2011.

- Schriel, W. Bülow, K.v.: Geologische Karte von Preußen und benachbarten deutschen Ländern. Map Frankenhausen 4632, Lieferung 9, 2. Auflage, Preußische Geologische Landesanstalt, Berlin, Germany, 1926a.
- Schriel, W. Bülow, K.v.: Geologische Karte von Preußen und benachbarten deutschen Ländern. Map Kelbra 4532, Lieferung 9, 2. Auflage, Preußische Geologische Landesanstalt, Berlin, Germany, 1926b.
- Seidel, G.:Tektonische Karte des Deckgebirges von Thringen 1:100 000, Thringer Landesanstalt fr Geologie, Weimar, Germany, unpublished, 1998.-

Seidel, G.: Geologie von Thüringen, Schweizerbart'sche Verlagsbuchhandlung, Stuttgart, Germany, 2003.

Shtivelman, V., Gendler, M., Wachs, D., Abelson, M. & Yechieli, Y.: Using shallow seismic methods for studying the sinkhole development in Ein Gedi area, Annual Meeting of IGS, Maagan, 2002.

Sloan, S.W.:Lower bound limit analysis using finite elements and linear programming, Int. J. Numer. Anal. Met., 12, 1, 61–77, DOI = 10.1002/nag.1610120105, 1998.

- Smyth, C.H.Jr.: The Relative Solubilities of the Chemical Constituents of Rocks, J. Geol., 21, 2, 105–120, DOI = 10.1086/622044, 1913.
- Stark, A.: Seismic Methods and Applications: A Guide for the Detection of Geologic Structures, Earthquake Zones and Hazards, Resource Exploration and Geotechnical Engineering, Brown Walker Press, USA, 2008.

Steeples, D., Knapp, R. & McElwee, C.: Seismic reflection investigations of sinkholes beneath Interstate Highway 70 in Kansas, Geophysics,

15 51, 295–301, 1986.

5

10

Steinmüller, A. & Siegel, R.: Fossile Karsterscheinungen in der Orlasenke, Geologie, 12, 79–92, 1963.

Tharp, T.M.: Mechanics of upward propagation of cover-collapse sinkholes, Eng. Geol., 52, 1–2, 23–33, DOI = 10.1016/S0013-7952(98)00051-9, 1999.

UllriksenUlriksen, C.: Application of impulse radar to civil engineering, Ph.D. thesis, University Lund, Sweden, 1982.

- 20 Wadas, S., Polom, U., Buness, H. & Krawczyk, C.M.: SH-wave reflection seismics as tool for investigating near-surface subrosion structures and faults, 17th International Seismix Symposium, Aviemore, Scotland, 15–20 May, 2016. 2016; unpublished.
  - Waltham, T.: The engineering classification of karst with respect to the role and influence of caves, Int. J. Speleo., 31, 19-35, DOI = 10.5038/1827-806X.31.1.2, 2002.

Waltham, T., Bell, F.G. & Culshaw, M.: Sinkholes and Subsidence-Karst and Cavernous Rocks in Engineering and Construction, Springer-

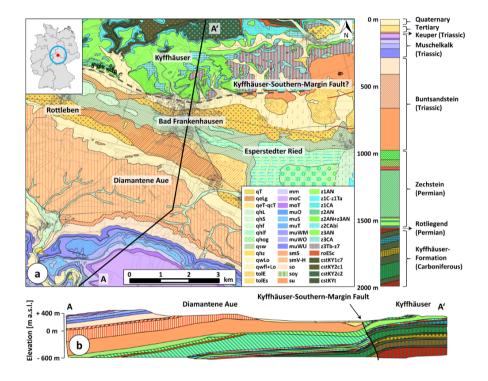
25 Verlag, Berlin, Germany, 2005.

Waltham, T. Lu, Z.:Natural and anthropogenic rock collapse over open caves, Geol. Soc. London Spec. Pub., 279, 13–21, DOI = 10.1144/SP279.3, 2007.

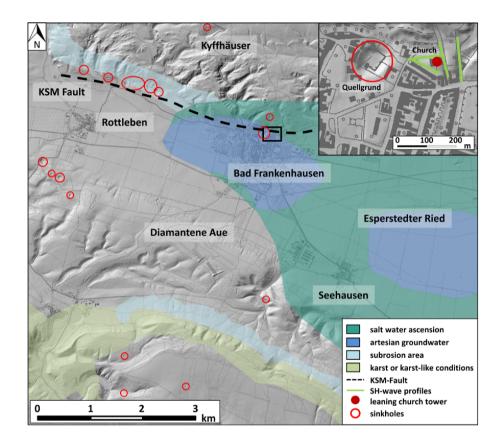
White, E.L. & White, W.B.: Processes of Cavern Breakdown, Nat. Speleo. Soc., 31, 4, 83–96, 1969.

Yechieli, Y., Wachs, D., Shtivelman, V., Abelson, M., Onn, C., Raz, E. & Baer, G.: Formation of sinkholes along the shore of the Dead

- 30 Sea-summary of the first stage of investigation, GSI Curr. Res., 13, 1–6, URL = http://www.gsi.gov.il/\_Uploads/178GSI-Curent-Research-vol13.pdf, 2002.
  - Yilmaz, Ö.: Seismic Data Analysis: Processing, Inversion, and Interpretation of Seismic Data Vol. 1, Soc. Explor. Geophys., Tulsa, USA, 2001.
  - Zhang, K., Marfurt, K.J., de Matos, M.C. & Kwiatowski, T.: Time-frequency domain spectral balancing and phase dispersion compensation,
- 35 Soc. Explor. Geophys. Expanded Abstracts, 2008.
  - Ziolkowski, A. & Lerwill, W.E.: A simple approach to high resolution seismic profiling for coal, Geophys. Prospect., 27, 2, 360–393, DOI = 10.1111/j.1365-2478.1979.tb00975.x, 1979.



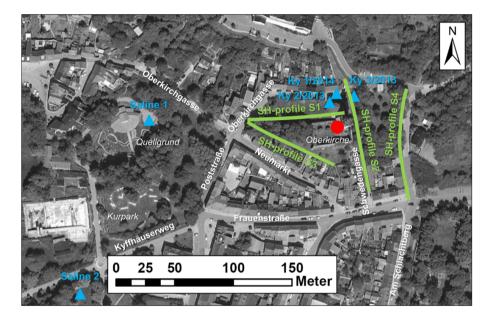
**Figure 1.** Geological setting Geology of the research area. Bad Frankenhausen (red dot) in Thuringia (blue circle) is located centrally in Germany (see inset). (a) Geological map showing Map shows the Permian deposits of the southern part of the Kyffhäuser hills and the 'Diamantene Aue', which consists of mainly Quaternary deposits (for an explanation of the stratigraphic abbreviations, see LBEG (2015). The average thickness of the geological units in the area of Bad Frankenhausen is shown right of on the geological mapright. (b) The cross section A–A' (black line in geological map) passes through the research area and shows the northward-dipping Kyffhäuser-Southern-Margin Fault (dashed line) (after Schriel & Bülow (1926a, b)).



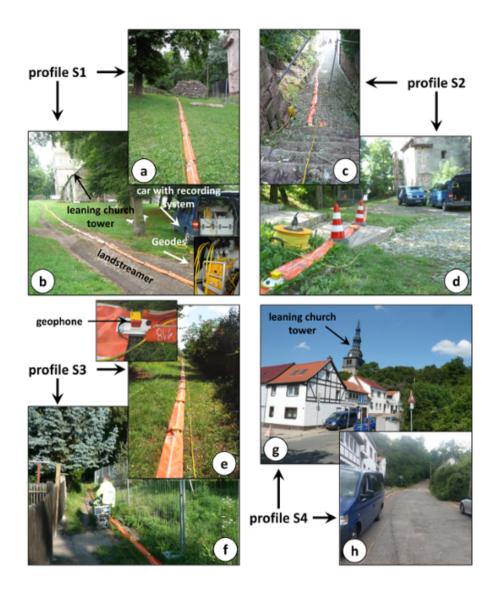
**Figure 2.** Digital elevation model showing the hydrogeological assessment of Bad Frankenhausen and the surrounding areas (provided by Thuringian State Institute of Environment and Geology, 2016). The city of Bad Frankenhausen is located in a region of salt water ascension and artesian groundwater conditions along the Kyffhäuser-Southern-Margin Fault (dashed line). The research area with the leaning church tower of the 'Oberkirche' (red dot) and the shear wave reflection seismic profiles (yellow-light green lines) are shown detailed in the inset. A zone influenced by subrosion is defined based on the occurrence of a line of sinkholes (red circles).



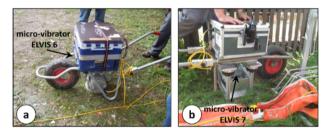
**Figure 3.** Subrosion signatures at surface in Bad Frankenhausen and the surrounding area. (a) The most famous subrosion phenomenon is the leaning church tower of Bad Frankenhausen (height of 56m); note drilling in foreground. (b) The oldest sinkhole of the region, called 'Quellgrund', is located in the medieval city center of Bad Frankenhausen and used today as recreation area. (eb) One of the most recent sinkholes is found directly beside the 'Äbtissinnen Grube' (red circle marks a person standing at the sinkhole margin). (c) The most famous subrosion phenomenon is the leaning church tower of Bad Frankenhausen (height of 56 m); note borehole in foreground.



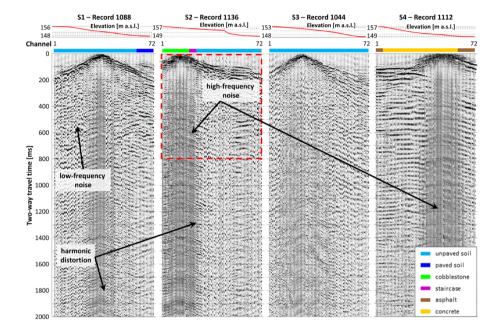
**Figure 4.** Reflection seismic profile locations in the survey area. The four SH-wave reflection seismic profiles (light green lines) were carried out around the leaning church tower of the 'Oberkirche' (red dot) to investigate subrosion structures responsible for the constant sagging of the surface. Profile S4 runs partly along the same location as a previously carried out P-wave reflection seismic profile (dark green line), enabling the comparison of both seismic sections. Close to the 'Oberkirche' 5 boreholes exist for calibration (blue triangles; for a detailed description see Fig. 11). Saline 1 and Saline 2 are historical boreholes from 1857 and 1866, drilled to extract brine. Their stratigraphy is rather approximate. Ky 2/2013 and Ky 3/2013 are pre-investigation boreholes for the deep research borehole Ky 1/2014. These wells are well documented, including e.g. stratigraphy, density, susceptibility, gamma ray logging, temperature and conductivity.



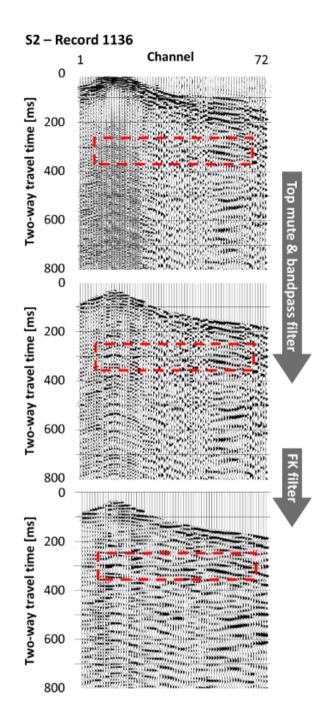
**Figure 5.** Field campaign in July 2014 under variable survey conditions. The shear-wave reflection seismic profile S1 (a) and (b) was carried out next to the church with the landstreamer (orange covering) crossing over remains of walls of the medieval city. Profile S2 (c) and (d) runs east of the leaning church tower. An additional challenge are stairs along the profile track. Profile S3 (e) and (f) was carried out behind the church, adjacent to private gardens on a ca. 0.5 m narrow path. Profile S4 (g) and (h) was carried out along the street 'Am Schlachtberg'.



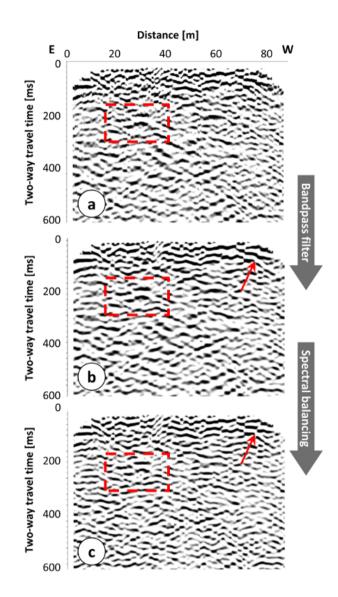
**Figure 6.** Field campaign in July 2014 using <u>different-two basically comparable</u> seismic sources. (a) Micro-vibrator ELVIS 6 on paved ground, (b) micro-vibrator ELVIS 7 on unpaved ground and landstreamer beside it. <u>Both The</u> vibrators are ideally suited for application in densely built-up areas such as the medieval city center of Bad Frankenhausen.



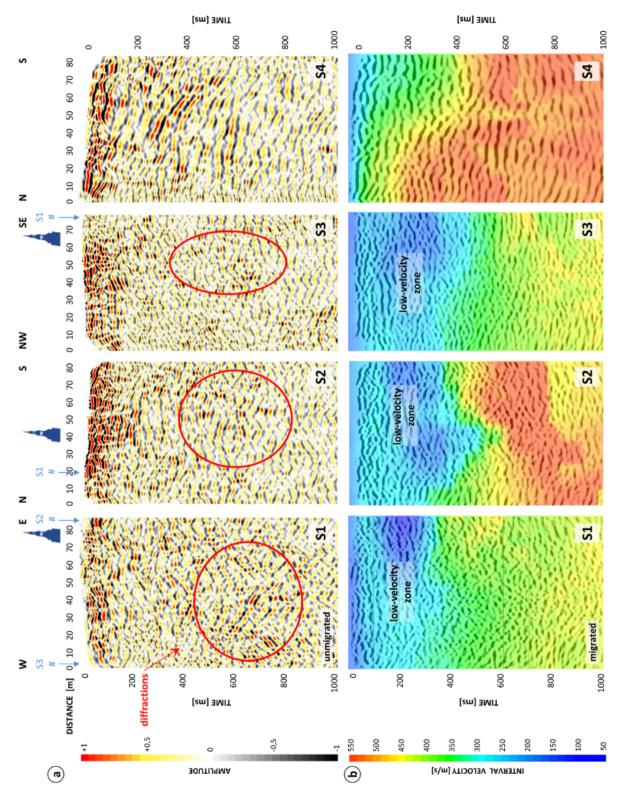
**Figure 7.** Comparison of records of the four SH-wave seismic profiles showing the differing data quality although the four profiles are not far from each other (c.f. Fig. 4). The processing steps applied to the data are vibroseis correlation, an AGC, a bandpass filter around the sweep frequency range and amplitude normalization. The main controlling factor are near source inhomogeneities in the subsurface leading to harmonic distortions, which cover the reflection signals by high-frequency noise. Also the coupling quality on unpaved soil or cobblestone is impaired compared to a paved surface e.g. an asphalt- or concrete-paved road. Besides the harmonic distortions, low- and high-frequency noise caused by environmental noise also drown the reflection signals, especially on profiles S1, S2, and S3.

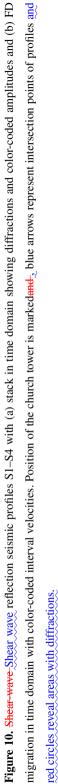


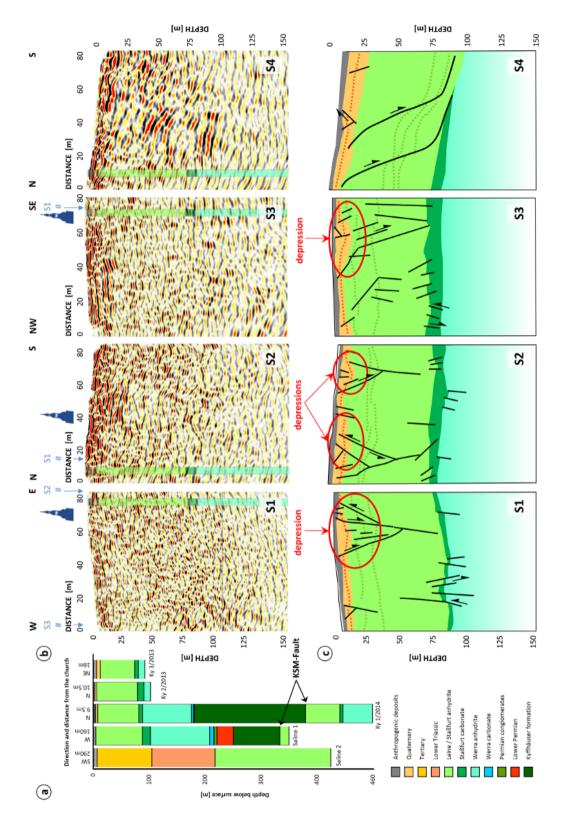
**Figure 8.** To eliminate noise and harmonic distortions, a bandpass filter and individual FK filters were applied to the records of each profile. The filtering in the frequency-wave number domain was one of the key processing steps to get interpretable seismic sections (note red box, showing the improved S/<u>N-ratio N ratio of near-surface reflectors</u>).



**Figure 9.** CMP-stacked sections of profile S1 after different processing steps. (a) The first CMP-stacked section shows residual noise. (b) After applying a bandpass filter to the stacked data the reflectors have an improved S/N ratio, especially from 0 to 400 ms TWT. (c) By using spectral balancing the lateral resolution is further improved (note red boxes and arrows showing the improved resolution of near-surface reflectors).







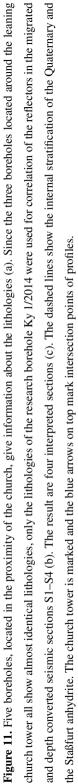


Table 1. Device and acquisition parameters of the seismic source, the receivers, and the recording system.

	Device specifications	Acquisition parameters
Source	micro-vibrators ELVIS 6 and 7 (weight 95 kg,	sweep frequency 20-160 Hz, sweep duration 10 s
	peak force 1 kN, frequency range for shear waves	source spacing 2 m, 4 excitations/point
	20–250 Hz)	
Receiver	horizontal geophones (Sensor, type SM 6,	72 geophones mounted on a landstreamer, spac-
	resonance frequency 10 Hz, electric resistance	ing 1 m, fixed configuration, mean CMP-fold 18
	375 Ohm (coil) & 1000 Ohm (damping))	
Recording system	Geode (Geometrics Inc.)	73 channels (72 data channels + 1 pilot sweep
		channel), record length 12 s (2 s correlated), sam-
		pling interval 1 ms

**Table 2.** Overview of the general processing sequence applied to the <u>shear-wave shear wave</u> reflection seismic data. Most of the processes were carried out iteratively. They are all individualized for differing data quality of the four profiles.

Processing step	Parameter		
Geometry check	Manual correction of geodetic data to eliminate errors caused by DGPS reference points (mean		
	errors are 5 cm for S1, 8 cm for S2, 2 cm for S3 and 5 cm for S4)		
Quality control	Examination of seismic data		
Vibroseis correlation	Cross-correlation of the sweep (frequency 20-160 Hz, length 10 s) and the recorded signal		
	(length 12 s)		
Geometry	Combination of GPS data and seismic data, crooked line binning using 0.5 m bin interval		
Amplitude & spectral editing	Automatic Gain Control using a window of 200 ms length, a Bandpass Filter (15/17 Hz-		
	163/165 Hz pass the filter) & an Amplitude normalization		
Vertical stack	4-fold		
Top mute	Individual zeroing of amplitudes at the top of the records		
FK filter	Filtering out surface waves and other coher-		
	ent noise using individual polygon filters in the frequency-wavenumber domain (e.g., FK		
	filter of S1 on the right)		
CMP sort	Sort from shot gathers to CMP gathers		
Interactive velocity analysis	Analysis interval was 5 m to 10 m using 11 or 12 CMPs per analysis location		
NMO correction	Shift hyperbolas to zero-offset traveltimes		
Residual statics correction	Correction of near-surface velocity variations		
CMP stack	Mean fold is 18 traces per CMP		
Filter	Filtering out the remaining noise using an individual Bandpass Filter for each profile (e.g.,		
	17/19 Hz–73/75 Hz)		
Spectrum balancing	Individual correction of frequency attenuation to improve the resolution (e.g., bandwidth 15 Hz,		
	slope 5 Hz, start 20 Hz, end 70 Hz)		
FD migration	Movement of dipping reflectors, collapse of diffractions and increase in spatial resolution (fil-		
	tered 45-65 degree)		
Depth conversion	Conversion from time to depth		