

1 **Stepwise drying of Lake Turkana at the end of the African Humid Period:**
2 **a forced regression modulated by solar activity variations?**

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4 **Alexis Nutz¹, Mathieu Schuster¹**

5 *Institut de Physique du Globe de Strasbourg (IPGS), UMR 7516, Centre National de la*
6 *Recherche Scientifique, Université de Strasbourg, École et Observatoire des Sciences de la*
7 *Terre, 1 rue Blessig, 67084 Strasbourg, France*

8 **Corresponding author (nutz@unistra.fr)*

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10 **Running head:** Lake Turkana drying at the end of the AHP

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12 **Abstract**

13 Although timing of the termination of the African Humid Period (AHP) is now relatively
14 well-established, modes and controlling factors are still being debated. Here, through a
15 geomorphological approach, we characterize the evolution of the final regression of Lake
16 Turkana at the end of the AHP. We show that lake level fall during this period was not
17 continuous but stepwise and consisted of five episodes of rapid lake-level fall separated by
18 episodes of slower rates of lake-level fall. Whereas the overall regressive trend can be
19 attributed to decreasing regional precipitations due to the gradual reduction in northern
20 hemisphere summer insolation controlled by orbital precession, we focus discussion on the
21 origin of the five periods of accelerated lake-level fall. We propose that these are due to
22 temporary reductions in rainfall across Lake Turkana area associated with repeated westward
23 displacement of the Congo Air Boundary (CAB) during minima in solar activity.

24

25 **1. Introduction**

26 The African Humid Period (AHP), *c.* 14.8 to 5.5 ka cal BP, is a major climate period that was
27 paced by orbital parameters (i.e. precession) (deMenocal et al., 2000; deMenocal and Tierney,
28 2012; Bard, 2013; Shanahan et al., 2015) and that markedly impacted environment,
29 ecosystems, and human occupation of Africa over several millennia (Bard, 2013). An increase
30 in rainfall during this climate period led to the rise and highstand of numerous African lakes
31 (Street and Grove, 1976; Tierney et al., 2011). The end of the AHP was characterized by the
32 establishment of more arid conditions, leading to dramatic lake level falls (Street-Perrott and
33 Roberts, 1983; Kutzbach and Street-Perrott, 1985). This aridification forced Neolithic
34 populations to adapt to more limited resources (Kuper and Kröpelin, 2006) and represents a
35 recent example of major climate change. The mid-Holocene termination of the AHP is
36 thought to have been either abrupt (deMenocal et al., 2000), gradual (Kröpelin et al., 2008) or
37 time-transgressive (Shanahan et al., 2015) depending on location, an ongoing debate
38 highlighting the variable responses of proxies to dominant forcings and the complex
39 interactions among the multiple components of the local environment (e.g., deMenocal,
40 2000; Renssen et al., 2006; Liu et al., 2007; Tierney and deMenocal, 2013; Shanahan et al.,
41 2015). However, drying trends remains poorly-constrained and as a consequence the final
42 regressions of African lakes are presented at relative constant rate of lake level fall (e.g.,
43 Garcin et al., 2012; Forman et al., 2014; Morrissey and Scholz, 2014; Junginger et al., 2014;
44 Bloszies et al., 2015). In this study, we investigate the drying trend of Lake Turkana and
45 evidence for the first time that the final regression was not continuous through time revealing
46 a more complex process than previously envisaged. Thus, understanding the mode of African
47 lake regressions appears as particularly relevant in the context of projecting future global
48 climate change impacts on the African continent (e.g., Patricola and Hook, 2011), especially
49 in term of evolution of water resources from large lakes.

50 Lake Turkana is one of the great lakes of the East African Rift. It is considered as a
51 Wind-driven Waterbody (Nutz et al., in press) that developed abundant wave-dominated
52 coastal features all along its shoreline. These coastal features represent a valuable
53 paleohydrological archives that contributes to the understanding of the evolution of Lake
54 Turkana during the AHP (Garcin et al., 2012, Forman et al., 2014; Bloszies et al., 2015).
55 However, the detailed and continuous evolution of lake level during the final forced
56 regression (i.e., basinward migration of the shoreline associated with a base-level fall)
57 marking the end of the AHP has not been already documented. Here, the delta complex of the
58 Turkwel River (Fig. 1) that developed during the final forced regression of Lake Turkana is
59 examined using trajectory analysis (Helland-Hansen and Hampson, 2009). Finally, we
60 highlight variations in the rate of lake level fall during the regression. We interpret those
61 variations as markers reflecting variable rate of decrease in precipitation during the crucial
62 period corresponding to the terminal phase of the AHP. Subsequently, we discuss potential
63 forcings responsible for the regressive pattern of Lake Turkana with a primary focus on the
64 role of the Sun and short-term variability of insolation.

65

66 **2. Materials and methods**

67 The data set is comprised of satellite imagery and a digital elevation model (DEM). A
68 recently obtained SRTM1 (Shuttle Radar Topography Mission (Rabus et al., 2003)) is
69 available for the entire Lake Turkana area. This DEM is produced by radar interferometry
70 with a one arcsec (approximately 30 m) horizontal grid spacing and an approximately 5 m
71 absolute vertical error (Rosen et al., 2001; Tighe and Chamberlain, 2009). In addition, high-
72 resolution (<1 m) PLEIADES and (5 m) SPOT 5 images (©CNES 2012, Airbus DS/ SPOT
73 Image) were used to focus on selected areas. This data set was processed using GIS software
74 (Global Mapper 15 software; Blue Marble Geographics, Hallowell, ME, USA) to provide a

75 high-resolution 3D view of the geomorphological features. Topographic profiles, elevation
76 differences, and slope values used for the trajectory analyses were obtained using Global
77 Mapper 15 software.

78

79 **3. Chronological framework**

80 Humid conditions related to the AHP broadly prevailed over Africa from 14.8 to 5.5 ka cal
81 BP (deMenocal et al., 2000; Shanahan et al., 2015). Several lake level curves associated with
82 Lake Turkana evolution provide records of the regional moisture history over the Holocene
83 (Garcin et al., 2012; Forman et al., 2014; Bloszies et al., 2015). Based on surveys of raised
84 Holocene beach ridges coupled with dated archeological sites, these studies provide a
85 relatively robust chronological framework for the final regression at the end of the AHP.
86 Garcin et al. (2012) initially estimated the onset of the final lake level fall in Lake Turkana at
87 *c.* 5.27 ± 0.36 ka cal BP. Subsequently, Forman et al. (2014) proposed that the age of this
88 final regression occurred between 5.5/5.0 to 4.6 ka cal BP associated with a lake level change
89 from 440 to 380 m asl. Finally, Bloszies et al. (2015) proposed an onset of the final regression
90 of the AHP starting at 5.18 ± 0.12 ka cal BP (dating of a shell at 90 m above the modern Lake
91 Turkana) and finishing at 4.58 ± 0.25 ka BP (OSL age reused from Forman et al., 2014;
92 sample UIC2319) associated with a lake level grading from 450 to 375 m asl. Based on these
93 published data, we carried out minor complementary processing in order to refine the
94 chronology. First, we recalibrated sample (SNU12-589) considered to provide the age of the
95 onset of the final regression. Using a most recent curve (INTCAL13; Reimer et al., 2013), the
96 onset of the final regression is now given at 5.14 ± 0.18 ka cal BP (4.51 ± 0.06 ka ^{14}C BP).
97 Second, we converted the OSL age (4.58 ± 0.25 ka BP; sample OSL23/1.30) that is considered
98 to represent the end of the final regression (Forman et al., 2014) in radiocarbon age. Indeed,
99 Forman et al. (2014) provided 6 samples that were dated by both OSL and radiocarbon

100 methods. Even the limited number of samples, we then processed a linear regression in order
101 to propose a statistic relationship between OSL and radiocarbon ages. At the end, based on
102 this correlation ($\text{age}_{(\text{OSL})} = 0.98386063 * \text{age}_{(^{14}\text{C}(\text{calibrated}))}$; b(the intercept) has been forced to 0;
103 $r^2 = 0.9942$), the age of the end of the final regression is now estimated at 4.65 ± 0.3 ka cal BP
104 (4.14 ± 0.24 ka ^{14}C BP). As such, based on this most recent available age-model, the final
105 regression of Lake Turkana at the end of the AHP would, at the longest, span a period from
106 5.32 to 4.35 ka cal BP. At a minimum, the final regression would have occurred between 4.96
107 and 4.95 ka cal BP. This implies a duration ranging between 10 to 1030 years, with a mean
108 duration of 510 years for water level to decrease from the Holocene highstand (450 m asl) to
109 the lowstand (375 m asl). Considering the largest potential time interval during which the
110 final regression occurred (i.e., interval between 4.57 and 3.90 ka ^{14}C BP), a mean age of 4.23
111 ± 0.33 ka ^{14}C BP is established in order to allow a calibration and then to provide a
112 probability curve. At the end, calibration reveals a 44% of probability that the final regression
113 precisely occurred between 5.14 ± 0.18 and 4.65 ± 0.3 ka cal BP. Because the investigated
114 portion of the Turkwel delta is located between 450 and 375 m asl, ages of the landforms are
115 considered to have developed between 5.14 ± 0.18 and 4.65 ± 0.3 ka cal BP.

116

117 **4. Geomorphological analysis**

118 The Turkwel delta complex is 35 km long, forming one of the major deltaic systems that
119 fringed Lake Turkana during the Holocene (Fig. 1). It was developed as the shoreline
120 migrated basinward, lowering from 450 to 360 m asl (Fig. 2). From west to east, five distinct
121 progradational stages were identified (Fig. 2d). The first progradational stage forms a lobe
122 protruding out from the mean north–south paleoshoreline, well defined by the 450 m asl
123 elevation shoreline (red line in Fig. 2d). According to regional age models (Garcin *et al.*,
124 2012; Forman *et al.*, 2014; Bloszies *et al.*, 2015), this first progradational stage marks the last

125 Holocene highstand before the end of the AHP. Moving eastward, each of the three
126 topographic profiles cross-cutting the Turkwel delta complex (Fig. 3) shows five slightly
127 inclined plateaus interrupting at *c.* 445, 425, 410, 400 and 390 m asl, respectively, separated
128 by five abrupt 5-to 15-m-high steps (Fig. 4). Each plateau defines a different progradational
129 stage. The plateaus are 3- to 5-km-wide, and correspond to successively abandoned delta
130 plains (Fig. 2d). To the north, these plateaus systematically end with paleo-spits that
131 document ancient, northward-flowing alongshore currents. The resulting landform reveals the
132 Turkwel delta complex as composed of successive asymmetric wave-dominated deltas
133 (Bhattacharya and Giosan, 2003; Anthony 2015) during most of its evolution, except in the
134 early period associated with the AHP highstand. None of the plateaus exhibit any evidence of
135 significant erosion that would indicate reworking of the landforms subsequent to their
136 deposition, except for the fluvial incision of the Turkwel River that progressively adjusted to
137 the base level fall. This supports the Turkwel delta complex as a primary depositional
138 landform corresponding to a continuous, comprehensive record of lake level evolution.
139 Trajectory analysis, performed for the three transects that cross-cut the Turkwel delta complex
140 along its progradation axis (Fig. 3), reveals that the plateaus are continuous, having slightly
141 descending regressive trajectories (slope gradient: $>0^\circ$ to 0.4°). The five abrupt steps that
142 separate plateaus have much higher slope gradients (1° to 3.8°), and are also defined as
143 descending regressive trajectories. Trajectories reflect a progradation associated with a
144 general lake level fall that meets the definition of a forced regression (Posamentier *et al.*,
145 1992). Moreover, the five abrupt steps reflect recurrent, short-lived increases in the rate of
146 lake level fall that evidence a stepwise forced regression at the end of the AHP. In order to
147 confirm this interpretation, we investigated another portion of the Lake Turkana
148 paleoshoreline. In the eastern Omo River valley (Fig. 1), topographic profiles along two fossil
149 spits are presented (Fig. 5). The two spit systems show successive plateaus at elevations (*c.*

150 445, 425, 410 and 400 m asl) similar to those observed in the Turkwel delta complex (Fig. 3).
151 Finally, these additional observations firmly support the reconstructed evolution of lake level
152 deduced from the Turkwel delta complex. The overall trend of the three transects in the
153 Turkwel delta as well as transects in the fossil spits of the eastern Omo River valley lend
154 support to the idea of a stepwise final, forced regression of Lake Turkana at the end of the
155 AHP.

156

157 **5. Discussion**

158 **5.1. Origin of Lake Turkana lake level evolution**

159 Lake level fluctuations may result from changes in the quantity of water supply to a lake,
160 from altered evapotranspiration rates within the catchment area, or from modifications in
161 basin physiography. These changes may originate from a number of potential external
162 forcing processes, among which the most commonly considered are tectonism and climate.
163 Tectonism may be ruled out as the origin of any physiographic modification of the Lake
164 Turkana basin that would have caused abrupt falls in lake level at such time-scale. Vertical
165 crustal movements occur over much longer time periods than that of the AHP termination and
166 the rate of subsidence in the basin is too low (i.e. $0.4 \text{ m}\cdot\text{ka}^{-1}$ at the Eliye Spring well site
167 (Morley *et al.*, 1999)), to explain several lake level falls of >5 m each in maximum 1000
168 years. Moreover, vertical displacements at this scale would require earthquakes having a
169 magnitude >9 (Pavrides and Caputo, 2004). Earthquakes of this magnitude are unknown in
170 the area and are not compatible with rift systems. Finally, volcanism event is known to have
171 occurred (Karson and Curtis, 1994) during the Late Quaternary even the age is not very-well
172 constrained. However, repeated pulsed of accelerated subsidence related to successive
173 emptying of a magma chamber is prevented by the insufficient amount of magma observed in
174 the basin. Indeed, no regional magmatic effusion that would have caused sudden subsidence

175 is observable. Magmatism rather corresponds to punctual effusion forming the north, central,
176 and south islands. As such, the abrupt nature of the accelerated lake level falls can be
177 attributed only with difficulty to tectonics and magmatism leaving climate variability as the
178 most likely forcing mechanism.

179 During the Holocene, the overall climate pattern in East Africa was governed by
180 insolation changes related to changes in precessional orbital parameters of the Earth (Barker
181 et al., 2004). Links between insolation and hydrology are now well established for this region,
182 in particular monsoonal rainfall intensity that is strongly correlated with summer insolation
183 (deMenocal et al., 2000; Shanahan et al., 2015). In the early Holocene, an increase in summer
184 insolation due to changing orbital parameters produced wetter conditions over much of the
185 African continent leading to the establishment of the AHP. Subsequently, the overall
186 contraction of lakes at the end of the AHP is generally attributed to decreased precipitation
187 related to a reduction of summer insolation (deMenocal et al., 2000; Shanahan et al., 2015)
188 controlled by orbital parameters (i.e. precessional forcing; deMenocal and Tierney, 2012;
189 Bard, 2013). Therefore, changes in insolation imply additional modifications in rainfall
190 amounts through the strengthening or weakening of local climate processes. In the Lake
191 Turkana area, Junginger et al. (2014) suggest that the increase of precipitation during the AHP
192 is mainly a result of a north-eastward shift of the Congo Air Boundary (CAB). The CAB is a
193 north-east to south-west oriented convergence zone presently located west of the Lake
194 Turkana area. This convergence zone shifts eastward in response to an enhanced atmospheric
195 pressure gradient between India and East Africa during northern hemisphere insolation
196 maxima (Junginger and Trauth, 2013; Junginger et al., 2014). When the CAB moves eastward
197 over the Turkana area, precipitation is expected to increase significantly. Because the five
198 abrupt accelerations in lake level fall require short-term accentuated decreases in
199 precipitation, we propose that these five periods of significantly reduced rainfall amounts are

200 related to short-term decreases of insolation that repeatedly moved the CAB position. At such
201 decadal to centennial time-scale, variations of solar activity appear as the most likely acting
202 parameter to explain variations in insolation. This potential origin needs to be discussed.

203

204 **5.2. Linking solar activity and paleohydrology**

205 Links between short-term (decadal-scale) solar activity and climate change remains a point of
206 debate. However, periodicities in solar activity such as the 11-year sunspot cycle, the
207 Gleissberg cycle (80—90 years) (Peristykh and Damon, 2003) or the de Vries cycle (~200
208 years) (Raspopov et al., 2008) have been identified in Holocene paleoenvironmental records
209 and suggests a possible forcing by solar activity on climate (Crowley, 2000; Bond et al., 2001;
210 Gray et al., 2013). Especially in Lake Turkana, the potential expression of the 11-year sunspot
211 cycle has already been deciphered through time-series analysis for sediments associated with
212 the last 4 ka (Halfman et al., 1994). Within some African lakes, several authors link more arid
213 periods with solar activity minima (Stager et al., 2002 and Junginger et al., 2014) and Lake
214 Turkana is one of them. The capacity of those lakes to record changes in paleohydrology
215 attributed to variations in solar activity may rely to the fact that these lakes are very sensitive.
216 Indeed, they are considered as “amplifier lakes” (Street-Perrott and Harrison, 1985) for which
217 relatively modest changes in climate are amplified into significant lake-level fluctuation due
218 to their specific morphology. As an amplifier lake, Lake Turkana could be more sensitive to
219 precipitation changes from small variations in insolation as those generated by modifications
220 in solar activity.

221 Coupling the proposed chronological framework with the solar activity curve from
222 Steinhilber et al. (2009), we observed in the Lake Turkana between two and ten solar activity
223 minima during the minimum and maximum potential period of regression, respectively
224 (Fig.6). Considering a mean time of 510 years given by the age-model during which the final

225 regression occurred, five solar activity minima are observed. The number of these minima
226 interestingly matched with the number of abrupt lake level falls suggesting a possible link
227 between the short-term variability of solar activity and the lake level changes in Lake Turkana
228 at the end of the AHP. Because a mechanism must be given, we propose that periods of solar
229 activity maxima would be able to compensate for the precession-induced reduction of
230 insolation. The relatively limited reduction of insolation would have led to a relatively stable
231 position for the CAB over the Lake Turkana area and, in turn, a reduced rate of lake level fall
232 due to slowly decreased precipitation amounts. However, when short-term solar activity
233 minima are coupled with the precession-related insolation decrease, the CAB would have
234 migrated rapidly westward resulting in drastic reduction of rainfall and as a consequence, a
235 rapid fall in lake level. As such, alternations of solar activity maxima and minima could
236 explain the geomorphological pattern that revealed a long-term fall in lake level interspersed
237 by short-term accelerations in the rate of lake level fall during the final forced regression at
238 the end of the AHP.

239

240 **6. Conclusion**

241 Geomorphic analysis (i.e. trajectory analysis) revealed for the first time a stepwise lake level
242 fall of Lake Turkana during its final forced regression at the end of the AHP. Five rapid falls
243 in lake level were identified, intercalated with periods of slower lake level fall. We suggest
244 that the abrupt, short-term accelerations of lake level fall may be associated with insolation
245 minima altering the position of the CAB, responsible for regional precipitation pattern. Our
246 interpretation suggests that short-term variability of insolation, due to variability in solar
247 activity, may have influenced the hydroclimatic conditions in the Turkana area during the
248 final forced regression of the AHP. Next step would be to correlate each paleo plateaus to a

249 specific solar maxima and each step to a specific minima. Nevertheless, uncertainties of
250 dating methods will allow only with difficulty to provide enough precise ages for such
251 features developed at the decadal to centennial time-scale.

252

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258

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375

376 **FIGURE CAPTIONS**

377 Figure 1. Location maps. (a) Lake Turkana basin in the East African Rift System (EARS). (b)
378 Digital elevation model (DEM) SRTM1 showing Lake Turkana and the two considered areas
379 (Turkwel delta and the east side of the Omo River valley). Dashed white line represents the
380 maximum Holocene lake level. All described geomorphological features are located between
381 the paleolake limit and the modern lakeshore.

382 Figure 2. Turkwel delta complex. (a) Raw digital elevation model SRTM1 of the Turkwel
383 delta. (b) Slope direction shading applied to the DEM SRTM1 of the Turkwel delta to
384 highlight the steps separating the different plateaus. Markers display the correspondence

385 between the DEM SRTM1 and the slope direction shading (see (a)). (c) SPOT5 satellite
386 image of the Turkwel delta. (d) Interpretative geomorphological map of the area showing five
387 successive delta plains in addition to the oldest plain associated with the late AHP highstand.

388 Figure 3. Geomorphological data for the Turkwel delta complex. (a) SRTM1 images were
389 processed to display a digital elevation model of the Turkwel delta complex. Locations of the
390 topographic transects are presented. (b) Topographic transects P1, P2, and P3. (c) Trajectory
391 analyses show that the overall forced regressive trend ($>0^\circ$ to 0.4°) is punctuated by five
392 steeper slopes (1° to 3.8°) revealing short-term increases in the rates of lake level fall.

393 Figure 4. Landforms from Turkwel delta. (a) Front view of a step grading downward to a
394 plateau. (b) Side view of the same step separating two plateaus.

395 Figure 5. Sandspit systems, outlined by dashed white lines, along the eastern Omo River
396 valley (location Fig.1b) from SRTM 1 (left side) and from PLEIADES images (right side).
397 The sandspits display plateaus having similar elevations as those of the Turkwel delta.

398 Figure 6. The red curve presents total solar irradiance (40-year moving average) relative to the
399 value of the PMOD composite during the solar cycle minimum of the year 1986 (1365.57
400 $W.m^2$) (Steinhilber et al., 2009) for the period contemporaneous with AHP regression of Lake
401 Turkana. The shaded band represents 1σ uncertainty. The blue curve represents the
402 precessional curve covering the same time period
403 (<http://www.imcce.fr/Equipes/ASD/insola/earth/online/>). Grey stripes highlight solar activity
404 minima.

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