



1     **Stepwise drying of Lake Turkana at the end of the African Humid Period:**  
2             **an example of forced regression modulated by solar activity?**

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10    **Running head:** Lake Turkana drying at the end of the AHP

11    **Keywords:** East African Rift System; Solar activity; Turkwel delta; Lake level; Holocene

12    **Abstract**

13    Although timing of the termination of the African Humid Period (AHP) is relatively well-  
14    established now, modes and controlling factors are still being determined. Here, through a  
15    geomorphological approach, we characterize the evolution of the final regression of Lake  
16    Turkana at the end of the African Humid Period. We show that lake level fall during this  
17    period was not constant, yet rather stepwise consisted of five periods marked by rapid rates of  
18    lake level fall separated by periods of lower rates of lake level fall. Even the overall regressive  
19    trend is associated with regional decreased precipitations due to reduced insolation controlled  
20    by orbital precession, we discuss the origin of the five periods of accelerated rates of lake  
21    level fall. Finally, we propose that accelerations are associated with periods marked by solar  
22    activity minima that locally resulted in the repeated westward displacement of the Congo Air  
23    Boundary (CAB), thereby reducing rainfall across the Lake Turkana basin.

24



## 25 **1. Introduction**

26 The African Humid Period (AHP), *c.* 14.8 to 5.5 ka BP, is a major climate period that was  
27 paced by orbital parameters (i.e. precession) (deMenocal et al., 2000; deMenocal and Tierney,  
28 2012; Bard, 2013; Shanahan et al., 2015) and that markedly impacted environment,  
29 ecosystems, and human occupation of Africa over several millennia (Bard, 2013). An increase  
30 in rainfall during this climate period led to the rise and highstand of numerous African lakes  
31 (Street and Grove, 1976; Tierney et al., 2011). The end of the AHP was characterized by the  
32 establishment of more arid conditions, leading to dramatic lake level falls (Street-Perrott and  
33 Roberts, 1983; Kutzbach and Street-Perrott, 1985). This aridification forced Neolithic  
34 populations to adapt to more limited resources (Kuper and Kröpelin, 2006) and represents a  
35 recent example of major climate change. Depending on the location, the AHP termination  
36 occurred at variable time-scales (Shanahan et al., 2015), being either abrupt (deMenocal et al.,  
37 2000) or gradual (Kröpelin et al., 2008), thereby highlighting the complex interactions among  
38 the variable responses to dominant forcings and multiple components of the local  
39 environment (e.g., deMenocal, 2000; Renssen et al., 2006; Liu et al., 2007; Tierney and  
40 deMenocal, 2013; Shanahan et al., 2015). However, drying trends remains poorly-constrained  
41 and as a consequence the final regressions of African lakes are presented at relative constant  
42 rate of lake level fall. In this study, we investigate the drying trend of Lake Turkana and  
43 evidence for the first time that the final regression was not continuous through time. Thus,  
44 understanding the mode of African lake regressions appears as particularly relevant in the  
45 context of projecting future global climate change impacts on the African continent (e.g.,  
46 Patricola and Hook, 2011), especially in term of evolution of water resources from large  
47 lakes.

48 Lake Turkana is one of the great lakes of the East African Rift. It is considered as a  
49 Wind-driven Waterbody (Nutz et al., in press) that developed abundant and well-developed



50 wave-dominated coastal features all along its shoreline. Those coastal features provide  
51 valuable sediment archives that participated to the understanding of the evolution of the Lake  
52 Turkana level during the AHP (Garcin et al., 2012, Forman et al., 2014; Bloszies et al., 2015).  
53 However, the detailed and continuous evolution of lake level during the final forced  
54 regression marking the end of the AHP has not been already documented. Here, the delta  
55 complex of the Turkwel River (Fig. 1) that developed during the final forced regression of  
56 Lake Turkana is examined using trajectory analysis (Helland-Hansen and Hampson, 2009).  
57 Finally, we interpret variations in the rate of lake level fall as markers reflecting variable rate  
58 of decrease in precipitation during the crucial period corresponding to the terminal phase of  
59 the AHP. Subsequently, we discuss potential forcings responsible for the regressive pattern of  
60 Lake Turkana with a primary focus on the role of the Sun and short-term variability of  
61 insolation.

## 62 **2. Materials and methods**

63 The data set is comprised of satellite imagery and a digital elevation model (DEM). A  
64 recently obtained SRTM1 (Shuttle Radar Topography Mission (Rabus et al., 2003)) is  
65 available for the entire Lake Turkana area. This DEM is produced by radar interferometry  
66 with a one arcsec (approximately 30 m) horizontal grid spacing and multi-metre resolution in  
67 the vertical dimension. In addition, high-resolution (<1 m) PLEIADES and (5 m) SPOT 5  
68 images (©CNES 2012, Airbus DS/ SPOT Image) were used to focus on selected areas. This  
69 data set was processed using GIS software (Global Mapper 15 software; Blue Marble  
70 Geographics, Hallowell, ME, USA) to provide a high-resolution 3D view of the  
71 geomorphological features. Topographic profiles, elevation differences, and slope values used  
72 for the trajectory analyses were obtained using Global Mapper 15 software.

## 73 **3. Chronological framework**



74 Humid conditions related to the AHP broadly prevailed over Africa from 14.8 to 5.5 ka BP  
75 (deMenocal et al., 2000; Shanahan et al., 2015). Several lake level curves associated with  
76 Lake Turkana evolution provide records of the regional moisture history over the Holocene  
77 (Garcin et al., 2012; Forman et al., 2014; Bloszies et al., 2015). Based on surveys of raised  
78 Holocene beach ridges coupled with dated archeological sites, these studies provide a  
79 relatively robust chronological framework for the final regression at the end of the AHP.  
80 Garcin et al. (2012) initially estimated the onset of the final lake level fall in Lake Turkana at  
81  $c. 5.27 \pm 0.36$  ka. Subsequently, Forman et al. (2014) refined the age of this final regression  
82 proposing that it occurred between 5.5/5.0 to 4.6 ka BP associated with a lake level change  
83 from 440 to 380 m asl. Finally, Bloszies et al. (2015) proposed an onset of the final regression  
84 of the AHP starting at  $5.18 \pm 0.12$  ka BP (dating of a shell at 90 m above the modern Lake  
85 Turkana) and finishing at  $4.6 \pm 0.3$  ka BP (age reused from Forman et al., 2014) associated  
86 with a lake level grading from 450 to 375 m asl. As such, based on the most recent available  
87 age-model of Bloszies et al. (2015), the final regression of Lake Turkana at the end of the  
88 AHP would, at the longest, span a period from 5.3 to 4.3 ka BP. At a minimum, the final  
89 regression would have occurred between 5.06 and 4.9 ka BP. This implies a duration ranging  
90 between 160 to 1000 years, with a mean duration of 580 years for water level to decrease  
91 from the Holocene highstand (450 m asl) to the lowstand (375 m asl). Because the  
92 investigated portion of the Turkwel delta is located between 450 and 375 m asl, ages of the  
93 landforms are considered to have developed between  $5.18 \pm 0.12$  and  $4.6 \pm 0.3$  ka BP.

#### 94 **4. Geomorphological analysis**

95 The Turkwel delta complex is 35 km long, forming one of the major deltaic systems that  
96 fringed Lake Turkana during the Holocene (Fig. 1). It was developed as the shoreline  
97 migrated basinward, lowering from 450 to 360 m asl (Fig. 2). From west to east, five distinct  
98 progradational stages were identified (Fig. 2d). The first progradational stage forms a lobe



99 protruding out from the mean north–south paleoshoreline, well defined by the 450 m asl  
100 elevation shoreline (red line in Fig. 2d). According to regional age models (Garcin *et al.*,  
101 2012; Forman *et al.*, 2014; Bloszies *et al.*, 2015), this first progradational stage marks the last  
102 Holocene highstand before the end of the AHP. Moving eastward, each of the three  
103 topographic profiles cross-cutting the Turkwel delta complex (Fig. 3) shows four slightly  
104 inclined plateaus interrupting at *c.* 445, 425, 410, 400 and 390 m asl, respectively, separated  
105 by five abrupt 5-to 15-m-high steps (Fig. 4). Each plateau defines a different progradational  
106 stage. The plateaus are 3- to 5-km-wide, and correspond to successively abandoned delta  
107 plains (Fig. 2d). To the north, these plateaus systematically end with paleo-spits that  
108 document ancient, northward-flowing alongshore currents. The resulting landform reveals the  
109 Turkwel delta complex as composed of successive asymmetric wave-dominated deltas  
110 (Bhattacharya and Giosan, 2003; Anthony 2015) during most of its evolution, except in the  
111 early period associated with the AHP highstand. None of the plateaus exhibit any evidence of  
112 significant erosion that would indicate reworking of the landforms subsequent to their  
113 deposition, except for the fluvial incision of the Turkwel River that progressively adjusted to  
114 the base level fall. This supports the Turkwel delta complex as a primary depositional  
115 landform corresponding to a continuous, comprehensive record of lake level evolution.  
116 Trajectory analysis, performed for the three transects that cross-cut the Turkwel delta complex  
117 along its progradation axis (Fig. 3), reveals that the plateaus are continuous, having slightly  
118 descending regressive trajectories (slope gradient:  $>0^\circ$  to  $0.4^\circ$ ). The five abrupt steps that  
119 separate plateaus have much higher slope gradients ( $1^\circ$  to  $3.8^\circ$ ), and are also defined as  
120 descending regressive trajectories. Trajectories reflect a general lake level fall that meets the  
121 definition of a forced regression (Posamentier *et al.*, 1992). Nevertheless, the five abrupt steps  
122 reflect recurrent, short-lived increases in the rate of lake level fall. This evidences a stepwise  
123 forced regression at the end of the AHP. In order to confirm this interpretation, we



124 investigated another portion of the Lake Turkana paleoshoreline. In the eastern Omo River  
125 valley (Fig. 1), topographic profiles along two fossil spits are presented (Fig. 5). The two spit  
126 systems show successive plateaus at elevations (c. 445, 425, 410 and 400 m asl) similar to  
127 those observed in the Turkwel delta complex (Fig. 3). Finally, these additional observations  
128 support the evolution of lake level as deduced from the Turkwel delta complex and the overall  
129 trend of the three transects in the Turkwel delta as well as transects in the fossil spits of the  
130 eastern Omo River valley lend support to the idea of a stepwise final, forced regression of  
131 Lake Turkana at the end of the AHP.

## 132 **5. Discussion**

### 133 **5.1. Origin of Lake Turkana lake level evolution**

134 Lake level fluctuations may result from changes in the quantity of water supply to a lake,  
135 from altered evapotranspiration rates within the catchment area, or from modifications in  
136 basin physiography. These changes may originate from a number of potential external  
137 forcing processes, among which the most commonly considered are tectonism and climate.  
138 Tectonism may be ruled out as the origin of any physiographic modification of the Lake  
139 Turkana basin that would have caused abrupt falls in lake level at such time-scale. Vertical  
140 crustal movements occur over much longer time periods than that of the AHP termination and  
141 the rate of subsidence in the basin is too low (i.e.  $0.4 \text{ m}\cdot\text{ka}^{-1}$  at the Eliye Spring well site  
142 (Morley *et al.*, 1999)), to explain several lake level falls of  $>5 \text{ m}$  each in maximum 1000  
143 years. Moreover, vertical displacements at this scale would require earthquakes having a  
144 magnitude  $>9$  (Pavlidis and Caputo, 2004). Earthquakes of this magnitude are unknown in  
145 the area and are not compatible with rift systems. Finally, volcanism event is known to have  
146 occurred (Karson and Curtis, 1994) during the Late Quaternary even the age is not very-well  
147 constrained. However, repeated pulsed of accelerated subsidence related to successive  
148 emptying of magma chamber is prevented by the insufficient amount of magma observed in



149 the basin. Indeed, no regional magmatic effusion that would have caused sudden subsidence  
150 is observable. Magmatism rather corresponds to punctual effusion forming the north, central,  
151 and south islands. As such, the abrupt nature of the accelerated lake level falls can be  
152 attributed only with difficulty to tectonics and magmatism leaving climate variability as the  
153 most likely forcing mechanism.

154 During the Holocene, the overall climate pattern in East Africa was governed by insolation  
155 changes related to changes in precessional orbital parameters of the Earth (Barker et al.,  
156 2004). Links between insolation and hydrology are now well established for this region, in  
157 particular monsoonal rainfall intensity that is strongly correlated with summer insolation  
158 (deMenocal et al., 2000; Shanahan et al., 2015). In the early Holocene, an increase in summer  
159 insolation due to changing orbital parameters produced wetter conditions over much of the  
160 African continent leading to the establishment of the AHP. Subsequently, the overall  
161 contraction of lakes at the end of the AHP is generally attributed to decreased precipitation  
162 related to a reduction of summer insolation (deMenocal et al., 2000; Shanahan et al., 2015)  
163 controlled by orbital parameters (i.e. half precessional forcing; deMenocal and Tierney, 2012;  
164 Bard, 2013). Therefore, changes in insolation imply additional modifications in rainfall  
165 amounts through the strengthening or weakening of local climate processes. In the Lake  
166 Turkana area, Junginger et al. (2014) suggest that the increase of precipitation during the AHP  
167 is mainly a result of a north-eastward shift of the Congo Air Boundary (CAB). The CAB is a  
168 north-east to south-west oriented convergence zone presently located west of the Lake  
169 Turkana area. This convergence zone shifts eastward during higher insolation periods in  
170 response to an enhanced atmospheric pressure gradient between India and East Africa during  
171 northern hemisphere insolation maxima (Junginger and Trauth, 2013; Junginger et al., 2014).  
172 When the CAB moves eastward over the Turkana area, precipitation is expected to increase  
173 significantly. Finally, the five abrupt accelerations in lake level fall require short-term



174 accentuated decreases in precipitation. We propose that these five periods of significantly  
175 reduced rainfall amounts are related to short-term decreases of insolation that repeatedly  
176 moved the CAB position. At such time-scale, variations of solar activity appear as the most  
177 likely acting parameter to explain variations in insolation. This potential origin needs to be  
178 discussed.

## 179 **5.2. Linking solar activity and paleohydrology**

180 Links between short-term (decadal-scale) solar activity and climate change remains a point of  
181 debate. However, periodicities in solar activity such as the 11-year sunspot cycle, the  
182 Gleissberg cycle (80—90 years) (Peristykh and Damon, 2003) or the de Vries cycle (~200  
183 years) (Raspopov et al., 2008) have been identified in Holocene paleoenvironmental records  
184 and suggests a possible forcing by solar activity on climate (Crowley, 2000; Bond et al., 2001;  
185 Gray et al., 2013). Within some African lakes, several authors link more arid periods with  
186 solar activity minima (Stager et al., 2002 and Junginger et al., 2014) and Lake Turkana is one  
187 of them. These lakes are considered as *amplifier lakes* (Street-Perrott and Harrison, 1985) that  
188 correspond to lakes for which relatively moderate changes in climate are amplified by the  
189 specific morphology of rift. As an amplifier lake, Lake Turkana could be more sensitive to  
190 precipitation changes from small variations in insolation as those generated by modifications  
191 in solar activity.

192         Coupling the chronological framework proposed by Bloszies et al. (2015) with the  
193 solar activity curve from Steinhilber et al. (2009), we observed in the Lake Turkana between  
194 two and ten major solar activity minima during the minimum and maximum potential period  
195 of regression, respectively (Fig.6). Considering a mean time of 580 years given by the age-  
196 model during which the final regression occurred, five solar activity minima are observed.  
197 The number of these minima interestingly matched with the number of abrupt lake level falls





198 suggesting a possible link between the short-term variability of solar activity and the lake  
199 level changes in Lake Turkana at the end of the AHP. Because a mechanism must be given,  
200 we propose that periods of solar activity maxima would be able to compensate for the  
201 precession-induced reduction of insolation. The relatively limited reduction of insolation  
202 would have led to a relatively stable position for the CAB over the Lake Turkana area and, in  
203 turn, a reduced rate of lake level fall due to slowly decreased precipitation amounts. However,  
204 when short-term solar activity minima are coupled with the precession-related insolation  
205 decrease, the CAB would have migrated rapidly westward resulting in drastic reduction of  
206 rainfall and as a consequence, a rapid fall in lake level. As such, alternations of solar activity  
207 maxima and minima could explain the geomorphological pattern that revealed a long-term fall  
208 in lake level interspersed by short-term accelerations in the rate of lake level fall during the  
209 final forced regression at the end of the AHP.

## 210 **6. Conclusion**

211 Geomorphic analysis (i.e. trajectory analysis) revealed for the first time a stewise lake level  
212 fall of Lake Turkana during the final forced regression of the lake at the end of the AHP. Five  
213 rapid falls in lake level were identified, intercalated with periods of slower lake level fall. The  
214 abrupt accelerations of lake level fall may be associated with insolation minima altering the  
215 position of the CAB, responsible for regional precipitation pattern. Our interpretation suggests  
216 that short-term variability of insolation, due to variability in solar activity, may have  
217 influenced the hydroclimatic conditions in the Turkana area during the final forced regression  
218 of the AHP. Next step would be to correlate each paleo plateaus to a specific solar maxima  
219 and each step to a specific minima. Nevertheless, uncertainties of dating methods will allow  
220 only with difficulty to provide enough precise ages for such features developed at the decadal  
221 to centennial time-scale.



222

223 **Author contribution**

224 Alexis Nutz analyzed satellite images, co-writes the manuscript and participated to field work

225 Mathieu Schuster co-writes the manuscript and participated to the field work.

226

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232

233 **The authors declare that they have no conflict of interest**

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337

### 338 **Figure captions**

339 Figure 1. Location maps. (a) Lake Turkana basin in the East African Rift System (EARS). (b)  
340 Digital elevation model (DEM) SRTM1 showing Lake Turkana and the two considered areas  
341 (Turkwel delta and the east side of the Omo River valley). Dashed white line represents the  
342 maximum Holocene lake level. All described geomorphological features are located between  
343 the paleolake limit and the modern lakeshore.

344 Figure 2. Turkwel delta complex. (a) Raw digital elevation model SRTM1 of the Turkwel  
345 delta. (b) Slope direction shading applied to the DEM SRTM1 of the Turkwel delta to  
346 highlight the steps separating the different plateaus. Markers display the correspondence  
347 between the DEM SRTM1 and the slope direction shading (see (a)). (c) SPOT5 satellite  
348 image of the Turkwel delta. (d) Interpretative geomorphological map of the area showing five  
349 successive delta plains in addition to the oldest plain associated with the late AHP highstand.

350 Figure 3. Geomorphological data for the Turkwel delta complex. (a) SRTM1 images were  
351 processed to display a digital elevation model of the Turkwel delta complex. Locations of the  
352 topographic transects are presented. (b) Topographic transects P1, P2, and P3. (c) Trajectory



353 analyses show that the overall forced regressive trend ( $>0^\circ$  to  $0.4^\circ$ ) is punctuated by four to  
354 five steeper slopes ( $1^\circ$  to  $3.8^\circ$ ) revealing short-term increases in the rates of lake level fall.

355 Figure 4. Landforms from Turkwel delta. (a) Front view of a step grading downward to a  
356 plateau. (b) Side view of the same step separating two plateaus.

357 Figure 5. Sandspit systems, outlined by dashed white lines, along the eastern Omo River  
358 valley (location Fig.1b) from SRTM 1 (left side) and from PLEIADES images (right side).

359 The sandspits display plateaus having similar elevations as those of the Turkwel delta.

360 Figure 6. The red curve presents total solar irradiance (40-year moving average) relative to the  
361 value of the PMOD composite during the solar cycle minimum of the year 1986 ( $1365.57$   
362  $W.m^2$ ) (Steinhilber et al., 2009) for the period contemporaneous with AHP regression of Lake  
363 Turkana. The shaded band represents  $1\sigma$  uncertainty. The blue curve represents the  
364 precessional curve covering the same time period  
365 (<http://www.imcce.fr/Equipes/ASD/insola/earth/online/>). Grey stripes highlight solar activity  
366 minima.

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