



Professor Grasemann
Handling Topical Editor, Solid Earth

March 4th 2017

Dear Professor Grasemann

We are grateful for your editorial advice, and the constructive and informative reviews you solicited on our *Solid Earth* discussion paper '*Controls on fault zone structure and brittle fracturing in the foliated hanging-wall of the Alpine Fault*' by Tim Little and Tom Blenkinsop.

All changes and corrections that the reviewers requested, have now been completed and are incorporated into the enclosed manuscript. In this author's response document, we have also copied the reviewers comments and replied to them point-by-point in blue italicised Cambria text.

We thank you for your consideration of the newly revised manuscript and we look forward to hearing from you in the near future.

Yours sincerely

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Reviewer Number 1

General Comments

Concise and clearly written. The topic is of wide interest, and is introduced well. Some of the figures are too small and/or are poorly labelled. Captions are commonly disorganized, and do not actually describe the content of the different parts of the figure. The photographs, in particular, are commonly not very clear or helpful.

With regards to the reviewer's comments on figures, this partly reflects that their resolution was reduced during the online manuscript upload process. The final manuscript will have much better-quality figures. We have addressed the specific comments that the reviewer has with figures below, and think that these also help with the general concerns raised in this comment.

#1 I would have liked to have seen a physical explanation for why vertical unloading during exhumation should favour the development of the foliation-parallel fractures. The paper does not do this, so citing this scenario as an "explanation" is not particularly convincing.

We acknowledge that there is uncertainty in whether it is unloading and the release of confining pressure during hanging-wall exhumations that forms foliation-parallel fracture per se (e.g. Engleider et al 1985), or if they are generated by other mechanisms such as seismic shaking (as discussed in Townend et al 2017). This is now clarified at lines 358-361.

Nevertheless, the point that these fractures formed at low confining pressures (regardless of the actual mechanism) is well-founded. Our discussion of the relationship between foliation and rock fracturing takes account of fracture fill and deformation experiments of anisotropic rock (Donath et al 1961, Nasser et al 2003, Paterson and Wong 2005). These studies find that this relationship depends on (1) the mechanical anisotropy that the foliation imposes (i.e. lithology), (2) the angle between the maximum principal stresses (σ_1) and the foliation, and (3) the confining pressure during rock fracturing (Lines 287-299).

At Stony Creek, this spatial change of fracturing occurs within the same lithology (Figure 7) leading us to conclude that point 1 cannot be exclusively true (Lines 313-316). The highly variable stress state around the Alpine Fault (e.g. Upton et al 2017) makes it difficult to exclude point (2). However, if the 1-2 km wide network of foliation-parallel fractures extended to appreciable depths, then this should be detected by reductions in seismic velocity (e.g. Jones and Nur 1984). Conversely, the low velocity zone around the Alpine Fault has a width of only 60-200 m (Line 395, Eccles et al 2015). This leaves us to infer that the foliation parallel-fractures have formed in the near-surface at relatively low confining pressures compared to the foliation non-parallel fractures (lines 415-417).

Differences in fracture fill also supports this argument. Foliation-parallel fractures are open with no evidence of offset, so likely formed in tension. In the absence of high pore fluid pressures, this is likely to reflect low confining pressures. Compositional and microstructural analysis of the gouge-fill of fractures not aligned to the foliation indicate that they formed in shear and were subsequently mineralised with phases stable at relatively high temperatures (<400°C, Williams et al. 2017, lines 371). See also comment #1 to reviewer #2

#2 Similarly, the explanation of "development of fault wedges" (where? how?) or dynamic earthquake stressing from below, as causes for variously oriented gouge filled fractures in the damage zone is not well enough discussed or supported, in my opinion.

To keep the manuscript succinct, we did not go into detail on the mechanisms that can account for variably oriented gouge-filled fractures around the Alpine Fault. Instead we cited previous studies that explain these mechanisms in more detail. However, we have now included more information on these points at lines 378-392.

Specifically, we have described why Alpine Fault thrust wedges may internally deform, which is accommodated by gouge filled fractures. (Cooper and Norris 1994, Norris and Cooper 1995, 1997; lines 378-385), and also the role of dynamic coseismic stresses (Lines 387-392). With regards to the

latter point, Ampuero and Ma (2017) demonstrated that the extent of coseismic damage is influenced by the thickness of the seismogenic crust. Therefore, the relatively thin seismogenic crust around the Alpine Fault (Boese et al. 2012) and its narrow inner damage zone are in good agreement with this point. Again, in the manuscript we also highlight that these are interpretations of our datasets, not full explanations.

Abstract

Abstract is concise and clearly stated on the whole.

Line 21: Suggest “principal slip zones [of]” is moved ahead of “Alpine Fault”

Corrected (line 20)

Line 38: suggest “rather than the footwall” is added to the end of this sentence.

This sentence was removed during revision of the abstract

Introduction

To the point and well stated.

Goals are clearly identified.

Line 69-70: brackets in brackets

This is unavoidable as we are citing another study in the brackets

Line 74: add “s” to “Alpine fault”

Corrected (line 75)

Tectonic Setting

Lines 95-100: Along-strike changes in slip rate are not what has led to the tri-partite division of the Alpine fault. This statement is quite misleading.

This statement has been removed (see line 95).

Line 108: replace “form” with “occur in spatial sequence towards the fault” After “(Figure 2)” start a new sentence. At the beginning of this, replace “which are” by “These”.

Corrected (line 102-104)

Line 111: For clarity, insert a comma after “metabasitic mylonites”. Also, the subsequent “or” should be replaced by “and”

Corrected (line 106)

Line 112. Start a new sentence at “reflect” [i.e., “These reflect..”]

Corrected (line 112)

Line 117: Insert “brittle overprint” after “This”

Corrected (Line 111)

Line 122: “projection of outcrops” is unclear in meaning or logic, as written. “Measurements” of what?

We acknowledge that the term “projection of outcrop-derived measurements,” is misleading and will be removed. Instead, we are now explicit that the regional orientation of the Alpine Fault reported here is based on the presumption that the foliation should parallel the shear zone boundary in such a high strain zone (lines 119-121). The fault orientation is thus parallel to the average orientation of the mylonitic foliation (e.g. Sibson et al 1981; Norris and Cooper 2007). The fault orientation at depth is also defined from geophysical surveys (Stern et al 2007). We will also note there is some evidence of the Alpine Fault potentially dipping at $<62^\circ$ (Toy et al. 2017).

Why does a seemingly artificial projection process at the surface require a planar zone at >4 km depth? What are the assumptions?

These points were fully addressed by Norris and Cooper (1995) as cited (lines 121-126). Their hypothesis was developed mainly from field mapping, however, it is also supported by sandbox models. In particular, these authors note that the depth extent to which topography can affect the stress field is equal to 1-2x the scale of the valley relief. Given that the valley relief of the Southern Alps immediately adjacent to the Alpine Fault is ~ 2000 m, then a <4 km joining depth for the partitioned near-surface sections was considered appropriate (Norris and Cooper 1995).

More recent mapping of Alpine Fault surface traces using LiDAR (Barth et al 2012, Langridge et al 2014) and results from numerical modelling (Upton et al 2017) also support the idea that the Alpine Fault is segmented in the near-surface. However, they do indicate that segmentation may only extend as deep as 0.5 km, and we note this too (line 123).

We emphasise that this study does not seek to develop or critically evaluate these models. Rather, these ideas are presented here to justify our methods for estimating the true distance of our field measuring stations from the Alpine Fault (Lines 165). Furthermore, we also account for an end-member case in which the Alpine Fault is not segmented (i.e. it dips at 45° at the surface) and find it does not significantly influence our results (see comment for Line 163).

Line 125: I disagree that the AF necessarily has a dip of 45 degrees at >4 km, or that the data mentioned by the authors demonstrates this, and I note that the statement is not supported by any references.

As noted in the previous comment, we will now explicitly cite the data that support a $\sim 45^\circ$ dip of the Alpine Fault (Simpson et al 1994, Norris and Cooper 1995, Barth et al 2012, Upton et al 2017), and note the studies that show there is local variation in this (Lines 120-121).

Methodology

In Section 3.1 need to start out by pointing out the known shallow dip of the fault at DFDP-1?

The dip is based on projection of the fault dip at outcrop and that sampled in the boreholes (Townend et al 2013), and this is now noted at line 152.

Line 140: If the DFDP-1 holes are up to 150 m deep, why was only 25 m of core investigated for this study? Explain.

This method requires intervals of both good quality BHTV and CT drill-core images, and which have sufficient fractures (>2 per core section) that could be matched to estimate the rotation required.

Most significantly, only 70 m of drill-core was recovered across the two DFDP-1 boreholes. This entails that significant intervals of the boreholes were not cored (as shown in Figure 3) and so do not have drill-core CT scans. Where core was recovered in DFDP-1A, the BHTV images were of poor quality, so it was not possible to reliably pick geographically oriented fractures. Conversely, within the relatively intact DFDP-1B footwall (depths >128 m), too few fractures were recognised to allow core reorientation. These points are now address at lines 147-149.

205 Line 152. Insert comma after “Appendix A”
206
207 *Corrected, line 153*
208
209 Line 156. “Distances” is vague. How measured, in what direction?
210
211 *These are orthogonal distances from the fault trace (line 158)*
212
213 Line 160: They were measured not “collected”
214
215 *Corrected, line 159*
216
217 Line 163: What uncertainties in the measured quantities (e.g., fracture density) are introduced
218 by assuming a generic “thrust” fault dip of exactly 30 when the actual fault dip may be
219 different than that?
220
221 *It is accepted that there is an inherent uncertainty in our damage zone width estimates given the*
222 *uncertainty of the orientation of the Alpine Fault at depth (see comment for line 122). We now*
223 *provide estimates of damage zone width assuming an end-member case in which the fault is not*
224 *segmented and dips at 45° from the surface (i.e. the regional orientation) in Table S3.*
225
226 *The widest damage zone estimate that this fault dip indicates is 205 m (Havelock Creek) and it is*
227 *<170 m wide across all other transects. Note, we do not consider the fault dip predicted by DFDP-2B*
228 *(62°; Toy et al 2017) to be realistic to our field transects. This borehole was sampling the Alpine*
229 *Fault at distances 1-2 km from the fault, whereas our field transects are all within 500 m of the*
230 *fault. This result from DFDP-2B may be relevant to the Amethyst Boreholes, as discussed for the*
231 *reviewers comments for line 180.*
232
233 *Therefore, although the reviewer is correct that there is some uncertainty in our results (which we*
234 *can account for), this does not unduly influence our interpretation that the Alpine Fault has a*
235 *relatively narrow inner damage zone. These points are discussed fully at lines 338-344.*
236
237 Line 164: an extraneous comma.
238
239 *Corrected, line 166*
240
241 Line 166: it is a method, not a “methodology”. The “-ology” is a little pretentious, in my
242 opinion.
243
244 *The word ‘methodology’ was removed during subsequent manuscript revisions (line 171-173).*
245
246 Line 180: I disagree that Norris and Cooper (1995) demonstrated that the Alpine fault dips c.
247 45 below the Amythyst tunnel locality. Also, “circa” is a time term, not a spatial or angular
248 term.
249
250 *As noted for the comment at line 163, we now allow for the full range of possible dips of the Alpine*
251 *Fault at this locality (30-62°m lines 184-185). These estimates imply that the AHP lies 0.7-2.0 km*
252 *from the Alpine Fault. We will remove the erroneous use of “circa” and use “~” instead*
253
254 Line 181: See my statement above regarding line 163 and uncertainties tied to an assumed
255 fault dip.
256
257 *See reply to above comment*
258
259 Lines 187-190: “intense fracturing” adjacent to “minor” faults is not measured, nor was it
260 captured in the cores (due to their poor recovery). For the paper, only quite intact cores (i.e.,
261 the least fractured intervals) were imaged by CT from which corresponding fracture densities
262 were derived. How representative are these fracture density estimates

likely to be? Are they maxima or minima?

Shouldn't this sampling bias be acknowledged and implications for using the results be mentioned?

The main purpose of the AHP CT scans was to investigate fracture orientations ~1 km from the Alpine Fault, not fracture density. As the reviewer notes, any estimates of fracture density we make will be a biased as we only scanned the most intact core. Furthermore, we cannot reliably determine natural from induced fractures in the drill-core (Lines 262-264).

As such, we only describe fracture density in qualitative terms (Figure S4), which consistent with the initial (cited) core descriptions (Geotech et al 2006, Savage 2013). Therefore, we remain confident in the interpretation and analyses we have carried out on fracture orientation.

Lines 198-200: This statement is only true if the top vs. bottom of each piece of core was marked as they came out of the ground. Please elaborate.

The orientations are obtained from drill-core logs and are accurate to $\pm 5^\circ$ and this is now accounted for (Lines 201). These core logs do not note how the orientation were measured. Nevertheless, the foliation orientations that they report (and the fact this is broadly constant about 060/70 SE), is consistent with orientations obtained from inside the Amethyst Tunnel itself (Savage 2013; Lines 255-257). Furthermore, we are most interested in the angular relationship between fractures and foliation, not the absolute orientations themselves. Our findings are, therefore, not significantly influenced by uncertainty in the true orientation of these fractures.

Line 199: What is the "known orientation," how was it measured, and what are the uncertainties in this assigned dip/ or dip direction?

See reply to previous comment

Results

Line 214: What are the criteria used to distinguish "fractures" from "foliations" in the BHTV? To what degree can one be confident that these criteria "work"? How about your comparison of the BHTV plots with the cores?

In this study, we do not distinguish between fractures and foliation in the BHTV images (except in the cases where fractures in the BHTV images can be directly matched with those recognised in the BHTV images (Figure 4)), and we have revised the text so that it simply refers to BHTV "features" (e.g. lines 221). Indeed, as noted this may explain why there is some difference in the orientations gathered from the CT and BHTV datasets (Line 225).

Line 217: What is meant by "type of fracture"? Vague and unclear. Do you mean "host rock type"?

This is now revised to "fracture fill" (Line 229), which are based on the CT number of the fracture fill and classified in Table 1 of Williams et al. (2016).

Line 221: It would be good and appropriate here to site a statistical measure of fault attitude "clustering" rather simply stating qualitatively that one subset of the data is "more clustered" than the other. The plots are not very convincing on their own.

To quantitatively assess the clustering of fractures around the Alpine Fault, the resultant vector method described in Priest (1993) has now been used. Here, the magnitude of the resultant vector from all fracture orientations is normalised by the number of fractures, with values approaching 1 indicating a high amount of clustering and vice versa. Vectors for individual fractures are weighted by the Terzaghi correction and so there is no misorientation bias in these results.

The results of this analysis are outlined in Table 1 (See also lines 209-215). They indicate that fractures adjacent to the Alpine Fault (i.e. in the DFDP-1 datasets) are less clustered than those ~1-2 km from it (i.e. in the Amethyst Hydro Project, line 258), consistent with qualitative analysis of the stereonets (Figures 5 and 11).

It is not possible to perform such an analysis with the field datasets, as an insufficient number of fracture orientations were measured at each site for a reliable quantification of clustering to be made (Lines 214-215). Furthermore, there are local variations in the foliation orientation between these sites (Figure 7). This means it is not possible to aggregate orientations from multiple sites to quantitatively assess clustering (i.e. since foliation-parallel fractures won't all plot in the same place across different sites). Nevertheless, the trends they qualitatively indicate (that fractures are more clustered further from the fault) are consistent with the DFDP-1 and AHP datasets (line 282). Therefore, we are confident that the field datasets are representative of fractures around the Alpine Fault, and that they can robustly determine the distance from the fault where changes in fracturing style occur.

Line 251: Be exact. This is in the Alpine Schist.

That AHP sampled the Alpine Schist, a sub-member of the Haast Schist, as now stated (Line 253)

Discussion

Lines 269-274. Authors refer to the field-observed fractures at >160 m from fault as being “mostly open.” Given they are observed at the face of an outcrop in a high rainfall setting, can one be sure that they do not have gouge in them at depth a short distance below the exposed ground surface? See your lines 258-259. Do you really know that they are open?

Such weathering of gouge from fractures at the outcrop scale is unlikely to have occurred, as gouge-filled fractures are still frequently observed, even at >160 m from the fault (Figure 8). The point we wish to make is just that fractures of this fill are particularly abundant <160 m from the fault (See Figure 7). For the reviewers concern to be true, then weathering must have been selective and only affected fractures >160 m from the fault, which seems implausible.

In the Amethyst road tunnel, which is >160 m from the fault, many of the observed foliation-parallel fractures are gouge filled

The reviewer's observation of foliation parallel gouge-filled fractures in the Amethyst Tunnel is actually consistent with our field observations, which note gouge-filled fractures ~500 m from the fault at Bullock Creek (Figure 8e, line 250). As noted above, it is density of gouge-filled fractures that interests us. Such an observation in the Amethyst Tunnel would only conflict with our results if these fractures were consistently found to have densities of >1 fracture/metre (as noted in lines 261-262, our datasets cannot constrain the ratio of open to filled fractures in AHP drill-core, and we make no attempt to do this).

Lines 306-307: I am unconvinced that the transect data has demonstrated a “confining pressure” cause/effect for foliation-parallel fracturing/or not. This is an interpretation not a fact.

We agree that this should be revised to an interpretation, and that other factors may have led to the development of these fractures (Line 358). Also, see reply #1 to this reviewer

Line 332: I have no idea what “broadly oriented” means.

We no longer use the term ‘broadly oriented’

Lines 321-322 and Line 331: These statements seem to contradict one another: The fracture density is spatially constant but it isn't(?). Please clarify and be exact and consistent.

The point we wish to make is that total fracture density is relatively constant across our field transects (Lines 320), but that the density of gouge-filled fractures is particularly high within <160 m of the fault (Figure 7, Lines 333). That fractures with a particular fill are used to define damage zone width is not new. See for example Mitchell and Faulkner (2009), who use the density of 'fluid inclusion planes' to define damage zone width and ignored the density of open fractures (which did not show a scaling relationship with distance from, the fault).

Line 336: "They are considered necessary" By whom? Why? This is weak and inexact language.

See reply to comment #2 to this reviewer

Line 348: I have no idea what an "intensive" fracture is.

This has been revised to "high density of" (line 364)

Line 368: depends on your definition of "fault zone" As you point out, this is not an absolute or clearly defined quantity. And what do you mean by "total" fault zone width? Are there other measures of "partial" fault zone width?

Correct, we now state that it is an interpretation of LVZ's (detected by FZGW's) that they are equivalent to fault damage zones, with the relevant studies cited (See line 398)

Line 379: unclear what is meant by "this set"

We have removed this discussion point

Lines 390, 393, 394: more apparent self-contradictions: Is the distance <360 m or is it c. [sic] 500 m? This is VERY confusing. The role of gouge infilling/ or not in these descriptions is not well explained.

The conclusion of this study has been heavily revised to clarify our ideas (lines 424-445). Namely that there is no relationship between proximity to the Alpine Fault and fracture density for distances of <500 m. But, there is a distinct drop off in the density of fractures with a gouge-fill within 160 m of the fault (Figure 7a). This revised manuscript, has also incorporated ideas recently published in Townend et al (2017) based on wireline logs collected during the second phase of the Deep Fault Drilling Project (DFDP-2). Here they present a hierarchical model for the structure of the Alpine Fault, in which the <160 m wide zone of a high density of gouge-filled fracture represents an "inner damage zone" and is surrounded by wider (1-2 km?) zone of open foliation parallel fractures. (Lines 435-445).

Line 397: "development of fault wedges" is a vague physical "explanation" for the occurrence for a spatial zone of gouge-filled fracturing. This interpretation has not been well explained or justified.

See reply to comment #2 to this reviewer

Fig. 1. I disagree that "all active onshore faults" are depicted in this figure. The heavy black line (road) is not labelled or explained, and it is shown far too bold, in my opinion. The road should not be the most conspicuous line feature on this map (but is), in fact it should probably not be shown at all. Why is the transport route even relevant? Lettering/font in the key is too small to be legible.

This should have been correctly stated as showing the faults from the New Zealand Active Fault database (Langridge et al 2016). However, on reflection given that part (a) is an inset, it is quite difficult to see these all these faults, nor do they provide critical information. In the revised figure, we only show the major continental faults on the South Island of New Zealand (i.e. the Alpine Fault and Marlborough Faults).

The road is labelled in part (b). However, we agree that it is given undue prominence, and its weighting has been reduced. State Highway 6 forms a useful reference in this (unpopulated) region and its inclusion is justified. Lettering will be clearer for a full quality version of this image included in a final publication.

Fig. 2. Location of image in part a) is not stated.

This sample was taken from Gaunt Creek, and this information will be included in a revised manuscript.

Fig. 5. Yellow symbols in c) are faint and hard to read. Same for purple symbols in d) and red symbols in a). Symbols are illegibly tiny and the lettering in the key are too small.

Yellow samples in (a) are now red and lettering in key has been enlarged. Note too that the final version of the manuscript will have significantly higher quality images.

Fig. 6. Where were the samples in a, b, and c collected? What intervals? OK I now see this is stated at the bottom of the caption (It makes more sense to cite the interval for parts a, b, c as part of the caption for parts, respectively. This is more efficient. Caption for c should say "In this sample [of what rock type?], fractures show a preference to be aligned: : :"

Sample locations now stated at the start of the caption (Lines 963-964). We have also specified that the rock type in all parts are ultramylonites (Line 961).

Fig. 7. Pole symbols and lettering in b are too small.

The size of the pole symbols in Figure 7b has been increased. The lettering size is of sufficient size in full quality versions of this figure.

Fig. 8. This caption is disorganized, inexact, and confusing. The photos are of limited use at the scale they are presented and they lack adequate labelling and discussion. What are the yellow arrows pointing to? The features in each photo should be labelled on the figure and sequentially and individually discussed and in the caption. What is the scale of g)? e) is almost unreadably muddy. The caption should identify what particular samples were chosen for the CT scans in the lower row of images (parts c, f, and i) and how these 3 chosen CT scans may relate to any of the other samples or field photos in this figure.

The purpose of this figure is to depict the three main types of fractures (separated into columns) noted from field observations and DFDP-1 core. We have revised this figure and its caption to reflect this. We have also added yellow arrows to parts (a), (b) and (e) to specify the fractures we wish to highlight. Arrows in part (6) have also been modified as they show shear sense, not fractures. How the fractures in the field reflect CT scans is noted on line 982.

Fig. 9 "Coincident with lithological diversity" is inexact and physically nonsensical. How can something coincide with a "diversity" Do you mean a contact? I can't see any :gouge filled fractures" in part

"Coincident with lithological diversity" has been revised to "changes in fracture density at lithological contacts" (line 9965). In a revised version of the figure (part d) we have included an additional part which shows gouge-filled fractures in part c.

Reviewer Number 2

This short and concise manuscript describes fractures in the hangingwall of the Alpine fault. It is very well written and illustrated (assuming that the quality of the real images is much better than the ones in the pdf), and contains some useful data. One of the conclusions about the width of the damage zone and how it is defined is well supported by the data.

#1 One question concerns the attribution of the open fractures to low confining pressures. It is argued that the type of fracture varies independently of rock type and therefore that confining pressure must be an additional variable. However, there are other factors that can affect fracture styles, most notably pore fluid pressure. The presence of an open fracture alone does not mean that it formed under low confining pressures (though it is quite possible).

The hypothesis that fractures are kept open by the high pore fluid pressures around the Alpine Fault is an interesting idea; not least as the DFDP-2B boreholes demonstrated that these fractures do transmit fluids (Townend et al 2017). If such a zone existed though, then it would be anticipated that it would be encompassed within the Low Velocity Zone (LVZ) around the Alpine Fault and be detected by FZGW, since pressurised fluids can strongly attenuate seismic velocities (e.g. Nur and Simmons 1969, Jones and Nur 1984, Christensen 1989, Eberhart-Phillips et al 1995). However, this is not the case as the Alpine Fault LVZ is reported to be 60-200 m width (Eccles et al 2015). Consequently, this network of open fracture cannot have contributed to the LVZ; hence our interpretation that this is a near-surface phenomena (Line 417). See also comment #1 by reviewer #1

#2 This discussion highlights a related issue: what are the kinematics of the fractures? This is one area of weakness in the descriptions. Presumably the gouge filled fractures have a shear displacement, but how much and in what directions? What about the open fractures? Do they have any fractographic features giving information on the fracture type? What do the variety of orientations of the gouge filled fractures mean for paleostress? What is their relation to seismicity? Could the differences between the fractures be simply that the open fractures are mode I and the gouge-filled, othermodes? Would this necessarily imply lower pressures?

The omission of information regarding the kinematics of these fractures has now been added (Lines 372-385). In summary, we show that reverse offset is most frequently noted across these fractures (Figure 8f), which is comparable to previous field studies (Cooper and Norris 1994, Norris and Cooper 1997) and descriptions of DFDP-1 core (Toy et al 2015). Furthermore, these studies observe fractures with normal and strike-slip shear sense.

These observations thus indicate that these fractures exhibit a range of shear-senses. This may be linked to the complex interaction between the transpressional strain accommodated across the Alpine Fault and the near-surface topographic stresses (Norris and Cooper 1995, Upton et al 2017; Lines 381-385). Furthermore, it is quite possible that these fractures formed under a dynamic co-seismic stress state that is quite different to the background static state (see also comment #2 by reviewer #1, lines 387-392).

Consequently, though we recognise the value of using gouge-filled fracture orientations to obtain paleostresses orientations around the Alpine Fault, we consider that the range of fracture orientations, shear senses, and a stress state, which varies both spatially and temporally, would preclude such analysis.

With regards to the open fractures, no evidence of shear across them is observed. This supports the idea that they truly represent extensional Mode I fractures and can be termed joints. However, given that foliation, not stress, likely governs their orientation (see comment #1 by reviewer #1, line 429), these also cannot be used as paleostress indicators.

No doubt some of these questions are answered elsewhere or in the process of being answered, but they are relevant to this manuscript. In general it would, however, be good to have a more description of the fractures.

The scan line methodology needs some further justification in the light of recent literature about the circular scan line technique.

We concede that circular scan lines are a superior way of quantitatively assessing fracturing at the outcrop scale (as well document in Watkins et al 2015), however, the outcrops across our stream transects did not lend themselves to this strategy for the following reasons:

- 1.) *All transects were across (sub) vertical cliff faces. Therefore, if undertaking a circular scan line, the maximum diameter would be 2-3 m as it is limited by the height with which one could accurately measure fracture orientations and fill from a cliff face. By contrasts, a <20 m long scanline along the cliffs base (see Figure below) would contain far more information.*
- 2.) *The cliff faces are themselves covered in debris or highly friable rock. However, the very base of the cliffs are "cleaned" when the streams are in flood, and so still provide good quality exposure (See Figure S2). Consequently, a long scan-line along the cliff bases will provide the best information on the fracturing at these outcrops.*

These points are now included at lines 168-171. In future, we recognise that quantitative analysis of fracturing may be improved by 3D photogrammetry models or FracPraQ software (Healy et al 2017; n.b. this work flow was not published until after we conducted our fieldwork in 2015-16).

Comments line by line

Line 189-190: Is this valid? What is the relationship between these faults and the Alpine fault? What is they are also part of the damage zone?

We consider these faults to be representative of regional deformation in the Alpine Faults hanging-wall (discussed at Lines 362-365), not the Alpine Fault damage zone per se (as also addressed at for reviewer 1's comments for lines 269-274 and 379). Although clearly the crust is highly deformed for km's into the Alpine Fault 's hanging-wall (as one would expect for the crust next to a plate boundary fault!!), the density of these structures is particularly high only within 160 m of the fault and that is what we consider represents the damage zone (or inner damage zone as defined in Townend et al 2018).

Line 204: Was there any way to check on the validity of these results e.g. compare some nearby surface orientations?

Yes, the orientation of foliation, joints and fractures were subsequently measured in the Amethsyt Tunnel itself (Savage 2013), which was completed after the boreholes, and are broadly consistent with our results (i.e. foliation dips SSE, and fractures and faults are parallel to it). This is now stated at lines 255-257.

Line 230: Is this corrected

These measurements have been corrected for orientation bias, and this has been clarified in the revised manuscript (line 320).

Line 308: How is the confining pressure at which the fractures formed determined?

We apologise that our writing was unclear, this was only meant to be in a qualitative sense. The evidence for this interpretation is discussed in our replies to comment # 1 by reviewer 1 and comment #1 by this reviewer.

Figure 4: I hope that the quality of these images is better than the ones in the pdf, which are so poor that the fractures are entirely unconvincing.

As noted for other comments, the original version of this figure has a much higher resolution, and this will presumably be the case at the final publication state.

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715 **Controls on fault zone structure and brittle fracturing in the foliated hanging-**
716 **wall of the Alpine Fault**

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731

732 **Abstract**

733 Three datasets are used to quantify fracture density, orientation, and fill in the foliated
734 hanging-wall of the Alpine Fault; (1) X-ray computed tomography (CT) images of drill-core
735 collected within 25 m of its principal slip zones (PSZs) during the first phase of the Deep
736 Fault Drilling Project that were reoriented with respect to borehole televiewer images, (2)
737 field measurements from creek sections up to 500 m from the PSZs, and (3) CT images of
738 oriented drill-core collected during the Amethyst Hydro Project at distances of ~0.7-2 km.

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763 from the PSZs. Results show that within 160 m of the PSZs in foliated cataclasites and
 764 ultramylonites, gouge-filled fractures exhibit a wide range of orientations. At these distances,
 765 fractures are interpreted to have formed at relatively high confining pressures and/or in rocks
 766 that had a weak mechanical anisotropy. Conversely, at distances greater than 160 m from the
 767 PSZs, fractures are typically open and subparallel to the mylonitic or schistose foliation,
 768 implying that fracturing occurred at low confining pressures and/or in rocks that were
 769 mechanically anisotropic. Fracture density is similar across the ~500 m width of the field
 770 transects. By combining our datasets with measurements of hydraulic conductivity and
 771 seismic velocity around the Alpine Fault, we further develop the hierarchical model for
 772 hanging-wall damage structure that was proposed by Townend et al., (2017). The wider zone
 773 of foliation-parallel fractures represents an ‘outer damage zone’ that forms in the near-
 774 surface. The distinct <160 m wide interval of widely oriented gouge-filled fractures
 775 constitutes an ‘inner damage zone.’ This zone is interpreted to extend to the base of the
 776 seismogenic crust given that its width is comparable to: (1) the Alpine Fault low-velocity
 777 zone detected by fault zone guided waves, and (2) damage zones reported from other
 778 exhumed large-displacement faults. In summary, a narrow zone of fracturing at the base of
 779 the Alpine Fault’s hanging-wall seismogenic crust is anticipated to widen in the near-surface,
 780 which is consistent with fault zone flower structure models.

781 **Keywords:** fractures, anisotropy, Alpine Fault, Deep Fault Drilling Project, damage zone

782 1. Introduction

783 Conceptual models of fault zone structure in the upper crust often invoke a relatively narrow
 784 “fault core” that accommodates most displacement, surrounded by a halo of heavily fractured
 785 rock termed the “damage zone” (Caine et al., 1996; Chester et al., 1993; Chester and Logan,
 786 1986; Faulkner et al., 2010). These models have been successfully applied in a variety of
 787 tectonic settings and for a wide range of fault displacements and exhumation depths (e.g.
 788 Choi et al., 2016; Faulkner et al., 2010; Kim et al., 2004; Mitchell and Faulkner, 2009;

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Deleted: , indicating that the Alpine Fault does not have a typical “damage zone” defined by decreasing fracture density with distance. Instead, we conclude that the ~160 m-wide zone of intensive gouge-filled fractures provides the best estimate for the width of brittle fault-related damage. This estimate is similar to the 60-200 m wide Alpine Fault low-velocity zone detected through fault zone guided waves, indicating that a majority of its brittle damage occurs within its hanging-wall rather than the footwall.

814 Savage and Brodsky, 2011). However, the term “damage zone” has been applied by
 815 geologists and geophysicists to describe a variety of fault-related features, such as fractures
 816 and faults at stepovers and bends (Chester and Chester, 2000; Kim et al., 2004; Mitchell and
 817 Faulkner, 2009; Wilson et al., 2003), the volume of inelastic deformation that is induced by
 818 dynamic stresses during earthquake rupture propagation (Andrews, 2005; Cowie and Scholz,
 819 1992; Rice et al., 2005; Templeton et al., 2008; Vermilye and Scholz, 1998), and the volume
 820 of rock in which earthquake swarms or foreshock and aftershock sequences are localised
 821 (Kim and Sanderson, 2008; Savage et al., 2017; Sibson, 1989; Yukutake et al., 2011).
 822 Furthermore, though damage zones are typically reported to be <1 km wide (Faulkner et al.,
 823 2011; Savage and Brodsky, 2011), co-seismic ground shaking can modify fracture
 824 permeability many hundreds of kilometres away from the fault source (Cox et al., 2015;
 825 Muir-Wood and King, 1993; O’Brien et al., 2016).

826

827 Brittle faults often develop in mylonite sequences or other (e.g. jointed) rocks that contain
 828 compositional and mechanical anisotropies (Bistacchi et al., 2012; Chester and Fletcher,
 829 1997; Massironi et al., 2011). Evidence from field studies (Bistacchi et al., 2010; Peacock and
 830 Sanderson, 1992), experiments (Donath, 1961; Misra et al., 2015; Paterson and Wong, 2005),
 831 and numerical modelling (Chester and Fletcher, 1997) demonstrates that such anisotropy can
 832 significantly affect the orientation and density of brittle fractures. Despite this, “fault core-
 833 damage zone” models are based largely on field observations from relatively isotropic host
 834 rocks, and there have been comparatively few field studies (Bistacchi et al., (2010) being a
 835 notable exception) that document the influence of mechanical anisotropy on patterns of brittle
 836 fracture damage in large-displacement faults.

837

838 In this contribution, multiple datasets across a range of scales were used to analyse fracture
 839 densities, orientations, and mineral fills across the hanging-wall of the Alpine Fault’s central
 840 section. Measurements from within 25 m of the Alpine Fault principal slip zones (PSZs) were

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849 made from shallow (depths <130 m) drill-cores and wireline logs obtained during the first
 850 phase of the Deep Fault Drilling Project (DFDP-1). These are combined with field studies at
 851 distances <500 m from the PSZs and analyses of drill-core recovered at 0.7-2.0 km from the
 852 PSZs during the Amethyst Hydro Project (AHP). Results are then compared to measurements
 853 of hydraulic conductivity (Cox et al., 2015; Townend et al., 2017) and geophysical studies
 854 (Boese et al., 2012; Chamberlain et al., 2017; Eccles et al., 2015) around the Alpine Fault. In
 855 doing so, we critically assess the application of “damage zone” models to a plate-boundary-
 856 scale structure. Furthermore, the Alpine Fault is an active fault that rapidly exhumes ductile-
 857 to-brittle fault rock sequences from depths of up to 35 km (Little et al., 2005; Norris and Toy,
 858 2014). Fracturing in its hanging-wall therefore overprints a 1-2 km wide mylonite sequence
 859 containing a pervasive foliation (Cooper and Norris, 1994; Norris and Cooper, 1997, 2007;
 860 Toy, 2008), and so can provide new insights into the relationships between fracturing and
 861 mechanical anisotropy.

862

863 2. Tectonic setting of the Alpine Fault

864 The Alpine Fault is a crustal-scale (along strike extent ~850 km, depth ~35 km) transpressive
 865 discontinuity accommodating ~70% of Pacific-Australian plate motion in the South Island of
 866 New Zealand (DeMets et al., 1994; Norris and Cooper, 2001, Figure 1a). This study focuses
 867 on the central section between the Toharoa and Martyr Rivers (Barth et al., 2013) where it
 868 currently accommodates dextral strike-slip at a rate of 27 ± 5 mm/yr and dip-slip at a rate of
 869 6-10 mm/yr (Little et al., 2005; Norris and Cooper, 2001).

870

871 In the central section at depths greater than 8-12 km, the Alpine Fault accommodates motion
 872 via viscous creep across a >1 km wide ductile shear zone in which the hanging-wall “Alpine
 873 Schist” protolith is progressively mylonitised (Norris and Cooper, 2007; Toy et al., 2010).

Deleted: we present a comprehensive study of fracture densities, orientations, and mineral fills across the hanging-wall of the Alpine Fault’s central section.

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Deleted: It can be potentially divided along-strike into five sections Slip rates vary along strike such that it can be broadly divided into three sections: (1) a northern section between Lake Rotoiti and its intersection with the Hope Fault near Hokitika, (2) a central section between Hokitika and Haast (Figure 1a), and (3) a southern section between Haast and its offshore termination near the Puysegur subduction zone (Barth et al., 2013; Norris and Cooper, 2007; Sutherland et al., 2007).

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907 Shear strains increase with proximity to the Alpine Fault, and are recorded by protomylonites,
 908 mylonites and ultramylonites, which occur in spatial sequence towards the fault (Figure 2:
 909 Norris and Cooper, 2003; Reed, 1964; Toy et al., 2008). Foliation in the mylonite sequence is
 910 mainly defined by alternating quartzofeldspathic and mica-rich layers (Figure 2). Bottle-green
 911 hornblende-rich metabasic mylonites, and purple-dark grey mylonites that are comparatively
 912 mica rich, are also present. Their presence reflects variations in protolith lithology (Cooper
 913 and Norris, 2011; Norris and Cooper, 2007; Sibson et al., 1981; Toy, 2008). As the mylonites
 914 in the hanging-wall are exhumed to depths of less than 8-12 km, temperatures drop below
 915 those at which quartz plasticity occurs and brittle structures start to overprint the mylonitic
 916 shear zone (Norris and Cooper, 2007; Toy et al., 2010, 2015). This brittle overprint is
 917 reflected in the formation of a ~20 m thick layer of green, indurated and often foliated
 918 cataclasite (Allen et al., 2017; Toy et al., 2015), and a 10-50 cm thick clay-rich PSZ, that is
 919 preserved adjacent to the currently-active fault trace (Boulton et al., 2017, 2012; Ikari et al.,
 920 2014; Mitchell and Toy, 2014).

921

922 To the first-order (i.e. at scales >10 km), the trace of the Alpine Fault is remarkably linear,
 923 with an average strike of 055° (Norris and Cooper, 2007). On the basis of geophysical
 924 imaging and measurements of the mylonitic foliation -which is thought to parallel the fault-, it
 925 is estimated to dip at ~45° in its central section (Sibson et al., 1981; Stern et al., 2007), though
 926 this may locally exceed 60° (Little et al., 2005; Toy et al., 2017). At scales of 1-10 km,
 927 perturbations in the regional stress field induced by hanging-wall topography results in
 928 segmentation of the Alpine Fault. Segmentation is rooted to depths of 0.5-4 km and comprises
 929 km-long, approximately E-W striking and steeply-dipping strike-slip fault strands, which
 930 adjoin NE-SW striking, gently-dipping (~30°) thrust segments (Barth et al., 2012; Langridge
 931 et al., 2014; Norris and Cooper, 1995, 2007; Simpson et al., 1994; Upton et al., 2017).

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980	3. Methods	Deleted: ology
981	3.1 Fracture orientations from DFDP-1 drill-core	
982	Hanging-wall fracture orientations immediately adjacent to the Alpine Fault's PSZ were	Deleted: at less than 25 m orthogonal distance from the
983	assessed through analysis of datasets arising from the first phase of the Deep Fault Drilling	
984	Project (DFDP-1, http://alpine.icdp-online.org). DFDP-1 successfully sampled the Alpine	
985	Fault in two boreholes (DFDP-1A and DFDP-1B, Figure 3) at depths of less than 150 m at	Deleted: in early 2011
986	Gaunt Creek (Figure 1b, Sutherland et al., 2012). The geophysical properties of the DFDP-1	
987	boreholes were characterised by a full suite of wireline logs (Townend et al., 2013). These,	Deleted: , which
988	were combined with visual descriptions of ~70 m of core recovered across the two boreholes	Deleted: core
989	to construct a lithological classification scheme for DFDP-1 drill-core (Figure 3, Toy et al.,	
990	2015).	
991		
992	Abundant fractures were observed in X-ray computed tomography (CT) scans of DFDP-1	Deleted: , typically with a gouge-fill,
993	drill-core (Williams et al., 2016). The true orientations of these fracture were obtained by	Deleted: The true orientation of these fractures was determined for the depth interval 94-126 m in the DFDP-1B borehole (Figure 3).
994	generating 'unrolled' CT images of individual core sections (Mills and Williams, 2017),	Deleted: T
995	which are directly analogous to geographically referenced - but lower resolution - borehole	Deleted: orientations
996	televiever (BHTV) images. Where fractures could be matched between the two images, a	
997	rotation could be derived to transform all fracture orientations in the CT scans from a local	
998	core reference frame to their true geographic orientation (Figure 4). Depending on the number	
999	of fractures matched, core was rotated with a high, moderate, or low degree of confidence. In	
1000	DFDP-1A, the quality of BHTV imaging was insufficient to attempt matching fractures,	
1001	whereas in the Alpine Fault's relatively intact footwall (Townend et al., 2013), too few	
1002	fractures (<1 fracture/core-section) could be imaged to attempt core reorientation. Therefore,	Deleted: >
1003	the true orientation of fractures was only determined for the depth interval 94-126 m in the	
1004	DFDP-1B borehole (Figure 3). Given the orientation of PSZ-1 (which separates hanging-wall	
1005	and footwall cataclasite) sampled in DFDP-1 (015/43 E; Townend et al., 2013), this spans an	Deleted: (

1019 orthogonal distance of ~25 m. A full methodology is provided in Appendix A, the rotations
 1020 applied to DFDP-1 core sections are listed in Table S1, and a complete CT-BHTV image
 1021 comparison is given in Williams et al. (2017b).

1022

1023 3.2 Field observations of fracture orientations and densities

1024 At orthogonal distances of up to 150-250 m from the PSZs, fracture orientations and densities
 1025 were measured in four creeks (Gaunt Creek, Stony Creek, Hare Mare Creek and Havelock
 1026 Creek, Figure 1b) that cut across the hanging-wall sequence approximately perpendicular to
 1027 the main fault trace (Figure S1). Along each creek, fracture orientations and densities were
 1028 measured at 3 or 4 stations. This information was also gathered from approximately 500 m
 1029 from the Alpine Fault at Bullock Creek (Figure 1b). Each creek transect cuts across a thrust
 1030 segment of the Alpine Fault, so the orthogonal distance between the measuring stations and
 1031 the PSZs was calculated assuming a fault dip of 30° (Norris and Cooper, 1995, 1997). The
 1032 mylonite lithology for each station was classified using the scheme presented by Toy (2008).

1033

1034 The outcrops encountered along these transects are typically sub-vertical and may be covered
 1035 by debris except at their bases where they are frequently cleaned by flood events (Figure S2).
 1036 They are therefore poorly-suited for fracture density analysis using circular scanlines (e.g.
 1037 Mauldon et al., 2001). Instead, the fracture density was calculated from the number and
 1038 orientation of fractures that intersected a linear transect along the base of each outcrop (Priest,
 1039 1993; Schulz and Evans, 2000). This technique has the tendency to under-sample fractures
 1040 oriented at low angles to the scan-line. Therefore, a weighting (w) factor calculated using a
 1041 modified version of the Terzaghi correction (Massiot et al., 2015; Terzaghi, 1965) was
 1042 applied to each fracture, and results are shown as ‘corrected’ fracture density.

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- Deleted: e density of fractures at each measuring station was calculated following the methodology of Hudson and Priest, (1983) and Schulz and Evans, (2000),
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1065	3.3 Fracture orientations in the Amethyst Hydro Project boreholes	Deleted: and densities
1066	The Amethyst Hydro Project (AHP) was developed to divert water from the Amethyst Ravine	
1067	down a 1040 m-long tunnel to a powerhouse on the floodplain of the Wanganui River. Prior	
1068	to the main phase of tunnelling, four exploratory boreholes (BH1-4; Figure 1b and S3) were	Deleted: 2
1069	completed between 2005-2006, resulting in the recovery of ~890 m of drill-core at depths of	Deleted: of a total
1070	50-200 m. The boreholes are situated 1-2 km southeast of a thrust segment of the Alpine	Deleted: the surface trace of the
1071	Fault, where it may conceivably dip at a range of 30-60° (Norris and Cooper, 1995; Toy et al.,	Deleted: 45
1072	2017). The drill-cores are therefore at orthogonal distances of ~0.7-2.0 km from the PSZs.	Deleted: . Below the boreholes, the Alpine Fault dips c. 45° to the east
1073		Deleted: meaning that drill-cores are
1074	To provide a dataset analogous to the DFDP-1 CT scans, a total of 31.9 m of drill-core from	Deleted: 5
1075	the AHP boreholes was CT scanned at the Southern Cross Hospital in Wellington, New	Deleted: 1.7
1076	Zealand. Initial descriptions of the drill-core found that the Rock Quality Designation (RQD,	Deleted: 4
1077	the % of intact core lengths >100 mm/1 m of drill-core) varied considerably due to intense,	Deleted: . Intervals with RQD values less than 10% are related to intense
1078	fracturing adjacent to the Tarpot Fault and other minor faults that intersect the AHP boreholes	
1079	(Geotech Consulting Limited, 2006; Savage, 2013). However, for practical reasons scanning	Deleted: , Figure S3
1080	was focussed on intervals of high RQD (Figure S3). The CT scanner was operated at 100 mA	Deleted: To ensure that the description of the fracture network sampled in the AHP is unaffected by damage from these minor faults, CT
1081	and an X-ray tube voltage of 120 kVp. Slice spacing was 1.25 mm, field of view 250 mm, and	Deleted: 2
1082	the image size was 512 x 512 pixels. Therefore, the size of one voxel is 0.488 x 0.488 x 1.25	
1083	mm in the x, y, z directions respectively. Reconstruction of two-dimensional CT slices into	
1084	three-dimensional images of the drill-core was performed using OsiriX Imaging Software	
1085	(http://www.osirix-viewer.com/).	
1086		
1087	AHP drill-core was not oriented. However, the orientation of the schistosity is noted in the,	Deleted: prominent
1088	drill-core logs to an accuracy of $\pm 5^\circ$ (Geotech Consulting Limited, 2006), where it is	Deleted: observed in the
1089	consistent with the schistosity orientation measured in the Amethyst Tunnel itself (Savage,	Deleted: s has a known orientation
1090	2013). It can thus be used as a reference to reorient drill-core CT scans back into a geographic	Deleted: and

reference frame. For BH2 and BH3 drill-cores, which are vertical, this required only a single transformation. For the inclined BH1 and BH4 drill-cores, this required first rotating the core with respect to the foliation. These orientations were then corrected for the inclination of the drill core using the Planes from Oriented and Navigated Drillcore (POND) Excel spreadsheet (Stanley and Hooper, 2003).

3.4 Statistical analysis of fracture orientations

The clustering intensity of fracture orientations was quantified using the resultant vector method of Priest, (1993), where the vector for each fracture was weighted by the Terzaghi correction for misorientation bias (Massiot et al., 2015; Terzaghi, 1965). This analysis was performed only for the DFD-1 and AHP datasets, which sampled a large population (>100) of fractures. Field measuring stations sampled too few (<30) fractures to reliably perform this analysis, and so their clustering is described in a qualitative sense only.

4. Results

4.1 Fracture orientations in DFD-1 drill-core within 25 m of the Alpine Fault

In the DFD-1 CT images, a total of 637 fractures were rotated into their true geographic orientation where they show a weak cluster about the orientation of the foliation and Alpine Fault PSZs at Gaunt Creek (015/43 E, Figures 5a, Appendix B; Townend et al., 2013). Features in DFD-1B BHTV images are also aligned about this orientation, but with a higher cluster intensity than fractures noted in the CT images (Figure 5b, Table 1). This may reflect: (1) features observed at the resolution of the BHTV are more likely to be aligned subparallel to the fault plane and foliation than those visible in CT, and/or (2) some of the planar features identified from the BHTV images were the mylonitic foliation itself. The clustering of fractures hosted in foliated ultramylonites and cataclasites (Units 1, 2 and 4 of Toy et al., 2015) is the same as fractures hosted in relatively homogenous unfoliated cataclasites (Unit 3

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Deleted: (Figure 5a; Appendix B). In both the CT and BHTV datasets, a set of fractures is observed sub-parallel to the mylonitic

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Deleted: No clear relationships are generally observed between the type of fracture-fill (Table 1 of Williams et al., 2016) and fracture orientation (Figure 5a). Plotted separately in Figures 5c and 5d are

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Deleted: It is evident that fractures in the foliated units are more clustered around the local Alpine Fault and foliation orientation than fractures in the homogenous units. However, even within the foliated units there are many fractures that cut across the foliation at a wide range of orientations (Figure 5c and 6).

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Deleted: At Hare Mare Creek station 2, the disappearance of thin gouge-filled fractures coincides with a transition from micaceous-quartzofeldspathic mylonite to quartzofeldspathic mylonites (Figure 9c).

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1215	4.3 Fractures in AHP drill-core, 0.7-2.0 km from the Alpine Fault	Deleted: 500-1400 m
1216	The AHP sampled grey, well-foliated Alpine Schist (Figure 10), a subgroup of the Haast	Deleted: 1.7
1217	Schist (textural zone III-IV, Turnbull et al., (2001); Cox and Barrell., (2007)). Fracture	Deleted: schist bedrock of the
1218	orientations are clustered about the orientation of the host rock schistosity in agreement with	Deleted: Group
1219	the findings during initial drill-core descriptions and observations within the Amethyst	Deleted: generally aligned. Foliation is defined by alternating quartzo-feldspathic and micaceous layers (Figure 10a and b). All 239 fractures measured in the CT scans of drill-core are plotted in Figure 11. There is a strong alignment
1220	Tunnel itself (Figure 11; Geotech Consulting Limited, 2006; Savage, 2013). The clustering of	Deleted: of fractures
1221	these fracture orientations is stronger than in the DFDP-1 datasets (Table 1). Fractures that	Deleted: o t
1222	cut across the schistosity are most frequent in BH4 (Figure 10d and 11).	Deleted: and the regional Alpine Fault orientation,
1223		Deleted: ,
1224	Though fractures are predominantly open, it is conceivable that the original fill may have	Deleted: Furthermore, t
1225	been lost during the subsequent core handling processes. This means that standard schemes to	Deleted:
1226	differentiate between natural and induced fractures (Kulander et al., 1990; Williams et al.,	
1227	2016) cannot be applied to this dataset. Nevertheless, some open fractures must be natural as	Deleted: Therefore, we can only note qualitatively that fracture density appears to be strongly controlled by the presence of gouge-filled faults (Figure S3) as has also been previously documented (Geotech Consulting Limited, 2006; Savage, 2013). We also find that
1228	they show alteration haloes (Figure 10a) implying that they were once conduits for fluid-flow.	Deleted: open
1229	Furthermore, packer tests conducted in these boreholes indicate hydraulic conductivities of	
1230	$\sim 10^{-6}$ - 10^{-5} ms ⁻¹ , which is equivalent to permeabilities of 10^{-13} - 10^{-12} m ² (Cox et al., 2015;	
1231	Geotech Consulting Limited, 2006). No permeability measurements have been made in the	
1232	schist protolith at greater distances from Alpine Fault, however, these measurements are	
1233	orders of magnitude higher than has been reported in other metamorphic rock terranes ($\sim 10^{-20}$ - 10^{-17} m ² ;	
1234	Manning and Ingebritsen, 1999) and for typical continental crust ($\sim 10^{-17}$ m ² ;	
1235	Townend and Zoback, 2000).	Deleted: , implying that they are natural and were once conduits for fluid-flow.
1236	5 Discussion	Deleted:
1237	5.1 Fracture orientations in anisotropic wall rocks in the Alpine Fault hanging-wall	
1238	Two styles of fracturing are evident in the foliated Alpine Fault cataclasite, mylonite and	Deleted: mechanic
1239	schist sequence (Figure 12). Within DFDP-1 drill-core, fractures are predominantly gouge-	Deleted: ally anisotropic

filled and exhibit a range of orientations (Figure 5 and 6) with only a small proportion (11%) of fractures in foliated cataclasites and ultramylonites clearly foliation-parallel (Williams et al., 2016). However, in schists sampled by the AHP drill-core, the fractures are more clustered about the foliation than in DFDP-1 drill-core (Figure 11, Table 1). The difference in fracture clustering between the DFDP-1 and AHP drill-cores is qualitatively replicated by the field transects, where fractures show variable orientations immediately adjacent to the Alpine Fault but are typically foliation-parallel at the sites furthest from the fault (Figure 7). Furthermore, field transects show that the variably oriented fractures have a gouge-fill, whilst foliation-parallel fractures further from the fault tend to be open (Figures 7 and 8).

Experimental studies on foliated rocks demonstrate that mechanical anisotropy will exert the greatest control on rock failure when: (1) the angle between the maximum principal stress σ_1 and the anisotropy (α) is $\sim 30^\circ$, (2) confining pressure is low (< 35 MPa), and (3) the mechanical ‘strength’ of the anisotropy is high (Donath, 1961; Misra et al., 2015; Nasser et al., 2003; Paterson and Wong, 2005). The first factor can be approximated for the Alpine Fault given the mylonite’s average orientation of 055/45SE (Norris and Cooper, 2007) and the stress tensor orientation within the surrounding crust, determined from focal mechanisms of microseismicity in the fault’s hanging-wall (Boese et al., 2012). This yields a value of α of approximately 44° , when measured in the plane containing the maximum and minimum principal stresses. This can be considered an intermediate value of α , since in deformation experiments fractures may form parallel or non-parallel to the foliation depending on the combination of confining pressure and lithology (Donath, 1961; Nasser et al., 2003; Paterson and Wong, 2005).

Foliation-parallel fractures are least common in the ultramylonites and foliated cataclasites. Indeed, in the DFDP-1 datasets, there is no difference in fracture clustering between foliated and unfoliated units (Table 1). Lithology may control mechanical anisotropy depending on

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Deleted: , open, foliation-parallel fractures are found at all localities, but they are most common at distances greater than 160 m from the Alpine Fault in the mylonite and protomylonite sequence (Figure 7). Overall, there is a transition from dominantly open, foliation-parallel fractures at distances greater than 160 m from the PSZs, to gouge-filled fractures with more variable orientations closer to the fault (Figure 12).

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1312 mineralogy, porosity, grain size, and the nature of the foliation surfaces (Donath, 1961;
 1313 Nasser et al., 2003). It is notable that phase mixing and grain size reduction in the
 1314 ultramylonites reduces the intensity of the foliation, compared to the relatively coarse-grained
 1315 schists, protomylonites, and mylonites (Figure 2; Norris and Cooper, 2007; Toy et al., 2010,
 1316 2008). These data suggest that this lithological change could have a marked effect on the
 1317 orientation of fractures. Compositional variations between relatively quartzofeldspathic and
 1318 relatively micaceous mylonites can also influence the density of fractures (Figure 9). These
 1319 observations highlight that fracturing in the upper crust may be influenced by lithological
 1320 variations developed within an underlying linked, and synkinematic, shear zone. However, at
 1321 other localities (e.g. Stony Creek, Figure 7), variations in dominant fracture characteristics are
 1322 confined within units of similar composition and texture. This suggests that variations in
 1323 confining pressure may also be important in controlling the relationship between fractures and
 1324 foliation, as discussed in the next section.

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Deleted: with foliation-parallel fractures forming at relatively low confining pressures compared to fractures adjacent to the Alpine Fault in the ultramylonites. Indeed, it is likely foliation-parallel fractures formed as confining pressure was released (Engelder, 1985; Price, 1959; Zangerl et al., 2006) during rapid exhumation (6-9 mm/yr) of the hanging-wall along the Alpine Fault (Little et al., 2005; Tippet and Kamp, 1995).

Deleted: Caine et al., (1996) defined a fault damage zone as "a network of subsidiary structures that bound the fault core and may enhance fault zone permeability relative to the core and undeformed protolith." In applying this definition, most previous work has defined the damage zone as a volume of rock that shows elevated fracture densities compared to a "background" level of fracturing that normally includes widely-spaced regional fracture or joint sets (e.g. Berg and Skar, 2005; Faulkner et al., 2010; Savage and Brodsky, 2011; Schulz and Evans, 2000). Our data from the field

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Deleted: at distances 200-1500 m from the Alpine Fault an active hydrogeological system was sampled by the DFDP-2B borehole (Sutherland et al., 2017; Townend et al., 2018).

1326 5.2 Fracture damage around the Alpine Fault

1327 Field transects across the Alpine Fault's hanging-wall show that fracture density remains
 1328 roughly constant (>3.5 fractures/m, corrected for orientation bias) for at least 500 m from the
 1329 fault (Figure 7a). Furthermore, the AHP (Cox et al., 2015) and DFDP-2B boreholes
 1330 (Sutherland et al., 2017; Townend et al., 2017) demonstrate an interval of enhanced
 1331 permeability (10^{-16} - 10^{-13} m²) that extends for at least 2 km into the Alpine Fault's hanging-
 1332 wall. Permeability in this rock mass is controlled by open fractures (Cox et al., 2015;
 1333 Sutherland et al., 2017; Townend et al., 2017) that are generally foliation-parallel (Massiot,
 1334 2017), and so directly analogous to the fractures sampled in the field (Figure 8g-i) and in
 1335 AHP drill-core (Figure 10). Conventional definitions of fault structure, that use fracture
 1336 density and permeability as criteria for damage zone width (e.g. Berg and Skar, 2005; Caine
 1337 et al., 1996; Faulkner et al., 2010; Savage and Brodsky, 2011; Schulz and Evans, 2000),

would therefore suggest that the Alpine Fault's damage zone extends for at least 500 m, and possibly 2 km, into its hanging-wall.

Nevertheless, within the field transects we also note a distinct interval adjacent to the Alpine Fault's PSZs that contains a relatively high density of gouge-filled fractures (>1 fracture/metre, Figure 7a). The width of this interval is <147 m (i.e. station 4) from the PSZs at Gaunt Creek, <103 m at Stony Creek (i.e. station 3), <151 m at Hare Mare Creek (at station 2, Figure 8c) and <160 m at Havelock Creek (i.e. stations 4). These width estimates are based on assumption that the Alpine Fault dips at 30° below the measuring stations (see the methods section). However, the fault dip may locally vary (for example, the fault dip sampled by DFDP-1 was 43°; Townend et al., (2013)), and there is also uncertainty in the depth extent of its near-surface segmentation (Barth et al., 2012; Norris and Cooper, 1995; Upton et al., 2017). Nevertheless, even if the fault dipped at 45° (Norris and Cooper, 2007) beneath the measuring stations, the zone of higher-density gouge-filled fractures would be <205 m wide (Table S3), and so is still appreciably closer to the Alpine Fault than the intervals sampled by the AHP and DFDP-2 boreholes.

It is this ~100-160 m wide interval with a high density of gouge-filled fractures that Norris and Cooper (1997, 2007) interpreted as the extent Alpine Fault's central section hanging-wall damage zone. Furthermore, the width of this zone is comparable to damage zones widths estimated elsewhere on the Alpine Fault (e.g. Barth et al., 2013 along the southern section; Wright, 1998 at the northern end of the the central section, Figure 13a) and to other crustal-scale fault zones that have accommodated hundreds of kilometres of displacement (Figure 13b; Faulkner et al., 2011; Savage and Brodsky, 2011).

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Deleted: we also note a Despite these observations, we suggest that the damage zone surrounding the Alpine Fault is most appropriately represented by the localised zone that

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Deleted: (Figure 13b), rapidly-moving (~few cm/yr)

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1431 Interpretations of damage zone width within the Alpine Fault's hanging-wall may therefore
1432 differ by an order of magnitude depending on what criteria is used. To reconcile this,
1433 Townend et al., (2017) suggested that the ~2 km wide interval of enhanced permeability and
1434 foliation-parallel fracturing can be considered as an 'outer damage zone' (Figure 12).
1435 Fractures within this zone may have formed by co-seismic shaking and slip on critically-
1436 stressed fractures (Cox et al., 2015; Townend et al., 2017), or by the release of confining
1437 pressure (Engelder, 1985; Price, 1959; Zangerl et al., 2006) during rapid exhumation (6-9
1438 mm/yr) of the hanging-wall (Little et al., 2005; Tippet and Kamp, 1995). Rare gouge-filled
1439 fractures (<1 fracture/metre) in this interval (e.g. Figure 8e) may also be the structures
1440 accommodating the diffuse, low to moderate magnitude ($M_w < 6$) seismicity that has been
1441 recorded in a ~5 km wide zone within the Alpine Fault's hanging-wall (Boese et al., 2012;
1442 Chamberlain et al., 2017; Eberhart-Phillips, 1995).

1443

1444 Conversely, the <160 m wide zone with a relatively high density of gouge-filled fractures,
1445 defines a narrower 'inner damage zone' (Figure 12; Townend et al. 2017). Microstructural
1446 and compositional analysis of these fractures indicates that they formed in response to wear
1447 and shearing of the wall rock and were subsequently mineralised due to circulation of
1448 hydrothermal fluids (Warr and Cox, 2001; Williams et al., 2017a). Offset markers across
1449 gouge-filled fractures (particularly those <1 cm thick) are rarely observed in DFDP-1 core
1450 and field transects, but where they are present, reverse offset is most frequently noted (Figure
1451 8d; Norris and Cooper, 1997; Toy et al., 2015). "Gouge-filled shears" that accommodated
1452 strike-slip (Norris and Cooper, 1997), normal dip-slip (Cooper and Norris, 1994), or a
1453 combination of both (Barth et al., 2012) have also been observed.

1454

1455 Cooper and Norris, (1994) interpreted that dip-slip fractures facilitated imbrication, tectonic
1456 thickening and rotation of Alpine Fault thrust sheets as they moved across the irregular
1457 topography of the footwall gravels. Dextral shears are interpreted to reflect the partitioning of

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Deleted: They are considered necessary to the development of near-surface (<4 km) fault wedges that facilitate complex oblique-slip Alpine Fault movement (Barth et al., 2012; Norris and Cooper, 1997).
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Deleted: Gouge-filled fractures are present at all distances in our field transects (Figure 7-9) and within the AHP drill-core (Figure 10c). However, their interval of high density (>1 fracture/metre) is restricted to less than 118-147 m from the PSZs at Gaunt Creek (i.e. between stations 3-4), <73-103 m at Stony Creek (i.e. between stations 2-3), <151 m at Hare Mare Creek (at station 2, Figure 8c) and <154-160 m at Havelock Creek (i.e. between stations 3-4). This is consistent with the observations of Norris and Cooper, (2007) who suggested that the Alpine Fault's central section damage zone can be defined by a ~100 m wide zone of intensive gouge-filled fractures. These estimates of damage zone width are also similar to those made elsewhere on the Alpine Fault (e.g. Barth et al., 2013 in the southern section in South Westland; Wright, 1998 at the northern end of the central section, Figure 13a) and to other predictions for crustal-scale (Figure 13b), rapidly-moving (~few cm/yr) fault zones that have accommodated hundreds of kilometres of displacement (Biegel and Sammis, 2004; Childs et al., 2009; Finzi et al. [5])
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1574 Though the boundary between the mylonites and ultramylonites is also ~100 m from the
 1575 Alpine Fault (Norris and Cooper, 2003; Toy et al., 2008), these two units have roughly
 1576 similar seismic velocities (Adam et al., 2016; Allen et al., 2017; Christensen and Okaya,
 1577 2007) and so are unlikely to channel FZGWs. We also note that since FZGWs are an
 1578 indicator of total fault zone width, our interpretation implies that most of the Alpine Fault's
 1579 LVZ is located in its hanging-wall. Western Province basement rocks to the west of the
 1580 Alpine Fault are rarely exposed (Lund Snee et al., 2014; Norris and Cooper, 2007), and so it
 1581 remains unknown if its footwall damage zone is indeed relatively narrow.

1582 ▼
 1583 That the FZGWs are not being channelled by the margins of the ~2 km wide outer damage
 1584 zone leads us to conclude that this is a near-surface feature only (i.e. fractures are not kept
 1585 open at depth by pressurised fluids). If correct, this model of the Alpine Fault's hanging-wall
 1586 structure conforms to the expectations of fault zone flower structure models, which predict a
 1587 narrow inner damage zone that extends through the seismogenic crust, surrounded by a wider
 1588 zone of fractures in the near-surface at low confining pressures (~<3 km, Figure 12; e.g. Finzi
 1589 et al., 2009; Sylvester, 1988).

1590 ▼

1591 6. Conclusions

1592 Fracture orientations and densities in the foliated hanging-wall of the Alpine Fault's central
 1593 section were quantified in drill-core from the Deep Fault Drilling Project (DFDP-1), field
 1594 transects in four creek sections, and drill-core recovered from the Amethyst Hydro Project. At
 1595 distances greater than approximately 160 m from the Alpine Fault principal slip zones (PSZs),
 1596 open and foliation-parallel fractures dominate. These are interpreted to form at low confining
 1597 pressures in mechanically anisotropic schist and mylonites. At distances less than ~160 m
 1598 from the PSZs, gouge-filled fractures with a wide range of orientations predominate. Fracture
 1599 density and orientation are locally influenced by changes in host rock lithology, but overall

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Deleted: If the FZGWs are sampling the zone of intensive gouge-filled fracturing, it therefore indicates that the >500 m wide interval of open foliation-parallel fractures are a near-surface feature only. This is consistent with our interpretation that these fractures formed at low confining pressures during exhumation within the Alpine Fault's hanging wall.

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Deleted: Pervasive minor faults have been mapped for distances of tens of kilometres from the Alpine Fault (Cox and Barrell, 2007; Cox and Sutherland, 2007). We interpret that the occasional (<1 fracture/metre) gouge-filled fractures observed herein AHP drill-core and in the field at distances greater than 160 m from the Alpine Fault (e.g. Figure 8e) are equivalent to this set. These fractures may also be the exhumed equivalent of structures that are currently accommodating the diffuse, low-moderate magnitude ($M_w < 6$) seismicity that has been recorded at depths less than 10 km in the Alpine Fault's hanging-wall (Boese et al., 2012; Chamberlain et al., 2017; Eberhart - Phillips, 1995).

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[distance of field measuring stations from the Alpine Fault for different fault dips \(Table S3\).](#)

Lithological distribution and Alpine Fault location as per University of Otago fault zone mapping program, which is available at: <http://www.otago.ac.nz/geology/research/structural-geology/alpine-fault/af-maps.html>. DFDP-1 and AHP CT scan ‘core logs’ and CT-BHTV image comparison are available on the GFZ data service (<http://pmd.gfz-potsdam.de/panmetaworks/review/52b75045a30f1bd60f7fd5b841e69c468885e2a10dfc3704e50b236df2ef8608-icdp>).

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Appendix A: DFDP-1B core rotation methodology

The technique employed to reorient core DFDP-1 here is similar to that described in Jarrard et al., (2001), Paulsen et al., (2002) and Shigematsu et al., (2014), however, instead of comparing DFDP-1 BHTV data to DMT CoreScan system® unrolled core scans, we compare

1715 BTHV images to ‘unrolled’ CT core images. The acquisition and interpretation of the DFDP-
1716 1 BHTV logs has been previously described by Townend et al., (2013) and McNamara,
1717 (2015). DFDP-1 CT scans consist of a stack of core-axial perpendicular image slices with a
1718 pixel size of 0.244 mm and a spacing of 1 mm. The CT stack for each core section was loaded
1719 into Fiji (<http://fiji.sc/Fiji>) and a circle was manually defined around the irregular boundary of
1720 drill-core in a core axial-perpendicular image slice using the code available at Mills and
1721 Williams, (2017). This circle was then used to define the path of the image in all other slices.
1722 Generation of the unrolled images accounts for the fact that the spacing between individual
1723 CT slices (1 mm, i.e. the core-axial parallel pixel size) is greater than the pixel size within the
1724 slices (0.244 mm). Unrolled images were then reflected around the borehole axis as an image
1725 of the outer surface of the core and a BHTV image are reflections of each other. This
1726 technique has benefits over methods using the DMT CoreScan system®, since drill-core does
1727 not have to be physically rotated and so can be used without the risk of damaging fragile core
1728 sections.

1729

1730 Unrolled CT images were imported into the composite log viewing software WellCAD®
1731 (<http://www.alt.lu/wellcad.htm>) along with the BHTV images, where they are placed side-by-
1732 side to allow matching of structures (Figure 4, see also Williams et al., (2017b)). When
1733 correlating the two datasets, it was first necessary to account for possible depth shifts between
1734 recorded drill-core depths and BHTV imagery due to factors such as stretching of the logging
1735 cable and intervals from which no drill-core was recovered (Haggas et al., 2001; Jarrard et al.,
1736 2001). In this study, a depth shift of no more than ± 30 cm was allowed.

1737

1738 The orientation of fractures in the DFDP-1 CT images had previously been measured within a
1739 local core reference frame (see Figure 4 in Williams et al., 2016). Since the DFDP-1
1740 boreholes were vertical, corrections to reorient the drill-core back into a geographic reference
1741 frame required only a single rotation about the core axis to correct for the dip direction. When

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correlating structures, errors may be introduced by: (1) the internal BHTV magnetometer ($\pm 2^\circ$), (2) the manual picking of sinusoidal curves on BHTV and unrolled CT images that can be $\pm 10^\circ$ for shallowly dipping ($< 30^\circ$) structures (Jarrard et al., 2001), and (3) the fact that the DFDP-1B BHTV data imaged the open borehole, which has a larger diameter (127 mm) than the drill-core (85 mm). To mitigate against the cumulative effect of these errors, Jarrard et al., (2001) stitched unrolled images of many different core sections together that spanned intervals of 5-30 m, prior to the matching with BHTV imagery. This meant that only a single rotation was necessary for all core sections across the entire stitched interval, which could be based on identifying ~20-30 matching structures between the BHTV and unrolled core images.

In DFDP-1 it was not possible to stitch unrolled CT images of core section together as no prominent reference marker across different sections were identified. Consequently each < 1 m long core section had to be reoriented individually, within which we never identified more than 3 matching structures. Therefore, compared to the methodology described by Jarrard et al., (2001), the degree of confidence on the applied reorientation was strongly dependent on the quality of individual matches for each core section and the range of rotations that they indicated. We recorded this qualitatively for each core section using the scheme outlined below.

- High degree of confidence: images matched with one very prominent structure (e.g. Figure 4d), or matched with two or more structures whose range of suggested rotations are within 10° of each other (Figure 4b and c).
- Moderate degree of confidence: images matched with one prominent feature or two features that indicate rotations that range 10 - 19° (e.g. Figure 4a) or three features whose range of suggested rotations are within 20 - 30° of each other.

1771

- 1772 • Low degree of confidence: images matched with one feature or two features whose
1773 range of suggested rotations are within 20-30° of each other.

1774

1775 In this scheme, a core reorientation is deemed unreliable if the range of rotations suggested by
1776 different structures is $\geq 30^\circ$, i.e. equivalent to the cumulative effect of possible errors listed
1777 above. For those core sections where more than one matching structure was identified, the
1778 rotation that was applied was derived from the average of that required for each match. If one
1779 of the matched structures was more prominent, then the applied rotation was biased towards
1780 that structure.

1781

1782 **Appendix B: DFDP-1B core rotation validity**

1783 Based on the criteria presented in Appendix A, of the 40 core sections from DFDP-1B in
1784 which there was suitable quality of unrolled CT and BHTV images to attempt reorientation
1785 (Figure 3), 31 were reoriented (Table S1). Prior to reorientation, fractures in these sections
1786 exhibit no clustering (Figure A1a), however, a weak one does develop after reorientation
1787 (Figure 5a). Since fractures in nature typically exhibit non-random orientations, this is
1788 evidence that the reorientation of the CT scans was successful (Kulander et al., 1990; Paulsen
1789 et al., 2002). In addition, fractures within some individual core sections (Figure A1b), and
1790 fractures rotated based on a high degree of confidence (Figure A1c) contain a wide range of
1791 orientations.

1792

1793 The recognition of fractures in unrolled CT images that are not observed in BHTV can be
1794 readily explained by the higher resolution of the CT images. However, structures are also
1795 observed in the BHTV logs, but not interpreted as fractures in the CT images (Figure 4). This

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1797 may represent noise in the BHTV images, or in the case of foliation-parallel structures, the
1798 ultramylonitic foliation itself since it can be difficult to differentiate these structures. The
1799 subordinate north-dipping set of fractures in the BHTV images (Figure 5b) is not recognised
1800 in the orientations gathered from CT images (Figure 5a). A similar north dipping fracture set
1801 was also recognised in DFDP-2B BHTV images (Massiot 2017), and their causation and
1802 relevance is the focus of ongoing work.

1803 **Competing Interests**

1804 Authors declare that they have no conflict of interest.

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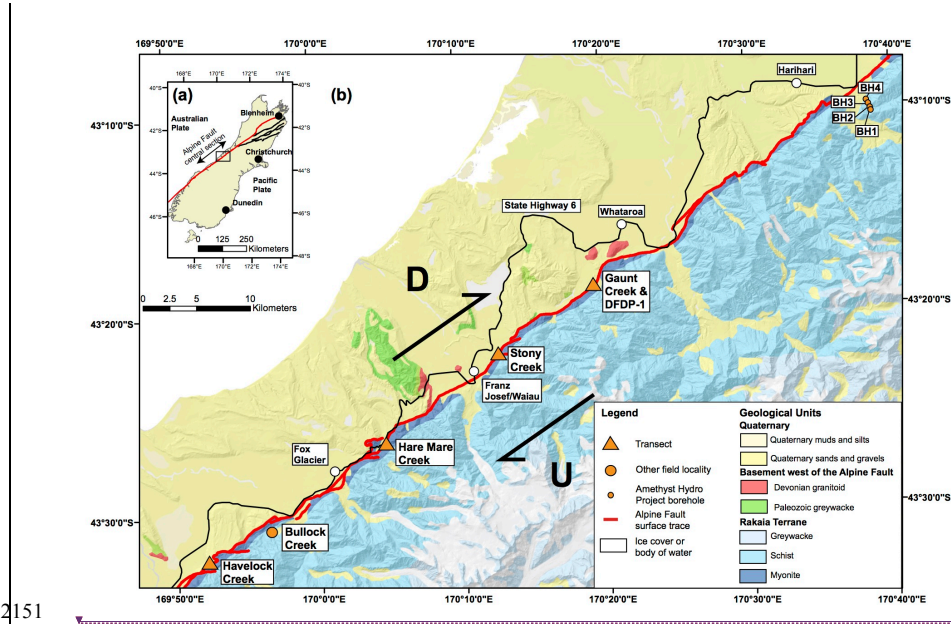
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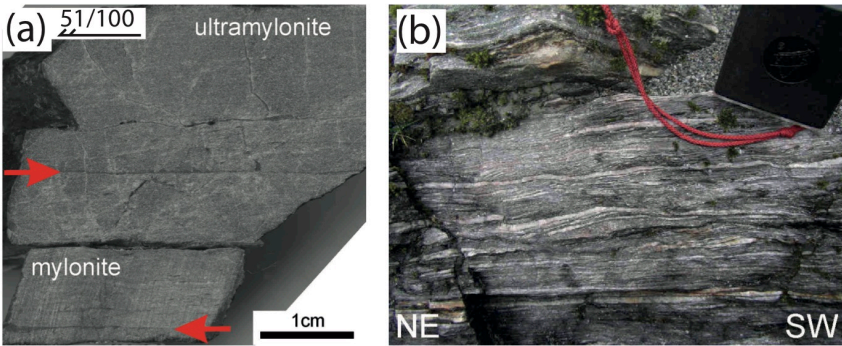
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2149 List of Figures

2150 Figure 1



2151 Figure 1: (a) Location map for Alpine Fault (red line) and Marlborough Faults (black line) in the
2152 South Island of New Zealand. Box shows extent of (b), a location map for the DFDP-1 and AHP
2153 boreholes, and field transects. The generalised underlying geology is derived from the GNS Science
2154 1:250000 QMAP project (Rattenbury and Isaac, 2012) and has been draped over a digital elevation
2155 model (Columbus et al., 2011).



2165
2166 Figure 2: (a) Quartzofeldspathic Alpine Fault ultramylonite that gradually grades to mylonite at the
2167 base of the image. A foliation defined by alternating white quartzofeldspathic bands and dark grey
2168 mica bands is hard to distinguish in the ~~ultramylonite~~ but is more apparent in the mylonite. (b) The
2169 well foliated Alpine Fault protomylonite-mylonite transition. Compass is 5 cm wide. Both images
2170 previously presented in Toy, (2008). ~~The sample in (a) was taken from Gaunt Creek, as was the photo~~
2171 ~~in (b).~~

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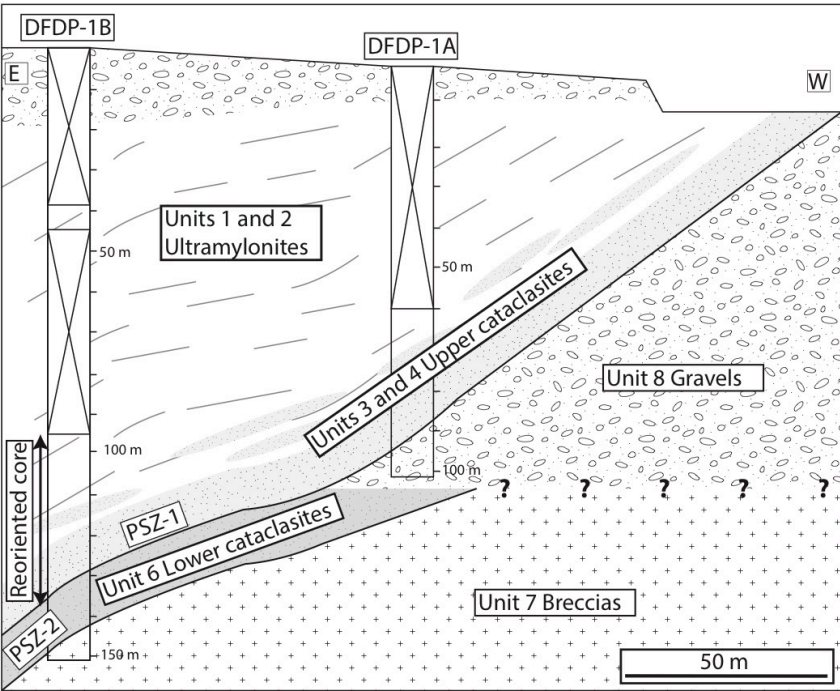
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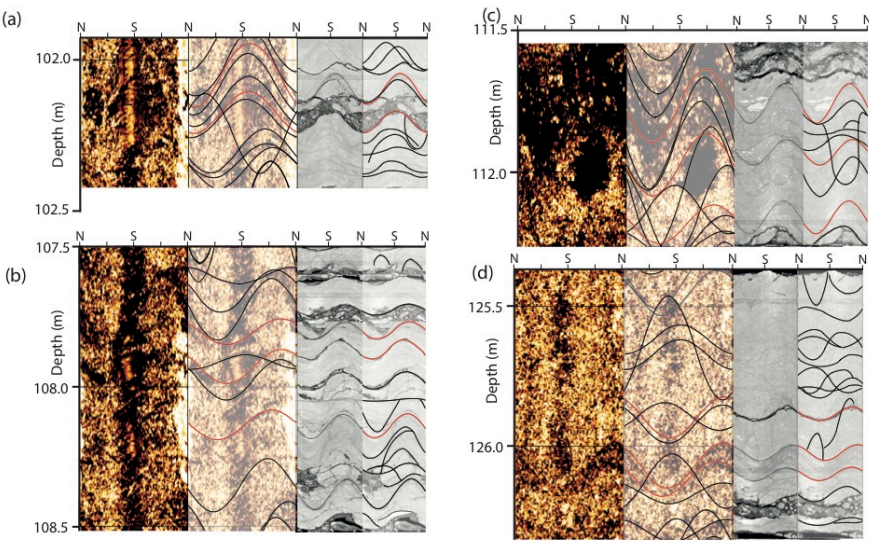
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2180
2181 Figure 3: Cross section through the DFDP-1 boreholes, showing interval where reoriented drill-core is
2182 located. Boxes with diagonal lines depict intervals in borehole with no core recovery, grey lines
2183 represent mylonitic foliation. Modified from Sutherland et al., (2012), with lithological units
2184 previously defined by Toy et al., (2015).

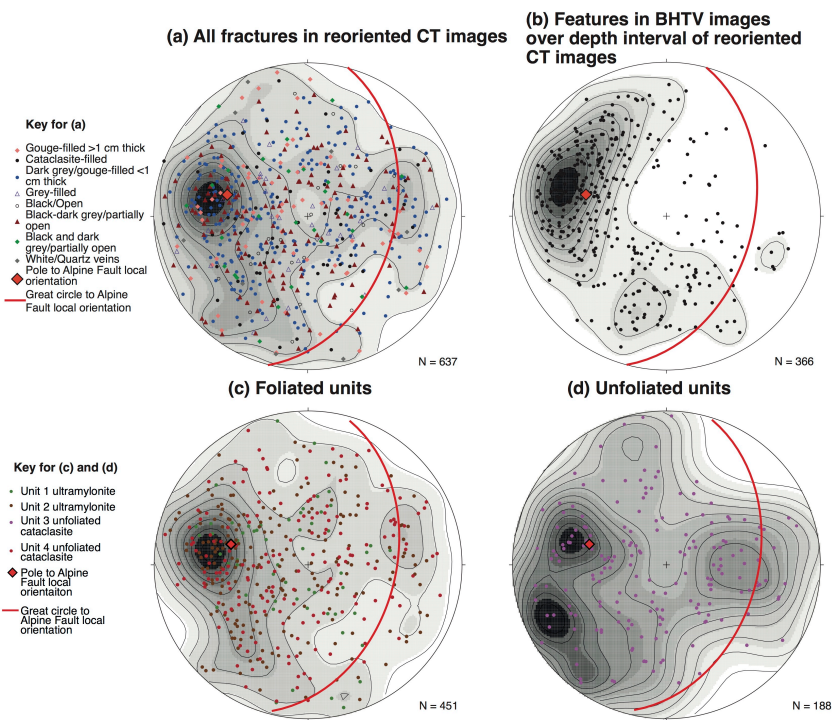
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2186 **Figure 4**



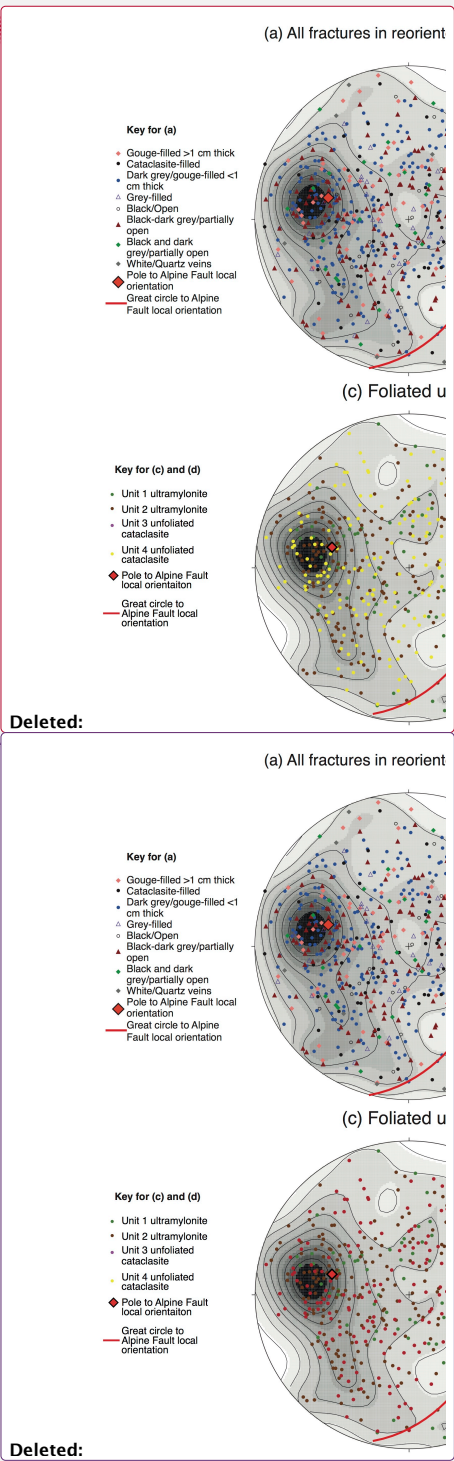
2187
2188 Figure 4: Examples of matching structures between BHTV images and unrolled CT images. In each
2189 image, the first two columns are the BHTV amplitude image, without and with interpretations
2190 respectively, whilst the third and fourth columns depict the unrolled CT image over the same interval,
2191 also without and with interpretations. Fractures that have been traced in red indicate those that were
2192 matched to reorientate core.

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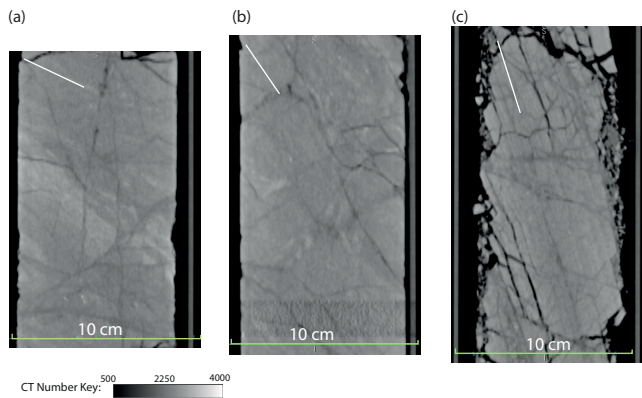


2195

2196 **Figure 5: Lower hemisphere equal area stereoplots depicting orientation of fractures in DFDP-1.**
2197 Contouring on stereoplots was applied to poles that are weighted depending on their orientation
2198 correction w (see Sect. 3.2), and that are rounded to the nearest whole number. Contours were then
2199 generated for the weighted poles using a probability distribution calculated by a Kernel function in the
2200 RFOC package for R (Lees, 2014). Great circle represents orientation of Alpine Fault plane and
2201 foliation at DFDP-1 site (Townend et al., 2013). (a) Orientation of all fractures that were reoriented by
2202 matching structures between unrolled CT images and BHTV images, sorted by fracture type (Williams
2203 et al., 2016). (b) Orientation of features recognised in the BHTV images over the interval of reoriented
2204 core (94-126 m in DFDP-1B). Fracture orientations extracted from reoriented DFDP-1 CT images in
2205 (c) foliated units and (d) unfoliated units, using the DFDP-1 lithological classification scheme (Toy et
2206 al., 2015).



2211 **Figure 6**



2212

2213 Figure 6: The relationship between foliation and fracture orientations in Alpine Fault ultramylonite, as
2214 observed in 2D CT image slices of DFDP-1 drill-core. Intervals are (borehole, core section and run,
2215 depth interval): (a) DFDP-1A 55-1 75.45-75.62 m, (b) DFDP-1B 35-1 102.49-102.64 m, and (c)
2216 DFDP-1B 25-2, 44.80-45.20 m. In (a) and (b) fractures tend to cross-cut the ultramylonitic foliation
2217 (orientation represented by white line in top left corner of each image). (c) Fractures show a greater
2218 preference to be aligned parallel to the foliation. Note that (c) was previously shown in Williams et al.,
2219 (2016), and is not included in the reorientation analysis in Figure 5, as there was no BHTV imagery
2220 for this interval.

Moved (insertion) [1]

Moved up [1]: Intervals are (borehole, core section and run, depth interval): (a) DFDP-1A 55-1 75.45-75.62 m, (b) DFDP-1B 35-1 102.49-102.64 m, and (c) DFDP-1B 25-2, 44.80-45.20 m.

Figure 7

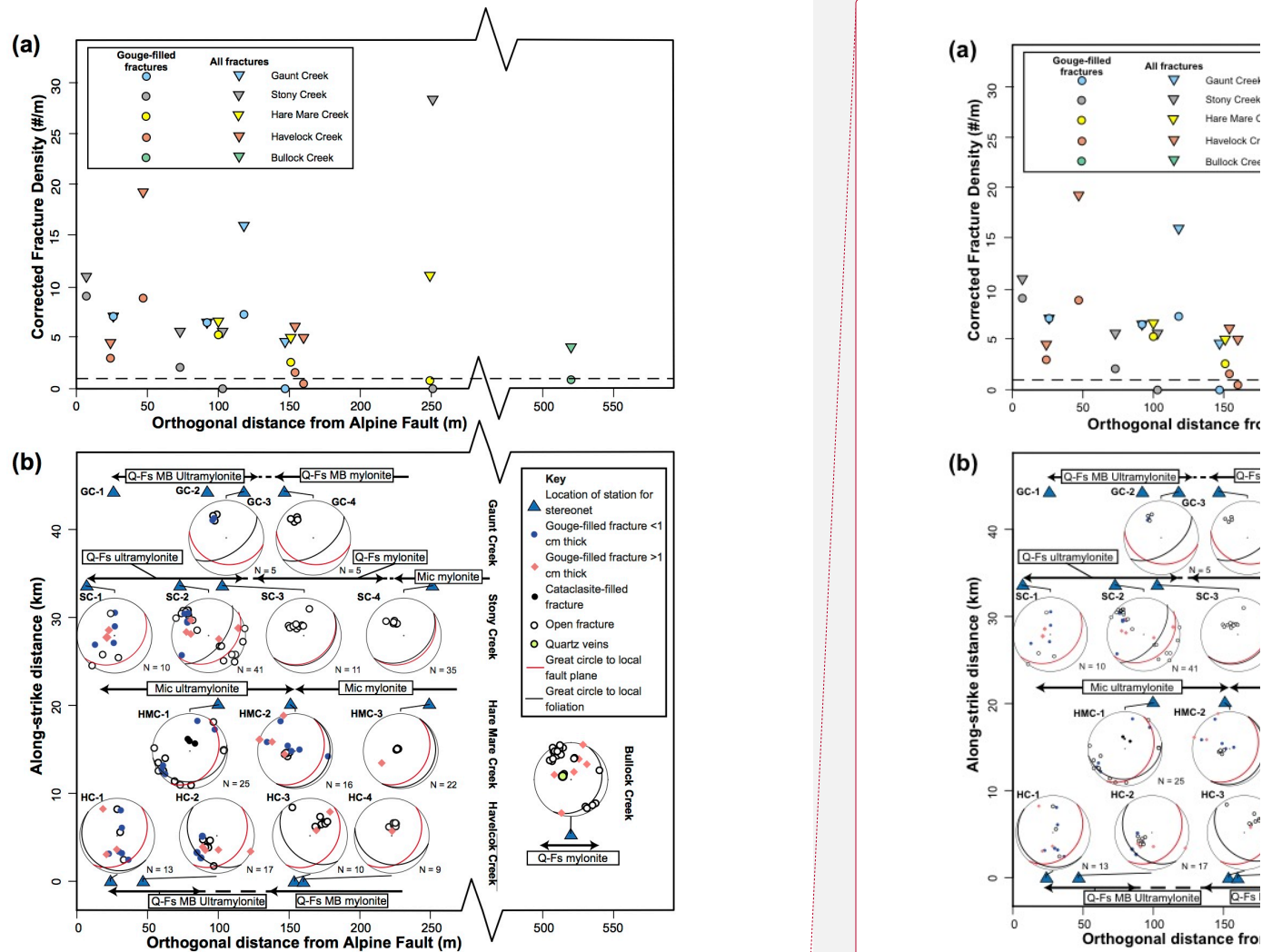
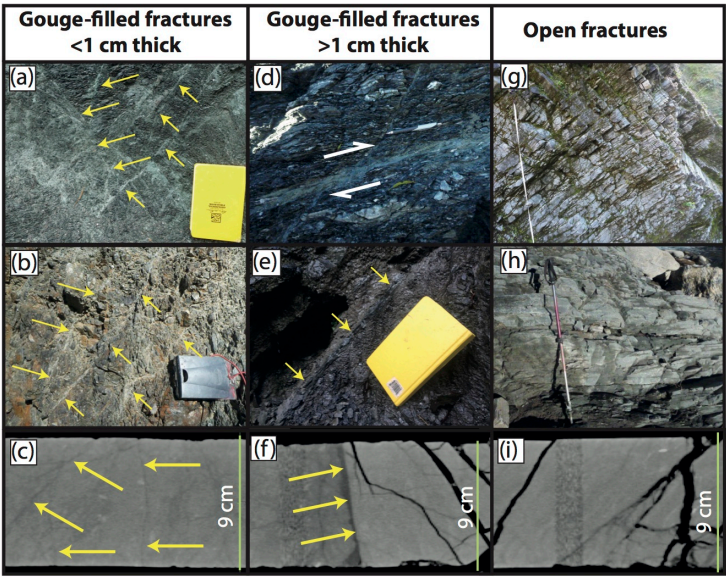


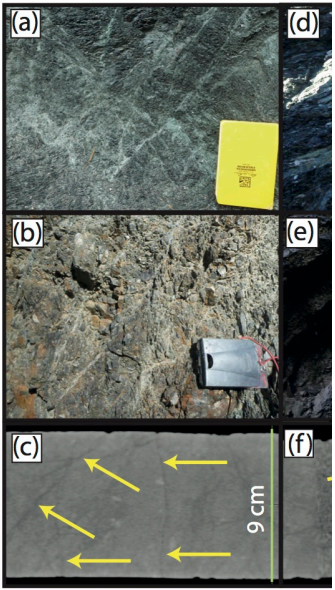
Figure 7: (a) Corrected fracture density at all stations for gouge-filled fractures and all fractures. Dashed line indicates a corrected fracture density of 1 fracture/metre. No orientation data was collected at Gaunt Creek stations 1 and 2, so fracture density is calculated from the two perpendicular transects. (b) Compilation of stereoplots for fracture orientations at each field station. Stations have

2232 been plotted as a function of distance from the fault and distance along-strike (with respect to
2233 Havelock Creek) along within fault rock lithologies. Dashed lines indicate gradational or obscured
2234 lithological boundaries. Qfs, Quartzofeldspath; MB, metabasic; Mic, Micaceous. For field cross
2235 sections and location of stations, see Figure S1. Results are also summarised in Table S2.



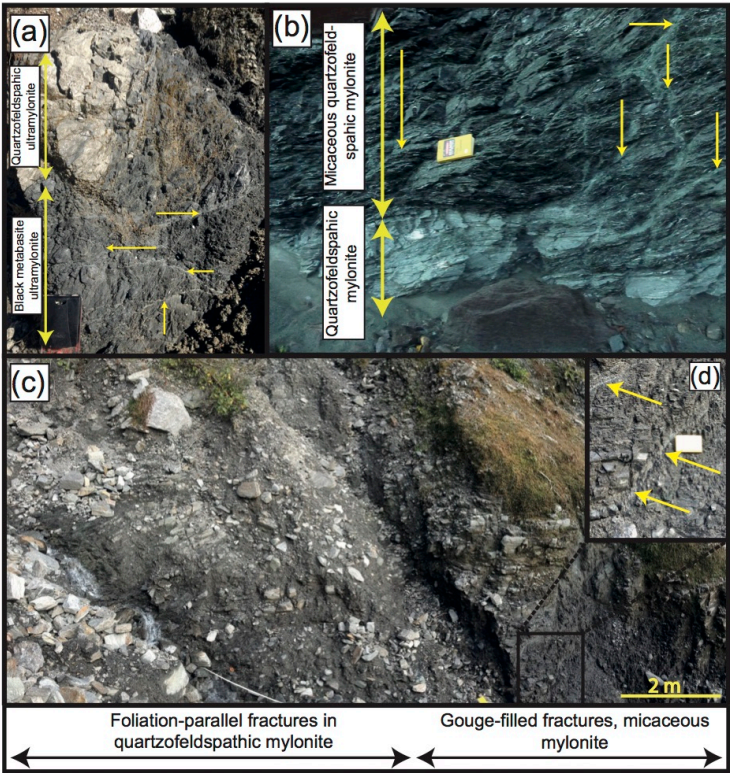
2237
2238 Figure 8: Examples of the three main types of fractures observed in the field around the Alpine Fault,
2239 and correlative fractures in DFDP-1 CT scans. (a-c) Thin gouge-filled fractures (yellow arrows) have a
2240 range of orientations and found exclusively within 160 m from the fault. They are equivalent to type
2241 iii of fractures from Williams et al., (2016). (d-f) Thicker gouge and cataclasite filled fractures are
2242 equivalent to type i and ii fractures of Williams et al. (2016) and may be observed at all distances from
2243 the Alpine Fault. Offset markers can be observed across these fractures (e.g. the vein indicated by the
2244 pen and white arrows in (d)). (g-i) Open fractures are mainly foliation-parallel. Equivalent to type v
2245 fractures of Williams et al., (2016). Location of field photos: (a) Waikukupa thrust, (b) and (g) Stony
2246 Creek, (d) and (h) Havelock Creek, (e) Bullock Creek. Compass clinometer 8 cm and yellow notebook
2247 20 cm in length. Measuring tape in (e) 1.1 m long, walking pole in (g) 1 m in length. DFDP-1 CT scan
2248 intervals: (c) DFDP-1B 56-2 125.35-125.49 m, (f) DFDP-1B 35-1 102.00-102.15 m, (i) DFDP-1B 33-
2249 2 99.45-99.60 m

Gouge-filled fractures <1 cm thick Go

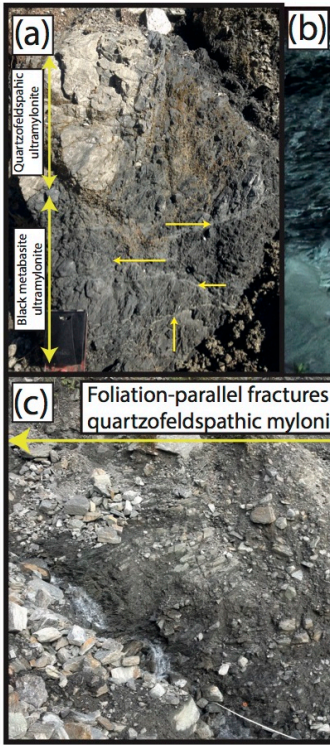


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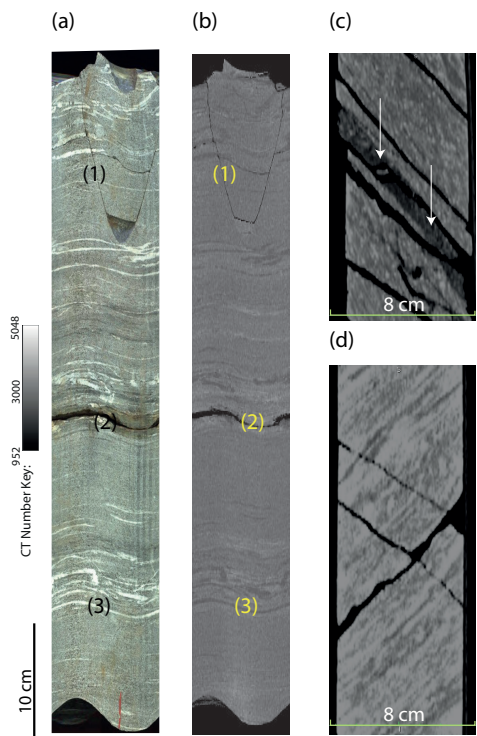


2253
2254 Figure 9: Field observations of changes in fracture density at lithological contacts. (a&b) Intervals of
2255 micaceous and metabasite mylonite containing a relatively high proportion of gouge filled fractures
2256 (denoted by yellow arrows) compared to interlayered quartzofeldspathic mylonite. (c) Transition from
2257 micaceous mylonite to quartzofeldspathic mylonite coincides with furthest extent of intensive gouge-
2258 filled fractures, as shown by yellow arrows in (d). Taken at (a) Gaunt Creek, (b) Havelock Creek,
2259 (c&d) Hare Mare Creek. Compass clinometer 8 cm and yellow notebook 20 cm in length.



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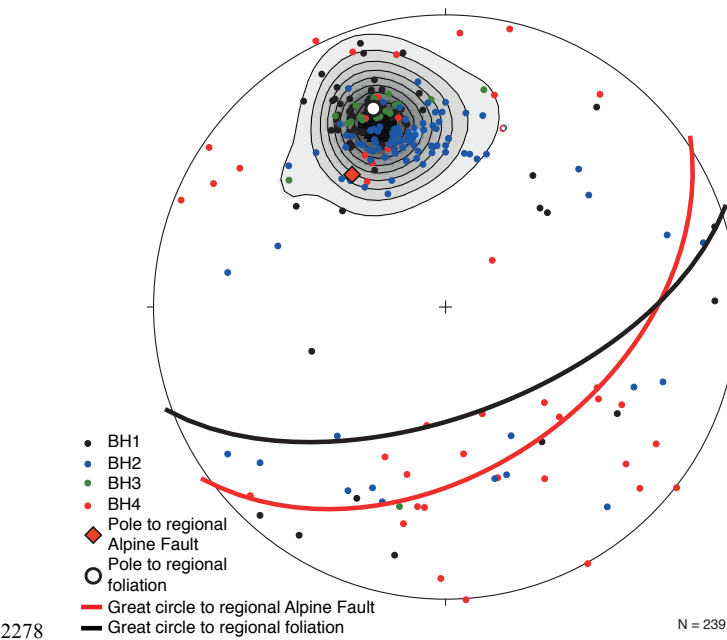
2267

2268 Figure 10: Fractures noted in the Amethyst Hydro Project (AHP) drill-core. Unrolled images of AHP
2269 drill-core (BH1 45-2 124.3-124.9 m) taken by (a) DMT core scanner and (b) generated from a CT
2270 image. (1) Identifies fracture cutting across foliation, (2) foliation-parallel fracture with alteration halo,
2271 (3) foliation defined by quartzofeldspathic bands that have low CT numbers. (c&d) Core-axial parallel
2272 CT image slices of AHP drill-core. In (c) white arrows point to a ‘crush zone’ sub parallel to foliation
2273 (BH2 75-2 155.92-156.04 m). (d) more variable fracture orientations identified in BH4 (Section 70-4
2274 196.62-196.80 m).

2275

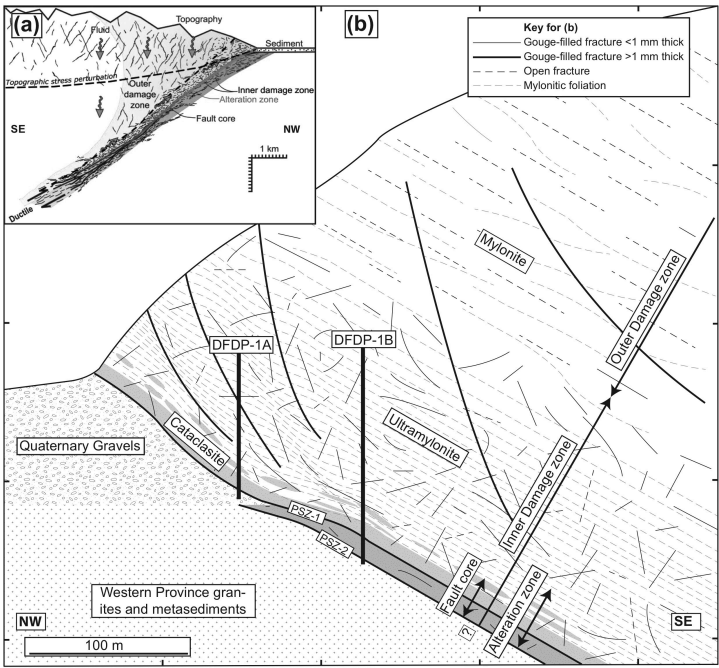
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2277 **Figure 11**



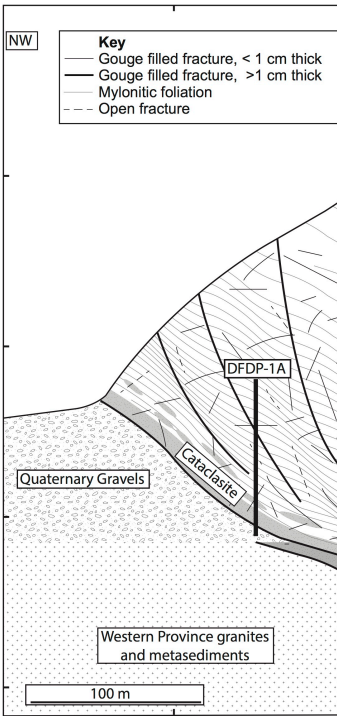
2278
2279 Figure 11: Equal area, lower hemisphere projection of fracture orientations recognised in CT scans of
2280 AHP drill-core separated by borehole. Contours plotted with weighted poles (see Figure 5).

2281

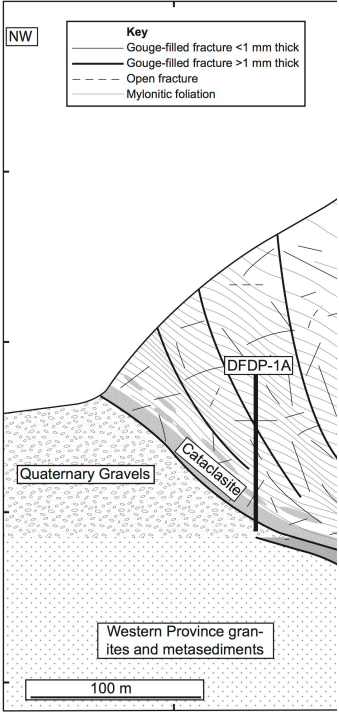


2283

2284 Figure 12: Schematic cross sections through the Alpine Fault illustrating its hanging-wall
2285 structure. (a) Crustal-scale cross section illustrating the flower shaped geometry of the outer
2286 damage zone (after Townend et al., (2017)). (b) A thrust section within the central section of
2287 the Alpine Fault, depicting fracture network, its relationship to foliation, and the distribution
2288 of subsidiary faults. Respective position of DFDP-1 boreholes also shown. Constructed from
2289 cross sections previously presented in Norris and Cooper, (2007) and Sutherland et al.,
2290 (2012).



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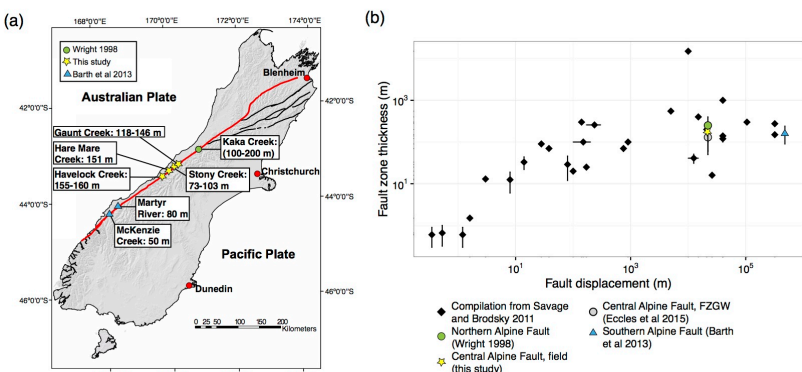
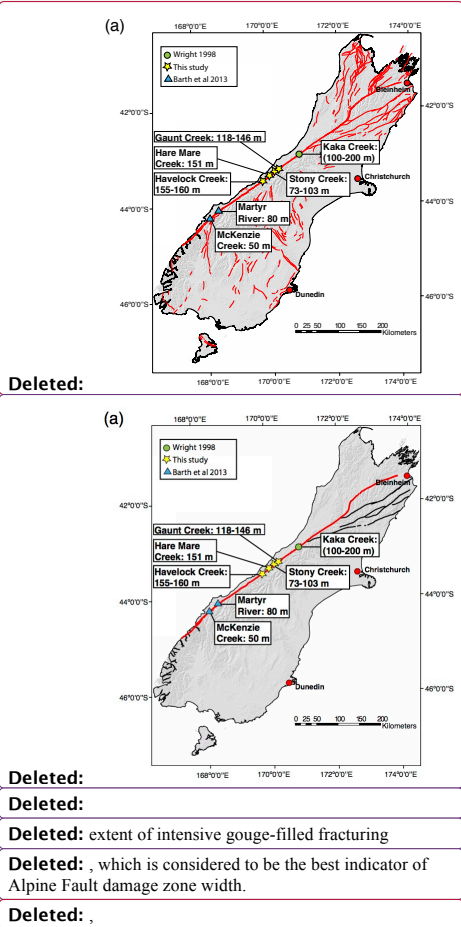
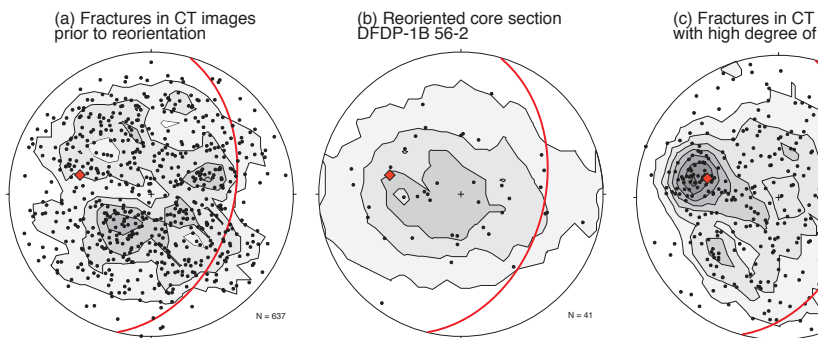


Figure 13: (a) Compilation of estimates of the ‘inner damage zone’ width on the Pacific Plate side of the Alpine Fault (red line) from four creek sections in this study (Gaunt Creek, Stony Creek, Hare Mare Creek and Havelock Creek). This is combined with other along-strike estimates of damage zone thickness for the Pacific Plate side of the Alpine Fault: McKenzie Creek and Martyr River (Barth et al., 2013) and Kaka Creek (Wright, 1998). (b) Log-log plot of fault zone thickness as a function of fault displacement previously presented in Savage and Brodsky, (2011), combined with estimates made for the Alpine Fault assuming footwall damage is no more extensive than in the hanging-wall. Displacement for the Alpine Fault is 480 km (Norris and Cooper, 2007; Wellman, 1953). However, convergence along the Alpine Fault’s central section requires that it erodes its own fault rocks so these points are plotted to reflect only the brittle displacement the rocks themselves have accommodated as they are exhumed through the seismogenic zone (22 km, Barth et al., (2012)). Error bars reflect uncertainty in constraining fault zone width (as for example, footwall damage is largely unknown), not necessarily variability in fault zone thickness.



2325 **Figure A1**



2326

2327 Figure A1: Stereoplots to tests the confidence in reorientations applied to rotate DFDP-1 CT
 2328 scan fracture orientations into geographic coordinates. Red great circle and diamond in each
 2329 plot represents plane and pole to the Alpine Fault orientation measured in DFDP-1B. Plotted
 2330 with Kamb contours with intervals of two standard deviations. (a) Orientation of fractures
 2331 shown in Figure 5a before rotation, (b) orientation of reoriented fractures within a single core
 2332 section (DFDP-1B 56-2), and (c) orientation of fractures in CT images from core sections that
 2333 were oriented with a high degree of confidence with BHTV images.

2334

List of Tables

Table 1

	<u>Number of fractures</u>	<u>Resultant Plane dip direction</u>	<u>Resultant Plane dip</u>	<u>Resultant vector length (Cluster intensity, 2 s.f.)</u>
<u>All reoriented DFDP-1 CT fractures</u>	<u>637</u>	<u>80</u>	<u>58</u>	<u>0.58</u>
<u>Reoriented DFDP-1 CT fractures, foliated units</u>	<u>451</u>	<u>87</u>	<u>58</u>	<u>0.58</u>
<u>Reoriented DFDP-1 CT fractures, unfoliated units</u>	<u>188</u>	<u>71</u>	<u>61</u>	<u>0.58</u>
<u>DFDP-1B BHTV features (depth interval 94-126 m)</u>	<u>365</u>	<u>103</u>	<u>47</u>	<u>0.72</u>
<u>AHP Fractures</u>	<u>239</u>	<u>164</u>	<u>58</u>	<u>0.76</u>

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Table 1: Clustering analysis of the different fracture datasets documented in this study, using the resultant vector methodology outlined by Priest, (1993). DFDP-1 foliated units comprise ultramylonites and foliated cataclasites (Units 1, 2 and 4 of Toy et al., (2015)). DFDP-1

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2341 unfoliated units comprise unfoliated cataclasites (Unit 3 of Toy et al., (2015)). The resultant
2342 vector orientation for each dataset, which has been converted to spherical coordinates, is also
2343 reported. See text for full details.▲

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Projections of outcrop-derived measurements (Norris and Cooper, 1995, 2007)

Gouge-filled fractures are present at all distances in our field transects (Figure 7-9) and within the AHP drill-core (Figure 10c). However, their interval of high density (>1 fracture/metre) is restricted to less than 118-147 m from the PSZs at Gaunt Creek (i.e. between stations 3-4), <73 -103 m at Stony Creek (i.e. between stations 2-3), <151 m at Hare Mare Creek (at station 2, Figure 8c) and <154 -160 m at Havelock Creek (i.e. between stations 3-4). This is consistent with the observations of Norris and Cooper, (2007) who suggested that the Alpine Fault's central section damage zone can be defined by a ~ 100 m wide zone of intensive gouge-filled fractures. These estimates of damage zone width are also similar to those made elsewhere on the Alpine Fault (e.g. Barth et al., 2013 in the southern section in South Westland; Wright, 1998 at the northern end of the the central section, Figure 13a) and to other predictions for crustal-scale (Figure 13b), rapidly-moving (\sim few cm/yr) fault zones that have

accommodated hundreds of kilometres of displacement (Biegel and Sammis, 2004; Childs et al., 2009; Finzi et al., 2009; Manighetti et al., 2007; Perrin et al., 2016; Savage and Brodsky, 2011; Savage and Cooke, 2010).

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FZGWs provide a measure of the total width of the damage zone. The width of the inner damage zone documented here from the hanging-wall of the Alpine Fault accounts for most of the LVZ detected by FZGWs. This implies that fracture damage around the Alpine Fault is dominantly hosted in the hanging wall, consistent with other dipping thrust faults (Li et al., 2013; Ma, 2009; Yeh et al., 2007). However, Western Province basement rocks to the west of the Alpine Fault are rarely exposed (Lund Snee et al., 2014; Norris and Cooper, 2007) and it remains unknown whether the Alpine Fault footwall is extensively fractured

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