

# Review Answers

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Dear Editors, dear Reviewers,

we are very grateful for your detailed corrections and annotations. We are confident that your suggestions helped to considerably improve our manuscript. We tried to respond to all comments in the best possible way. The comments are sorted by page-line, which refer to the original, uncorrected document.

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## Reviewer 2

### General Comments:

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**R2C1:** often the results are described without any physical interpretation. This has the unfortunate consequence of making the article somewhat weaker than its potential, and leaving most of the important interpretations to the reader.

**Answer:** Indeed, the focus of this paper is on the various analysis options for Swarm data and on the variation of Swarm ocean mass with respect to GRACE. It is a technical paper, therefore we will write a paper on Swarm ocean mass and its physical interpretation once we have analyzed the current period of data where no GRACE truth is present.

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**R2C2:** the article reports a large amount of results, which is very welcome and clearly shows the exhaustive nature of the study. Unfortunately, this also means the discussion needs to be proportionally detailed. I have noted a few aspects of the study that have been left out in the discussion in the annotated PDF document

20 **Answer:** Thank you. We will try to accommodate missing details in our revision.

**R2C3:** section 4.9 has been disconnected from the remaining article, it is unnecessary for the interpretation of the main results and feels as if it was a last-minute addition (with a motivation that is unclear to me); i suggest the authors consider removing it.

25 **Answer:** The reviewer is right that Sect. 4.9 is disconnected from the remaining article. However, we feel that these are important results and we now tried to motivate the section in the introduction as well as in the beginning of Sect. 4.9. See 2-2: “As we will see in the course of this paper, the European Space Agency (ESA) Swarm Earth Explorer mission (Friis-Christensen et al.,

2008) is able to detect regular as well as non-regular ocean mass changes such as La Niña events.” and 19-5: “With the Swarm accuracy as discussed in Table 6, the next logical question would be to ask what kind of sea level signal could be detected with Swarm. During the timespan investigated here (Dec. 2013 to Dec. 2016), ocean mass evolves rather regularly, i.e. without apparent interannual variation. Therefore, we decided to look in the past”.

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**R2C4:** Additional stylistic remarks, comments, questions and suggestions are provided in the annotated PDF, attached to this review.

**Answer:** Thank you very much for your detailed annotations. The answers to your comments can be found below. We hope the flow of the story is better now.

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### **Specific Comments:**

**R2C5:** 1-1: replace “one to partition” with “for partitioning”

**Answer:** Done.

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**R2C6:** 1-2, 1-3: replace “..., into a mass-driven and a steric part, the latter being related to ocean heat change and the current Earth’s energy imbalance” with “..., into mass-driven and steric parts. The latter is related to ocean heat change and the Earth’s current energy imbalance”

**Answer:** We included the changes, except that we kept “Earth’s energy imbalance”, since this is a fixed term (EEI).

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**R2C7:** 1-5: “there will be a prolonged gap”: “appreciable” The first models from GRACE-FO are expected to be produced around July 2018, so it is arguable if 6 months is a “prolonged” gap. It is certainly something that cannot be ignored.

**Answer:** The reviewer is absolutely right. The gap will be six months or longer. For clarity we stick to “prolonged gap”. But maybe we misunderstood the suggestion?

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**R2C8:** 1-12: replace “our Swarm solution” with “our trend, annual and semiannual Swarm solution”

**Answer:** Done. We decided to write “our CTAS Swarm solution” to be consistent with the remaining article. CTAS is explained as “constant, trend, annual and semiannual” at its first occurrence.

30 **R2C9:** 1-13: add “, when artificially removing one solution.” behind “appears better than interpolating existing GRACE data in 13.5 % of all cases”

**Answer:** Done.

35 **R2C10:** 1-13, 1-14: replace “..., for 80.0 % of all investigated cases of an 18-months-gap, Swarm ocean mass was found...” with “In case of an 18-months artificial gap, 80.0 % of all trend, annual and semiannual Swarm solutions were found...”

**Answer:** Done.

**R2C11:** 2-5 to 2-7: “For this aim, the satellites are equipped with absolute scalar and vector field magnetometers. Furthermore, a suprathermal ion imager and a Langmuir Probe provide information about the Earth’s electric field.” Although these statements are correct, they are not relevant to this article. Consider removing them.

**Answer:** Done.

**R2C12:** 2-12, 2-13: The authors seem to argue that the calibrated and corrected accelerometer measurements in the along-track direction derived by Siemes et al. 2016 are not useful to gravity field determination. Please add a few statements supporting this argument.

**Answer:** We do not argue that the calibrated and corrected accelerometer measurements in the along-track direction derived by Siemes et al. 2016 are not useful to gravity field determination. In fact, it is very useful to have knowledge about the non-gravitational accelerations. We just stated that we do not have calibrated and corrected accelerometer measurements for Swarm A and B as well as for across-track and radial directions (and, as far as we know, we will probably not get them in the future).

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**R2C13:** 2-15, 2-16: replace “Swarm C” with “Swarm B”

**Answer:** Done.

**R2C14:** 2-16: replace “with respect to the other two planes” with “with respect to the orbital planes of the other two satellites”

20 **Answer:** Done.

**R2C15:** 2-17: replace “basis” with “opportunity”

**Answer:** Done.

25 **R2C16:** 2-20, 2-21: “At the time of writing, kinematic LEO orbits are considered as a promising option for deriving global gravity fields during a GRACE mission gap (Rietbroek et al., 2014)”: Many authors have argued about this possibility before Rietbroek et al. 2014, e.g.: Gunter, B. C., Encarnaç o, J., Ditmar, P., & Klees, R. (2009). The use of satellite constellations and formations for future gravity field missions. In *Advances in the Astronautical Sciences* (pp. 1357-1368). Savannah. Retrieved from <http://www.univelt.com/book=1451> Weigelt, M., Van Dam, T., J aggi, A., Prange, L., Tourian, M. J., Keller, W., Sneeuw, N. (2013). Time-variable gravity signal in Greenland revealed by high-low satellite-to-satellite tracking. *Journal of Geophysical Research: Solid Earth*, 118(7), 3848-3859. <http://doi.org/10.1002/jgrb.50283>

30 **Answer:** Thank you for these suggestions, we included the two references.

**R2C17:** 2-28: The correct reference to these solutions is (so far): Zehentner, N. (2016). Kinematic orbit positioning applying the raw observation approach to observe time variable gravity. Graz University of Technology. Retrieved from <https://www>.

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researchgate.net/publication/316668578\_Kinematic\_orbit\_positioning\_applying\_the\_raw\_observation\_approach\_to\_observe\_time\_variable\_gravity

**Answer:** Thank you, we added the reference.

5 **R2C18:** 2-28: insert “monthly” in “...suggesting that a meaningful *monthly* time-varying gravity signal...”

**Answer:** Done.

**R2C19:** 2-29: add “..., considering the average of the three models.”

**Answer:** Done.

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**R2C20:** 3-15: Please discriminate between earth albedo radiation (the solar radiation reflected by the earth’s surface) and the thermal radiation energy (the component resulting from Earth’s internal heat). Does this model distinguish land and ocean surface?

**Answer:** We added: “and Earth radiation pressure consisting of measured albedo and emission”. CERES data are available as gridded data (over land and ocean).

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**R2C21:** 3-20: “ITSG Graz solutions”: I believe the Geodesy group in Graz changed its name to IfG (Institut für Geodäsie), please confirm.

**Answer:** The reviewer is right. The GRACE solutions that we use to compare are however still called “Graz ITSG-Grace2016”, so we reformulated the sentence to “...were chosen such as to be aligned with the Graz ITSG-Grace2016 solutions”. When we talk about the IfG Swarm solutions, we changed from “ITSG” to “IfG”.

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**R2C22:** 4-Table 2: Does this mean that no relativistic effects have been considered?

**Answer:** The reviewer is right. Relativistic effects have not been considered here. Their impact on the gravity fields would be negligible. However, we still plan to implement this in our further studies.

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Eshagh and Najafi (2007): Perturbations in orbital elements of a low earth orbiting satellite. Journal of the Earth & Space Physics. Vol. 33, No. 1

give an overview on how orbits of LEO satellites are affected by tidal forces, non-gravitational forces and relativistic effects. The relativistic part is always considerably lower than the others.

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**R2C23:** 4-6, 4-7: “In Vielberg et al. (subm.) we compare NRLMSISE-00 to GRACE-derived thermospheric density and derive an empirical correction for this model; this has not yet been applied here.”: I fail to see the motivation to make this statement, please clarify.

**Answer:** Since Vielberg et al. is submitted to the same special issue and some computations are similar to the ones in this paper

(concerning non-gravitational accelerations) we thought it would be good to mention in order to avoid misunderstandings.

**R2C24:** 5-1: “and failures of automatically detecting and correcting errors”: It is not clear to me what the authors mean with this statement. Possibly it is connected with the so-called Error Detection And Correction (EDAC) failure events which is an exceptional but expected behavior of the on-board computer, when internal operational checks determine that a memory failure can only be recovered after a reboot.

**Answer:** The reviewer is right. This part was confusing and we deleted it.

**R2C25:** 5-2 to 5-4: “However, Swarm A and B, as well as the other C directions have stronger problems and it is not clear whether these data can be used in the future.”: (1) add “(the former to a less extent than the latter)” after “However, Swarm A and B”, (2) I would argue that a better reason not to use Swarm-C accelerometer data for this type of gravity field studies is the fact that the data is has large gaps, of varying quality, and published with a long and unspecified latency. The authors are evidently free to decide not to tackle the issue of determining the usefulness of these data to gravity field studies; but is the author’s choice, not the result of the how clear the issue is. (3) replace “in the future” with “gravity field applications” (the Swarm-C data has been used to study the neutral atmospheric response to solar storms, so, formally, the current statement is not correct)

**Answer:** (1) Done. (2) We think this is a misunderstanding. We do not talk about the Swarm C along-track data, but about all other accelerometer data that will probably not be used in the future. (3) Done.

**R2C26:** 5-14: “ $A_{ref}$  is the surface area of the spacecraft”: Does this mean that the 15 macro model mentioned in p. 3 l. 14 is not used for atmospheric drag? If so, please explicitly state so and motivate this inconsistency.

**Answer:** We use the macro model with its 15 panels for the computation of the acceleration due to drag. The drag coefficient  $C_d$  needs to be computed for all 15 panels. We explained this in more detail and refer to Doornbos 2011, as this is a rather long computation.

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**R2C27:** 5-16: add a comma: “The drag coefficient  $C_d$  depends on density, temperature and the macro model properties“,” and we follow Doornbos (2011) in its computation.”

**Answer:** We reformulated this paragraph, so that this correction was not necessary anymore.

**R2C28:** 5-22: replace “accumulates SRP for” with “accounts for the SRP over”

**Answer:** Done.

**R2C29:** 6-20: “varying low degrees”: Does this mean the maximum degree changes from solution to solution? Please clarify.

**Answer:** We tested different maximum  $d/o$ , but it does not change from month to month. This should hopefully be clear from Table 4. This is now also clarified later in the text: “As Fig. 3 only shows the degree variances for one particular month, we

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investigate different maximum degrees in the following (see Table 4). We evaluate our monthly fields until d/o 10, 12 or 14. Even though higher degrees do not contribute a reasonable time-variable signal, we estimate the monthly fields until d/o 20 or 40, because high degrees can absorb errors that would otherwise propagate in the lower degrees. For our CTAS solution, we estimate a static part ( $\bar{c}_{nm}$  and  $\bar{s}_{nm}$  in Eq. 9) until d/o 20, 40 or 60, while the time-variable part is estimated until d/o 10, 12 or 14.”

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**R2C30:** 6-21: “In a single adjustment, a set of trends and (semi)annual harmonic amplitudes are additionally estimated for each Stokes coefficient, which is likely more reasonable when aiming at a long and stable time series”: This word is confusing. Do the authors suggest that monthly gravity fields are not reasonable for Swarm?

**Answer:** We do not suggest that monthly gravity fields are not reasonable for Swarm. We just suggest that fitting a harmonic function to each spherical harmonic coefficient might be more reasonable for our purpose of creating a long and stable time series. We reformulated the sentence: “As we aim at a long and stable time series, we additionally parameterize a set of trends and (semi)annual harmonic amplitudes to the constant part for each Stokes coefficient in a single adjustment”.

**R2C31:** 7-9: “accelerometer bias”: Is there a reason for the quotation marks?

15 **Answer:** We put the term in quotation marks, because we do not use accelerometer data, but our modelled non-gravitational accelerations, which are treated as accelerometer measurements. We still wanted to use the fixed term, so we put it in quotation marks. As this might have been confusing, we rewrote the paragraph:

20 “As described in Sect. 3.1 we derive non-gravitational accelerations from models, which we then use in the gravity field estimation as a proxy for accelerometer measurements. Due to the presence of errors, e.g. caused by uncertainties in the density model or errors in the macro model, the resulting non-gravitational accelerations might not always reflect the truth. To prevent these errors from propagating into the gravity field estimates, it is common to introduce additional parameters. Here we co-estimate an “accelerometer bias” per arc and per axis, ...”

**R2C32:** 7-9: “low-degree polynomial”: Is there a reason not to state the degree explicitly?

25 **Answer:** We reformulated: “... either as a constant value or with an additional trend parameter”

**R2C33:** 7-11: “Another possibility would be...”: This phrase does not make it clear if this possibility is going to be evaluated in this article or not.

**Answer:** We reformulated the sentence to: “Another possibility that is also evaluated in this paper is ...”

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**R2C34:** 7-11: “higher degree”: Is there a reason not to state the degree explicitly?

**Answer:** This part has now been deleted, as suggested in R3C43.

35 **R2C35:** 7-13: “The influence of this “accelerometer parameterization” will be evaluated in the course of this paper, yet one needs to bear in mind that these parameters rather measure force model inconsistencies and should not be mixed up with in-

strument errors”: This statement is certainly true but it seems to come out of nowhere. It is not clear to me the reason a reader would at this point make this confusion.

**Answer:** We decided to leave the sentence like this. It should be clear to the reader that we account for errors in our modelling of non-gravitational accelerations and that we do not use accelerometer data (see R2C31).

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**R2C36:** 7-23: “with the smoothing Kernel  $W$ , here a 500 km Gaussian filter”: I suggest placing this statement before Eq. 9 and adapting that sentence, e.g. “The smoothed region average  $F$ , considering the smoothing kernel  $W$  (here 500km Gaussian), over the region  $O$  can be expressed as”

**Answer:** Done.

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**R2C37:** 7-1: replace “may or may not replace” with “test replacing”

**Answer:** Done.

**R2C38:** 8-Table 3: (1) Is there a reason for the different default arc lengths for the monthly and trend+annual+semiannual cases? (2) Is there a reason for the different default bias parametrization for the monthly and trend+annual+semiannual cases? (3) “static until 40”: To be clear, is this related to the constant terms in Eq. 8? So in that equation, the subscripts  $nm$  have different maximum values for the constant terms? (4) Also, formally, the name trend+annual+semiannual should be constant+trend+annual+semiannual. Probably an acronym would be more readable, e.g. CTAS (or TAS, should the authors prefer the current term).

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20 **Answer:** (1) and (2): We chose these parameterizations because they represent our best monthly solution (as will be seen in Fig. 11) and the best CTAS solution up to degree and order (d/o) 12 (see Fig. 10). The choice of the same degree allows a comparison of the results. (3) Yes, the explanation is correct. This is now clarified as explained in R2C29. (4) Thank you for the suggestion to use CTAS, we changed the name in the whole document.

25 **R2C39:** 8-1: “While replacing  $c_{20}$  leads to a workflow more in line with GRACE...”: Please motivate this advantage, it is not clear to me why setting some processing details to match those of GRACE is an advantage (I would say it is not, it is merely a choice that seems to produce more realistic results). Possibly the authors wish to compare their results with GRACE and this choice makes that comparison more straightforward. Still, that comparison should be motivated.

**Answer:** We made this statement because it is the usual procedure to replace the  $c_{20}$  coefficient, as it is done in GRACE. We however investigate the question whether Swarm alone is able to measure mass changes. But we would like to avoid, here, a discussion on which  $c_{20}$  estimate is more realistic.

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35 **R2C40:** 8-3: “we substitute all degree 1 coefficients”: I deduce from this statement that the degree 1 coefficients are co-estimated along with the remaining higher-degree coefficients. In my experience (considering a different approach), this leads to higher errors in degrees above 1, as the power contained in those degrees aliases to the degree 1 coefficients (which are not

well observed). It would be reassuring if the authors tested estimating the gravity field without co-estimating degree 1 coefficients and check if this modifications produces significantly different results (in case the authors have not yet done this).

**Answer:** The reviewer is right. Co-estimating degree 1 coefficients would probably lead to problems in degrees above 1. We did *not* estimate degree 1 coefficients, however, this was not clear from the discussion paper. To clarify, we replaced “substitute” with “add” and we added the sentence “We estimate the spherical harmonic coefficients from degree 2 onward.” in 7-7.

**R2C41:** 8-3: “In a next step, we substitute all degree 1 coefficients to correct for geocenter motion (Swenson et al., 2008), which cannot be detected with the current GRACE and Swarm processing.”: The authors should be aware that this statement (“current”) raises some tricky questions. Inferred from it is the issue of whether *\*any\** satellite gravimetric mission can measure the degree 1 coefficients, which, to my understanding, is not yet certain.

**Answer:** The reviewer is right, this is a tricky question. Recent research suggests that simultaneously analyzing LEO orbits in GNSS processing may help to improve degree 1 estimates. Since this is not the topic here, this is not further discussed.

**R2C42:** 8-5: “but as long as we apply the same correction to GRACE our results are independent of this choice”: I disagree, the authors’ results will be different independently of what is done in the case of GRACE. Possibly the authors mean that it would not be possible to see this additional processing step when comparing their results with GRACE (after doing the same in this case), but this is a rather trivial statement: the same would happen if the authors subtracted a random but constant quantity from the both theirs and GRACE coefficients.

**Answer:** The reviewer is right. We mean that the *comparison* will be independent of the correction for glacial isostatic adjustment. We changed the sentence to “... as long as we apply the same correction to GRACE, the comparison between Swarm and GRACE will be independent of this choice”. We still do the correction because it is necessary for the computation of ocean mass.

**R2C43:** 8-6: “coastal buffer zone”: Please specify the width of this buffer zone.

**Answer:** This sentence was confusing. It was meant in a way that we chose an ocean mask excluding (i.e. without) a coastal buffer zone. We restructured the sentence to: “We employed an ocean mask that includes the Arctic ocean and does not have a coastal buffer zone.”

**R2C44:** 8-7: “Our test studies include all possible combinations of the parameterizations shown in Table 4, which leads to more than 500 configurations”: This is a interesting and welcome study but it now seems somewhat poorly motivated earlier in the article. I also suggest that such large amount of work should be given a more noticeable place in the article (which may naturally arise from some words on its motivation).

**Answer:** This information is now also included in the abstract: “For this aim, we use the integral equation approach with short arcs (Mayer-Gürr, 2006) to compute more than 500 time-variable gravity fields with different parameterizations from kinematic



orbits”.

**R2C45:** 9-Table 4: “maximum d/o”: There is no discussion regarding this parameter in the text. If it is something the authors do not wish to discuss, I suggest they pick the best scenario and simply state that it was determined to be the best one in an  
5 "offline" analysis.

**Answer:** We now explained our choice of maximum d/o as explained in R2C29. In the discussion of Figure 10 and 11 we wrote: “The best monthly solution was computed until d/o 40 and both, GRACE and Swarm were evaluated until d/o 12. This is followed by solutions that were evaluated until d/o 10. The time-variable part of the best CTAS solution is even estimated and evaluated until d/o 14.”.

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**R2C46:** 9-1: “4.1 Ocean mass from GRACE and Swarm”: When comparing monthly Swarm solutions with GRACE, how did the authors handle the fact that the GRACE monthly solutions are not strictly limited to calendar months? Are the Swarm solutions computed with the same days as the GRACE solutions? Are the Swarm solutions interpolated?

**Answer:** Our Swarm solutions are indeed strictly limited to calendar months. The reviewer is right, when comparing to  
15 GRACE, this is not optimal. We still chose to compare the solutions directly as is done in other studies.

**R2C47:** 9-2: “TVG”: Please define the acronyms the first time they are used, irrespective of how obvious they are (possibly also for other acronyms).

**Answer:** Done.

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**R2C48:** 9-9, 9-10: “and is still very close to GRACE”: I suggest not using weak statements such as “very close”, it does not bring any new information.

**Answer:** We removed this statement.

25 **R2C49:** 9-20: “The difference (dotted gray line) indicates that Swarm is only reliable for degrees up to about 12.”: I disagree, the figure clearly shows that the degree variance increases (visually) from degree 10, which is indicative of noise and not signal. Recall that the results of Teixeira da Encarnação (2016) considered the average of 3 models, which may be the cause for this discrepancy.

**Answer:** The reviewer is right. The d/o also depends on the month, so we now wrote: “The difference (dotted gray line) indi-  
30 cates that for this particular month Swarm is only reliable for degrees up to about 10”.

**R2C50:** 9-21: “The formal errors (dotted black line) appear to be too optimistic...”: Unless calibrated, formal errors are always too optimistic. Possibly a better statement reflects this, e.g.: “Since the formal errors (dotted black line) are not calibrated, they are too optimistic...”

**Answer:** Done.

**R2C51:** 12-Figure 4: From the text and from the high-frequency content, “POD” is not the best legend for the black line. I suggest “Siemes et al. (2016)” or the “ACC3CAL\_2\_”

5 **Answer:** We replaced “POD” with “ACC3CAL\_2\_” and modified 12-2 and 12-3 to “Figure 4 compares modelled non-gravitational accelerations (see Sect. 3.1) to the ACC3CAL\_2\_ product from Siemes et al. (2016) who ...”

**R2C52:** 12-3: “... Siemes et al. (2016) who corrected the accelerometer measurements and improved them with POD”: “Improved” might not be the best word. I suggest “corrected the low-frequencies”

10 **Answer:** We reformulated the sentence to “... who removed sudden bias changes from the accelerometer measurements and corrected the low-frequencies ...” since the word “corrected” would have been repeated.

**R2C53:** 12-3: add “-derived non-gravitational accelerations”

**Answer:** Done.

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**R2C54:** 12-3, 12-4: replace “confirms our intention to use the” with “supports the/our use of”

**Answer:** Done.

20 **R2C55:** 12-7: “Modelling non-gravitational accelerations from the Swarm satellites within TVG recovery provides an ocean mass time series significantly closer to the one from GRACE (see Fig. 5) and it also improves the trend estimate” The authors provide these two observations but do not provide any interpretation from these observations. Can the authors provide an explanation for these observations?

25 **Answer:** The reviewer is right. We added the sentence “This means that errors caused by neglecting non-gravitational accelerations would propagate in the spherical harmonic coefficients.”. It makes sense to emphasize this, as there were doubts when this was evaluated for CHAMP earlier.

**R2C56:** 13-3: “coefficient”: Since it is an important parameter for the discussion in this paragraph, I suggest stating (again) the maximum degree up to which this estimation was done.

30 **Answer:** The parameterization for the IGG (monthly) and the IGG (CTAS) solution is shown in Table 3. We now point this out in the caption of Figure 6.

**R2C57:** 13-6: “... directly parameterizing trend, annual and semiannual terms for each harmonic coefficient, instead of computing the usual monthly solutions, leads to solutions which are much closer to GRACE...”: Can the authors provide an explanation for this observation?

35 **Answer:** We added: “The reason for this is that the estimation of CTAS terms from the whole Swarm period (Dec. 2013 to

Dec. 2016) is more stable than estimating a set of spherical harmonic coefficients for each month.”

**R2C58:** 14-5: “For the approach with trend, annual and semiannual signal terms, the solution with 30 minute arcs differs most from GRACE and the other two solutions, while 45 minute arcs provide the lowest RMSE (1.7 mm) and the best trend estimate (3.5 mm yr<sup>-1</sup>)”: Can the authors provide an explanation for this observation?

**Answer:** R2C58 and R2C59: We are not sure about the reasons for the different arc lengths of the best monthly and CTAS solutions. It could be related to the ratio of the number of observations to the number of unknown parameters. Both differ extremely for the two cases.

10 **R2C59:** 14-6: “When considering monthly solutions, 30 minute arcs provide the best result”: Can the authors provide an explanation for this observation?

**Answer:** See R2C58.

**R2C60:** 14-9: add “, as listed in Table 4” to “several tests”

15 **Answer:** Done.

**R2C61:** 14-9: “accelerometer bias and scale factors”: It is not clear to me the reason to put this phrase within quotation marks.

**Answer:** See R2C31.

20 **R2C62:** 14-11: “For the solutions with trend, annual and semiannual signal terms, parameterizing the bias as a linear function works better than a constant value per axis”: Please try to use stronger statements. “Works better” can mean numerous things.

**Answer:** We reformulated this: “leads to a smaller RMSE with respect to the GRACE solution”.

25 **R2C63:** 14-12: “large number of observations (10s sampling for 37 months) compared to the low number of parameters”: If this is the case, then one might question why stop at the linear calibration model, higher degree polynomials might be even more advantageous.

**Answer:** Higher degrees were also tested, but this did not improve the results further. We did not include them in our study. One must keep in mind that decreasing the degree of the bias leads to 3 additional parameters per arc. For the whole period of time this is a large number that can change the results dramatically.

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**R2C64:** 14-13, 14-14: “The additional parameters per arc give room for improving not only the modelled non-gravitational accelerations, but also the gravity field parameters.”: I think the connection between “improved” (i.e. more realistic) non-gravitational accelerations and a better quality of the gravity field solution is not only trivial but expected. Anything else is difficult to explain, so the last phrase is possibly unnecessary.

35 **Answer:** We decided to leave the phrase “but also the gravity field parameters” in the paper, because we think it is important

to emphasize (as explained in R2C55).

**R2C65:** 14-14: “works better than a linear function”: (1) Please try to use stronger statements. "Works better" can mean numerous things. (2) Do the authors think that reducing the maximum degree of the monthly estimation would allow the linear calibration model to produce results more in-line with GRACE?

**Answer:** (1) We reformulated this: “has a smaller RMSE with respect to GRACE”. (2) We do not think that reducing the maximum degree of the monthly estimation would allow the linear calibration model to produce results more in-line with GRACE. We tested this and found out that even though higher degrees (e.g. up to 40) do not contain reliable signal, but they absorb errors in the lower degrees (see R2C29).

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**R2C66:** 14-15: “For both, (a) and (b), we also introduced the bias as a constant value or a polynomial of degree 4”: Table 4 lists additional scenarios than these two. Were the remaining scenarios analyzed as well? Possibly, this question would not come up if the authors stated explicitly that the previous sentences focused on the per-arc scenarios.

**Answer:** The reviewer is right, we forgot to discuss the scenario with no additional bias or scaling factor, which is now covered.

15 The remaining scenarios relating to bias and scaling factors from Table 4 should be covered:

– scaling factor: 14-10

– bias: constant per arc (pA0) and constant+trend per arc (pA1): this comparison is separately discussed for the CTAS solutions (14-11) and the monthly solutions (14-14)

20 – bias: constant global (global0) and polynomial of degree 4 global (global4): this comparison is discussed in 14-15 and 14-6.

For better readability we inserted some line breaks.

**R2C67:** 14-19 to 21: replace “Figure 9 (a) compares ocean mass change derived from the individual monthly solutions to a combination and GRACE, while Fig. 9 (b) shows the solutions estimated with a trend, an annual and a semiannual signal.” with “Figure 9 compares ocean mass change derived from the individual solutions, from the combined solution and from GRACE, for (a) the monthly solutions and (b) the solutions estimated with a trend, an annual and a semiannual signal.”

**Answer:** Done. We additionally found a mistake in mixing up (a) and (b), which is now corrected.

30 **R2C68:** 15-Figure 8: It is difficult to read these legends. I suggest the authors find an alternative way to label the plotted lines.

**Answer:** The reviewer is right. Both legends showed the same content, so that we decided to show only one large legend. We now wrote the full words “bias” and “scale” and used the same abbreviations as in Figure 10 and 11. The abbreviations are explained in Table 4.

**R2C69:** 15-1: replace “9 (a)” with “the trend+annual+semiannual” (or a suitable acronym)

**Answer:** Done.

**R2C70:** 15-2: “different receiver settings”: Can the authors determine which receiver settings apparently produce higher quality gravity field models?

**Answer:** This would require additional computations that we feel we cannot provide in the framework of time allocated for the revision. It would be very interesting, though. A paper on the “Impact of tracking loop settings of the Swarm GPS receiver on gravity field recovery” has been published by Dahle et al. (2017).

Dahle, C., Arnold, D., and Jäggi, A.: Impact of tracking loop settings of the Swarm GPS receiver on gravity field recovery, Advances in Space Research, <https://doi.org/10.1016/j.asr.2017.03.003>, 2017.

**R2C71:** 15-5: “...validate our TVG results in other regions”: replace “other” with “land”

**Answer:** Done.

**R2C72:** 15-6: “compare”: Tense discrepancy: I suggest you use the same verb tense consistently in the same paragraph.

**Answer:** Done.

**R2C73:** 15-7: “the ratio of the root mean square error (RMSE) to the root mean square (RMS) of the GRACE time series”: Please motivate this choice for presenting the results and why it was not used before in this article. Edit: this is said a couple of sentences later, but it reads better if the motivation is given before-hand.

**Answer:** The idea was to be able to compare the quality of the solutions of the different areas. This would not be possible with only the RMSE, because the signals have different amplitudes. We reformulated: “We decided to not only compute the RMSE, but to compute the ratio of the variance (VAR) of the GRACE time series to the RMSE. In this way, we can also compare the quality of the solutions in the different areas.”. RMS is replaced with VAR, as suggested in R1C15.

25

**R2C74:** 16-1: “inverse signal to noise ratio”: Why not use the inverse of this measure, which is related directly to the SNR? Noise-to-Signal Ratio is a rather strange metric.

**Answer:** At the beginning of our study we chose  $1/\text{SNR}$ , because most of our results had  $1/\text{SNR} > 1$ . By this choice the colors could be better distinguished. As our results have improved during the course of the study, we have many results with  $1/\text{SNR} < 1$  (or  $\text{SNR} > 1$ ). Hence, the reviewer’s advice is very welcome. We changed from  $1/\text{SNR}$  to SNR. We chose the new colorbar such as the color green represents 1.

**R2C75:** 16-3: “Figure 10 shows the best 100 solutions”: Please explain how the ranking (in which the solutions in figure 10 are sorted) was computed.

**Answer:** We added: “considering SNR for the ocean”

**R2C76:** 16-7: “Greenland and Ganges mass estimates have RMSE/RMS < 1 for trend+annual+semiannual solutions”: There are solutions for Gr and Ga in Figure 10 that orange-red, so this statement is not true.

5 **Answer:** We changed this to “For Greenland and Ganges mass estimates there exist some CTAS solutions with VAR/RMSE > 1”.

**R2C77:** 16-8: “they also perform better than Mississippi and Yangtze, when we look at the monthly solutions”: This is difficult for the reader to evaluate (if this was determined numerically, please state so). More relevant is the need to provide an  
10 explanation for this.

**Answer:** We reformulated this: “and in general, the time series of these two basins have a higher VAR/RMSE than those for Mississippi and Yangtze basins, both for the CTAS and the monthly solutions”. This can be seen when comparing the values (colors) of VAR/RMSE (per solution and basin). The explanation is provided as explained in R2C102.

15 **R2C78:** 16-8: “It is obvious, that modelling non-gravitational accelerations provides better results than not modelling them.”: This is not obvious at all. The solutions with ranking 13 to 15 seem to be better for all regions except the Yangtze river basin. The authors should avoid making such over-arching (incorrect) statements, because it gives the sense that they are avoiding a detailed discussion. Therefore, if such large amount of results is presented, the authors need to be prepared to discuss (at least) the outstanding details. This may be a nearly impossible task, regarding some issues, but they still need to be addressed  
20 somehow.

**Answer:** We reformulated this part: “Considering monthly solutions, modelling non-gravitational accelerations provides better results than not modelling them. This can be seen in Fig. 11, where only very few solutions with no modelled non-gravitational accelerations are present. The best CTAS solutions for the ocean also have modelled non-gravitational accelerations, whereas for solutions 13 to 15 only empirical accelerations were co-estimated. These have been obtained with a higher VAR/RMSE for  
25 the Amazon, Mississippi, Greenland and Ganges basins.”

**R2C79:** 16-9, 16-10: “seems to be mandatory”: The word “seem” is in conflict with the word “mandatory”. Either (e.g.) “seems to be beneficial” or “is mandatory”.

**Answer:** The reviewer is right. We chose “is mandatory” because it can be seen in Fig. 10 and Fig. 11 the estimation of a bias  
30 is needed to get the best results.

**R2C80:** 16-10: “In general, the results confirm what has been evaluated in Sect. 4.2 to 4.5”: As is the case in Sections 4.2 to 4.4, this section lacks interpretation of the results. It is not sufficient to describe the results, they also need to be interpreted.

**Answer:** We agree that these parts read quite technical. We added a few interpretations as suggested in the reviewer’s other  
35 comments. This paper is a technical one and we want to highlight our methods in particular. As already mentioned, we intend

to publish a paper which focuses more on the physical applications of our results later.

**R2C81:** 16-16: “In Fig. 12 (a) we assumed each GRACE solution to be missing at one time”: Please state the month you considered missing and properly motivate this choice. I would guess that removing a month at the extremities, or near an already  
5 missing month produces (widely?) different results than cherry-picking. Also in the legend of figure 12.

**Answer:** See R2C90: We changed this to “ we assumed each individual monthly GRACE solution to be missing at one time”. We did not pick one month to be missing. Every month was assumed to be missing one after another.

**R2C82:** 16-18: “their difference to the real GRACE solution is very close”: Please interpret this result.

10 **Answer:** We wrote: “... we find that they are both very close to the real GRACE solution, which offers two possibilities for bridging monthly gaps in the GRACE time series.”

**R2C83:** 16-19: “appears still slightly better”: Please avoid using weak statements such as "appears", "slightly" and "better". Such terms may be used but (at least) some sort of quantification is needed to give them context.

15 **Answer:** We reformulated this sentence: “For most months, the interpolated GRACE time series is closer to the real GRACE solution, which means that it is more reliable to close monthly gaps by interpolating than by using the Swarm solutions.”

**R2C84:** 16-19: “close monthly gaps by interpolating than relying on the Swarm solution’: Does this means that Swarm is useless in closing small gaps? If so, this is an important result that needs to be announced explicitly.

20 **Answer:** See R2C83.

**R2C85:** 16-21: “In case of a longer gap between GRACE and GRACE-FO, ocean mass estimates from Swarm will become more important.” The new paragraph means the topic under discussion has changed, so it is always a good idea to write things explicitly.

25 **Answer:** We reformulated this: “will become more important than considering missing monthly solutions”.

**R2C86:** 16-23: “mean, trend, annual and semiannual”: I suppose a constant term is also estimated.

**Answer:** The reviewer is right. This was meant by “mean”. To be more consistent and following R2C38, we replaced this with CTAS.

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**R2C87:** 16-25: “Over three years, this would also lead to a degradation of the trend estimate (GRACE and Swarm:  $3.5 \text{ mm yr}^{-1}$  and interpolated GRACE:  $4.0 \text{ mm yr}^{-1}$  ).”: Please interpret this result.

**Answer:** We added: “... indicating that Swarm is useful to bridge longer gaps, which will be investigated in the following.”.

**R2C88:** 16-30: “35 possibilities”: Please state how are the aggregate statistics of all these possibilities calculated (since only one statistic is shown).

**Answer:** Maybe this is a misunderstanding. We hope that this is clear from R2C81 and R2C90, because we do not understand this comment of the reviewer.

5

**R2C89:** 16-31 to 19-2: “It is obviously better to use our trend+annual+semiannual solution to fill gaps instead of using monthly solutions. However, for a gap of e.g. three months, we get a mean RMSE of 1.1 mm for interpolating existing GRACE solutions compared to 1.5 mm for the trend+annual+semiannual solution”: Please interpret these results.

**Answer:** 16-31 is already the interpretation of the table. After 19-2, we added: “... which indicates that in most cases of a three months gap, interpolating the remaining GRACE solutions is closer to GRACE than using the Swarm solutions”

**R2C90:** 19-Figure 12: My understanding is that the blue curve is the result of a constant+trend+annual+semiannual regression, so it is not clear to my why it is not a smooth curve (with continuous first derivative).

**Answer:** The Figure is correct as it is: We assumed each individual monthly GRACE solution to be missing successively. This means we first assume the first month to be missing and use all other data to estimate a constant+trend+annual+semiannual regression. The result is the first blue point. For the second blue point, we assume the second month to be missing and so on. We explained this in more detail now: “In Fig. 13 (a) we assumed each individual monthly GRACE solution to be missing at one time. We then estimated a harmonic time series consisting of CTAS terms from all solutions except for the one that is considered to be missing. After having carried out the regression for each month, this leads to the blue curve.”

20

**R2C91:** 19-Table 9: Please provide an interpretation for the results shown in parenthesis. One would expect that there was a gradual transition from one type of solutions to another, as the number of gap months increased. Looking at the values in parenthesis, this is not the case, and it is difficult to derive any understanding from these results.

**Answer:** It is gradual in terms of RMSE in mm. Percentage is with respect to GRACE interpolated solutions and this does not have to be strictly gradual. Also, the number of investigated cases decreases from left to right. We added “The number of investigated solutions decreases from left to right, as the time span becomes longer.” to the caption of Table 9.

**R2C92:** 19-Table 9, caption: (1) blank space missing (2) With "solutions", I assume the authors lumped all (e.g.) 35 possibilities' solutions together and counted those with lower RMSE than interpolated GRACE (relative to Swarm CTAS). I suggest that a longer explanation in the text may avoid these questions.

**Answer:** (1) Corrected. (2) We added: “To derive the value in brackets, we counted the number of CTAS solutions with a lower RMSE than GRACE (interpolated) and computed the relation to the absolute number of CTAS solutions.”

**R2C93:** 19-4: This study stands out from the rest of the article quite dramatically and it is severely disconnected from those results. I suggest this section to be removed, unless the authors find a way to motivate it and how it fits with the rest of the

35



article.

**Answer:** See R2C3.

**R2C94:** 20-11: “As expected prior to launch”: Please include the respective reference.

- 5 **Answer:** We removed this statement, as simulation studies prior to launch actually expected the signal to be only recovered up to degree 5 to 10 (Wang et al, 2012). We wrote “The degree variances for monthly solutions suggest that the TVG fields are only reliable up to about degree 10-12.”, because Figure 3 suggests d/o 10, while, depending on the month, the d/o can be higher.

**R2C95:** 20-14: “for the whole period of time”: “under study” (please state explicitly the period start/stop)

- 10 **Answer:** Done.

**R2C96:** 20-14: “improves”: “improves the agreement with GRACE regarding” (or please find another way to refer to a relative improvement, not an absolute one, which is unknown)

- 15 **Answer:** We reformulated this: “We find that this significantly improves the agreement with GRACE regarding ocean mass trend estimates...”

**R2C97:** 20-15 to 20-17: “We investigated different parameterizations and found that an arc length of 30 minutes provides the best results for monthly solutions, while 45 minutes is the best option for the trend+annual+semiannual solutions” Please provide an interpretation for this results.

- 20 **Answer:** We are unsure of the reasons for the performance of the different arc lengths. The number of observations and unknowns could definitely be important. As stated in R2C1, we plan to write a paper on Swarm ocean mass and its physical interpretation.

**R2C98:** 20-17: “accelerometer bias”: Is there a reason for the quotation marks?

- 25 **Answer:** See R2C31.

**R2C99:** 20-17, 20-18: “A constant bias per arc and axis works best for monthly solutions and an additional trend parameter is needed for the trend+annual+semiannual approach” (1) Please try to use stronger statements. "Works best" can mean numerous things. (2) Please provide an interpretation for this results.

- 30 **Answer:** (1) we reformulated this: “leads to the lowest RMSE with respect to GRACE”. (2) Same comment as in R2C97: We are unsure of the reasons for the performance of the different arc lengths. The number of observations and unknowns could definitely be important. As stated in R2C1, we plan to write a paper on Swarm ocean mass and its physical interpretation.

**R2C100:** 21-1: remove “by again”

**Answer:** Done.

**R2C101:** 21-4: “appears”: Please avoid weak statements, either it is or it is not.

5 **Answer:** Replaced “appears” with “is”.

**R2C102:** 21-4: “signal is too weak”: Please provide evidence of this, possibly quoting other studies.

**Answer:** We decided to move the two justifications (R2C102 and R2C103) to Sect. 4.7. The two reasons were given as general possibilities for a weak performance of Swarm. We decided to reformulate the sentence: “In general, the quality of time series

10 of EWH derived from kinematic orbits of Swarm will be affected by (1) The basin size (see Fig. 1) and (2) the signal strength (see Fig. 12).”. Fig. 12 in the new document shows the EWH signal for all regions derived from GRACE.

**R2C103:** 21-5: “the basin might be too small”: The Ganges basin is smaller than the Mississippi basin, so I don’t think this is a good explanation.

15 **Answer:** See R2C102.

**R2C104:** 21-6, 21-7: The study referred in the preceding sentence has nothing to do with the study referred in the rest of the paragraph. If the authors decide to keep it in the article, please dedicated one paragraph to it (possibly after this paragraph, to be in agreement with the previous section).

20 **Answer:** The reviewer is right. We moved the sentence about La Niña further to the end of this section.

**R2C105:** 21-7: “In simulation studies”: I suggest spending a few more words on this. It was a simulation study in what regards the missing monthly solutions, not in what regards the data used to estimate the gravity field solutions. A less careful reader may easily make this confusion.

25 **Answer:** We removed “In simulation studies”.

**R2C106:** 21-12 to 21-14: How to interpret the results for 6 and 12 months?

**Answer:** The interpretation is that even for 6 and 12 months, GRACE interpolated would be better than actual solutions from Swarm. This -somewhat disappointing- result is related to the fact that during December 2013 and December 2016, ocean mass seems to have evolved rather regularly without interannual changes. As can be seen from Fig. 13 (Fig. 14 in the new manuscript) (based on Wenzel and Schröter), this is an unusual situation and can by no means be assumed to continue in the future.

**R2C107:** 21-16: “(Didova et al., 2016)”: The parenthesis on the year only.

**Answer:** Done.

**R2C108:** 21-17: “(Rietbroek et al, 2016)”: The parenthesis on the year only.

5 **Answer:** Done.