1	Influence of slope aspect on the microbial properties of rhizospheric
2	and non-rhizospheric soil on the Loess Plateau, China
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33 Abstract. Slope aspect is an important topographic factor in the micro-ecosystemic environment, but 34 its effect on the microbial properties of grassland rhizospheric soil (RS) and non-rhizospheric soil 35 (NRS) remain unclear. A field experiment was conducted at the Ansai Research Station on the Loess 36 Plateau in China to test the influence of slope aspects (south-facing, north-facing, and northwest-facing 37 slopes, all with Artemisia sacrorum as the dominant species) on RS and NRS microbial biomass carbon 38 (MBC) content, phospholipid fatty acid (PLFA) content, and the rhizospheric effect (RE) of various 39 microbial indices. Soil samples were collected from the three slope aspects, including rhizospheric and 40 non-rhizospheric region, and analyzed to determine the related various microbial indices. The results 41 showed that MBC content differed significantly among the slope aspects in RS but not in NRS, and RE 42 for MBC content in the south-facing slope was larger than that in the north-facing slope. RS total, 43 bacterial, and gram-positive bacterial PLFA contents in the south-facing slope were significantly lower 44 than those in the north- and northwest-facing slopes, and RS gram-negative bacterial (G) and 45 actinomycete PLFA contents in the south-facing slope were significantly lower than those in the 46 north-facing slope. In contrast, NRS total, bacterial, and G<sup>-</sup> PLFA contents in the north-facing slope 47 were significantly higher than those in the south- and northwest-facing slopes, and NRS fungal and 48 actinomycete PLFA contents in the north- and south-facing slopes were significantly higher than those 49 in the northwest-facing slope. RE for all PLFA contents except fungal in the northwest-facing slope 50 were higher than those in the south-facing slope. Slope aspect significantly but differentially affected 51 the microbial properties in RS and NRS, and the variable influence was due to an evident RE for most 52 microbial properties.

Keywords: topographic factor, rhizospheric effect, phospholipid fatty acid, fungi, bacteria,
 actinomycete

## 55 1 Introduction

As an important topographic factor, slope aspect can affect the amount of solar radiation received 56 (Selvakumar et al., 2009), and solar radiation influences ecologically critical factors of local 57 58 microclimates and determines soil temperature, evaporation capacity, and soil-moisture content 59 (Carletti et al., 2009; Bennie et al., 2008). South-facing slopes in the Northern Hemisphere, which 60 receive more solar radiation than north-facing slopes, are typically hot, dry, and subject to rapid 61 changes in seasonal and diurnal microclimates. North-facing slopes have the opposite pattern and 62 receive the least insolation, are cool, moist, and subject to slow changes in seasonal and daily 63 microclimates (Sariyildiz et al., 2005). Slope aspect can therefore substantially affect soil-moisture 64 content, water budget, and soil temperatures (Sidari et al., 2008; Carletti et al., 2009; Sariyildiz et al., 65 2005; Dearborn and Danby, 2017). The effect of slope aspect on basic soil properties (pH, bulk density, 66 and texture), nutrient (carbon, nitrogen, and phosphorus) contents, microbial biomass, and enzymatic 67 activities have been studied (Ai et al., 2017a; Ascher et al., 2012; Gilliam et al., 2014; Huang et al., 68 2015; Sidari et al., 2008; Qin et al., 2016; Bardelli et al., 2017; Liu et al., 2017). Previous research 69 indicated that slope aspect markedly affects soil and microbiological properties in micro-ecosystemic 70 environments. The results of studies on the impact of slope aspect on the microbiological properties, 71 however, are not consistent. Some studies have shown that north-facing slopes have more microbial 72 biomass carbon (MBC), bacteria, and actinomycetes than south-facing slopes (Ascher et al., 2012; 73 Huang et al., 2015); in contrast, other studies have found that the MBC, fungal, and total phospholipid 74 fatty acid (PLFA) contents in the south-facing slopes were significantly higher than those in north-facing slopes (Huang et al., 2015; Sidari et al., 2008; Gilliam et al., 2014). Gilliam et al. (2014) found that bacterial biomass did not vary with slope aspect. The influence of slope aspect on microbial characteristics has obviously been variable in these studies, and the differences may be caused by the differences in plant species (trees vs shrubs), soil properties, climatic conditions, and research methods. Previous studies have mainly focused on trees and shrubs, but the influence of slope aspect on grassland soil microorganisms is still unclear, even though the grassland ecosystem is an important component of terrestrial ecosystems.

82 The rhizosphere is commonly defined as the narrow zone of soil adjacent to and influenced by 83 plant roots (Chen et al., 2002). The rhizosphere contains root exudates, i.e. leaked and secreted 84 chemicals, sloughed root cells, and plant debris (Warembourg et al., 2003). Microbial activity is 85 therefore high in rhizospheric soil (RS) and clearly distinct from the activity in non-rhizospheric soil 86 (NRS) due to differences in nutrient availability, pH, and redox potential (Hinsinger et al., 2009). 87 Microbial content is higher in RS than NRS (Buyer et al., 2002; Marschner et al., 2002), which is 88 known as the rhizospheric effect (RE). The effect of slope aspect on RS and NRS microbial biomass 89 and community composition has not been extensively studied. Knowledge of the influence of slope 90 aspect on the differences between RS and NRS microbial communities could provide new insights into 91 topographical influences of RE on local micro-ecosystemic environments.

92 Soil microbial communities play important roles in soil quality and ecosystemic processes, 93 including nutrient cycling, decomposition of organic matter, bioremediation of structural formation, 94 and even plant interactions (Harris, 2009). These communities are closely associated with their 95 surroundings, rapidly responding to changes and environmental stresses. Soil microbes are thus 96 commonly used as sensitive indicators of change to soil quality under environmental stresses. Various 97 microbial PLFAs represent the different nutritional requirements of the microbial groups. Bacteria and 98 fungi form most of the microbial biomass and represent the main drivers of organic-matter turnover 99 (Bååth and Anderson, 2003). Moreover, different kinds of bacteria produce different PLFAs: 100 Gram-negative (G<sup>-</sup>) and Gram-positive (G<sup>+</sup>) bacterial PLFA contents are usually considered indicators 101 of chemolithotrophic and heterotrophic bacterial communities, respectively. G- bacteria are mainly 102 associated with roots and thus decompose low-molecular-weight organic molecules (Griffiths et al., 103 1999), whereas  $G^+$  bacteria decompose more complex materials, such as organic matter and litter 104 (Kramer and Gleixner, 2006). Soil respiration is widely used for measuring microbial activity (e.g. 105 basal respiration) or determining the potential microbial activity in soil (e.g. substrate-induced 106 respiration) (Nannipieri et al., 1990; Wardle, 1995). These microbial indices are all sensitive 107 bio-indicators that can be used to estimate soil quality and the effect of slope aspect on RS and NRS 108 microbial communities. Soil ecologists have long been interested in the response of microbial 109 communities to environmental factors for understanding the underlying mechanisms determining the 110 content and composition of microbial biomass. Microbial communities have a close relationship with 111 pH, carbon (organic and water-soluble organic carbon), nitrogen (total nitrogen, ammonium and nitrate 112 nitrogen, and water-soluble ammonium and nitrate nitrogen), and phosphorus (total and available 113 phosphorus) (Bardelli et al., 2017; Huang et al., 2014; Nilsson et al., 2005; Ma et al., 2015). Under the 114 conditions of different slope aspects, the effect of the main soil nutrient factors on RS and NRS 115 microbial communities on local micro-ecosystemic environments, however, remains unclear.

The Chinese government introduced the Grain for Green Project in the 1990s to control soil erosion and improve the ecological environment of the Loess Plateau by converting large areas of sloping cropland to forest and grassland. *Artemisia sacrorum*, a perennial herb with multiple branches,

119 well-developed root suckers, and high seed production and fertility, is widely distributed on the plateau 120 (Wang and Liu, 2002), especially in the converted grassland. A. sacrorum was selected as a typical 121 grassland plant of this region to study the effect of slope aspect on the MBC, total, fungal, bacterial, 122 and actinomycete PLFA contents in RS and NRS and the differences of their REs. The main RS and 123 NRS environmental factors affecting microbial content and composition were also identified. Three 124 slope aspects (south-facing, north-facing, and northwest-facing slopes) with the same rehabilitation age 125 were tested on the Loess Plateau in China. The following hypotheses were tested: (1) slope aspect 126 significantly but differentially affects the MBC, total, fungal, bacterial, and actinomycete PLFA 127 contents and their REs; and (2) soil carbon (C) and nitrogen (N) are the main soil nutrient factors that 128 affect RS and NRS microbial communities under different slope aspects.

# 129 2 Materials and methods

# 130 **2.1 Study site**

A field experiment was conducted at the Ansai Research Station (ARS) of the Chinese Academy of 131 132 Sciences (36°51'30"N, 109°19'23"E; 1068-1309 m a.s.l.), northern Loess Plateau, China. The mean 133 annual temperature of the study area is 8.8 °C, and the mean annual precipitation is approximately 505 134 mm, with >70% concentrated from July to September. Annual evaporation ranges from 1500 to 1800 135 mm. To control soil erosion and improve the ecological environment, the Chinese government has 136 implemented the policy of converting sloping cropland to grassland in the region in 1990s. 137 Synchronously, restoration of the local grassland mainly dependent on abandoned farmland. In order to 138 study the effect of slope aspect on the soil microbial community in the restored grassland, three 139 grassland areas abandoned in the same year were selected for the experiment. The main vegetation in 140 the region includes woods such as Robinia pseudoacacia and Platycladus orientalis; shrubs such as 141 Caragana korshinskii, Hippophae rhamnoides, Syzygium aromaticum, and Ostryopsis davidiana; and 142 herbage such as Artemisia sacrorum, Bothriochloa ischcemum, Setaria viridis, Artemisia giraldii, and 143 Artemisia capillaris. Details of the soil properties and map of sampling sites were described by Ai et al. 144 (2017a).

# 145 **2.2 Experimental design and soil sampling**

The representative slopes of the three grassland areas were south-facing (S15°W), northwest-facing (N75°W), and north-facing (N57°E), which had the same site conditions (all with *Artemisia sacrorum* as the dominant species, same rehabilitation age, geographical proximity, etc.) and represented sunny slope, half-sunny slope and shady slope, respectively. The three study areas were selected in September 2014 after consultation with ARS researchers and reviewing relevant land documents. The basic characteristics are shown in Table 1.

152 **Table 1.** Characteristics of the sampling sites.

	1	0			
Slope aspect Latitude (°N)		Longitude (°E) Altitude (m)		Plant community	
S15°W	36.85	109.31	1269	A. sacrorum + Bothriochloa ischaemum	
N75°W	36.85	109.31	1275	A. sacrorum + Phragmites australis	
N57°E	36.85	109.31	1278	A. sacrorum + Artemisia capillaries	

153 Three replicate  $10 \times 10$  m plots were established at each site (*A. sacrorum* was the dominant plant 154 at each site). The distance between sampling plots within sampling site was not less than 20 m. Each 155 plot was first surveyed for latitude, longitude, elevation, slope aspect, and slope gradient. Three  $1 \times 1$ 

156 m quadrats were then randomly set in each plot to characterise the vegetation, e.g. plant species, 157 coverage, and number. The plants were removed, and the soil strongly adhering to the roots, i.e. RS, was collected (0-20 cm soil layer). Soil was also sampled from the same layer at locations 158 approximately 15 cm from the plant roots (i.e. NRS). Each NRS sample was a composite of 159 160 subsamples collected at five points (the four corners and the centre of the plot). A total of 18 soil 161 samples (3 sites  $\times$  3 plots per site  $\times$  2 soil types) were collected, and each was divided into two 162 subsamples: one subsample was placed in a cool container, and the other was placed into a cloth bag. 163 The samples were then taken to the laboratory, and gravel and coarse fragments were removed. The 164 container samples were homogenised and sieved to 2 mm and were also divided into two subsamples: 165 one subsample was stored at -80 °C, and the other was stored at 4 °C until analysis. The samples in the 166 cloth bags were air-dried and sieved to 0.25 and 1 mm prior to analysis.

# 167 2.3 Laboratory analysis

The samples stored at 4 °C were used for determining MBC content (mg kg<sup>-1</sup>), basal respiration (BR) 168 (mg kg<sup>-1</sup> h<sup>-1</sup>), and substrate-induced respiration (SIR) (mg kg<sup>-1</sup> h<sup>-1</sup>). Microbial biomass was measured 169 170 by chloroform fumigation (Vance et al., 1987). The soil samples were fumigated for 24 h at 25.8 °C 171 with CHCl<sub>3</sub> (ethanol free) after the fumigation or non-fumigation treatments and then were extracted 172 with 100 ml of 0.5 M K<sub>2</sub>SO<sub>4</sub> by horizontal shaking for 1 h at 200 rpm and then filtered. The amount of 173 K<sub>2</sub>SO<sub>4</sub>-extracted organic C was determined by a liquiTOCII analyser (Elementar, Hanau, Germany), 174 and MBC content was calculated using a kEC factor of 0.38 (Vance et al., 1987). The soil BR was estimated by measuring the CO<sub>2</sub> evolution from 10.0 g of field fresh soils. The homogenized soil 175 176 samples were first placed in a polyethylene bottle with rubber stopper (the soil water content was 177 adjusted to 50% of field water-holding capacity). The polyethylene bottle was then incubated at 28 °C 178 for 2 h, and the CO2 evolution was measured by an infrared gas analyser (QGS-08B, Beijing, China) 179 (Hueso et al., 2011). Soil SIR was determined using the same method as for BR but with the addition of 180 0.06 g glucose to the soil, after the glucose and soil were fully compounded, they were then incubated 181 at 28 °C for 1 h.

The soil stored at -80 °C was used for the determination of PLFA contents. The structures of the microbial communities were determined using a method (Bligh and Dyer, 1959) modified by Bardgett et al. (1996). Briefly, fatty acids were extracted from 3.0 g of freeze-dried soil using a solution containing citrate buffer, chloroform, and methanol. The PLFAs were separated from neutral and glycolipid fatty acids by solid-phase-extraction chromatography. After mild alkaline methanolysis, the PLFAs were analysed using a gas chromatograph (GC7890A, Agilent Technologies Inc., Wilmington, USA) equipped with MIDI Sherlock software (Version 4.5; MIDI Inc., Newark, USA).

An external standard of 19:0 methyl ester was used for quantification (Frostegård et al., 1993), and the amounts were expressed as nmol  $g^{-1}$  for dry soil. Zelles (1999) reported that specific PLFA signatures could serve as indicators of specific microbial groups. Total PLFAs were obtained by summing the contents of all fatty acids detected in each sample. The classification of the PLFAs are shown in Table 2.

The concentrations of soil organic carbon (SOC), total nitrogen (TN), and total phosphorus at the sites have been reported by Ai et al. (2017a). Soil pH and available phosphorus (SAP), ammonium N (NH<sub>4</sub>), nitrate N (NO<sub>3</sub>), water-soluble organic C (WSOC), water-soluble NH<sub>4</sub> (WNH<sub>4</sub>), and water-soluble NO<sub>3</sub> (WNO<sub>3</sub>) contents were measured as described by Ai et al (2017b). The soil moisture contents of sampling sites during investigation were determined gravimetrically by drying the samples

- 199 to a constant weight at 105°C, and then the water content was expressed as a percentage of the soil dry
- 200 weight. The characteristics of the rhizospheric and non-rhizospheric soils are shown in Table 3.

201 **Table 2.** Characterisation of the microbial phospholipid fatty acids.

Microbial group	Specific PLFA markers
Gram-positive bacteria	11:0 anteiso, 12:0 anteiso, 13:0 iso, 13:0 anteiso, 14:0 iso, 14:0 anteiso, 15:0 iso, 15:0 anteiso, 15:1
	iso w6c, 15:1 iso w9c, 16:0 iso, 16:0 anteiso, 17:0 iso, 17:0 anteiso, 18:0 iso, 19:0 iso, 19:0 anteiso,
	22:0 iso
Gram-negative bacteria	12:1 w4c, 12:1 w8c, 14:1 w5c, 14:1 w8c, 14:1 w9c, 15:1 w5c, 15:1 w7c, 15:1 w8c, 16:1 w7c DMA,
	16:1 w7c, 16:1 w9c DMA, 17:0 cyclo w7c, 17:1 w5c, 17:1 w7c, 17:1 w8c, 18:1 w5c, 18:1 w6c, 18:1
	w7c, 18:1 w8c, 18:1 w9c, 19:0 cyclo w6c, 19:0 cyclo w7c, 19:1 w6c, 19:1 w8c, 20:1 w6c, 20:1 w9c,
	21:1 w3c, 21:1 w5c, 21:1 w6c, 22:1 w3c, 22:1 w5c, 22:1 w6c, 22:1 w8c, 22:1 w9c, 24:1 w9c, 19:0
	cyclo 9,10 DMA
Fungi	16:1w5c, 18:2w6c
Actinomycetes	16:0 10-methyl, 17:0 10-methyl, 17:1 w7c 10-methyl, 18:0 10-methyl, 18:1 w7c 10-methyl, 19:1
	w7c 10-methyl, 20:0 10-methyl

203 **Table 3.** Characteristics of the rhizospheric and non-rhizospheric soils.

	Slope conect	pН	Water	SOC	SAP	NO <sub>3</sub>	NH4	WSOC	WNO <sub>3</sub>	WNH <sub>4</sub>
	Slope aspect		Content	(g	(mg	(mg	(mg	(mg	(mg	(mg
			(100%)	kg <sup>-1</sup> )	kg-1)	kg <sup>-1</sup> )	kg <sup>-1</sup> )	$kg^{-1}$ )	kg-1)	kg-1)
Rhizospheric	South-facing	8.55	7.73	9.20	3.23	8.60	12.94	59.12	1.55	0.61
×	North-facing	8.72	10.37	7.36	2.41	9.70	9.87	37.02	1.27	0.44
soil	Northwest-facing	8.63	10.60	5.21	1.98	7.33	9.05	45.32	1.77	0.53
Non-rhizospheric	South-facing	8.54	8.13	5.53	1.35	4.93	12.32	38.14	1.20	0.50
Ĩ	North-facing	8.58	10.31	4.90	1.37	6.73	13.13	36.47	0.98	0.38
soil	Northwest-facing	8.58	10.45	4.27	1.68	6.27	12.42	40.39	1.38	0.45

204 SOC: soil organic carbon, SAP: available phosphorus, NO3: nitrate nitrogen, NH4: ammonium nitrogen, WSOC: water-soluble organic

205 carbon, WNO3: water-soluble nitrate nitrogen, WNH4: water-soluble ammonium nitrogen. The above data are average values (n=3).

# 206 2.4 Calculations and statistical analysis

The metabolic quotient  $(10^3 h^{-1})$  was calculated as BR per unit MBC: metabolic 207 208 quotient= $10^3 \times BR/MBC = 10^3 \times (mg kg^{-1} h^{-1})/(mg kg^{-1})$  (Anderson and Domsch, 1993). RE was 209 calculated as: RE=Rs/NRs, where Rs is a microbial property in RS, and NRs is a microbial property in 210 NRS (Mukhopadhyay et al., 2016). For example, the RE for MBC: RE=RS MBC/NRS MBC=(mg 211 kg<sup>-1</sup>)/(mg kg<sup>-1</sup>). All data were analysed using one-way ANOVAs, followed by Duncan's tests at a probability level of P<0.05 for multiple comparisons. All statistical analyses were performed using 212 213 SPSS 20.0 (SPSS Inc., Chicago, USA), and structural equation models (SEMs) were analysed using the 214 AMOS SPSS expansion pack. A redundancy analysis (RDA) was performed using CANOCO 5.0 215 (Biometris, Wageningen, the Netherlands). The graphs were plotted using SigmaPlot 12.5 (Systat 216 Software, San Jose, USA).

### 217 **3 Results**

## 218 **3.1 Impacts of slope aspect on MBC content, respiration, and BR/MBC**

RS MBC content did not differ significantly among the slope aspects, but NRS MBC content in the north-facing slope was higher than those in the south- and northwest-facing slopes (Fig. 1A). The RE for MBC in the south-facing slope was highest among the slope aspects (Fig. 2A). Slope aspect did not affect BR, BR/MBC, or SIR in either RS or NRS (Fig. 1B and Table 4). The RE for BR did not differ significantly among the slope aspects (Fig. 2A). The RE for SIR in the south-facing slope was higher than that in the north-facing slope.

Table 4. Microbial respiratory quotients (BR/MBC), ratios of fungal PLFA content to bacteria PLFA content (F/B), and ratios of  $G^+$  PLFA content to  $G^-$  PLFA content ( $G^+/G^-$ ) in the rhizospheric and

227 non-rhizospheric soils.

Slave armost	Rhi	zospheric soil		Non-rhizospheric soil			
Slope aspect	BR/MBC (10 <sup>3</sup> h <sup>-1</sup> )	F/B ratio	G <sup>+</sup> /G <sup>-</sup> ratio	BR/MBC (10 <sup>3</sup> h <sup>-1</sup> )	F/B ratio	G <sup>+</sup> /G <sup>-</sup> ratio	
South-facing	$3.03 \pm 0.49a$	$0.07 \pm 0.00a$	$2.16 \pm 0.58a$	$2.47 \pm 0.52a$	$0.07 \pm 0.00 a$	$1.45 \pm 0.23a$	
North-facing	2.67±0.41a	$0.03\pm0.00b$	$1.55 \pm 0.29a$	$2.00 \pm 0.26a$	$0.04 \pm 0.00 b$	$1.20 \pm 0.10a$	
Northwest-facing	2.77±0.23a	$0.04 \pm 0.00b$	$1.54 \pm 0.16a$	$2.47 \pm 0.35a$	$0.05\!\pm\!0.00ab$	$1.33 \pm 0.06a$	

# 228 3.2 Impacts of slope aspect on microbial PLFA contents and composition

229 The microbial PLFA contents in RS differed significantly among the slope aspects. Total PLFA 230 contents in the north- and northwest-facing slopes were 115 and 88% higher, respectively, than that in 231 the south-facing slope (Fig. 3A). Fungal PLFA content did not differ significantly among the slope 232 aspects (Fig. 3A). Bacterial PLFA content was similar to the trend for total PLFA content, with the 233 lowest content in the south-facing slope (Fig. 3B). In contrast to total PLFA content, the ratio of fungal 234 PLFA content to bacterial PLFA content (F/B ratio) in the south-facing slope was significantly higher 235 than those in the north- and northwest-facing slopes (Table 4). Both  $G^+$  and  $G^-$  PLFA contents had 236 trends similar to that of the bacterial PLFA content, with the lowest contents in the south-facing slope 237 (Fig. 3B). The ratio of  $G^+$  PLFA content to  $G^-$  PLFA content ( $G^+/G^-$  ratio) did not differ significantly 238 among the slope aspects (Table 4). Actinomycete PLFA content in the north-facing slope was 102% 239 higher than that in the south-facing slope, similar to that of G<sup>-</sup> PLFA content (Fig. 3A).

240 The composition of the NRS PLFA contents also differed significantly among the slope aspects. 241 Total PLFA content in the north-facing slope was 50 and 62% higher than those in the south- and 242 northwest-facing slopes, respectively (Fig. 3C). Bacterial PLFA content had a trend similar to that of 243 total PLFA content, with the highest content in the north-facing slope (Fig. 3D). Fungal PLFA content 244 in the south- and north-facing slopes was significantly higher than that in the northwest-facing slope 245 (Fig. 3C). The F/B ratio in the south-facing was substantially higher than that in the north-facing slope 246 (Table 4). G<sup>-</sup> PLFA content had a trend similar to that of bacterial PLFA content, and G<sup>+</sup> PLFA content 247 did not differ significantly among the slope aspects (Fig. 3D). The  $G^+/G^-$  ratio did not differ 248 significantly among the slope aspects (Table 4). Actinomycete PLFA content had a trend similar to that 249 of fungal PLFA content, with higher contents in the south- and north-facing slopes, which were 149 and 250 117% higher, respectively, than that in the northwest-facing slope (Fig. 3D).

The REs for total, bacterial,  $G^+$ ,  $G^-$ , and actinomycete PLFA contents differed significantly among the slope aspects, but the RE for fungal PLFA content did not (Fig. 2B and C). The REs for total,  $G^+$ ,  $G^-$ , bacterial, actinomycete PLFA contents in the northwest-facing slope were highest among the slope aspects.

#### 255 **3.3 Redundancy analysis (RDA)**

The constrained RDAs indicated that environmental factors affected RS microbial characteristics (Fig. 4A). The total variation was 6.10, and the explanatory variables accounted for 96.8%. The first two axes (RDA1 and RDA2) explained 89.6% of the total variance, wherein 84.1% was attributed to RDA1 and 5.5% to RDA2. WSOC content was the most significant of the seven environmental factors and explained 63.6% (P=0.006) of the total variance. The slope aspect was the next most significant environmental variable and explained 62.8% (P=0.004), followed by NH<sub>4</sub> (58.6%, P=0.004), SAP (45.7%, P=0.022), and WNH<sub>4</sub> (45.2%, P=0.032) contents.

The constrained RDAs indicated that environmental factors affected NRS microbial characteristics (Fig. 4B). The total variation was 2.97, and the explanatory variables accounted for 94.2%. RDA1 and RDA2 explained 81.6% of the total variance, 68.3% for RDA1 and 13.3% for RDA2. Among the seven environmental factors, WNO3 content was the most significant and explained 34.7% (P=0.04) of the total variance.

# 268 **3.4 Path analysis**

The final SEM based on all indices adequately fitted the data to describe the effects of the environmental factors on RS microbial characteristics ( $x^2=0.506$ ; P=0.918; RMSEA, P<0.001; Fig. 5A). The final model accounted for 99% of the variation in RS WSOC content, with 71% of the variation in bacterial PLFA content, 78% of the variation in G<sup>+</sup> PLFA content, and 72% of the variation in total PLFA content. Slope aspect was positively correlated with WSOC content (P<0.001). WSOC content was negatively correlated with bacterial PLFA (P<0.001), G<sup>+</sup> PLFA (P<0.001), and total PLFA (P<0.001) contents.

All indices adequately fitted the data to describe the effects of the environmental factors on NRS microbial characteristics ( $x^2=3.222$ ; P=0.521; RMSEA, P<0.001; Fig. 5B). The model was able to explain 59% of the variation in WNH<sub>4</sub> content, 58% of the variation in MBC content, 55% of the variation in G<sup>-</sup> PLFA content, and 45% of variation in total PLFA content. Slope aspect was strongly positively correlated with WNH<sub>4</sub> content (P<0.001). WNH<sub>4</sub> content was strongly negatively correlated with MBC (P<0.001), G<sup>-</sup> PLFA (P<0.05), and total PLFA (P<0.05) contents.

#### 282 4 Discussion

## 283 4.1 MBC, respiration, and BR/MBC

284 Soil microbial biomass is closely associated with soil-moisture content (Zhang et al., 2005; Drenovsky 285 et al., 2010; Ma et al., 2015). The north-facing slope contained more moisture than the south-facing 286 slope (Sariyildiz et al., 2005), so microbial activity in the north-facing slope was higher than that in the 287 south-facing slope. NRS MBC content in the north-facing slope was significantly higher than that in 288 the south-facing slope in our study, supporting our hypothesis 1 and in agreement with other studies 289 (Huang et al., 2015; Sidari et al., 2008). Carletti et al. (2009), however, reported an opposite trend: soil 290 MBC content was higher in a south-facing slope. This disparity may have been due to the differences in 291 plant species, soil type, and regional climate (Gilliam et al., 2014). NRS MBC content in the 292 northwest-facing slope was lower than that in the north-facing slope, inconsistent with Huang et al. 293 (2015), whose study area had the same soil and climatic conditions as ours. The different result may 294 mainly be due to the different plant species: the effect of shrubland plants (Huang et al., 2015) on NRS 295 may be different from the effect of grassland plants (our study). Plant shade can affect soil microbial 296 activity (Blok et al., 2010), so different shading can lead to different MBC contents.

297 Plant roots release a high amount of exudates, such as sugars, amino acids, organic acids, 298 hormones, and enzymes (Zhang et al., 2012; Grayston et al., 1997). In contrast, soil with a low amount 299 of shading is prone to desiccation (Wang et al., 2008), and the quantity of exudates released by plant 300 roots is low, which may lead to lower activities of the microorganisms. RS MBC content therefore 301 should be higher than NRS MBC content, consistent with our results. In our study, the RE for MBC 302 content in the south-facing slope was significantly higher than that in the north-facing slope. The light 303 in south-facing slopes would have a greater impact on the soil micro-environmental than that in 304 north-facing slopes, because south-facing slopes in the Northern Hemisphere receive more sunlight 305 than north-facing slopes, leading to a larger difference between RS and NRS in south-facing slopes. The RDA and path analysis found that NRS WSOC, WNO3, and WNH4 contents were well correlated 306 307 with MBC content (Figs. 4B and 5B), supporting our hypothesis that soil C and N the main soil 308 nutrient factors that affect RS and NRS microbial communities, and in agreement with other studies 309 (Haynes, 2000; Huang et al., 2014).

Neither RS nor NRS BR, SIR, and BR/MBC differed significantly among the slope aspects, indicating that the actual microbial activities, potential microbial activities, and bioenergetic status of the microbial biomass (Nannipieri et al., 1990; Wardle, 1995; Sinha et al., 2009) were similar among the slope aspects in the study area. The RE of SIR in the south-facing slope was 96% higher than that in the north-facing slope, indicating that the effect of slope aspect on the RS and NRS SIRs was more evident in the south-facing slope than that in the north-facing slope, even though the influence of slope aspect on SIR was not significant either in RS or NRS.

317 4.2 PLFA contents and composition

### 318 4.2.1 Fungal and bacterial PLFA contents and composition

319 NRS fungal PLFA content in the northwest-facing slope was lower than those in the south- and 320 north-facing slopes, but RS fungal PLFA content did not differ significantly among the slope aspects. 321 Previous studies have reported different results: Huang et al. (2015) and Gilliam et al. (2014) found that 322 slope aspect significantly affected the fungal community, and fungal abundance was lower in 323 north-facing slope; Bardelli et al. (2017) found that fungal abundance did not differ significantly 324 between north- and south-facing slopes. These different results may be due to the differences in plant 325 species (e.g. herbs vs shrubs), soil conditions, climate, and research methods (Gilliam et al., 2014). The 326 different responses of RS and NRS fungal PLFA contents indicated that rhizospheres could form an 327 environment that negates the effect of slope aspect on fungal communities more than non-rhizospheric 328 zones. SOC and TN can supply the microbial biomass with enough C, N, and energy resources to 329 support microbial growth (Jia et al., 2005), so the solubility of SOC (WSOC) and nitrogen (WNO<sub>3</sub>, 330 WNH<sub>4</sub>) would be closely associated with the fungal community. The RDA found that NRS WSOC and 331 WNO<sub>3</sub> were well correlated with fungal PLFA content (Fig. 4B), supporting our hypothesis 2 and 332 agreeing with previous studies (Haynes, 2000; Nilsson et al., 2005; Huang et al., 2014).

The effect of slope aspect on PLFA content differed between bacteria and fungi. Both RS and NRS bacterial PLFA contents in the south-facing slope were lower than those in the north-facing slope, suggesting more soil moisture in the north-facing slope suitable for the growth of bacteria, in agreement with some studies (Huang et al., 2015; Ascher et al., 2012) but not others (Gilliam et al., 2014; Bardelli et al., 2017). The effect of slope aspect on the bacterial community would therefore become significant due to the plant species, soil type, and climatic conditions. The RE for bacterial PLFA content in the northwest-facing slope was significantly higher than that in the south-facing slope, 340 indicating that the environmental conditions of the rhizosphere helped the bacterial community to resist 341 environmental pressure. The RDA indicated that the RS WNH<sub>4</sub>, WSOC, and SAP contents were well 342 correlated with the bacterial PLFA content, and the NRS WNH<sub>4</sub> content was well correlated with the 343 bacterial PLFA content (Fig. 4A, B). The path analysis indicated that RS WSOC content was the main 344 factor influencing the bacterial PLFA content and mainly affected the G<sup>+</sup> PLFA content (Fig. 5A), in 345 agreement with another study (Fierer et al., 2003), but the NRS WNH<sub>4</sub> content mainly affected the G 346 PLFA content (Fig. 5B). These results indicated that the RS and NRS bacterial PLFA contents were 347 affected by different soil nutrient factors.

348 Soil moisture is an important environmental factor affecting the composition of microbial 349 communities, the higher amounts of soil moisture in north-facing slopes (Sariyildiz et al., 2005) can 350 lead to lower F/B ratios (Brockett et al., 2012; Drenovsky et al., 2010; Ma et al., 2015). The F/B ratio 351 in our study was highest in the south-facing slope and lowest in the north-facing slope for both RS and 352 NRS, consistent with previous studies (Huang et al., 2015; Gilliam et al., 2014). The higher amount of 353 soil moisture in the north-facing slope would reduce soil aeration, and lower oxygen levels would 354 create an environment favourable for facultative and obligate anaerobic bacteria (Drenovsky et al., 355 2004). Drought stress in the south-facing slope would likely facilitate the survival of fungi, because soil 356 fungi rely on more aerobic conditions and are more tolerant of drought due to their filamentous nature 357 (Zhang et al., 2005). The significant difference in the RE for bacterial PLFA content was not obvious for fungal PLFA content, so the RE was much weaker in the fungal than the bacterial community, 358 359 consistent with Buyer et al. (2002). These results indicated that RE had a large effect on the structures 360 of the fungal and bacterial communities.

361 Previous studies have found that wetter soils are more enriched in G<sup>+</sup> bacteria (Zhang et al., 2005; 362 Drenovsky et al., 2010; Ma et al., 2015), in agreement with the result of the RE G<sup>+</sup> PLFA content, but 363 not the NRE G<sup>+</sup> PLFA content. As RE was significantly affected by slope aspect for the G<sup>+</sup>PLFA 364 content, this may be one of the reasons that caused the difference between RE and NRE G<sup>+</sup> PLFA contents. Furthermore, the RDA indicated that the RS WSOC was well correlated with the RE G<sup>+</sup> 365 PLFA content, and the NRS WNH<sub>4</sub> content was well correlated with the NRE G<sup>+</sup> PLFA content (Fig. 366 367 4A, B). Although drier soils tend to be more enriched in  $G^-$  bacteria (Zhang et al., 2005; Drenovsky et 368 al., 2010; Ma et al., 2015), both the higher RE and NRE G<sup>-</sup> PLFA contents were recorded at the 369 north-facing slope. It has been shown that drier soils can lead to low root exudates, which may lead to 370 lower activities of the soil microorganisms (Zhang et al., 2015). The G<sup>+</sup>/G<sup>-</sup> ratio can indicate the 371 dominance of bacteria in soil microbial communities (Tscherko et al., 2004; Zhang et al., 2015). 372 Neither the RS nor the NRS G<sup>+</sup>/G<sup>-</sup> ratio was affected by slope aspect, indicating that slope aspect did 373 not significantly affect the dominant bacterial community in either RS or NRS.

# 374 4.2.2 Actinomycete and total PLFA contents

375 RS and NRS actinomycete PLFA contents were significantly affected by slope aspect, supporting our 376 hypothesis 1. Actinomycetes and G<sup>+</sup> bacteria have similar life habits, so wetter soils are more enriched 377 in actinomycetes (Zhang et al., 2005; Drenovsky et al., 2010; Ma et al., 2015). RS actinomycete PLFA 378 content in the north-facing slope was therefore higher than that in the south-facing slope, and the 379 northwest-facing slope had more moderate growth conditions for actinomycetes compared with the 380 north-facing and south-facing slopes. NRS actinomycete PLFA content, however, was lower in the 381 northwest-facing slope than those in the north-facing and south-facing slopes. This difference may have 382 been due to RE, because RE in the northwest-facing slope was significantly higher than those in the other slopes. RE will affect soil nutrients more in RS than NRS (Zhang et al., 2012; Grayston et al.,
1997). The RDA indicated that the RS but not NRS actinomycete PLFA content was well correlated
with WSOC and WNH<sub>4</sub> contents, supporting our hypothesis 2 (Fig. 4A, B).

386 The G<sup>+</sup> and actinomycete PLFA contents accounted for more than 50% of total PLFA content in 387 both RS (57-59%) and NRS (54-58%), so the distribution of total PLFA content in our study area 388 depended mainly on the G<sup>+</sup> and actinomycete PLFA contents. Wetter soils tend to be more enriched in 389 G<sup>+</sup> bacteria and actinomycetes (Zhang et al., 2005; Drenovsky et al., 2010; Ma et al., 2015), so total 390 PLFA contents in both RS and NRS were highest in the north-facing slope. Total PLFA content, 391 however, was higher in the northwest-facing slope than that in the south-facing slope for RS and did not differ significantly between the northwest-facing and south-facing slopes in NRS. These differences 392 393 in total PLFA content between RS and NRS may have been mostly due to RE. The shading by herbs in 394 the northwest-facing slope may make RS as suitable for microbial life as in the north-facing slope, 395 whereas NRS in the northwest-facing slope was not suitable for microbial life as in the south-facing 396 slope without plant shading. The path analysis indicated that WSOC content had a significant effect on 397 RS total PLFA content and that WNH<sub>4</sub> content had a significant effect on NRS total PLFA content (Fig. 398 5A, B), as expected (Haynes, 2000; Huang et al., 2014; Nilsson et al., 2005). These results supported 399 our hypotheses 1 and 2, but hypothesis 1 was inconsistent with the study by Huang et al. (2015) who 400 found a significantly higher total PLFA content in a south-facing slope than in other slopes. RE may 401 have been one of the main reasons, because shrub shading (Huang et al., 2015) clearly differs from 402 herb shading (our study), which could by caused a different RE (Blok et al., 2010).

#### 403 **5** Conclusions

404 This study provides experimental evidence that slope aspect can markedly but differentially affect 405 MBC and PLFA contents in RS and NRS that and the different influences can produce an evident RE; 406 the RE for most microbial properties was higher in the northwest-facing slope. WSOC content was well 407 correlated with RS microbial properties, and WNH4 content was well correlated with NRS microbial 408 properties, likely due to RE. Studies of the influence of slope aspect on soil microbial communities 409 should therefore consider REs. This study provides new insights into the influences of topographic 410 factors affecting the mechanisms driving the structure of microbial communities in a 411 micro-ecosystemic environment. Further field investigation on different plant species, however, is 412 needed to determine the role of RE under the effect of slope aspect in micro-ecosystemic environments.

# 413 Author contributions

- 414 GbL and SX provided research ideas and designed the experiments. They were also responsible for the
- 415 revision of the paper. SX, ZmA, JyZ, and HfL participated in the collection of soil samples, and ZmA,
- 416 JyZ, and HfL contributed to the soil analysis. ZmA analyzed the data and wrote the paper.
- 417

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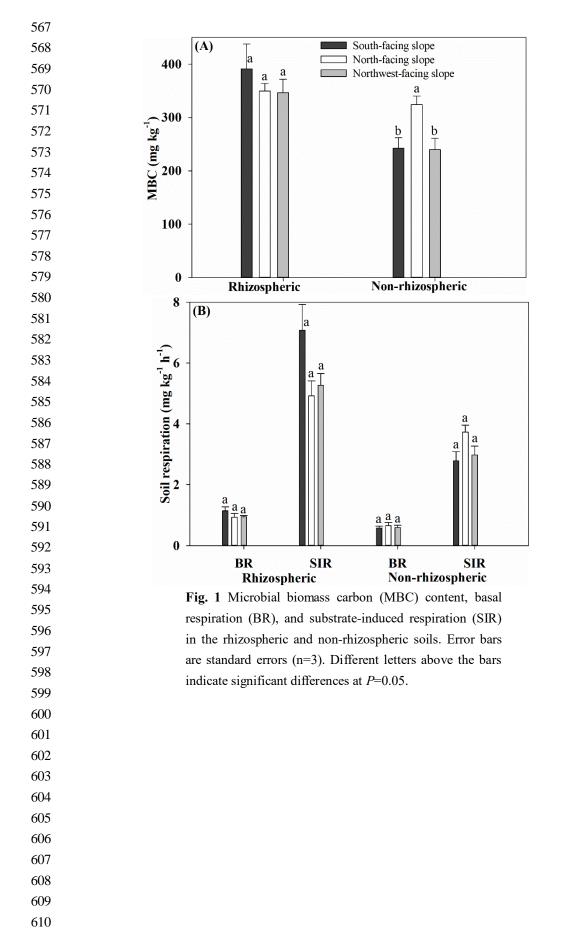
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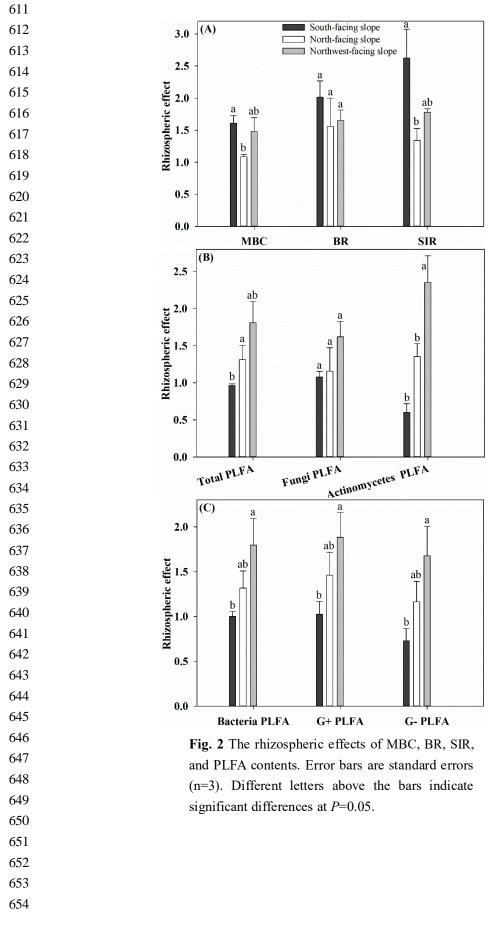
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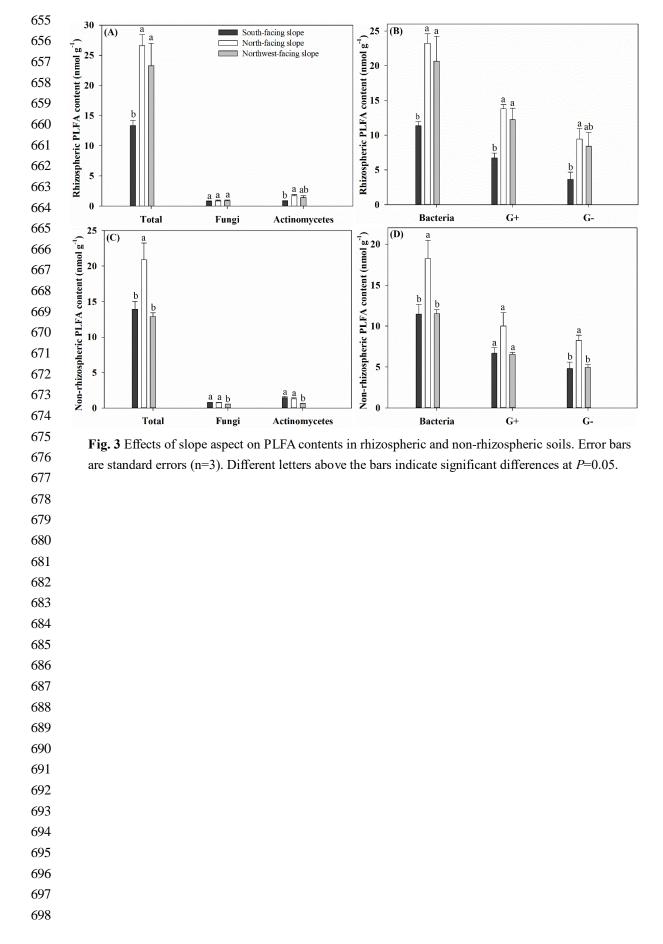
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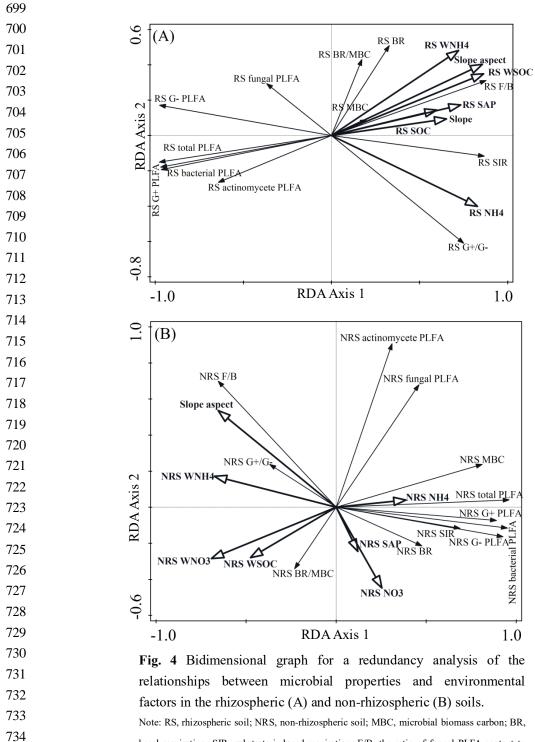
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basal respiration; SIR, substrate-induced respiration; F/B, the ratio of fungal PLFA content to bacterial PLFA content; G<sup>+</sup>/G<sup>-</sup>, the ratio of G<sup>+</sup> PLFA content to G<sup>-</sup> PLFA content; SAP, available phosphorus; SOC, soil organic carbon; NH4, ammonium nitrogen; NO3, nitrate nitrogen; WSOC, water-soluble organic carbon; WNH4, water-soluble ammonium nitrogen; WNO3, water-soluble nitrate nitrogen.

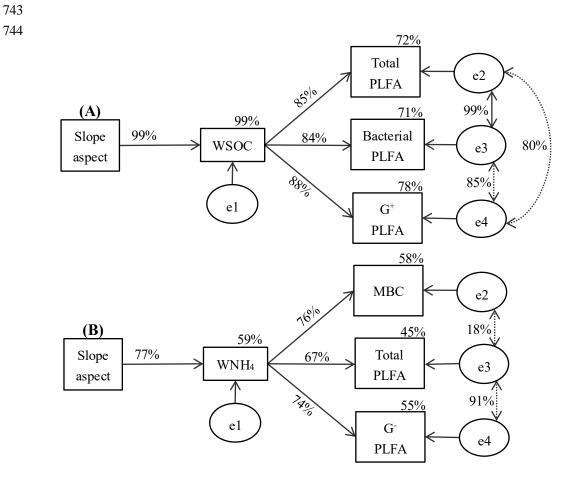


Fig. 5 Structural equation models of the effect of slope aspect on microbial properties in the rhizospheric (A) and non-rhizospheric (B) soils. Numbers on the arrows are standardised path coefficients (equivalent to correlation coefficients). Solid lines indicate significant standardised path coefficients (P < 0.05). Circles indicate error terms (e1–e4). Percentages near the endogenous variables indicate the variance explained by the model.