



1 Texture analysis of experimentally deformed Black Hills Quartzite

2 Rüdiger Kilian^{1*}, Renée Heilbronner^{1,2}

3 (1) Department of Environmental Sciences, University Basel, Basel, Switzerland

4 (2) Department of Geology, University of Tromsø, Tromsø, Norway

5 * ruediger.kilian@unibas.ch

6 **Abstract**

7 The textures of three samples of Black Hills quartzite (BHQ) deformed experi-
8 mentally in the dislocation creep regime 1, 2 and 3 (according to Hirth and
9 Tullis, 1992) have been analysed by EBSD. All samples were deformed to relat-
10 ively high strain, within a temperature range of 65° and identical displacement
11 rates and are almost entirely composed of dynamically recrystallized grains.

12 A texture transition from peripheral c-axes in regime 1 to a central c-axis max-
13 imum in regime 3 is observed. Separate pole figures are calculated for different
14 grain sizes, aspect ratios and long axis trend (θ) of grains, and high and low
15 levels of intragranular deformation intensity as measured by the grain kernel av-
16 erage misorientation (gKAM). Misorientation relations are analysed for different
17 texture components (named Y- B- R- and σ , with reference to previously pub-
18 lished 'prism', 'basal', 'rhomb' and ' σ_1 ' - grains).

19 Results show that regime 1 and 3 correspond to clear end member textures with
20 regime 2 being transitional. Texture strength and the development of a central c-
21 axis maximum from a girdle distribution depends on deformation intensity at the
22 grain scale and on the contribution of dislocation creep which increases towards
23 regime 3. Combined with calculations of resolved shear stresses and misorienta-
24 tion analysis, it becomes clear that the peripheral c-axis maximum in regime 1 is
25 not due to deformation by basal - $\langle a \rangle$ slip. We interpret the texture transition as
26 a result of different texture forming processes, one being more efficient at high



27 stresses (formation of grains with peripheral c-axes), the other depending on
28 strain (dislocation glide involving prism and rhomb slip systems), and not as a
29 result of a temperature dependent activity of different slip systems.

30 Keywords:

31 Quartz texture, crystallographic preferred orientation, texture transition, slip
32 systems, dislocation creep

33 **1. Introduction**

34 Quartz textures, usually presented in the form of pole figures are used frequently
35 for the analysis of deformed rocks. Interpretations based on pole figures or EBSD
36 data are widely used to make inferences about deformation kinematics such as
37 shear senses (e.g. Berthe et al., 1979, Simpson, 1980; Kilian et al., 2011b), vortici-
38 city (e.g. Wallis, 1995; Xypolias, 2009) and progressive strain type (e.g. Price,
39 1985; Sullivan & Beane, 2010), deformation mechanism (Behrmann & Mainprice,
40 1987; Song & Ree, 2007; Kilian et al., 2011a) or recrystallization processes (e.g.
41 Knipe & Law, 1987; Stipp et al., 2002), involved slip systems (e.g. Bouchez &
42 Pecher, 1981; Schmid & Casey, 1986; Law et al., 1990) or synkinematic temper-
43 ature (e.g. Kruhl, 1998; Morgan & Law, 2004; Thigpen et al., 2010). However, in
44 some cases, the underlying mechanisms and processes are poorly understood
45 and dependencies, e.g. of temperature and recrystallization mechanisms (e.g.
46 Stipp et al., 2002), or texture geometry and strain in polycrystalline materials are
47 not always easily separated (e.g. Schmid & Casey, 1986; Wenk & Christie, 1991).
48 Transitions in texture types have been correlated with (changing) recrystalliza-
49 tion mechanisms or were explained by a temperature dependence of the slip sys-
50 tems involved in crystal plastic deformation (e.g. Tullis et al., 1973). There have
51 been speculations on a temperature dependence of slip systems, either caused
52 by a temperature dependent critical resolved shear stress during glide (Hobbs,
53 1985) or anisotropic diffusion during climb (e.g. Blacic, 1975), however, conclus-



54 ive evidences have only been found for a transition from $\langle a \rangle$ to $\langle c \rangle$ burgers
55 vectors towards very high temperatures (e.g. Mainprice et al., 1986). For $\langle a \rangle$ -
56 slip, a temperature dependent activation of different slip systems has not been
57 convincingly demonstrated. A bulk strain dependency of texture is recognized in
58 experiment and nature (e.g. Heilbronner & Tullis, 2006; Pennacchioni et al.,
59 2010), however, it is not too clear in which way bulk strain relates to strain at a
60 grain scale in a deforming aggregate.

61 In this contribution we will focus on the following questions: Which factors influ-
62 ence the texture geometry (shape of pole figure skeletons)? Is the texture con-
63 trolled by deformation temperature, geometry/kinematics or recrystallization
64 processes? How reliably can certain texture components be used to infer the
65 activity of a specific slip system? To this end, EBSD data obtained from Black
66 Hills Quartzite (original samples of Heilbronner & Tullis 2002 and 2006) experi-
67 mentally deformed in the three dislocation creep regimes (Hirth & Tullis, 1992)
68 were examined. Regime 1 is characterized by a high yield strength and substan-
69 tial strain softening and non-recrystallized grains deforming by fracturing and
70 dislocation glide (Hirth & Tullis, 1992) and climb (Stipp & Kunze, 2008) while re-
71 crystallization occurs by bulging or nucleation and growth of new grains. Ag-
72 gregates of newly formed grains are thought to deform by a dislocation process
73 with a substantial contribution of grains boundary sliding (Tullis, 2002; Stipp &
74 Kunze, 2008). Regime 2 samples yield at lower stresses and no pronounced
75 weakening is observed. Incipient subgrain rotation recrystallization (SGR) has
76 been documented (DellAngelo & Tullis, 1989). Regime 3 exhibits the lowest flow
77 stresses, SGR is predominant, and some workers observe synkinematic normal
78 grain growth (Gleason et al., 1993; Stipp et al, 2006) or abnormal grain growth
79 (Heilbronner & Tullis, 2006), potentially in relation to texture development.



80 Specific types of textures and certain texture components have been given ge-
81 netic or descriptive terms in the literature. In particular, grains with a specific c-
82 axis direction have been interpreted to be suitably oriented for the activity of
83 specific slip systems with the $\langle a \rangle$ -direction as a Burgers vector and have there-
84 fore been called ‘basal-’, ‘prism’ or ‘rhombo-grains’ (Bouchez & Pecher, 1981;
85 Heilbronner & Tullis, 2006). Here we will call grains with the c-axis at the peri-
86 phery of the pole figure, and approximately orthogonal to the shear plane B-
87 grains or B-domains, those contributing to the intermediate parts of a single
88 girdle, between the periphery and the centre will be termed R-grains or R-do-
89 mains, those with peripheral c-axes inclined against the sense of shear, roughly
90 towards the direction of the loading piston will be termed σ -grains or σ -domains
91 and finally those grains with c-axes close to the centre of the pole figure Y-grains
92 or Y-domains on account of their proximity to the Y-direction in the inferred
93 strain reference frame.

94 **2. Methods**

95 2.1 Experiments & samples:

96 The analysed samples are experimentally deformed Black Hills Quartzite (BHQ)
97 of Heilbronner & Tullis (2002 and 2006). 1 to 1.5 mm thick slabs of BHQ were
98 deformed in a solid medium, modified Griggs-type deformation apparatus. The
99 slabs were placed between two 45° pre-cut forcing blocks made up of single
100 crystal Brazil quartz oriented with the c-axis parallel to the advancing load pis-
101 ton. Experiments were performed on “as-is” BHQ and with 0.17 wt. % H₂O ad-
102 ded (~11000 ppm H/10⁶Si) in mechanically sealed PT jackets. “As-is” experi-
103 ments were conducted at 850 °C and water added experiments at 875 and
104 915°C, all an axial shortening rate of $\sim 3 \cdot 10^{-5} \text{ s}^{-1}$ and confining pressures of
105 1.5 GPa. Details of the experimental procedures are provided in Heilbronner &
106 Tullis (2002, 2006) and in the companion paper Heilbronner & Kilian (this
107 volume). Sample strain or flow related reference directions such as the principal



108 strain axes or the instantaneous stretching axes (ISA) were calculated from final
109 displacement, initial and final sample thickness assuming steady general shear
110 (e.g. Fossen & Tikoff, 1993).

111 2.2 EBSD data analysis

112 EBSD maps were collected on a Zeiss Merlin FEG-SEM, equipped with an Ox-
113 ford (insert. details here) EBSD Camera in low vacuum mode, using a 2x2/4x4
114 binning, 20 kV acceleration voltage, and a probe current of 6-9 nA using step
115 sizes of 0.5 and 1 μm and exceptionally 0.25 μm . Unless otherwise specified, only
116 the maps of the high bulk strain experiments w1092, w946 and w1092 were ana-
117 lyzed (details are given in Heilbronner & Kilian, this volume). Data cleanup and
118 all processing was done using the mtex toolbox by Ralf Hielscher (Bachmann et
119 al. 2011; Mainprice et al., 2014; <https://mtex-toolbox.github.io/>). See appendix
120 for details on data processing.

121 Textures are presented in the form of (inverse) pole figures using the point group
122 '321' for quartz (Fig. 1). Pole figures are displayed such that normal to the shear
123 zone boundary (surface of the forcing blocks of the experiment) is vertical and
124 the displacement direction horizontal. Texture strength is given as texture index,
125 pole figure J-index and maxima of pole figure densities. See appendix for details
126 on texture calculations. Crystal directions or poles to planes of (0001), [0001], <
127 11-20>, {10-10}, {10-11} and {01-11} are abbreviated as (c), [c], <a>, {m},
128 {r}, and {z} with the conventional bracketing scheme.

129 Positions on the pole figure will be given as azimuthal angles with an origin in
130 the west, increasing clockwise, inclination being 0 in the centre of the pole fig-
131 ure. Directions in the first and third quadrant (NW and SE) are inclined with a
132 sinistral sense of shear.

133 Textures have been calculated for classes of grains in aspect ratio - grain size,
134 and long-axis trend (θ) - aspect ratio space. Grains from different maps of
135 identical step size of low and high total strain experiments of each regime have



136 been combined to obtain a sufficiently large datasets. Class boundaries are
137 chosen such that they are equally populated for each property and combinations
138 of two properties result in a 3-by-4 matrix in e.g. aspect ratio – grain size space.
139 Because distributions are skewed, classes are not equally populated. Kernel
140 parameters for the texture calculations were estimated individually for each
141 class and since that, pole figure geometries are comparable and densities of pole
142 figures will not be overestimated for poorly populated classes.

143 Spherical interpolations for grain size, aspect ratio and axial ratio have been cal-
144 culated in c-axis pole figure space. They represent the average grain property at
145 a given c-axis direction. To avoid a bias introduced by the uneven distribution of
146 c-axis poles the following procedure was used: A subsample of 400 grains was
147 drawn, the c-axis direction was calculated, the property associated with each
148 grain was interpolated on a 15° spherical grid using an inverse distance weight-
149 ing, the procedure was repeated 1000 times and the mean of the interpolation
150 was plotted as a pole figure.

151 Grain sizes are defined as the diameter of an area equivalent circle of the grains.
152 The grain long axis and aspect ratio are obtained from the best fit ellipse from
153 smoothed grain boundaries.

154 To quantitatively compare texture strength of the grain property classes (e.g.
155 grains size – aspect ratio classes), subsamples of identical sizes were estimated
156 in a bootstrapping approach. 100 randomly chosen subsamples of the size of the
157 smallest of any population (>100) were repeatedly drawn from the population of
158 grains within a property class. Texture parameters were calculated for each sub-
159 sample using a fixed kernel width. The mode of the resulting distributions is com-
160 pared. The standard deviation usually converged after <50 draws to below 5-
161 10%. Texture strength was measured using the texture index (texture or J-index,
162 L2-norm of the ODF) and two different fibre volumes. A fibre is defined by a crys-
163 tal direction and a corresponding direction in specimen coordinates and mani-
164 fests as a line in ODF space. The fibre volume is the mass fraction of an ODF con-
165 tained within a radius around a given fibre. One fibre (B-fibre) is defined by the



166 [0001] direction inclined 76° to the shear plane with the sense of shear (B-fibre)
167 and another fibre (Y-fibre) is defined by the [0001] direction pointing towards the
168 centre of the pole figure (structural y-direction). For easier inspection and com-
169 parison, results are colour-coded and plotted in x-y parameter space (aspect ratio
170 - grain size or θ - aspect ratio).

171 Misorientation axes have been determined in specimen and crystal coordinates
172 for misorientation angles of $2-9^\circ$ for subsets of grains. Subsets a chosen to con-
173 tains grains with modal orientations of up to 25° away from the orientation
174 modes for Y-, B-, R-, and sigma-grains. This threshold angle corresponds to a
175 volume in the ODF and not to the opening angle of a cone on a [c] pole figure,
176 making the selection stricter compared to Heilbronner & Tullis (2006) since
177 thresholds are applied to modes of orientations and not only c-axis directions.
178 Pole figures and inverse pole figures are contoured as well as a random sub-
179 sample of points is plotted. Individual points are colour-coded such that misori-
180 entation axes in specimen reference frame appear in the colour key of the axis in
181 the corresponding crystal reference frame. Misorientation axes in crystal refer-
182 ence frame are colorised by the inclination of the axis in specimen coordinates.

183 $\langle a \rangle$ -intransparency is defined as the minimal angle between $\langle a \rangle$ across a grain
184 boundary, ignoring the polarity of $\langle a \rangle$ and it is a measure of strain compatibility
185 of adjacent grains deforming by glide along $\langle a \rangle$ on an infinite group of planes.

186 The grain kernel average misorientation (gKAM) is calculated from the kernel av-
187 erage misorientation (KAM) from noise reduced EBSD data. The gKAM is the
188 sum of the KAM within a grain divided by the number of measurements with the
189 grain. The gKAM is a measure of intragranular deformation intensity or misori-
190 entation density and depends on the misorientation angle and fraction of low
191 angle boundaries within a grain. See appendix for details.



192 The generalized Schmid factor S_f (Reid, 1973) is calculated from a given slip sys-
193 tem and a stress tensor, and presents the ratio between the shear stress on a slip
194 system and the norm of the macroscopic stress tensor. Since the general shear
195 experiments are plane strain and displacement is resolved parallel to the dip of
196 the forcing block, we use a triaxial, normalized stress tensor. The absolute mag-
197 nitude of the stress tensor does not have any influence on the value of the gener-
198 alized Schmid factor. Schmid factors are calculated for all orientations (either
199 each measurement or grain modal orientation), and their sum is divided by the
200 number of orientations. For combinations of slip systems, Schmid factors are cal-
201 culated for all slip systems in the combination and the maximum values are aver-
202 aged.

203 3. Results

204 3.1 Pole figure geometry

205 In the regime 1, the pole figures show a broad, asymmetric peripheral distribu-
206 tion of c -axes with a maximum $\sim 78^\circ$ (inclined with the sense of shear) (Fig.1a).
207 Minor densities occur at $\sim 130^\circ$ roughly parallel to the shortening axis in the ex-
208 periment and a tail towards the centre of the pole figure. $\langle a \rangle$ shows a major
209 maximum at the periphery, forming an angle of $\sim -12^\circ$ with the shear plane. Two
210 minor maxima of $\langle a \rangle$ lie on great circles inclined about 15° with respect to to
211 the pole figure centre. Poles to $\{r\}$ shows a symmetric peripheral maximum in-
212 clined against the sense of shear at $\sim +120^\circ$ and a girdle distribution perpendicu-
213 lar to the peripheral maximum.

214 In the regime 3 sample, c -axes pole figures show an elongated maximum in the
215 centre of the pole figure, overlying a weak, kinked single girdle. Internally the
216 maximum is composed of two maxima at an angular distance of about 20° , sym-
217 metrically arranged above and below the shear plane. A -axes form a major max-
218 imum at $\sim -10^\circ$.



219 In pole figures obtained from regime 2 experiments, [c] is distributed along a
220 kinked single girdle, presenting a combination of regime 1 and 3 pole figures. A-
221 axes form a strong maximum at $\sim -13^\circ$ and the {r} pole figure resembles the one
222 observed in the regime 1 sample.

223 Minor but significant densities of [c] in the pole figure are positions inclined
224 against the sense of shear (roughly corresponding σ -directions) are only found in
225 the regime 1 samples.

226 Inverse pole figure (IPF) have been constructed for various strain and sample re-
227 lated reference directions (Fig. 1b): in general and most dominant in regime 1
228 and 2, the strongest alignment is found for <a> and a reference direction of the
229 ISA1 - 45° , (-10° below the shear plane, being the trace of the highest shear
230 stress). In the regime 3 sample, <a> also shows a strong alinement with the
231 shear direction since it is generally more strongly dispersed around the peri-
232 phery. In IPFs with a reference direction at 135° (parallel to the direction of the
233 load piston), all samples show a high density very close to {20-21}. Using the
234 shortening ISA (being steeper than the direction related to the load piston) as a
235 reference direction, in regime 1 and 2 a very strong alignment of {r} is found.

236 3.2. Orientation maps.

237 Based on the result of the IPFs, we display orientation maps in an inverse pole
238 figure colour coding with respect to the ISA1- 45° (Fig. 2a). Maps show a relat-
239 ively homogeneous distribution of <a> parallel to the reference direction across
240 all three samples. Notably in regime 1 and 2 samples, inside bands with a shear
241 band geometry, dispersed grains show an alignment of [c] close to the shear
242 plane.



243 Using the structural Y-direction as a reference (Fig2b), the increase from regime
244 1 to 3 in orientations with [c] parallel Y can be clearly seen. In regime 2 it ap-
245 pears that tY-grains dominate in areas mostly devoid of larger porphyroclasts and
246 a smaller grain size. In regime 3 Y-grains also occur in a spatially domainal struc-
247 ture.

248 3.3 Variation of pole figure geometry within classes of grain size, aspect ratio
249 and long axis direction

250 For pole figures calculated in aspect-ratio-grain size space, the following obser-
251 vations are made (Fig.3): For all regimes, [c] pole figures show an increased or-
252 dering towards higher aspect ratio and towards larger grain sizes. In regime 1
253 small grains in general show the broadest distribution, dispersed along the peri-
254 phery. With increasing aspect ratio, a weak single girdle can be recognised. In
255 regime 3, [c] pole figures obtained from large grains with the large aspect ratios
256 show the highest degree of ordering with the elongated central maximum and
257 peripheral maxima and minor off-periphery maxima, forming a single, kinked
258 girdle. In pole figures calculated for regime 2 experiments, the identical relation-
259 ship is observed, a single kinked girdle develops with peripheral and central
260 maxima, which can be described as mixtures between regime 1 and regime 2 pole
261 figures (Fig.3).

262 For pole figures of θ - aspect ratio classes, in general those [c] pole figures from
263 within classes of the largest aspect ratio and θ containing the maximum of the
264 trend distribution have the highest degree of ordering. Second strongest [c]
265 alignments are found for regime 1 in the θ class which contains the trend of the
266 shear plane and for regime 2 and 3 the θ class with steeper major axis trends.
267 The weakest [c] ordering can be found in those classes containing grains with a θ
268 pointing against the sense of shear. While the distribution of maxima along the
269 girdle characteristically varies from regime 1 over regime 2 to 3, additionally



270 within each regime, the classes with the highest aspect ratios and within those,
271 the θ class for most well aligned grains, show an increasing concentration of [c]
272 in central regions of the girdle.

273 The position of the peripheral part of the kinked girdles shows a consistent vari-
274 ation with the θ class, being most inclined (with the sense of shear) in those
275 classes also containing the trend of the shear plane and being steepest within
276 the classes of θ steeper than the maximum (table 1). This variation is most ex-
277 pressed for classes of high aspect ratio.

278 To summarize, the pole figure skeleton, the density along the girdle and its in-
279 clination varies with θ and the deviation of [c] maxima on the girdle from the
280 periphery depends most on grain aspect ratio.

281 Pole figures for $\langle a \rangle$ and $\{r\}$ for the different classes of grain size, aspect ratio
282 and θ are far less subject to changes in geometry and exemplified for regime 2
283 samples (Fig. 4). $\langle a \rangle$ readily form peripheral maxima close to the shear plane
284 and ordering increases with increasing grain size and aspect ratio. Pole figures
285 for $\{r\}$ show the peripheral maximum at $\sim 110\text{-}120^\circ$ which varies together with
286 the trend of the [c] girdle (Table 1).

287 In a few cases, a secondary, peripheral [c] maximum (or relict cross girdle) is
288 present and its opening angle varies between 50° to about 80° , mostly as a func-
289 tion of aspect ratio but not systematically between regimes.

290 3.4 Pole figures of grain properties

291 For pole figures of grain size, aspect ratio and axial ratio (Fig. 5) obtained from a
292 subset of grains smaller $25\ \mu\text{m}$, for regime 1 and 2 the average grain size (com-
293 parable to a number weighted average grains size) is largest at the periphery
294 and for regime 3, it is largest in the centre of the [c] space. In all three regimes,



295 a high average aspect ratio is found along a kinked girdle for regime 1 and 2 and
296 a weak cross girdle for regime 3. The largest average aspect ratios along these
297 girdles are located at the centre of the girdle for regime 2 and 3. Inversely, the
298 largest average axial ratio occurs for grains with [c] in one of two close to ortho-
299 gonal, peripheral directions, roughly at 30-35° or 125°.

300 3.5 Pole figures for low-gam/high gam grains

301 Pole figures are calculated for populations of grains with a gKam below and
302 above the median gKam, independent on grain shape or size parameters. The
303 most obvious difference between the textures for low and high gKam classes are
304 seen in the c-axis pole figures for recrystallized grains (Fig. 6a). Here, grains <
305 12 µm for regime 1 and 2 and < 25 µm for regime 3 are considered. In general
306 [c] pole figures for the high gKam population show a stronger degree of ordering
307 and a tendency for higher pole densities away from the periphery, along the
308 kinked single girdle. The higher degree of ordering is expressed by an creasing
309 the pfJ, higher densities along the girdle, narrower peripheral maxima and in the
310 case of of single maximum pole figures also by the magnitude of the maximum.
311 Peripheral maxima shift to Y-maxima (regime 2) or secondary peripheral maxima
312 disappear in the high gKam classes. This trend is more pronounced in textures
313 calculated from all the orientations from within grains within a given class. For
314 <a> and {r} pole figures, mostly a strengthening of the maxima at the periphery
315 can be noticed. Comparing pole figures obtained from the uppermost and lower-
316 most 20% of the gKam population, this change in geometry is even more pro-
317 nounced (Fig.6b).

318 3.6 Quantification of texture strength

319 In all regimes, the texture index increases with increasing aspect ratio and for
320 higher aspect ratios also for increasing grain size (Fig. 7). Also, the classes con-
321 taining the (recrystallized) grains with the largest size and aspect ratio possess
322 the highest texture strength. In each regime and class, there is also an increase
323 in the texture index from those population of grains with a low gKAM to those



324 with a high gKAM (0.05-0.55 and $>0.55^\circ$). For regime 2, there is an additional
325 maximum for small grains with high aspects ratios within the higher gKam class.
326 Within θ - aspect ratio space, it is observed that the texture index continuously
327 increases towards higher aspect ratio. In regime 1 the highest texture index is
328 found for high gKAM classes at θ of ~ 20 - 30° . In regime 2 the range of the tex-
329 ture index is smaller but still maximum values are found for high aspect ratio
330 classes with a θ containing the shear plane or in the class of 20 to 40° . For re-
331 gime 3, also high aspect ratio classes have the highest texture index with the
332 maxima found clearly off the shear plane in the 30 - 45° θ bin. For all regimes,
333 there is an increase in the maximum texture index form the low gKAM to the
334 high gKAM class.

335 For aspect ratio - grain size classes and θ - aspect ratio classes the volume of the
336 B-fibre is largest for regime 1 and smallest in regime 3 while in contrast, the
337 volume of the Y-fibre is smallest in the regime 1 samples and largest in regime 2
338 samples, reflecting what can be roughly seen in the pole figures. The variation of
339 B-fibre volumes within regime 1 and y-fibre volumes within regime 3 is compar-
340 able to trend in variation of the texture index in the corresponding classes; also
341 with an increase in fibre volumes form low gKAM to high gKAM classes in θ - as-
342 pect ratio space for regime 1 and regime 3 as well as in aspect-ratio - grain size
343 space in regime 3. Regime 2 does not show a large variation in B- and Y-fibre
344 volumes (since c-axis girdles host both components), however, there is a small
345 decrease in volumes of B-fibres and in increase in the volumes Y-fibres from low
346 gKAM to high gKAM classes.

347 3.7 Structure of Y-domains and misorientation axes related to low angle boundar-
348 ies

349 Figure 8a shows a crop of an EBSD map of a Y-domain with a colour coding of
350 boundaries based on the $\langle a \rangle$ -intransparency. While c-axes are strongly aligned,
351 the $\langle a \rangle$ -intransparency can have relatively high angles ($>20^\circ$) and may change



352 gradually along grain boundaries. Low values of the $\langle a \rangle$ -intransparency
353 between grains with c-axes at a high angle to another are also present, however,
354 less frequent than low values of the $\langle a \rangle$ -intransparency between y-grains. Grain
355 boundaries of the Y-grains show in total a larger deviation from the uniform dis-
356 tribution of the $\langle a \rangle$ -intransparency with more lower and more higher angles for
357 boundaries between Y-grains (see Appendix A2), while inter the latter approach-
358 ing a distribution which would be expected for a uniform texture.

359 colour-coding low angle boundaries for their misorientation axis in crystal co-
360 ordinates shows that most low angle boundaries within a y-domain have a rota-
361 tion axis close to the c-axis (Fig. 8b). However, other directions are also present
362 and in non-Y grains, rotation axes close to one of the poles to rhombs or of direc-
363 tions located within the basal plane appear to be more frequent.

364 Misorientation axes are shown for a regime 2 sample for Y-, B-, and R-grains
365 (Fig. 9). For the complete dataset for all three regimes including sigma grains
366 see Appendix 4. Misorientation axes dominate around the c-axis direction, also
367 coinciding with the axes being most inclined (parallel to the kinematic y-direc-
368 tion). For B-grains, the highest density of misorientation axes is found to have
369 directions within the basal plane, mostly close to m. However, the strength of
370 this distribution is very weak. R-grains show a maximum of misorientation axes
371 around c and a slightly higher density in the area of positive rhombs. Misorienta-
372 tion axes with direction most closely parallel to the kinematic Y-direction prefer-
373 entially fall close to a position between the $\langle 10\text{-}11 \rangle$ and a more general direc-
374 tion $\sim \langle 7\text{-}2\text{-}56 \rangle$. For σ -grains, a distribution is found similar to that of the b-
375 grains, however with a slightly more pronounced deviation from uniformity (see
376 Appendix 4). For all grain classes it can be seen that a variably strong maximum
377 of misorientation axes in specimen coordinates is parallel to the kinematic Y-dir-
378 ection. R-grains show the highest density of misorientation axes in crystal co-
379 ordinates around the c-direction while in specimen coordinates, the maximum is
380 located also at the kinematic Y-direction. Since this is in the first place a contra-



381 dictory situation, it needs to be noticed that the distribution of misorientation
382 axes in specimen coordinates is elongated towards axes which correspond to ro-
383 tations around the c-axis (red clusters, third column in Fig. 9d), while those mis-
384 orientation axes located at the centre of the pole figure correspond to those crystal
385 directions loosely located between 10-12, 10-11 and $\langle 7-2-56 \rangle$.

386 3.8 Schmid factor analysis

387 The mean generalized Schmid factor is plotted as function of the trend of the
388 maximum principal stress direction of the stress tensor (Fig. 10). Highest Schmid
389 factors are attained for σ_1 directions consistently about 10 to 20° steeper com-
390 pared to the direction of the load piston. For single slip systems, in all regimes,
391 disrespect of whether modal grain orientations or all points of the EBSD maps
392 are used, $\{pi'\}-\langle a \rangle$ or $\{z\}-\langle a \rangle$ give the highest Schmid factors. Notably also in
393 regime 1 where many grains have [c] at the periphery of the pole figure, highest
394 mean Schmid factors are predicted for $\{pi'\}-\langle a \rangle$. For combinations of slip sys-
395 tems, in regime 2 and 3, $\{m\}-\langle a \rangle + \{pi'\}-\langle a \rangle + \{z\}-\langle a \rangle$ always give the
396 highest mean Schmid factors and are equally high in regime 1 as the combina-
397 tion of $\{m\}-\langle a \rangle$ and $\langle c \rangle$. Notably, the curve for $\{m\}-\langle a \rangle$ shows a similar
398 behaviour as the curve for $\langle a \rangle$ slip on the positive rhombs, having a minimum
399 mean Schmid factor at the position where for most reasonable slip systems show
400 a maximum. While this is logical for {r} and {z} for example, {m} have this crys-
401 tallographic dependency and this behaviour is somewhat unexpected.



402 **4. Discussion**

403 Textures of recrystallized grains and bulk textures of all regimes share an align-
404 ment of $\langle a \rangle$ to the direction of parallel to the trend of the plane of maximum
405 shear stress at $\sim 10^\circ$ within the shear plane and a strong alignment of the posit-
406 ive rhombohedral planes towards the shortening ISA (regime 1,2). There is a
407 transition in texture geometry (skeleton) and in texture strength across the re-
408 gimes. $[c]$ is dispersed on the periphery normal at a high angle to the shear plane
409 in regime 1, distributed along a kinked, single girdle in regimes 2 and forms a
410 central bi-modal maximum at the structural Y-direction in regime 3. The texture
411 strength increases from regime 1 to regime 3.

412 Similar pole figure skeletons have been reported in nature (e.g. Bouchez &
413 Pecher, 1981; Mancktelow, 1987; Law et al., 1990) and similar texture transition
414 were observed within metamorphic gradients (Stipp et al., 2002). Occasionally
415 this type of transition was used to draw inferences on the metamorphic condi-
416 tions for mylonitisation (see Law, 2014 for a review). In experiments, a texture
417 transition was observed in axial compression experiments (Tullis et al., 1973),
418 where in the high stress regime $[c]$ pole figures have a single maximum parallel
419 to the compression axis and in low stress regime, $[c]$ occurs within a small circles
420 centred around the compression axis. Some types of texture transitions observed
421 in nature (e.g. Lister & Dornsiepen, 1982; Gapais & Barbarin, 1986) were often
422 explained to be related to the activity of prism- c slip at very high temperature
423 (e.g. Mainprice et al., 1986). Based on the speculation on a temperature depend-
424 ency of different $\langle a \rangle$ -slip systems (Blacic, 1975; Hobbs, 1985), certain types of
425 textures were thought to be related to $\langle a \rangle$ -slip on different planes. However,
426 those texture transitions with evolving densities along a single or cross girdle
427 (e.g. Stipp et al., 2002, Toy et al., 2008) are difficult to explain with a hypothesis
428 of temperature sensitive $\langle a \rangle$ - slip systems and factors such as strain, alternative
429 texture forming processes and the influence of recrystallization mechanisms are
430 variables that need to be taken into account as well.



431 In the analysed experiments, the temperature difference was only about 65° and
432 displacement rates and finite strains are roughly identical in all regimes and the
433 major difference observed for these samples is the peak and flow stress (Fig. 2).
434 Accordingly, a temperature and bulk strain rate dependence can be neglected,
435 and the effects of the recrystallization and texture forming mechanisms of
436 samples deforming at basically identical conditions but different flow stresses
437 can be studied.

438 4.1 Relation of the texture transition to deformation intensity at the grain scale

439 Increasing central densities along a (partial) girdle are documented especially
440 for regime 2 and 3 with increasing grain scale deformation. We will take the θ -
441 aspect ratio relation and the gKAM as a measure for grain scale deformation.
442 Based on the coincidence of the maximum in the distribution of θ with the ISA
443 and the observation of the most synthetically rotated girdles in the θ classes
444 which contains the direction of the long axis of the finite strain ellipsoid (Fig 3),
445 grain alignment is assumed to be related to deformation. Similarly, we assume
446 that grain lengthening is related to deformation. If strain at the grain scale is
447 achieved via a dislocation mechanism, and if low angle boundaries are the result
448 of dynamic recovery by a climb of the strain producing slip system, the gKAM is
449 an expression of the intragranular deformation intensity. These assumptions are
450 supported by the observation of an increased texture strength with increasing
451 grain lengthening, alignment and increasing gKAM. We will argue that the re-
452 gime 3 sample shows the strongest support for dislocation creep and that dy-
453 namic recrystallization is dominated by subgrain rotation, and therefore a high
454 texture strength is associated with dislocation creep and this includes glide
455 which is the texture forming process.

456 With respect to the [c] pole figure skeleton an increasing ordering, development
457 or strengthening of a girdle component and/or the formation of a central max-
458 imum can be related to grain scale deformation. All these observations (Fig. 3,6)
459 indicate that [c] moves away from the periphery of the pole figure as grains de-



460 form. Given that the BHQ starting material shows a close to uniform texture, the
461 the Y-maximum is strain induced with [c] rotating along the single girdle towards
462 the centre of the pole figure. In nature, very similar textures evolutions are re-
463 ported as a function of bulk shear strain (Pennacchioni et al., 2010) and also
464 there, even at the highest observed shear strains, [c] only approaches the Y-dir-
465 irection. It remains to be explored whether this rotation is continuous or if there
466 are temporarily stable orientations. Positions of slow rotation rate might yield
467 the occasionally reported "rhomb"-maxima, although the exact c-axis positions
468 are quite variable and may be depend on other factors such as the kinematics of
469 flow as well.

470 In contrast, in regime 1 we observe a large volume of grains with [c] at the peri-
471 phery of the pole figure which must originated from the uniformly textured BHQ
472 and their occurrence cannot be explained by the observed strain related evolu-
473 tion of orientations.

474 4.2 Textures as indicators of deformation mechanism

475 4.2.1 Deformation mechanisms

476 Quantitative analysis of texture strength may give direct information on the con-
477 tribution of texture forming processes. Because an estimation of texture strength
478 depends on many variables, estimators should always be conservative in the
479 sense of not overestimating texture strength and because in geologic materials,
480 there is no knowledge about the exact meaning of absolute values, we performed
481 a quantitative comparison. Absolute densities and hence texture strength is ex-
482 pected to underrepresent the density of the underlying "true" distribution but re-
483 lative differences are quantitatively comparable in the presented approach (Fig.
484 7).

485 An increase in texture strength from regime 1 to regime 3 is documented as well
486 as a strengthening of the texture with increasing grain scale scale deformation.
487 The strength of a texture originating from deformation is usually related to the



488 contribution of crystal plastic mechanism such as dislocation glide and climb. At
489 the other hand, it can also be shown that crystal orientations and grain shapes
490 show a specific relationship since e.g. [c] girdles rotate as a function of θ (Fig. 3,
491 Table 1), which suggests that a certain amount of grain rotation takes place in
492 the forma of rigid body rotation and not entirely related to the internal deforma-
493 tion of the grains. Such a relative grain movement requires grain boundary slid-
494 ing. The necessity of grain boundary sliding during dislocation creep is well
495 known in some metals (e.g. Kottada & Chokshi, 2007) and has been suggested
496 for quartz mylonites (e.g. Mancktelow, 1987; Kilian 2011a) as a process related
497 to strain compatibility (Zhang et al., 1996). Glide with the involvement of a
498 prism-a slip system induces grain rotation around [c] within a Y-domain which
499 will result in strain incompatibility with the neighbouring grain as seen in the oc-
500 currence of large angles for the $\langle a \rangle$ -intransparency (Fig. 8a) which fits the sug-
501 gestion in the literature (Mancktelow, 1987) that this type of behaviour would be
502 expected for a texture with a Y-maximum.

503 In regime 1 small grains have [c] broadly dispersed along the periphery (Fig. 6,7)
504 and with increasing aspect ratio and grains size of recrystallized grains, [c] gath-
505 ers towards the peripheral edge of a partial single girdle and the texture
506 strength is much lower compared to the regime 3 sample it is likely that the con-
507 tribution of grain boundary sliding in regime 1 is larger. Grain boundary sliding
508 has been suggested to significantly contribute to deformation in regime 1 (Tullis,
509 2002; Stipp & Kunze, 2008). Possibly, in regime 1, the newly formed grains are
510 rather undeformed and may be smaller than the equilibrium subgrain size, lead-
511 ing to grain boundary sliding which correlates with the observation of the very
512 broad dispersion of [c] at the periphery for low gKAM grains in regime 1 (Fig.
513 6b).

514 In summary, the contribution of dislocation creep is interpreted to be largest in
515 regime 3 and the contributions of grain boundary sliding is largest in regime 1
516 and smallest in regime 3 samples. Since all mechanisms operate concurrently,
517 observed changes in texture strength are a result of different contribution of



518 each individual process. Regime 2 is again envisaged to n an intermediate situ-
519 ation - more dislocation creep contribution than in regime 1 and more grains
520 boundary sliding contribution than in regime 3.

521 4.2.2 Recrystallization processes

522 Porphyroclasts usually show systematic substructures characterized by discrete
523 orientation domains of a size comparable to the recrystallized grains size. In re-
524 gime 2 and especially regime 3, orientation domains are recognized which are
525 roughly of the size of original BHQ grains (Heilbronner & Kilian, this volume) but
526 are fully recrystallized. The progressive change in grain orientation with respect
527 to their neighbours in Y-domains in regime 3 is compatible with rotation of parts
528 of the crystal around misorientation axes parallel to the vorticity axis inferred for
529 the experiment (Fig. 8 a,b). All these microstructures are compatible with SGR
530 recrystallization. In regime 1 where bulging recrystallization is dominant (Hirth
531 & Tullis, 1992, Stipp & Kunze, 2008) a large fraction of recrystallized grains at-
532 tain a new orientation, unrelated to a host derived orientation domain. Besides,
533 that the lack of an orientation relation with the host may also result from a con-
534 tribution of grain boundary sliding, smallest, most equiaxed grains have the
535 highest c-axis densities at a peripheral position (Fig. 5) and poles to {r} align to-
536 wards the shortening direction. The exact nature of the process that controls the
537 orientations of newly formed grains during bulging recrystallization remains un-
538 clear and there are controversial suggestions in the literature (e.g. Stipp &
539 Kunze 2008; Cahn & Mishin, 2009). For all larger grains, it is reasonable to as-
540 sume that the clustering of poles to {r} to {pi} (the latter closest to the elastic-
541 ally softest direction) at the shortening direction is the result of Dauphiné twin-
542 ning (e.g. Tullis & Tullis, 1972) caused by a rotation of {z} away from the short-
543 ening direction around the c-axis. Because Dauphiné twinning does not affect the
544 direction [c] and a fibre-texture around {pi} or {r} is not strongly developed, a



545 different process needs to be responsible for the preferred occurrence of [c] at
546 the periphery in regime 1. Preferred growth is one such process and the poten-
547 tial will be discussed within section 2.4.

548 4.2.3 Evidence of active slip systems

549 Although, the interpretation of slip systems based on misorientation carries un-
550 certainties because the direction of subgrain boundaries is not known from 2D
551 sections, it supplies more information compared to a purely pole figure or de-
552 formation lamellae based speculation. Most misorientations in γ -domains (Fig 9.,
553 Appendix 4) are compatible with a dominance of a $\{m\}$ - $\langle a \rangle$ slip system and be-
554 cause axes in specimen coordinates coincide with the inferred vorticity axis,
555 there is a high probability of a tilt character for boundaries trending parallel to
556 $\{a\}$.

557 The R-domains show a distribution of axes in specimen coordinates which ap-
558 pears elongated towards the positions of the [c] in the pole figure. The superposi-
559 tion of the two populations of misorientation axes roughly parallel to c-axes res-
560 ults in a maximum density around [c] in crystal coordinates. This situation points
561 to the necessity to interpret density contoured misorientations axis plots care-
562 fully, because the representation in crystal coordinates honours crystal symmetry
563 which may result in high densities of superposed misorientation axes which are
564 physically different in specimen coordinates. Linking misorientation axes in both
565 coordinate systems via a common colour coding such as the one used here can
566 an example (Fig. 9) to overcome this problem. Misorientation axes in the R-do-
567 main which appear parallel to the vorticity axis reside in the position close to
568 $\langle 10\text{-}11 \rangle$, $\langle 10\text{-}12 \rangle$ and $\sim \langle 7\text{-}2\text{-}56 \rangle$. The first and second direction are compat-
569 ible with $\{\pi\}$ - $\langle a \rangle$ and $\{z\}$ - $\langle a \rangle$ glide, respectively; both slip systems have been
570 identified in nature (e.g. Morales et al., 2011), experiment (e.g. Linker et. al.
571 1984) or suggested based on texture and Schmid factor considerations (Law et
572 al., 1990). Proximity to $\langle 7\text{-}2\text{-}56 \rangle$ could theoretically, in the case of a tilt charac-
573 ter, correspond to $\{s\}$ - $\langle c \rangle$ which might be doubted to operate since the fairly



574 high length of the burgers vector. In general, misorientation axes of the R-do-
575 main are compatible with the oblique activation of $\{m\}$ - $\langle a \rangle$ as well as any of the
576 $\{z\}$ - or $\{pi'\}$ - $\langle a \rangle$ slip systems. This interpretation is compatible with the per-
577 sistence of $\langle a \rangle$ aligned with the trend of the plane of highest shear stress while
578 $[c]$ rotates towards the centre of the pole figure along the kinked girdle.

579 The misorientation axes of the B-domain show a distribution close to uniform. In
580 the case of (c) - $\langle a \rangle$ activity and the presence of tilt boundaries, misorientation
581 axes are expected to be clustered around $\langle m \rangle$ and in the case of conjugate slip,
582 to disperse in specimen coordinates along the trace of the basal plane. In the
583 case of twist boundaries, the rotation axes would be expected normal to (c) ,
584 which will be in the situation of the B-domains at a high angle to the shear plane.
585 Since neither of this is strongly pronounced, it might be that (c) - $\langle a \rangle$ is not one
586 of the slip systems being most active in the B-domain.

587 The above interpretation seems to be compliant with the Schmid factor analysis
588 (Fig. 10), where overall high Schmid factors are found for $\{pi'\}$ - $\langle a \rangle$, $\{z\}$ - $\langle a \rangle$
589 and partially $\{m\}$ - $\langle a \rangle$ with an orientation of the maximum principal stress axes
590 aligned with the shortening ISA.

591 4.2.4 A model for the texture development and texture transition

592 As outlined above, a deformation-dependent rotation of $[c]$ away from the peri-
593 phery of the pole figure is observed, it is found that most likely $\{m\}$, $\{z\}$ and
594 $\{pi'\}$ - $\langle a \rangle$ slip systems operate together and that evidences of (c) - $\langle a \rangle$ are scarce
595 but there is no indication that any of the above described processes and mechan-
596 isms contributes to the presence of grains with $[c]$ at the periphery of the pole
597 figure as mostly encountered in the regime 1 sample.

598 The principal difference in that suite of experiments is the sample strength. Be-
599 cause displacement rate constant and temperature differences are vey small in
600 the current experiments, it is controlled through the amount of water added to
601 experimentally deformed quartzites (e.g. Kronenberg & Tullis, 1984; Jaoul et al.,



602 1984). Despite early speculations that different water contents having an influ-
603 ence on the activity of specific slip systems (e.g. on synthetic single crystals,
604 Blacic, 1975), the role of water is mainly related to control the mobility of grain
605 boundaries in polycrystalline quartz aggregates (e.g. Gleason et al., 1993; Stipp
606 et al. 2006) as well well as in naturally deformed rocks (e.g. Mancktelow & Pen-
607 nacchioni, 2004; Kilian et al, 2016). The amount of water present in the the "as-
608 is" samples is still sufficiently high to hydrolyse dislocations even at high densit-
609 ies (e.g. Paterson, 1989) and the overall weakening effect will rather be a result
610 of the enhanced recovery processes. Accordingly, a direct relation of water to the
611 texture transition through a crystal plastic mechanism can be most likely ex-
612 cluded.

613 We suggest a model in which the texture transition can be explained based on
614 the hypotheses that a) during SGR and dislocation glide, [c] moves towards the
615 centre of the pole figure along seemingly well defined paths which constitute the
616 girdle and that b) an additional process operates that produces new grains (new
617 grain formation NGF) with [c] at the periphery of the pole figure at a high angle
618 to the shear plane which operates at higher stress levels. Both texture forming
619 processes compete, with the first one being dominant in regime 3 and the second
620 one being dominant at high stresses in regime 1. Regime 2 is a transition where
621 both contributions might be roughly balanced.

622 In regime 3, most SGR occurs and recrystallization is fastest, hence the rotation
623 of [c] towards the Y-direction will be happening most rapidly, NGF does not hap-
624 pen substantially, hence not many new grains are formed with [c] at the peri-
625 phery. All large grains are recrystallized in the high strain experiments. In re-
626 gime 1, NGF occurs most and SGR is slowest, the matrix of small grains deforms
627 with a fair distribution of grain boundary sliding, hence the rotation of [c] to-
628 wards the centre is slow and [c] is dispersed along the periphery. Because strain
629 partitions into the matrix, porphyroclasts that stretch most effectively are those
630 that survive longest.



631 Several lines of evidence support a mechanism such as NGF. There is ample in-
632 dication that growth of new grains after fracturation, or in most highly strained
633 crystals and in general under non-hydrostatic stresses during deformation can
634 result in a moderate to strong crystal preferred orientation in experiments (e.g.
635 Hobbs, 1968; Gleason et al., 1993; Vernooij et al, 2006; Trepmann et al., 2007;
636 Trepmann & Stöckert 2013) and in nature (e.g. Hippert, 1994; Hippert & Egydio-
637 Silva, 1996; Menegon et al. 2008; Spiess et al., 2012; Kjöll et al. 2015). Newly
638 formed grains are found to have [c] roughly parallel and less commonly ortho-
639 gonal to the (inferred) shortening direction (e.g. Hobbs, 1968; Gleason et al,
640 1993; Trepmann & Stöckert, 2013; Kjöll et al. 2015), or roughly at 45° to the
641 stretching direction of shear fractures (e.g. Vernooij et al., 2006; Trepmann et la.
642 2007; Menegon et al., 2008). Fracture and microfracture development have doc-
643 umented in the Griggs-rig, even at high confining pressures and is thought to be
644 an essential process to enable crystal plasticity in the experiments (FitzGerald et
645 al., 1991; denBrok & Spiers, 1991; Stünitz et al., 2017). This might most likely
646 applies also to BHQ during initial steps of deformation and large porphyroclasts,
647 as well as quartzites deforming in the hardening regime with limited grain
648 boundary mobility (Hirth & Tullis, 1992). The positions of grains with high axial
649 ratios provides [c] directions (Fig. 5) which can be compatible with newly formed
650 grains, which have orientations subsequently modified by grain boundary sliding
651 and crystal plastic processes while they grow. The occurrence of shear band -
652 like features with c-axes roughly aligned with the opening/stretching direction of
653 the bands (Fig. 2) can be taken as indication that also a local kinematic frame-
654 work oriented grain grow with a preferred orientation. We do not have any direct
655 evidence of a fracture/nucleation/growth origin of grains newly forming in the re-
656 gime 1, however, in most experimental studies B-domains seem to be the first to
657 form at high stress conditions and e.g. the texture transition observed by Tullis
658 et al. (1973) perfectly matches our model with preferred growth of [c] parallel
659 and to a very minor amount perpendicular to the shortening direction. Whether
660 the resulting texture in regime 1 relates to anisotropic growth controlled purely



661 by nucleation and growth under non-hydrostatic stresses, influenced by the
662 elastic anisotropy of quartz or is additionally influenced by the local kinematics
663 of quartz must remain to be evaluated in future studies.

664 4.3 Peripheral c-axes are not due to (c)<a> slip?

665 Textures with peripheral [c] are often observed in rocks of low grade conditions
666 and based on the postulated temperature dependence of <a> slip systems, it was
667 assumed that (c)<a> may operate readily at low temperature conditions. The ex-
668 pectation that (c)<a> is an easy slip system in quartz relies on studies which ac-
669 tually did not demonstrate the existence of (c)<a> as an easy slip system. The in-
670 terpretations of the activity of (c)<a>, and hence the contribution to strain are
671 based on the presence of deformation lamellae as well as macroscopic sample
672 features, produced in experiments conducted in most pasts at extremely high
673 differential stresses (e.g. Christie et al. ,1964; Heard & Carter, 1968; Baeta &
674 Ashbee, 1969; Christie & Ardell, 1974; AveLallement & Carter, 1971). Despite
675 the assumption that deformation lamellae represent slip planes has been revised
676 several times (e.g. McLaren & Hobbs, 1972; White, 1973), they were still fre-
677 quently referred to as indicative of a specific slip system. There is ample evi-
678 dence for <a>-slip on {m}, {z} and {pi'} while (c)<a>glide evidences were miss-
679 ing (Christie & Green, 1964; Morrison-Smith et al., 1976; Twiss, 1976; Gapais &
680 White; 1982; McLaren, 1983; Linker et al., 1984) and many studies indicate that
681 (c)<a>-related dislocation systems are not found or are likely of very limited mo-
682 bility unless deforming by climb (e.g. Trepied & Doukhan, 1978; Doukhan &
683 Trepied, 1979; Trepied et al., 1980; Mainprice et al., 1986; Mainprice & Jaoul,
684 2009; Morales et al., 2011). It is beyond the scope of this contribution to dissect
685 the literature on quartz slip systems, but given that TEM based studies usually
686 find alternatives to easy (c)<a> glide, we will assume that neither purely pole
687 figure based based studies (Bouchez & Pecher, 1981; Schmid & Casey, 1986,
688 Heilbronner & Tullis, 2002; Kilian et al., 2011) nor models (Lister, 1979) can,
689 despite the intriguing geometry, be necessarily taken as evidence for large



690 plastic strains accommodated by (c)-<a> glide. In the case of the origin of B-
691 grains by nucleation and growth or growth of specific fragments produced dur-
692 ing microcracking in a high driving force environment (high stress, high disloca-
693 tion density), the presence of B-domains are not related to the activity of (c)<a>.

694 4.4 Implications for interpretation based on quartz c-axis data

695 Often, the primary control in experiments to produce the different dislocation
696 creep regimes was temperature (Hirth & Tullis, 1992) and strain rate, although
697 the strength dependence of the different regimes can also be revealed in experi-
698 ments with different amount of water (Hirth & Tullis, 1992; Stipp et al., 2006). In
699 nature, microstructural transitions have been documented (Stipp et al., 2002)
700 whereas different recrystallization processes developed over a metamorphic
701 gradient and accordingly a correlation of temperature, respectively recrystalliza-
702 tion processes with a specific type of texture was tempting. There are wide-
703 spread examples of Y-maxima textures in natural quartz mylonites where the re-
704 crystallization mechanism is mainly subgrain rotation recrystallization with only
705 minor involvement of GBM (Mancktelow, 1987; Fitz Gerald et al., 2006; Pennac-
706 chioni et al., 2010) while in the observation of Stipp et al (2002) Y-domains star-
707 ted to appear in only in the GBM regime. Given our observation of the intensifica-
708 tion of the Y-maximum with increasing deformation intensity but roughly iso-
709 thermal conditions, the observations in nature can more easily be interpreted as
710 a function of total strain instead of temperature and a texture dependency on
711 SGR or GBM. Few studies which have a good control on strain and a similar tex-
712 ture evolution is observed, comparable to the texture evolution in regime 2,3
713 (Pennacchioni et al., 2010) which is in support of our interpretation. Examples of
714 B-domain textures observed at high temperatures (e.g. Menegon et al., 2011)
715 may not indicate an abnormal activity of (c)<a> but rather a high stress texture
716 forming mechanism similar to NGF as suggested here. With relation to temperat-
717 ure determinations, one should also note that within the presented experiments,



718 a range of c-axis opening angles can be found (Fig.3, 4). The observation that the
719 this angle seems to vary as a function of grain shape may have the potential to
720 add another complexity to the c-axis opening angle thermometer.

721 The different contributions of grain boundary sliding in each regime, in addition
722 to the formation of new, small grains by growth, dominantly in regime 1, may
723 challenge our understanding of the grains size- stress relation with respect to
724 piezometric applications. Similar indications could be drawn by the grain size -
725 gKAM relation which was documented in the companion paper (Heilbronner &
726 Kilian, this volume).

727 A method to allow the determination of the kinematic vorticity number W_k are
728 based on the measurements of principal and oblique foliations and using the
729 central c-axis girdle to determine the kinematic reference frame (Wallis, 1995). It
730 has been suggested that those are not applicable to the experimentally deformed
731 BHQ based on the experiments of Heilbronner & Tullis (2006; Xypolias, 2009),
732 since it seems the entire girdle rotated with (local) sample strain. Although the
733 current observations show that the general trend of the outer part of the girdle
734 varies with θ (Table 1), if a sufficiently sharp kernel is used (Fig. 1, Appendix 3),
735 it can be seen that the central part of of the girdle remains roughly perpendicu-
736 lar to the shear plane. Measuring the bulk and oblique foliations in the samples
737 and using the method of Wallis (1995), for regime 1, the same kinematic vorticity
738 number is obtained as calculated from the mechanical data ($W_k=0.96$). However,
739 that result might be considered as a coincidence because in regime 2 ($0.76_{\text{Wal-}}$
740 $_{\text{lis}}/0.93_{\text{bulk}}$) and regime 3 ($0.99_{\text{Wallis}}/0.92_{\text{bulk}}$) obtained values highly deviate. Addi-
741 tionally, it might be questionable whether an oblique grain shape preferred foli-
742 ation needs to develop parallel to the ISA or if it might be influenced by the re-
743 crystallization mechanisms, especially in regime 1.



744 **5. Summary & Conclusions**

745 To study the textures of BHQ, deformed in general shear to high strain in re-
746 gimes 1, 2, and 3, EBSD data scanned at moderate spatial resolution (0.5 and 1
747 μm step size), were analysed using a number of new methods for combined tex-
748 ture and microstructure analysis.

749 (1) Texture analysis was carried out for classes of different grain size, shape,
750 long axis alignment and grain kernel average misorientation (gKAM) and the
751 geometry of the pole figure skeleton varies systematically within the grain prop-
752 erty parameter space. Average grain properties were displayed in c-axis pole fig-
753 ure space to highlight how grain properties correlate with texture.

754 (2) The grain kernel average misorientation (gKAM) was introduced as a meas-
755 ure for intragranular deformation intensity.

756 (3) Separate maps of texture strength as a function of grain properties (size, as-
757 pect ratio, long axis trend, gKAM) allow for a quantitative comparison and reveal
758 how the bulk texture strength and volumes of the two most prominent fibres, the
759 B- and Y-fibres, decrease and increase, respectively, during the transition from
760 regime 1 to 3.

761 (4) Textural neighbourhood relations are visualized on maps showing that grains
762 inside the Y subdomains of regime 3 grains are separated by boundaries with
763 higher and lower $\langle a \rangle$ -intransparency compared to a random distribution, which
764 can be taken as a result of subgrain rotation recrystallization with an addition of
765 geometrically necessary grain boundary sliding.

766 (5) A colouring system is introduced to connect information from misorientation
767 axes in the specimen and crystal coordinates. The bulk distribution of misorienta-
768 tion axes is always parallel to the vorticity axis, and coincident with rotations
769 which are dominantly around [c] for Y-grains, in R-grains the distribution of rota-
770 tion axes consist of superposition compatible with a combination of misorienta-



771 tion axes compatible with $\{m\}\langle a \rangle$, $\{z\}\langle a \rangle$ and $\{pi'\}\langle a \rangle$ derived tilt boundar-
772 ies. For B-grains, no conclusive preference is found, especially no clear indica-
773 tion of a dominance of $\langle c \rangle$.

774 (6) Calculation of the mean generalized Schmid factor on different $\langle a \rangle$ slip sys-
775 tems as a function of orientation of the applied stress with respect to the sample,
776 shows that in general shear experiments $\langle c \rangle$ is less likely to operate com-
777 pared to slip systems such as $\{pi'\}\langle a \rangle$.

778 Black Hills Quartzite deformed in general shear shows a texture dominated by
779 the alignment of $\langle a \rangle$ with the trend of the direction of maximum shear stress
780 and a transition of $[c]$ maximum from peripheral (regime 1) to central directions
781 (regime 3) along a kinked single girdle (regime 2). The transition of texture geo-
782 metry is accompanied by an increase in texture strength. On the basis of the de-
783 tailed analysis presented above we propose new interpretations concerning tex-
784 ture formation and texture transition, as well as the relation between c -axis ori-
785 entation and active slip systems and that between texture and recrystallized
786 grain size.

787 Of the three dislocation creep regimes, only regime 1 and 3 are considered dis-
788 tinct with regime 2 being transitional. Recrystallization in regime 3 happens by
789 subgrain rotation recrystallization and deformation occurs though dislocation
790 creep with a minor contribution of grain boundary sliding. Porphyroclasts in all
791 regimes deform by dislocation glide and climb.

792 Texture variation as a function of grain size, grain lengthening, long axis align-
793 ment and gKAM indicate that $[c]$ rotates away from the periphery of the pole fig-
794 ure with increasing deformation intensity at the grain scale and this rotation is
795 accompanied with a strengthening of the texture. The increasing texture
796 strength is interpreted as a decreasing contribution of grain boundary sliding
797 and an increasing contribution of dislocation glide and climb.



798 Since the stress level of the experiments is the only differing parameter and
799 there is not observation of a rotation of [c] towards the periphery of the pole fig-
800 ure, we propose an additional texture forming process which operates most effi-
801 ciently in the high stress regime, i.e. stress controlled growth of new grains. The
802 texture will be a result of the balance of contributions of dislocation glide on sev-
803 eral $\langle a \rangle$ slip systems with [c] attracted towards a bi-maximum at the centre of
804 the pole figure as a function of strain and new grains formed at the periphery of
805 the pole figure, their amount controlled by the stress level. Grains subsequently
806 grow and deform by dislocation glide and grain boundary sliding. The texture
807 presents a dynamic balance between both processes. The hypothesis that (c) $\langle a \rangle$ -
808 slip is responsible for peripheral [c] maxima, $\{m\} \langle a \rangle$ slip for the central Y-max-
809 imum and $\{pi'\}/\{z\} \langle a \rangle$ for the symmetrically disposed R-maxima, is too
810 simplistic and our interpretation of the texture evolution opens a new field in the
811 interpretation of naturally produced textures. According to our interpretation, a
812 temperature dependency of quartz textures geometry is of indirect nature while
813 the relative contribution of the two texture forming processes are the controlling
814 factors.

815 **Tables**

816 Table 1: Variation of c-axis girdle trend within each θ class given in Figure 4. For
 817 regime 2, also the trend of the strongest maximum, located at the periphery for
 818 $\langle a \rangle$, and $\{r\}$ is given. Angles are clockwise with 0° in the West, shear sense is
 819 sinistral.

	θ class 1	θ class 2	θ class 3	θ class4
regime 1	91	74	79	90
regime 2	85 (-10, 121)	67 (-18, 114)	72 (-14, 119)	90 (-8, 123)
regime 3	75	68	72	82



820 **Figure captions**

821 Figure 1: Pole figures of high strain samples of regime 1 to 3. (a) Pole figures for
822 poles to planes c,a,m,r and z from EBSD mappings of sample w1092, w946 and
823 w935. Pole figures oriented with the shear zone boundary (forcing blocks) hori-
824 zontal, shear sense is sinistral. Maximum density and pole figure J-index, given at
825 left and right top of each pole figure, kernel halfwidth 6°. Contour intervals at 2
826 times uniform density. (b) Inverse pole figures for selected reference directions:
827 loading direction (" σ_1 "), shortening instantaneous stretching axis (ISA₂), major
828 axis direction of the ellipsoid (θ') obtained from total sample strain, shear zone
829 boundary (shzb), parallel to the forcing blocks in the experiments, and the plane
830 -45° to the extending ISA₁. Angles above each plot give the trend of the reference
831 directions, all with inclinations of 90° (in the image plane). Contour intervals at 2
832 times uniform density.

833 Figure 2: Orientation maps for samples of regime 1 to 3, w1092, w946 and w935.
834 (a) Inverse pole figure colour-coding using the inferred vorticity axis (= speci-
835 men z direction = strain Y-direction) as a reference. colour key for purely rota-
836 tional point group. (b) Inverse pole figure colour-coding using the ISA₂-45° as a
837 reference direction (indicated at bottom) and a colour key for Laue point group
838 symmetry. Note, for regime 2 and 3 samples, include parts of the single crystal
839 quartz forcing blocks at the top and bottom.

840 Figure 3: C-axes pole figures for different classes of aspect ratio, grain size and
841 θ . On the left, aspect ratio increases to the right, grain size downwards and son
842 the right, grain long axis trend (θ) in four classes clockwise as indicated by range
843 of angles above pole figures, and aspect ratios increasing downwards. Class lim-
844 its for each property are at equally spaced quantiles of the entire population.
845 Textures are calculated for grain modal orientations (one orientation per grain).
846 Maximum density and pfJ at top left and top right of each pole figure, number of



847 grains within each class at the bottom. Contours at 0.5 times uniform density.
848 Shear zone boundary horizontal, shear sense sinistral, upper hemisphere, equal
849 area projections. The rose diagrams at the bottom show the θ distribution and
850 the corresponding classes. The blue diamond indicates the direction of the long
851 axis of the strain ellipsoid and the red circle the direction of the ISA.

852 Figure 4: Pole figures $\langle a \rangle$ and $\{r\}$ in regime 2. Pole figures are calculated for
853 different classes of aspect ratio, grain size and θ for classes given in Figure 3.

854 Figure 5: Pole figures of grain size, aspect ratio and axial ratio. Average grain
855 sizer, aspect ratio and axial ratio estimated on the c-axis pole figure for grains
856 smaller 25 μm . Upper hemisphere, equal area projection, greyscale bar indicates
857 the average grain size, aspect ratio and axial ratio. Both, aspect ratio and axial
858 ratio are displayed to separately visualize elongated and round grains.

859 Figure 6: Pole figures for low and high grain kernel average misorientation. (a)
860 Separate pole figures are calculated using grain modal orientations (1 orienta-
861 tion per grain) and all orientations (1 orientation per pixel), separately for grains
862 with gKAM below and above the median value. Grain size ranges of 1-12 μm (re-
863 gime 1,2, w1092, w946) and 1-25 μm (regime , w9353) were used. (b) Separate
864 pole figures for grain modal orientations and all orientations for grains with a
865 gKAM below the 0.2 quantile and above the 0.8 quantile. Same grain size ranges
866 a in (a). Maximum density and pfJ given at top left and top right of each pole fig-
867 ure, texture index at bottom left and number of grains or orientations within
868 class at the bottom right. Upper hemisphere, equal area projection, contours at 1
869 times uniform.



870 Figure 7 (landscape): Quantitative comparison of texture index and volumes of
871 texture components. Separate colour maps for texture index, B-fibre and Y-fibre
872 volume as a function of aspect ratio, grain size and θ , calculated for low and high
873 gKAM populations. Fibre volumes are calculated as the volume of the ODF within
874 a 30° radius around a c-axis fibre directed towards the peripheral (B-fibre) and
875 the central c-axis maximum (Y-fibre). Absolute values within each column of col-
876 our maps are quantitatively comparable. See text for details.

877 Figure 8: Slip direction intransparency and misorientation axes at boundaries
878 and low angle boundaries. (a) EBSD map of sample w935 (regime 3) with colour-
879 coded [c] direction (Y-domain = pastel colours, peripheral [c] directions = satur-
880 ated colours), grain boundaries are colour-coded according to the minimal angle
881 between $\langle a \rangle$ (ignoring polarity) across a grain boundary. White boundaries are
882 transparent for $\langle a \rangle$ -slip (if adjacent glide planes are also favourably oriented).
883 colour coding is shown in upper hemisphere, equal area [c] pole figure of 1000
884 randomly selected orientations. (b) Same area as in (a) with grey value indicating
885 the angular distance of [c] from the periphery, and low angle boundaries ($2\text{-}9^\circ$
886 misorientation angle) colour-coded for the misorientation axis in crystal coordin-
887 ates. Grain boundaries are not shown for clarity.

888 Figure 9: Misorientation axes of three texture domains. (a) Map with texture do-
889 mains determined within an angle of 25° around the orientations forming local
890 maxima in the ODF, colour-coded in red (Y-grains), blue (B-grains), pink (R-
891 grains), green (σ -grains), and yellow (all other grains). On right, from top to bot-
892 tom pole figures for [c], $\langle a \rangle$ and $\{r\}$ showing a subset of poles. (b) colour-cod-
893 ing scheme used for misorientation axis directions (see main text for details). (c)
894 Colouring used for crystal directions, rotation axes (assuming pure tilt boundar-
895 ies) are indicated for some slip systems. (d) Misorientation axes obtained for low
896 angle boundaries (misorientation angles of $2\text{-}9^\circ$) from grains in the Y-, B- or R-
897 texture component. Misorientation axes are plotted with density contours at



898 steps of 1 times uniform. Point plots are from randomly drawn subsets. Note that
899 directions of highest density in specimen coordinates do not always coincide with
900 the the direction of highest densities in crystal coordinates (e.g. for the R-
901 grains).

902 Figure 10: Average, generalized Schmid factors (Sf). Sfs are shown for different
903 slip systems (or combinations of slip systems) as a function of the orientation of
904 the stress tensor. A Sf is calculated for the modal orientations of all grains (top)
905 and for every orientation within a map (bottom). The mean Sf is plotted as a
906 function of the direction of σ_1 . On the x-axis, 0° corresponds to a σ_1 direction of
907 45° with respect to the shear plane, negative and positive angles correspond to
908 synthetic or antithetic rotations of σ_1 directions.



909 **Appendix**

910 EBSD data processing

911 Single mis-indexed pixels have been deleted and reconstructed together with
912 single non-indexed pixels. Subsequently, non-indexed areas not thicker than two
913 pixels wide along grain boundaries were filled during noise removal using a half-
914 quadratic filter (Bergmann et al, 2015). The procedure was adjusted to be edge
915 preserving for continuous boundaries above 1.3° misorientation angle. Grains
916 are calculated using the segmentation algorithm implemented in the mtex tool-
917 box (Bachman, 2011) with a threshold of 10° boundary misorientation angle us-
918 ing the point group 622 and transforming the grain mean orientation back into
919 trigonal point group 321. This procedure avoids Dauphiné twin boundaries (60°
920 rotation around [c]) being erroneously identified as grain boundaries but the
921 main advantage is that the mean orientation of the hexagonal grain represents
922 the modal orientation of the trigonal grain (representing the orientation of the
923 twinned domain occupying the largest area fraction of the grain). The segmenta-
924 tion procedure yields identical results to segmenting grain maintaining the tri-
925 gonal symmetry and merging grains with boundaries obeying the Dauphiné twin
926 relation ship within a 3° angular interval between the twinning misorientation
927 and the measured grain boundary misorientation (Note this angle refers to the
928 angle between the (mis)orientation and the twinning twinning rotation and hence
929 is always larger or equal to the error allowed for the twinning axis or twinning
930 angle). The latter procedure has the disadvantage that it will not produce a
931 meaningful average grain orientation, while the former is also computationally
932 less expensive.

933 Texture calculations

934 Contoured pole figures and inverse pole figures are calculated from the orienta-
935 tion distribution functions (ODF). The ODF was calculated for either all measure-
936 ments (area weighted) or the grain modal orientations (number weighted or in



937 some terminology referred to as one-point-per grain). In case of ODF calcula-
938 tions, the de la ValeePoussin kernel was used. The kernel width was either fixed
939 or estimated using the Kullback-Leibler cross validation implementation in Mtex.
940 Estimated kernel half widths are between 7-14°.

941 The strength of individual pole figures is given by the maximum of the density
942 distribution and by the pole figure J-index (pfj, L2-norm of the density distribu-
943 tion on the sphere) as defined by Mainprice et al. (2014) which is more suitable
944 for multi-modal distributions. A uniform pole figure will have a pfj of 1. When
945 comparing pfj values of different crystal directions the respective multiplicity
946 ($c=2$, $a=3$, $m,r,z=6$) has to be taken into account.

947 gKAM

948 In order to access how much of internal deformation in the sense of a change of
949 orientation within a grain is present, the misorientation to the grain mean orient-
950 ation can be colour-coded (Fig. A1,a,d). For this purpose, twinning is ignored and
951 an inverse pole figure colour-coding is chosen in such a way that the mean crys-
952 tal direction coincides with the mean orientation times the white centre of in the
953 inverse pole figure. Misorientations which relate to misorientation angles up to
954 30° are displayed. In order to inspect the misorientation density inside a grain,
955 imposed by the number and the misorientation angle of low angle boundaries, we
956 use a measure based on the kernel average misorientation (KAM) and the size of
957 the grain. Calculation of the KAM is performed using noise-reduced data (Fig. 2
958 b,e), since the orientation noise of conventional EBSD renders most KAM inform-
959 ation ambiguous. The KAM is the average misorientation angle over a kernel
960 computed for each measurement point. Misorientation angles above a threshold
961 of 8° were ignored. The grain averaged KAM (gKAM) was computed as the KAM
962 divided by the number of indexed pixels for each grain (Fig. 2c,f). The size of the
963 kernel was individually chosen to be of the order 3 or 4, which compares to a 24
964 or 40 pixel neighbourhood such that grains without any substructures but differ-
965 ent grain size maintain an identical gKAM and any grain size dependency is sup-



966 pressed as best as possible. In noise free data, the magnitude of the gKAM is a
967 measure of the low angle boundary density and hence an indirect measure for
968 how much grains deformed during dislocation creep. An advantage over the
969 grain orientation spread (GOS) is that it will not be influenced by interior high
970 angle boundaries or twins, however, the gKAM does not measure continuous lat-
971 tice bending.

972 Figure captions for appendix figures

973 Figure A1

974 Explanation of the gKAM: Crops of EBSD maps of 0.25 and 1 μm step size show-
975 ing (a,d) the misorientation to the mean orientation within a tightly confined col-
976 our range after noise removal. (b,e) Kernel average misorientation (KAM) of 3rd
977 order (24 pixel neighbourhood) of boundaries below 8° misorientation angle. (c,f)
978 grain averaged KAM as defined by the sum of the KAM of all pixels within a grain
979 divided by the number of pixels. The gKAM can be seen as a measure of misori-
980 entation density within a grain, imposed by the frequency and the angle of low
981 angle boundaries. The absolute magnitude of the gKAM will depend on the order
982 of the KAM and the step size and the noise level.

983 Figure A2

984 Distribution of angles between adjacent $\langle a \rangle$ directions across a grain boundar-
985 ies in regime 3. Histogram for grains within the Y-domains (contained within a
986 40° cone for the c-axis directions), out side this domain and for interdomain
987 boundaries. Stippled line shows the distribution expected for a uniformly tex-
988 tured aggregate.

989 Figure A3



990 Pole figures of c,a,r from regime 1,2 and 3 entire sample and directions corres-
991 ponding to the orientation modes found in the ODF with densities >3 . Numbers
992 correspond to the poles obtained from modes in with decreasing density. Posi-
993 tions of highest densities on a pole figure do not need to exactly coincide with
994 poles to the modes. (a,b,c) are upper hemisphere, equal area projections. Note
995 Bi-modal character of [c] distributions in the centre of the pole figure in regime 2
996 and 3.

997 Figure A4

998 Misorientation axes for regime 1, 2, and 3. colour coding and types of plots
999 identical to Figure 9 in the main text, except that misorientation axes for all tex-
1000 ture components are shown.



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Figure 1

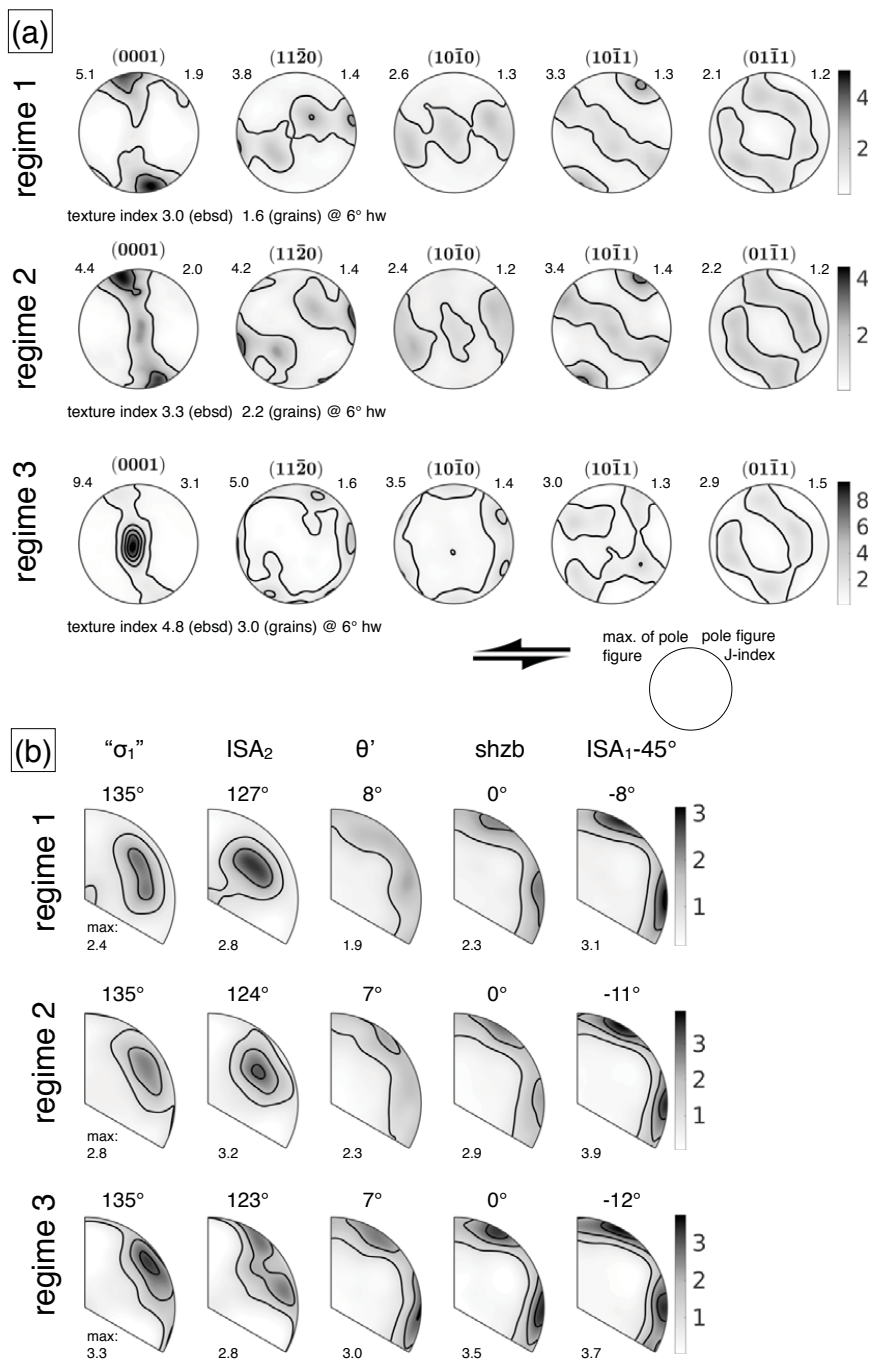




Figure 2

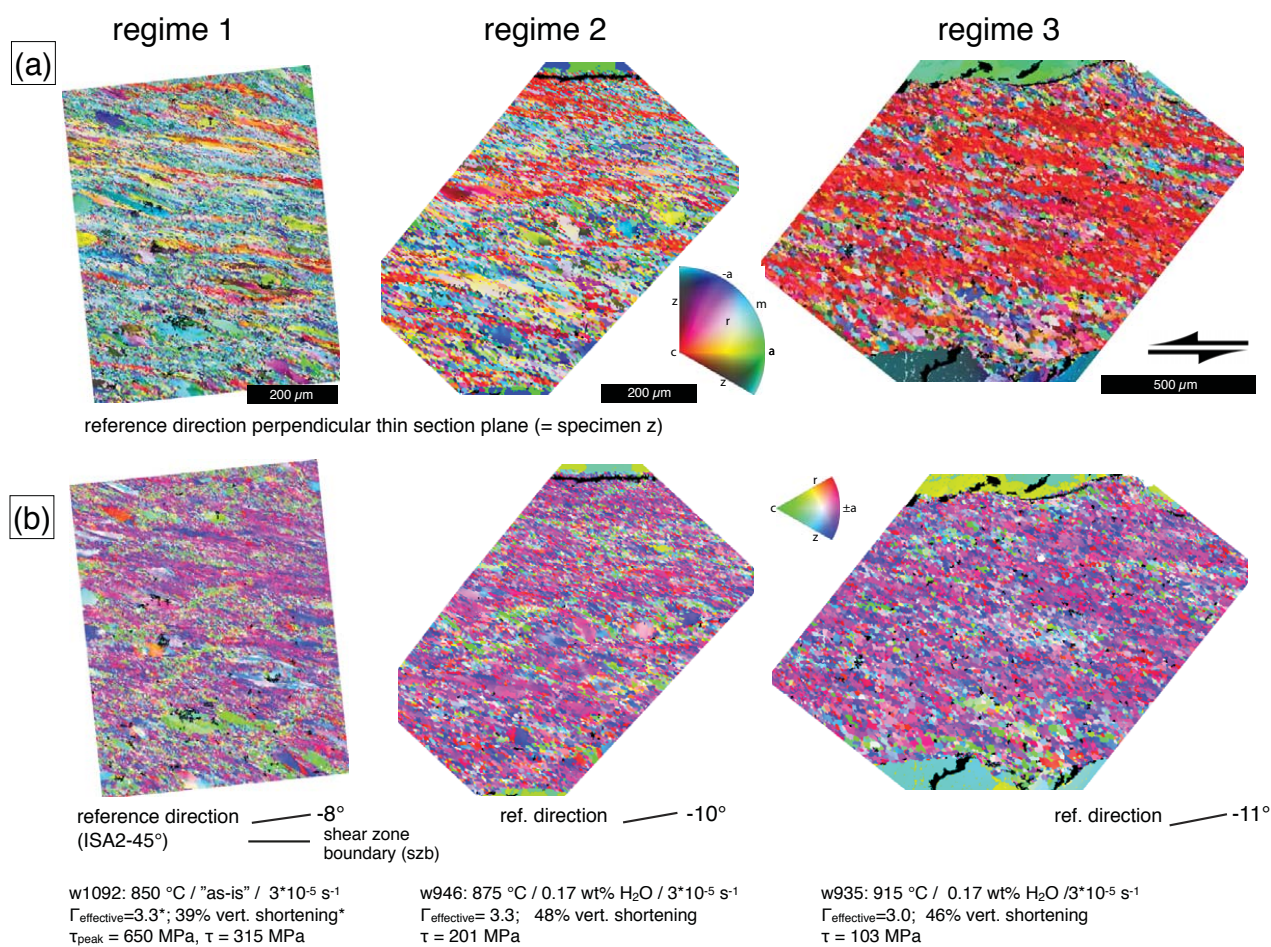




Figure 3

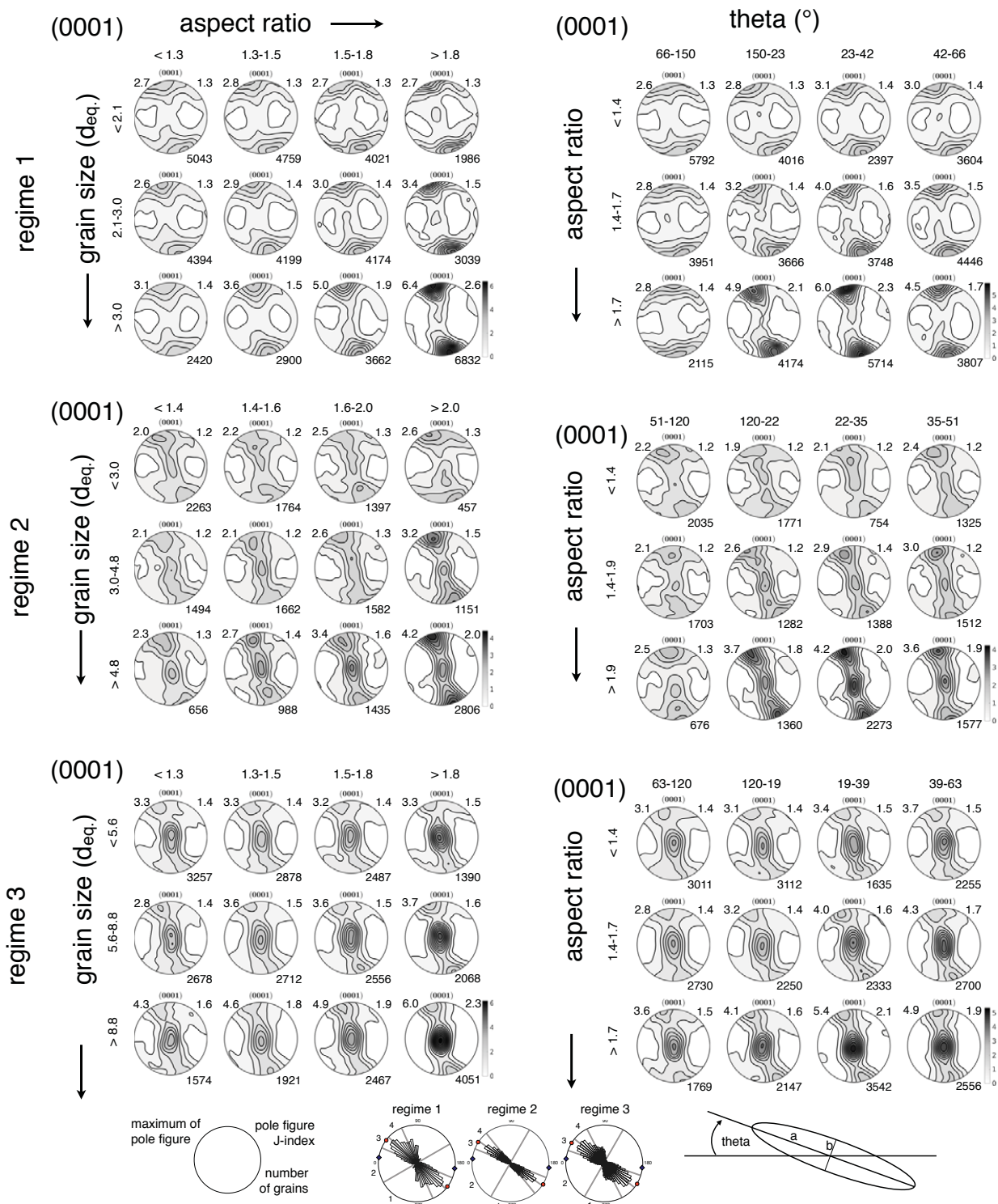




Figure 4

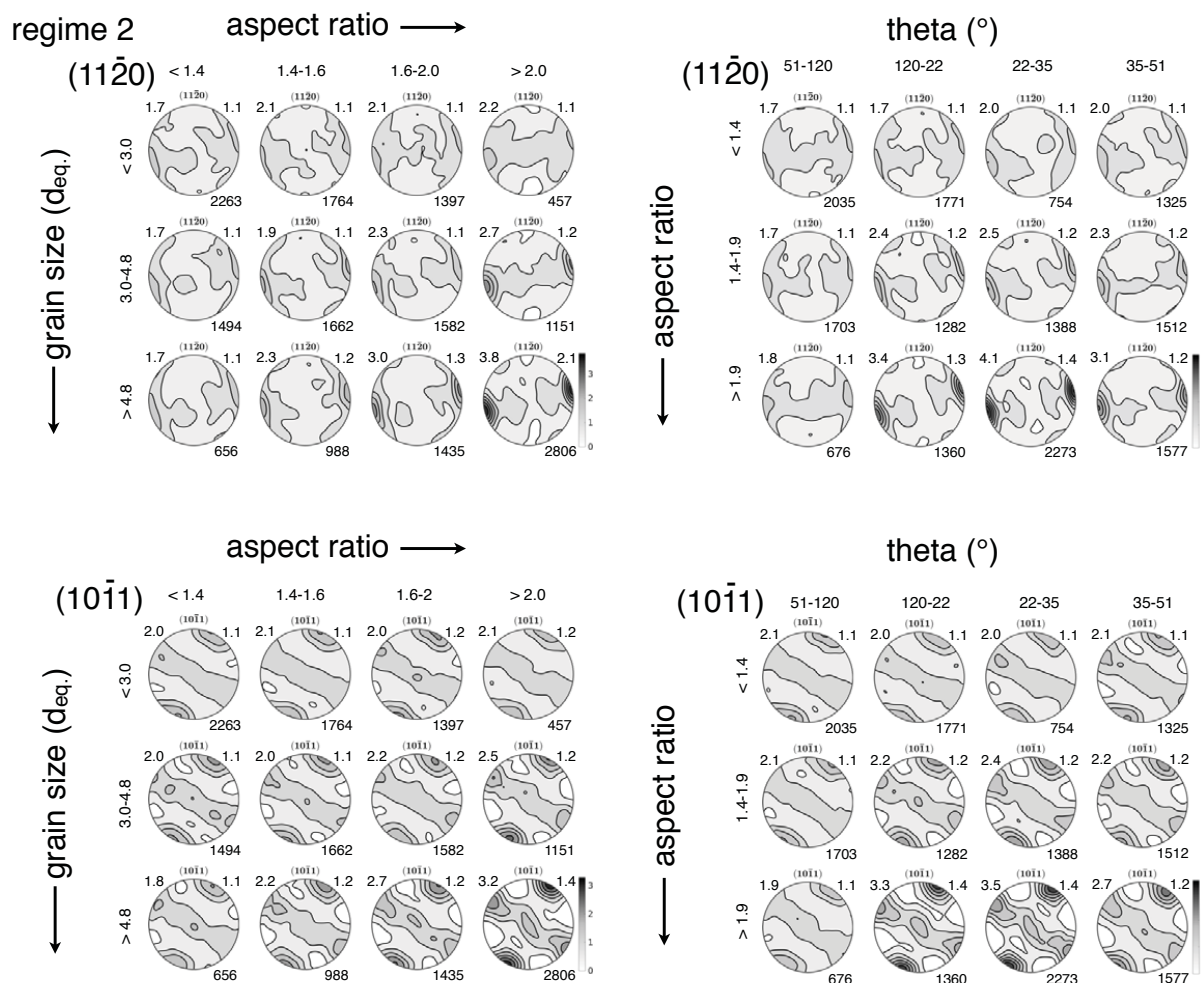




Figure 5

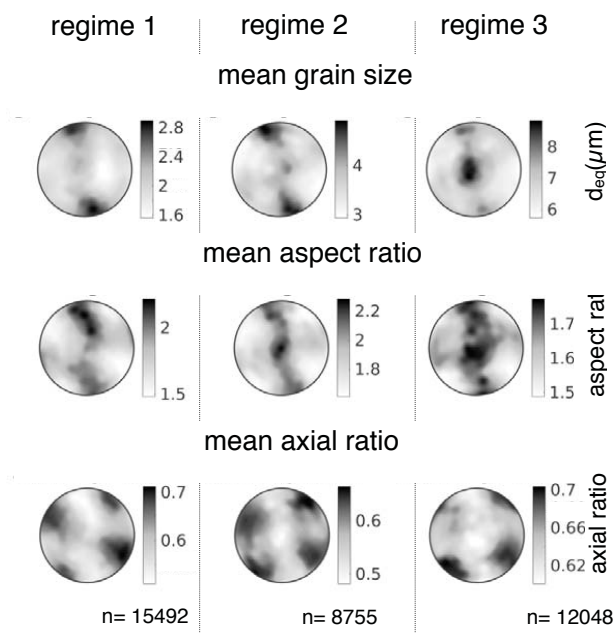




Figure 6a

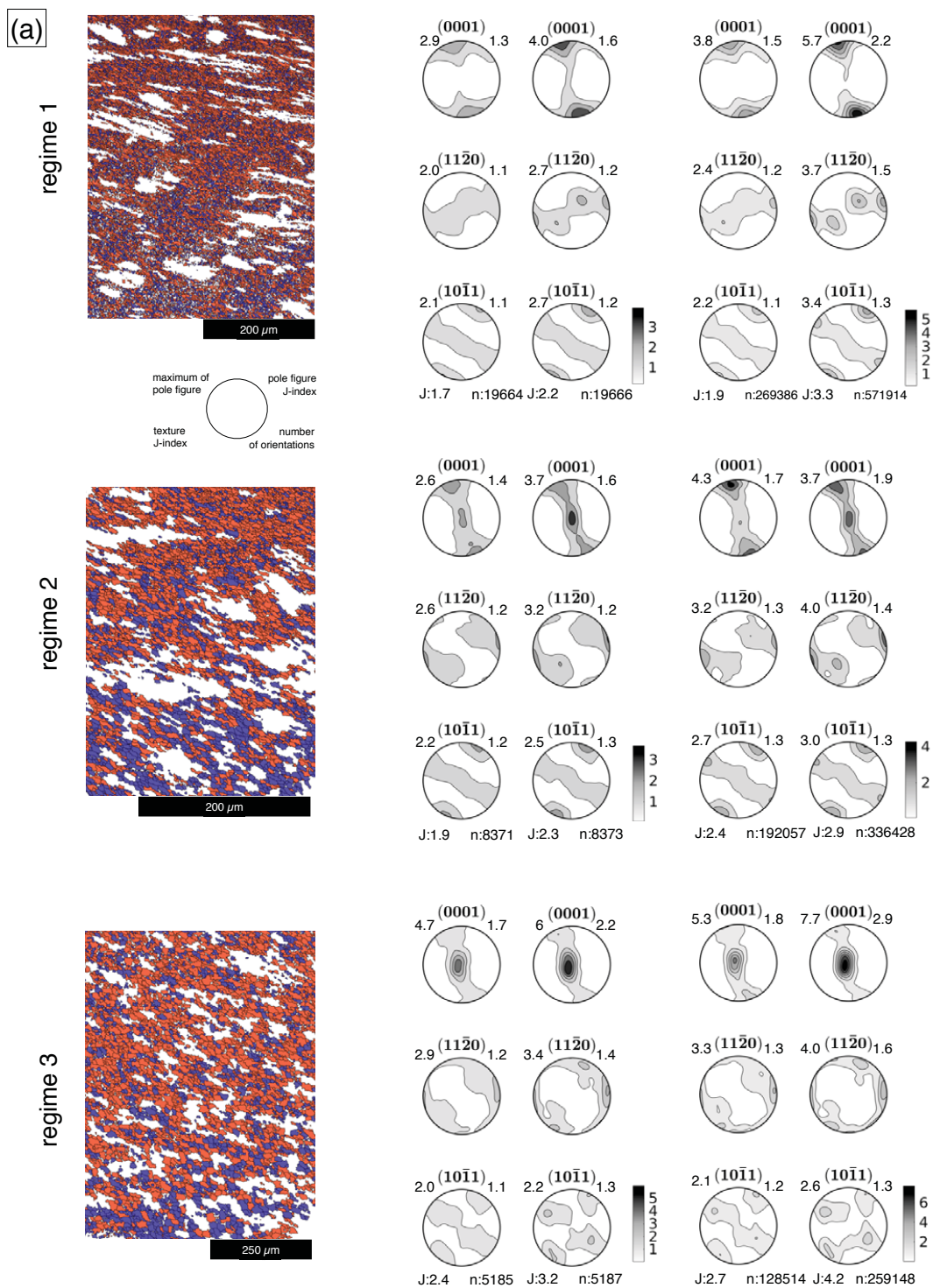




Figure 6b

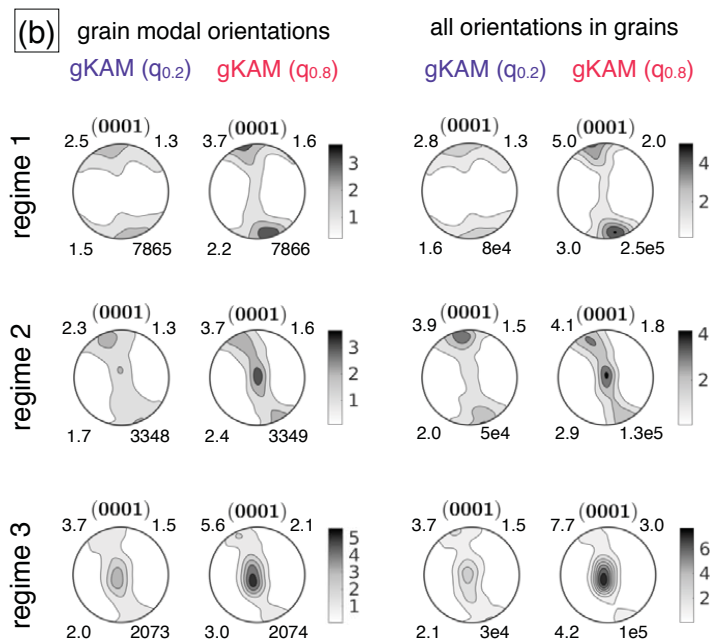




Figure 7

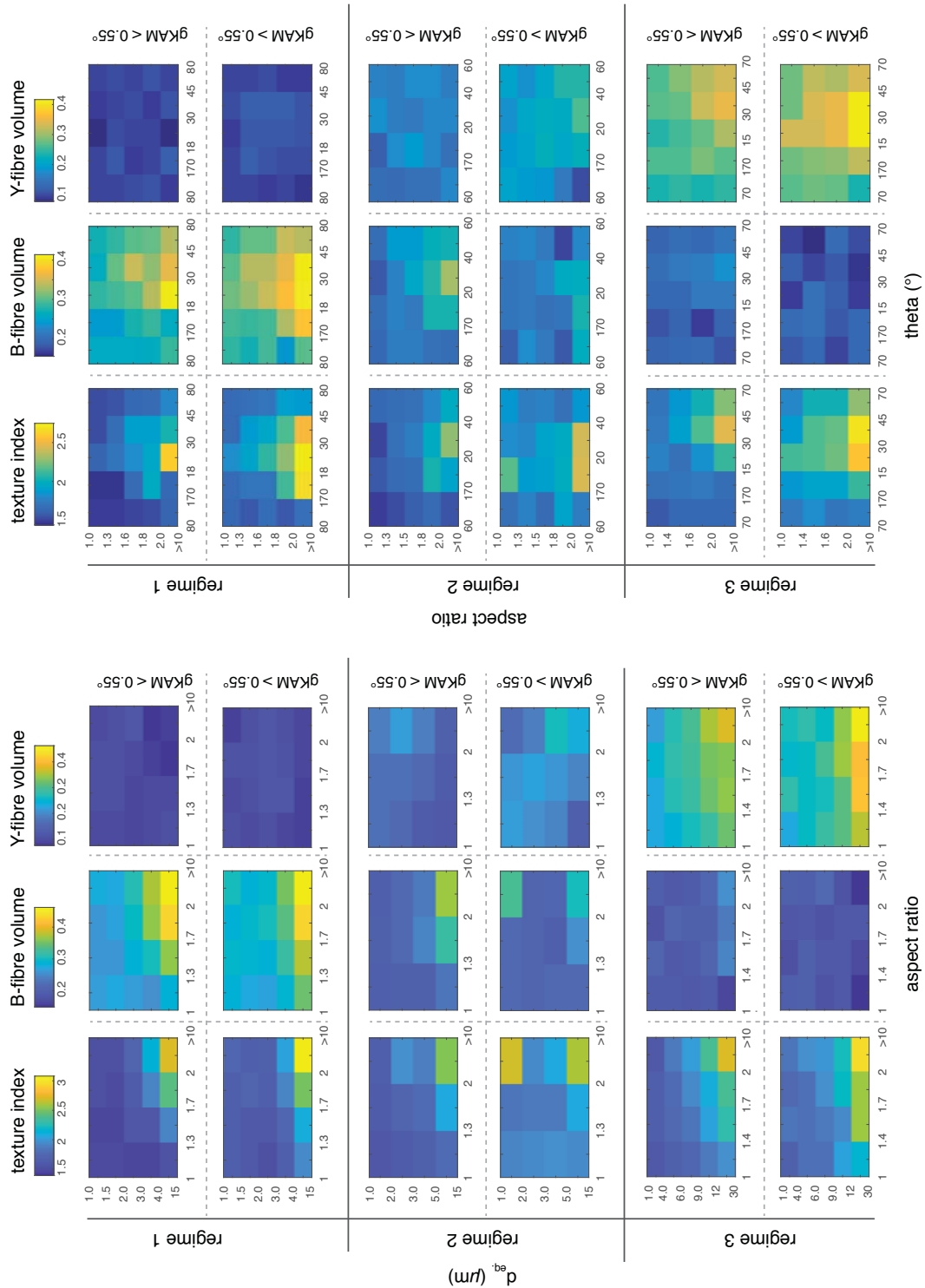
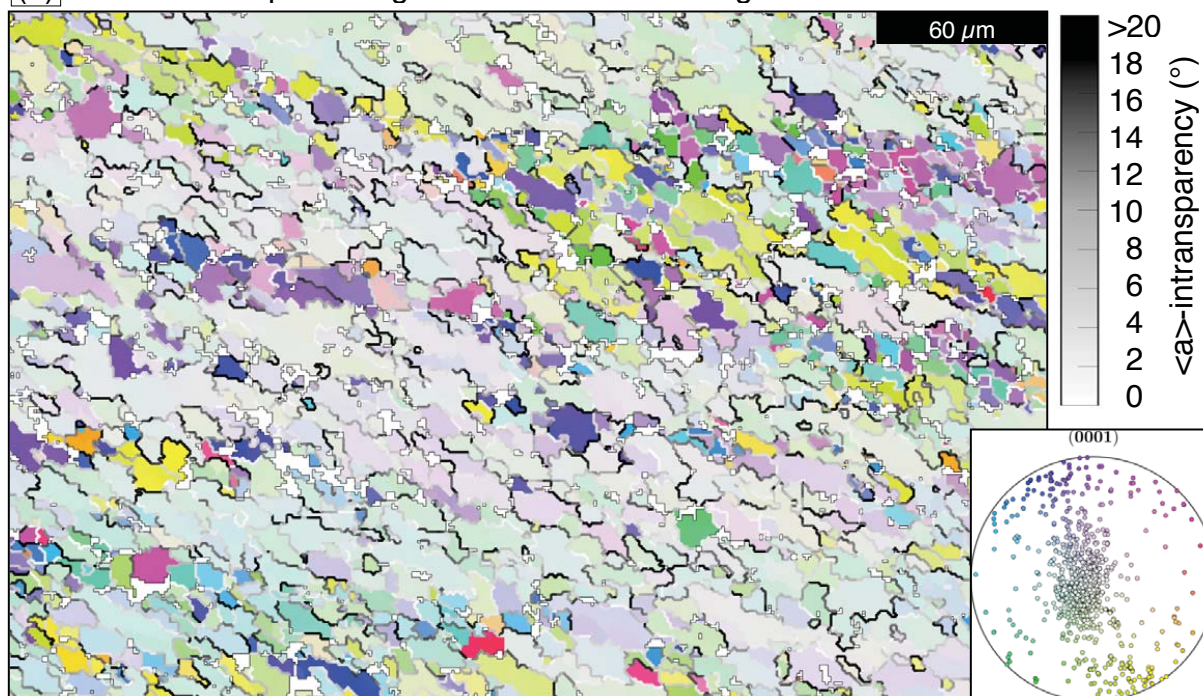




Figure 8

(a) c-axis map and angle between $\langle a \rangle$ across grain boundaries



(b) c-axis inclination and misorientation axes of low angle boundaries (2-9°)

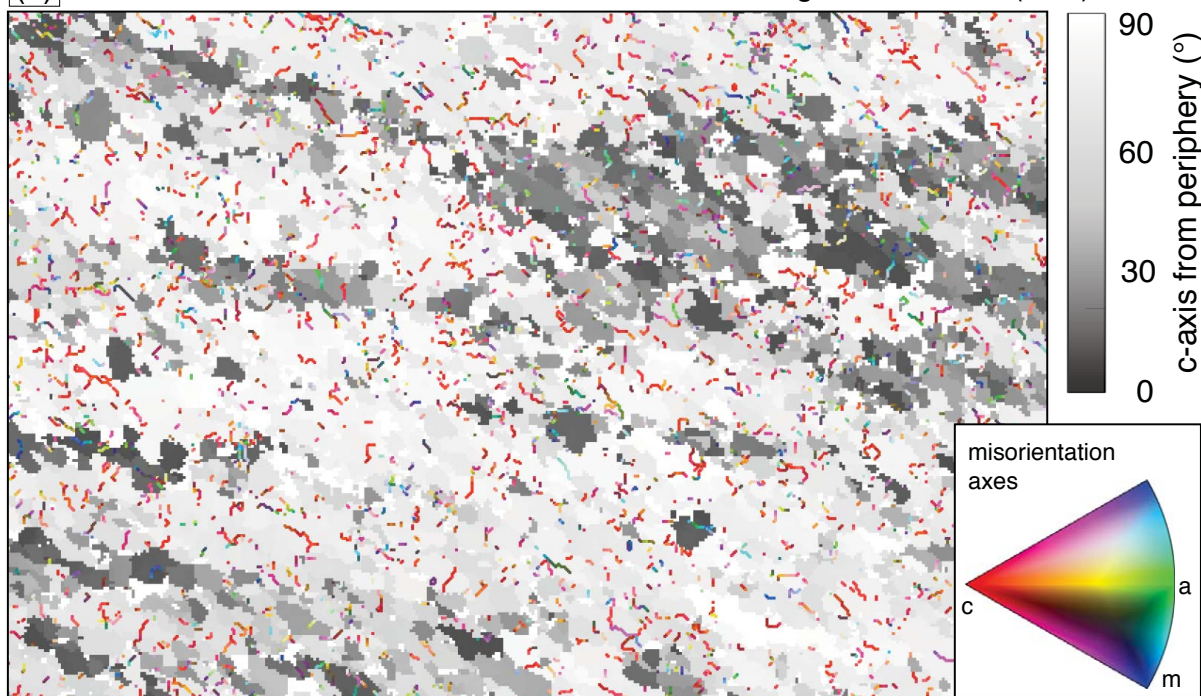




Figure 9

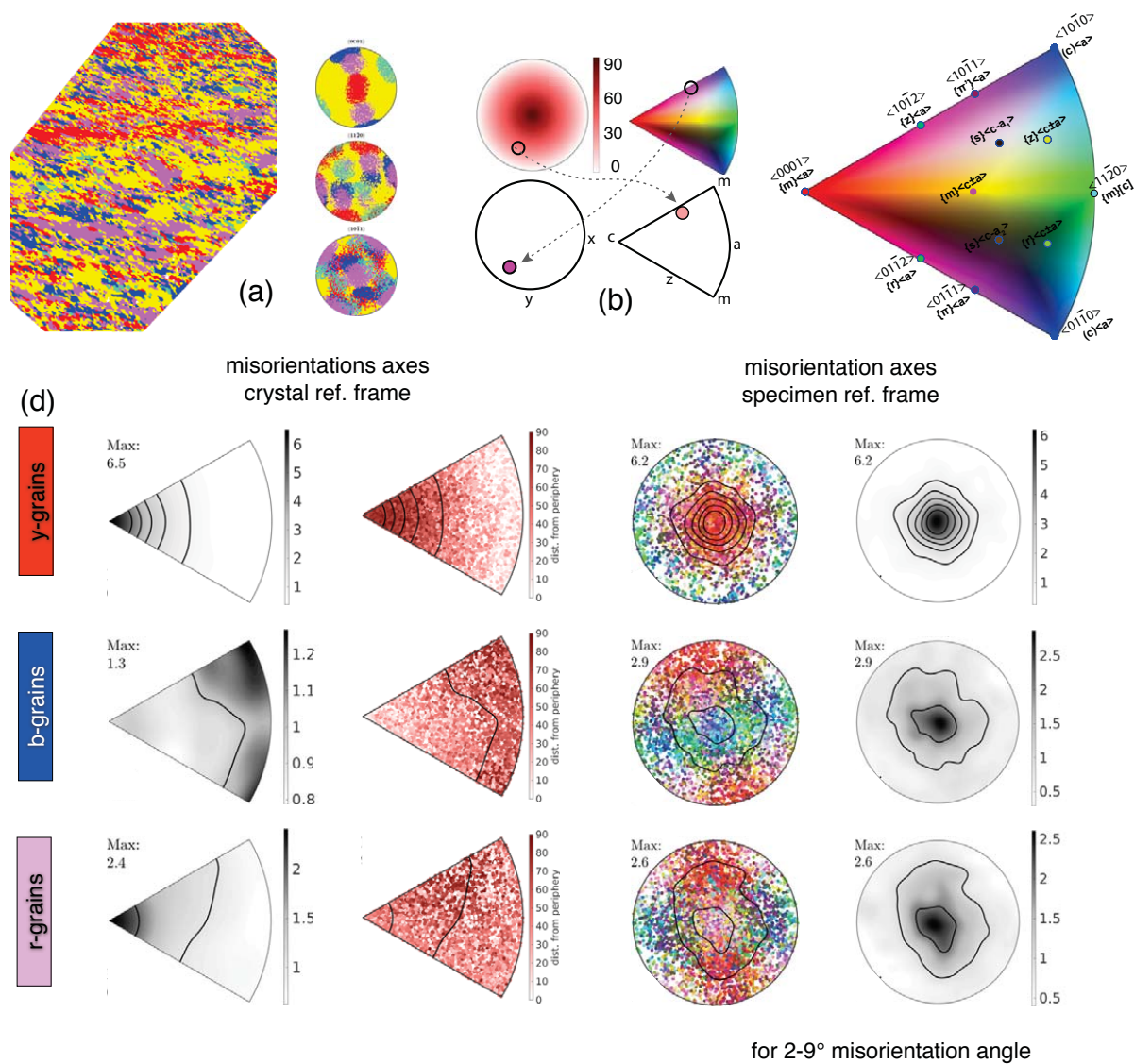
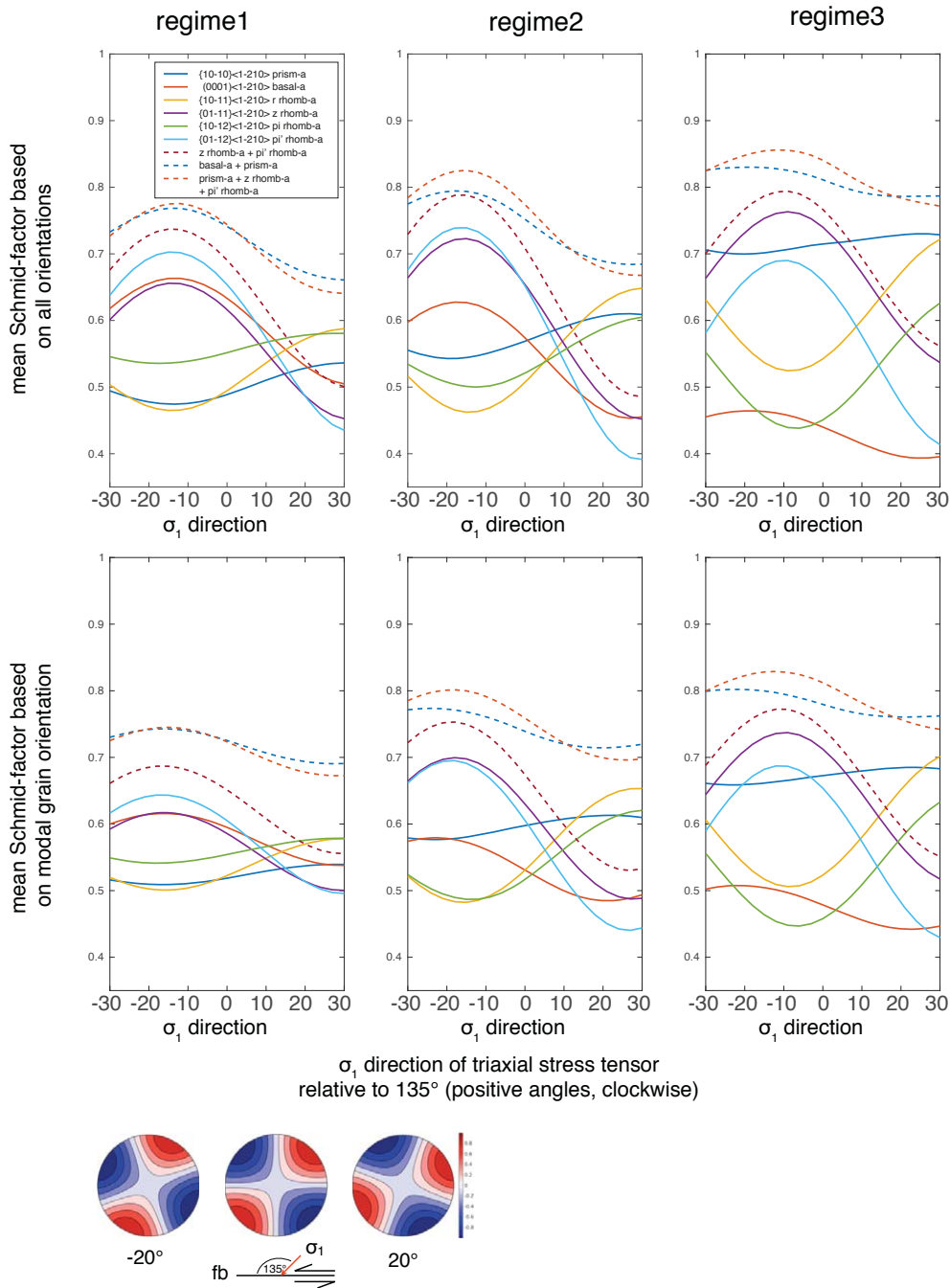


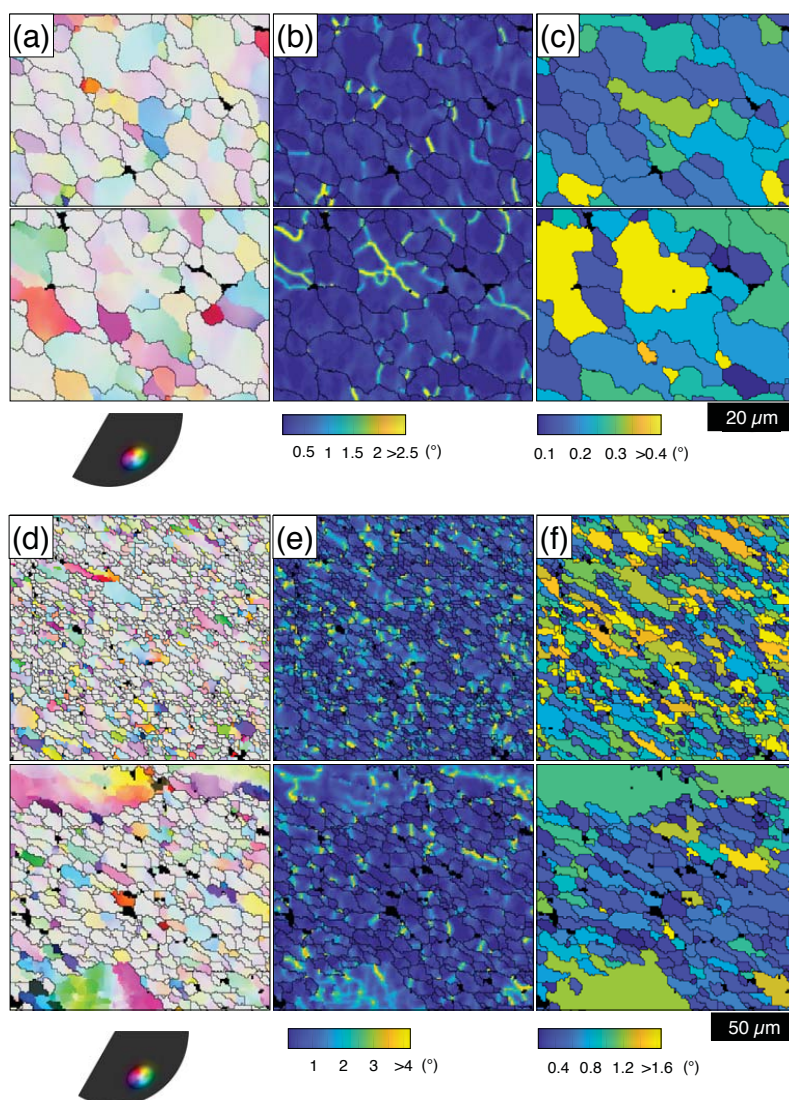


Figure 10



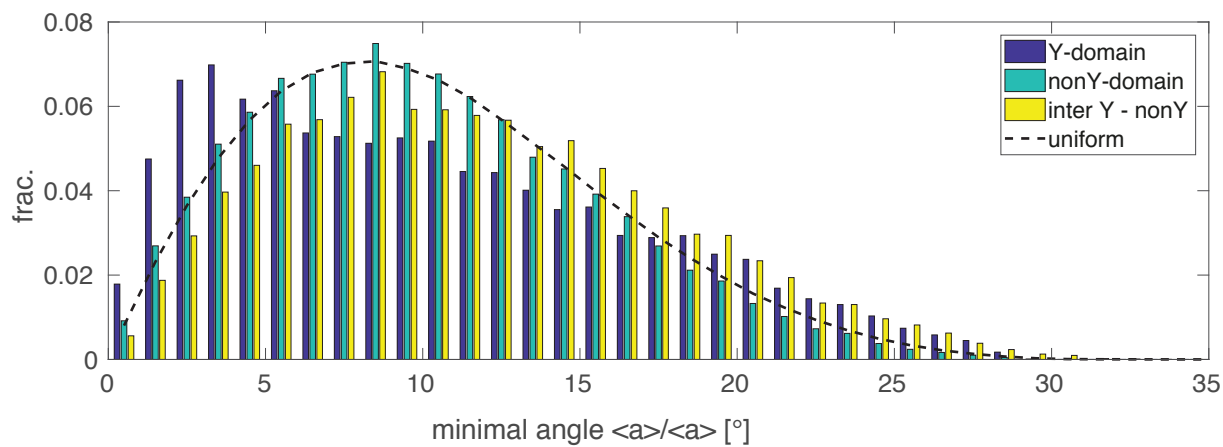


Appendix Figure A1





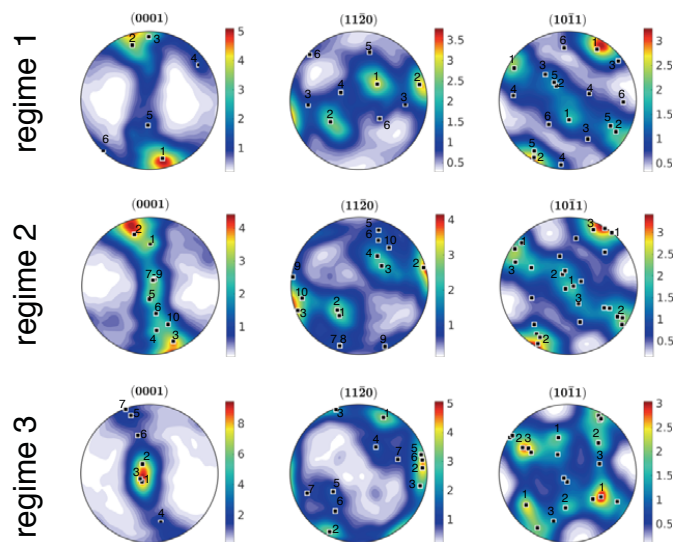
Appendix Figure A2





Appendix Figure A3

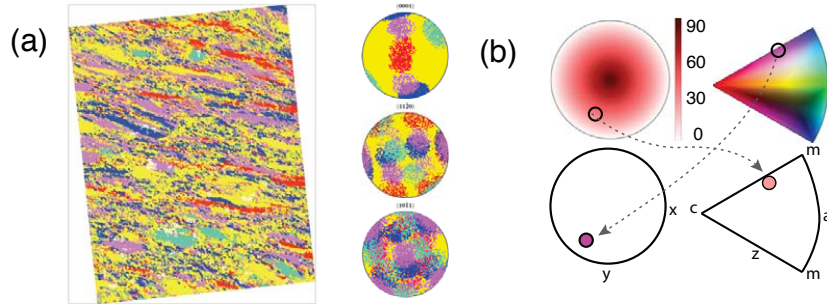
Polefigures and the first n modal orientations





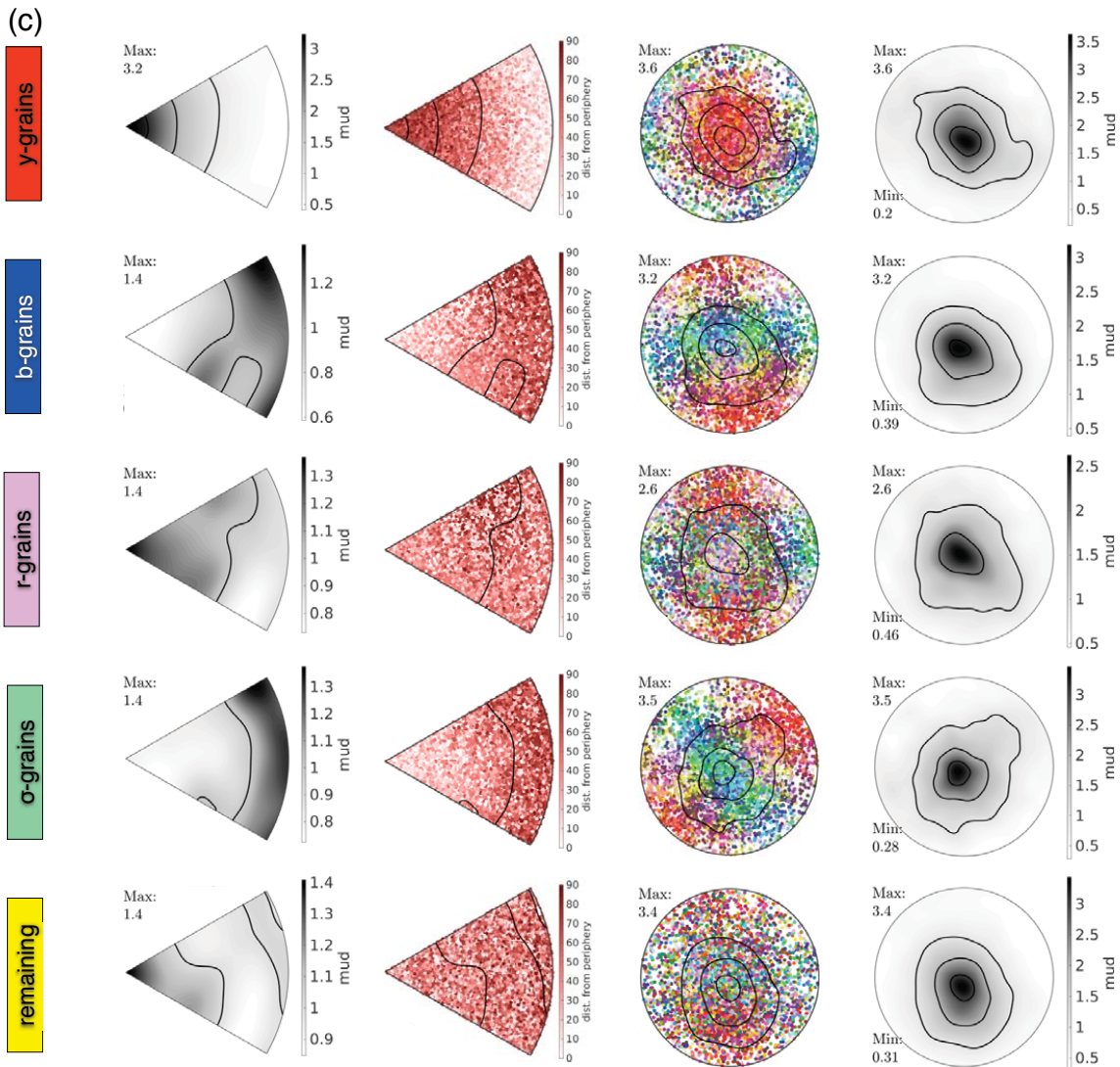
Appendix Figure A4

regime 1



misorientations axes
 crystal ref. frame

misorientation axes
 specimen ref. frame



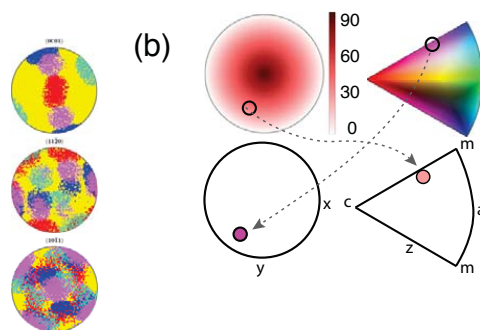
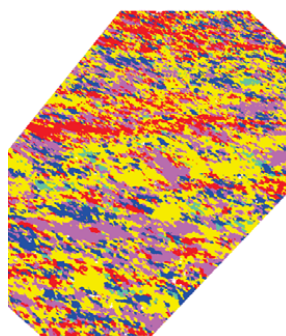
for 2-9° misorientation angle



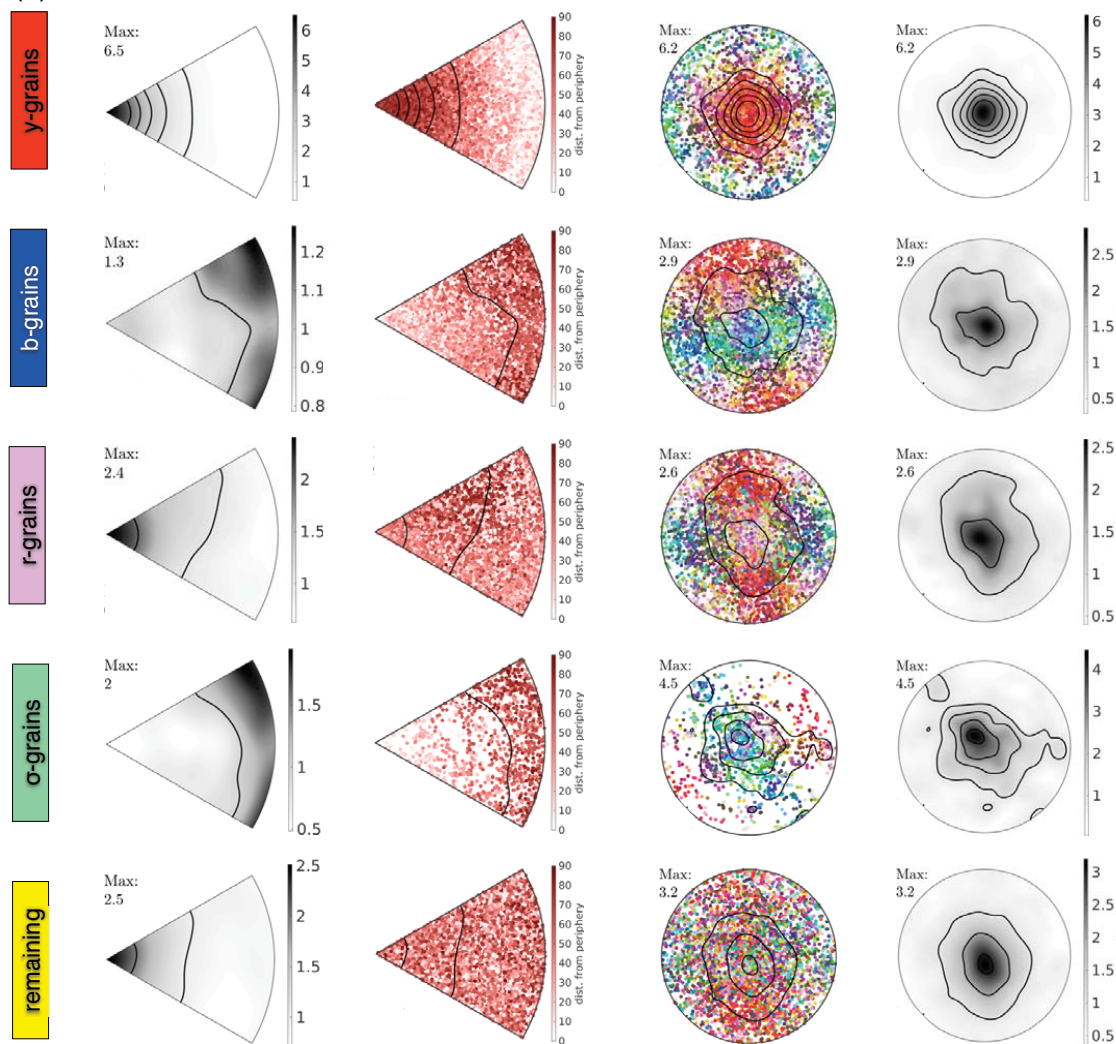
Appendix Figure A4

regime 2

(a)



(c)

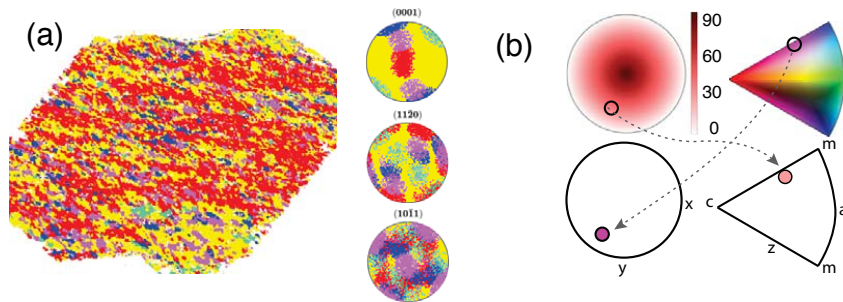


for 2-9° misorientation angle



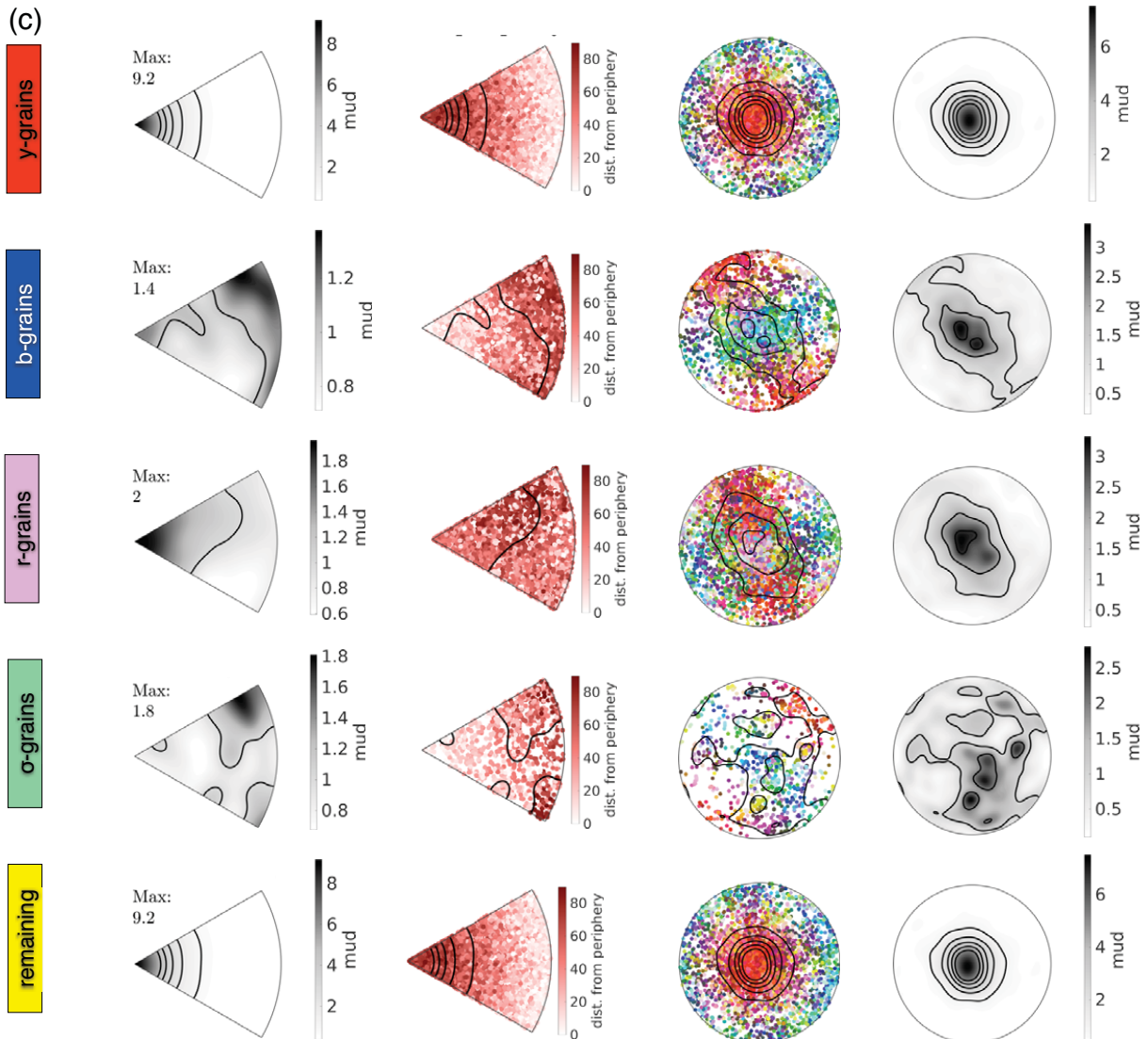
Appendix Figure A4

regime 3



misorientations axes
 crystal ref. frame

misorientation axes
 specimen ref. frame



for 2-9° misorientation angle