



1 uptake can be enhanced by exploring effective hyperaccumulators, expanding phytomining
2 operations and extending molecular studies on accumulation mechanism, tolerance and
3 sensitivity of heavy metals. Hyperaccumulator plants achieve greater performance at low
4 cost than conventional technologies for in situ metal removal. Phytomining generate
5 revenue and provide new research area for biofortification of food and feed, biofuel and
6 metal rich biochar production in future. This review highlights the sources of heavy metals
7 and its effects on plants, enhancing phytoremediation process and increasing economic
8 benefits of phytomining.

9 Keywords: Cadmium; Environment; Hyperaccumulator; Phytoextraction; Phytomining.

10 Table of Contents

11	1. Introduction	3
12	2. Sources of heavy metals and their effects on plants	5
13	2.1. Cadmium (Cd).....	6
14	2.2. Lead (Pb)	6
15	3. Phytoremediation of heavy metals: An environment friendly green technology	8
16	4. Phytoextraction (phytoaccumulation) of Cd and Pb	11
17	5. Induced and natural phytoextraction of Cd and Pb	18
18	6. Phytomining of heavy metals	20
19	7. An insight into economics of heavy metals phytomining	23
20	8. Factors affecting phytoextract on (phytomining)	27
21	9. Limitations of phytoextraction (Phytomining)	28
22	10. Conclusion and Recommendations	29
23	11. Future Perspective	31

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1 1. Introduction

2 Anthropogenic and geogenic activities contribute to heavy metals (HMs) pollution in air,
3 soil and water bodies. Heavy metals having higher densities ($>5 \text{ gcm}^{-3}$), include cadmium
4 (Cd), lead (Pb), mercury (Hg), zinc (Zn), chromium (Cr) and arsenic (As) etc, generally
5 refers to metals and metalloids (Li et al., 2014). Heavy metals are considered as toxic, non-
6 biodegradable and extremely persistent elements in the soil and environment (Bharti and
7 Kumar Banerjee, 2012; Luo et al., 2005; Zhao et al., 2010; Zhou et al., 2014). Heavy metals
8 pollution is a worldwide concern, and the number of contaminated sites increasing with the
9 passage of time due to burgeoning populations, disarrayed industrialization and expanding
10 economics (Kaimi et al., 2006).

11 Industrialization improved the living standard of man, meanwhile posed numerous
12 health and environmental threats. Global industrialization and technological innovations
13 over the past two centuries has resulted in widespread contamination of the environment.
14 Every factory discharge effluents, mostly containing various contaminants like Cd, Pb, Hg,
15 As, Zn, As, Cu, Ni, Co, Se, and Zn into soil and water resources like sea, rivers and canals
16 (Arias-Estévez et al., 2008; Daud et al., 2013). These contaminants cause catastrophic
17 effects on human, animals and environment due to soil-plant transportation of HMs
18 (Meighan et al., 2011; Vollenweider et al., 2006; Xiong, 1997). Naturally HMs are
19 introduced through the weathering of parent materials, wind-blown dust (erosion), forest
20 fires and atmospheric emissions from volcanic eruptions. Sedimentary rocks (black shale)
21 are considered as the main sources of Cd and Pb containing 0.1-11 and 1-150 $\mu\text{g g}^{-1}$,



1 respectively. The natural earth crust content of Cd and Pb is ranged between 0.15-0.20 and
2 10-20 $\mu\text{g g}^{-1}$, respectively (Arain et al., 2008; Bu et al., 2016).

3 The conventional methods for remediation of soil heavy metals are ineffective due to
4 high cost, require special treatment plants and release secondary pollutants into the
5 environment. Phytoremediation is cheap and efficient method used for in situ site
6 remediation. Phytoremediation permanently remove the bioavailable fraction of
7 contaminants, minimal site disturbance and is well-suited with risk-based contaminated
8 land management systems (Jiang et al., 2015). The phytoremediation market is assumed to
9 be 34–54 billion US\$ and is further expanding with the industrial race among the nations.
10 Number of plants species, like *Sedum alfredii*, *Thlaspi caerulescens*, *Helianthus annuus*,
11 *Brassica juncea* and *Salix* are known to extract Cd and Pb from soil-water system (Escarre
12 et al., 2000; Lomonte et al., 2010; Meighan et al., 2011; Sun et al., 2009b; Zaier et al.,
13 2010b). These hyperaccumulators have the potential to achieve greater performance at low
14 cost than conventional technologies for metals removal (Bolan et al., 2014; Salazar and
15 Pignata, 2013).

16 The remediation of heavy metals polluted sites through phytoextraction (phytomining)
17 is cheaper and more effective as compared to chemical treatments (Ha et al., 2011; Li et al.,
18 2014). Biofortification of food products, production of biofuel as new energy resource,
19 acquiring reclaimed land for agriculture and commercial purpose and biochar for climate
20 change mitigation, provides a new insight into the phytoremediation of HMs.
21 Phytoremediation indirectly increase soil carbon content, retain nutrients and improve soil
22 biochemical processes (Sheoran et al., 2013). This review gives an overview of the source



1 and potential effects of heavy metals, possibility of enhancing the phytoremediation
2 technology as well as an insight into the economic perspective of reclaiming contaminated
3 sites.

4 **2. Sources of heavy metals and their effects on plants**

5 Anthropogenic sources of HMs include textile, pesticides, petrochemical, energy and
6 power, leather, construction, steel manufacturing, food processing waste disposal, waste
7 incineration, mining and smelting, military operations as well as coal combustion
8 (Bhargava et al., 2012; Mahar et al., 2016; Zhao et al., 2010). A number of natural and
9 anthropogenic activities contributed to Cd and Pb contamination in the environment are
10 presented in Fig. 1.



11

12

Figure 1. Natural and anthropogenic sources of heavy metals



1 2.1. *Cadmium (Cd)*

2 Naturally soil Cd content is reported to be 0.1–0.5 mg kg⁻¹, but literature has also
3 reported the highest content up to 150 mg kg⁻¹ in sites near batteries, plastics and paint
4 manufacturing, mining, electroplating, alloy preparation, fertilizers, fungicides/pesticide,
5 rubber tires industries, sludge and composting facilities (Gallego et al., 2012). Cadmium is
6 considered as persistent, inorganic and toxic metal for human and plant at a low
7 concentration (Wahid et al., 2008). Among the heavy metals, cadmium is highly soluble,
8 causes soil pollution and adverse effects on plant growth and development. Cadmium can
9 be taken up by plants as Cd⁺² from soil solution and enter the food web. If plants exposed to
10 high levels of Cd⁺², it can affect water and elemental transportation, absorption, oxidative
11 phosphorylation in mitochondria, photosynthesis, reduce mitochondrial respiration, growth
12 and reproduction of plant (Padmaja et al., 1990). Cd can reduce root growth, cause cell
13 death and chlorosis as well as inhibit auxin homeostasis and enzyme activities (Daud et al.,
14 2013).

15 2.2. *Lead (Pb)*

16 The world rapid social and economic development increased the Pb concentration in
17 urban and industrial areas (Dermont et al., 2008). In 1923, lead in the form of tetraethyl
18 lead [(CH₃CH₂)₄Pb] was introduced as an anti-knock agent in fuel, which increased the lead
19 concentration in the atmosphere (Walraven et al., 2014). Lead is released from automobile
20 exhaust (tetraethyl lead), mining and smelters, fertilizers, pesticides, pigments, batteries,
21 ammunition, cable sheathing, fossil fuels, manure, sludge, electricity and heat production.
22 Annual lead level in air should not exceed 0.5 µg m⁻³ (WHO, 2000). Lead is readily



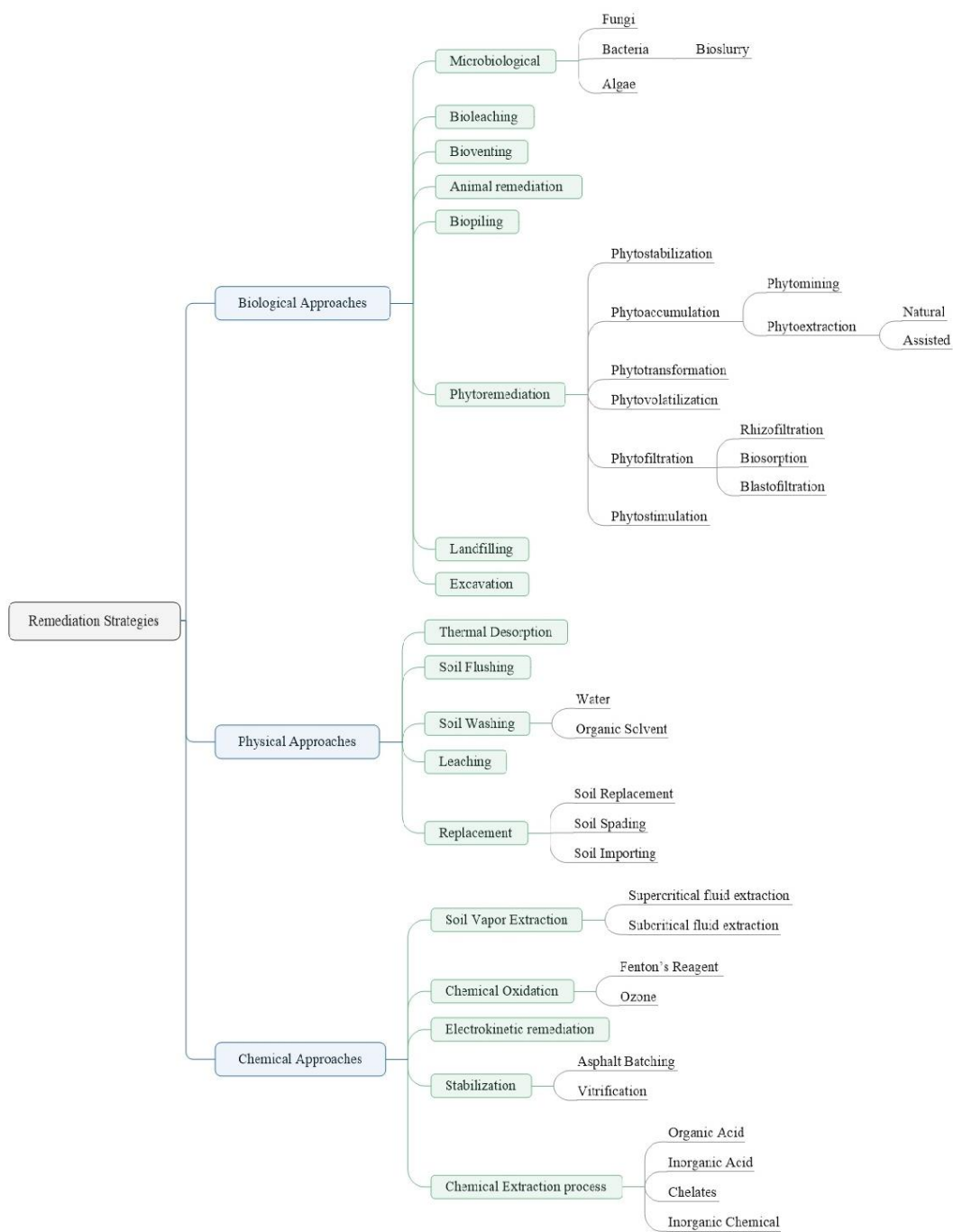
1 adsorbed in soil, contributes to atmospheric deposition, released by natural weathering
2 processes and considered as a notorious environmental pollutant (Nagajyoti et al., 2010).
3 Lead level in ambient air ranges from 7.6×10^5 to $>10 \mu\text{g m}^{-3}$ in remote areas (Antarctica)
4 and stationary sources (smelters), respectively (ATSDR, 2007). The lead concentration even
5 up to 300 mg kg^{-1} is also reported in roadside soils (Chen et al., 2010). The legislations in
6 1970s in Europe against the use of lead in petrol, helped in reducing the Pb level within a
7 safe limit (Pacyna et al., 2009). Chemical forms of lead depend on the source. Like in
8 atmosphere lead exists in the form of PbSO_4 and PbCO_3 , coal combustion release PbCl_2 ,
9 PbO , PbS and insoluble mineral particles, and oil combustion mainly in the PbO form
10 (Wadge and Hutton, 1987). Lead particle size ranges between 0.1 and $1.0 \mu\text{m}$ depending on
11 the source of emission. Lead particles in atmosphere are deposited in the terrestrial and
12 aquatic ecosystem by dry or wet deposition (Pan and Wang, 2014). Pb toxicity includes the
13 rapid cessation of root, stunted plant growth and chlorosis. Lead inhibits the activity of
14 enzymes due to its high affinity for sulphhydryl groups, disturbs mineral nutrition, water
15 balance and alters plant hormonal status (Gopal and Rizvi, 2008). Pb increases metal
16 containing antioxidant enzyme i.e. superoxide dismutase (SOD).

17 Due to such adverse effects on plants, the concern over the safe remediation
18 technologies for HMs remediation is growing. Plant based technology is considered as a
19 potentially safe technology to deal the HMs, environment friendly, non-destructive, non-
20 invasive and aesthetically pleasing.



1 **3. Phytoremediation of heavy metals: An environment friendly green technology**

2 Heavy metals pollution has become a global environmental threat, which is caused by a
3 number of metals such as cadmium, lead, copper and mercury etc. (Xu et al., 2012). Some
4 plants species reported in literature, exhibit tolerance to HMs especially Cd and Pb (Chen et
5 al., 2014; Lomonte et al., 2010; Mahar et al., 2016; Salazar and Pignata, 2013). The rise in
6 Cd and Pb content in environment, caused by anthropogenic activities, stress the need for a
7 sustainable indigenous remediation technology. Different remediation techniques are
8 practiced for HMs polluted soils as shown in Fig. 2. But most of them are expensive,
9 laborious and cause secondary pollution as well as soil disturbance, thus possess low
10 acceptability among the researcher communities. The conventional remediation techniques
11 include pneumatic fracturing, solidification/stabilization, vitrification, excavation/removal
12 of contaminated soil layer, chemical oxidation, soil washing, chemical precipitation, ion-
13 exchange, adsorption, membrane filtration and electrochemical treatment technologies
14 (Bhargava et al., 2012; Bharti and Kumar Banerjee, 2012; Mahar et al., 2016).



1
 2 **Figure 2.** Different remediation strategies used for soil heavy metals treatment.



1 Phytoremediation involve the use of plants to extract, sequester, and detoxify
2 environmental contaminants (heavy metals, radionuclides, pesticides and polychlorinated
3 biphenyls) from soil. Phytoremediation was introduced as a new discipline in 1970s and
4 developed with the successive discoveries of hyperaccumulators and advancement of
5 analytical techniques in the twentieth century. The concept of moving from
6 ‘phytoremediation’ to ‘phytomining’ to recover valuable metals for economic benefits is
7 underway (Ha et al., 2011; Jiang et al., 2015). Phytoremediation cost 25-100 US\$ per ton,
8 while conventional excavation/landfill cost is 150-350 US\$. Phytoremediation is attracting
9 the attention of research scientists, remediation experts and environmental professionals in
10 different industrial and government sectors, due to its high potential, easiness, efficiency
11 and economic benefits than the other technologies. Phytoremediation can simultaneously
12 detoxify hazardous waste and helps in restoration of polluted sites (Bharti and Kumar
13 Banerjee, 2012; Dermont et al., 2008). Phytoremediation technologies are classified as
14 phytoextraction, phytofiltration, phytostabilization, phytovolatilization, rhizodegradation
15 and phytodesalination (Ali et al., 2013; Ha et al., 2011; Mahar et al., 2016). Different
16 strategies used for remediation and restoration of polluted sites are given in Table 1.

17 Phytoremediation provide an opportunity for food biofortification with micronutrients
18 (Fe, Zn) and ultimately provide an inorganic supplement for improving human health.
19 Fortification of vegetables with Se gave impressive results (Banuelos, 2006).
20 Biofortification is gaining importance, as large number of international research programs
21 have been recently launched (Qaim et al., 2007). However, medical trials, toxicity and
22 appropriate dosages assessment are needed before biofortified products can be distributed



1 and consumed (Zhao and Mcgrath, 2009). Phytoremediation can generate revenue by the
 2 production of biofuels, nonconsumable agricultural products, or wood is economically
 3 viable in many countries (Lehmann, 2007). Apart from biofuel, the production of metal rich
 4 biochar can provide a new perspective in the remediation of contaminated sites and its
 5 application as a fertilizer. The application of biochar can provide plant nutrients, improve
 6 soil health, sequester carbon and mitigate climate changes. Phytoremediation provided a
 7 niche for native animals and birds in Guadiamar Green Corridor programme (Evangelou
 8 and Deram, 2014). Accumulation of heavy metals (Zn and Ni) in plants through
 9 phytoremediation provides defense against chewing insects. Phytoremediation with
 10 multiple plants specie can counter the adverse soil and environmental condition (Conesa et
 11 al., 2012).

12 **Table 1.** Summary of phytoremediation techniques

Techniques	Description	References
Phytoextraction (Phytoaccumulation)	Accumulation of pollutants in harvestable biomass i.e., shoots	(Erdei et al., 2005)
Phytofiltration	Sequestration of pollutants from contaminated waters by plants	(Tangahu et al., 2011)
Phytostabilization	Limiting the mobility and bioavailability of pollutants in soil by plant roots	(Tangahu et al., 2011)
Phytovolatilization	Conversion of pollutants to volatile form and their subsequent release to the atmosphere	(Erdei et al., 2005)
Phytodegradation	Degradation of organic xenobiotics by plant enzymes within plant tissues	(Pulford and Watson, 2003)
Rhizodegradation (Phytotransformation)	Degradation of organic xenobiotics in the rhizosphere by rhizospheric microorganisms	(Mahar et al., 2016)
Phytodesalination	Removal of excess salts from saline soils by halophytes	(Ali et al., 2013)

13 **4. Phytoextraction (phytoaccumulation) of Cd and Pb**

14 Phytoextraction is the uptake of contaminants from soil/water via roots and their
 15 translocation into the plant shoot, to eradicate contaminants and encourage long-term



1 cleanup of soil or wastewater (Bhargava et al., 2012; Mahar et al., 2016). Among different
2 strategies adopted by plants for the remediation of heavy metals from soil and water,
3 phytoextraction is publicly appealing remediation (green) technology to be practiced at
4 field level (Ali et al., 2013; Mahar et al., 2016). A heavy metal tolerant plant used for the
5 phytoextraction must be capable to grow rapidly with high biomass yield per hectare,
6 metal-hyperaccumulator and has prolific root system.

7 The identification and selection of appropriate hyperaccumulator plant is vital to
8 phytoextraction process, which can accumulate exceptional concentrations of HMs in aerial
9 parts without evident toxicity signs. Different research studies have reported more than 500
10 plant species (400 hyperaccumulators) including 101 families of *Asteraceae*, *Brassicaceae*,
11 *Caryophyllaceae*, *Cyperaceae*, *Cumouniaceae*, *Fabaceae*, *Flacourtiaceae*, *Lamiaceae*,
12 *Poaceae*, *Violaceae* and *Euphobiaceae* as hyperaccumulators (Bolan et al., 2014; Liu et al.,
13 2009).

14 Hyperaccumulators can concentrate $>10,000 \text{ mg kg}^{-1}$ Zn and Ni, 1000 mg kg^{-1} Co,
15 Cu, Cr and Pb, 100 mg kg^{-1} Cd, 10 mg kg^{-1} Hg; (2) bioconcentration factor and
16 translocation factor > 1.0 (Ha et al., 2011; Wei et al., 2012). Metal hyperaccumulator
17 species have attracted considerable research interest during the last four decades, because
18 of their evident significance for cleaning contaminated soils (Rascio and Navariizzo, 2011).
19 List of heavy metal hyperaccumulators, especially Cd and Pb is given in Table 2, cited in
20 different scientific literature worldwide (Bech et al., 2012b; Chen et al., 2014; Deng et al.,
21 2014; Salazar and Pignata, 2013; Zhang et al., 2014). During phytoextraction annual crops
22 and grasses are preferred due to their short growth periods and adoptability to



1 environmental stress like water scarcity and high temperature (Ali et al., 2013). Literature
2 has also reported the use of field crops (maize, rice, barley, beetroot, oats, tobacco and
3 sunflower), vegetables (green onion and tomato) and trees (willow, poplar, castor oil and
4 acacia) for soil HMs extraction (He et al., 2009; Luo et al., 2005; Marmiroli et al., 2013).

5 Cadmium is present in most of the zinc contaminated sites. Different plants such as
6 *Impatiens walleriana*, *Pteris vittata*, *Sedum alfredii* and *Thlaspi caerulescens* can extract
7 1168, 6434, 922.6 and 7400 mg kg⁻¹ Cd, respectively from soil (Escarre et al., 2000; Wei et
8 al., 2012; Wenhao et al., 2013; Xiao et al., 2008). Many plants can accumulate lead in a
9 very high concentration in its different parts. *Lepidium bipinnatifidum*, *Thlaspi*
10 *rotundifolium* and *Zea mays* can be effectively used as a hyperaccumulators for
11 contaminated soils to extract up to 7240, 8200 and 10600 mg kg⁻¹ Pb, respectively (Bech et
12 al., 2012a; Huang and Cunningham, 1996; Reeves and Brooks, 1983).



Table 2. List of hyperaccumulator plant species for phytoextraction of Cd and Pb

Plant species	Family	Metals	Metal accumulation (mg/kg)	References
<i>Arabis paniculata</i>	<i>Brassicaceae</i>	Cd	1662	(Qiu et al., 2009)
<i>Arabis paniculata</i>	<i>Brassicaceae</i>	Pb	2300	(Tang et al., 2009)
<i>Ceratopteris pteridoides</i>	<i>Pteridaceae</i>	Cd	105	(Deng et al., 2014)
<i>Elodea canadensis</i>	<i>Hydrocharitaceae</i>	Cd	300	(Nyquist and Greger, 2009)
<i>Impatiens walleriana</i>	<i>Balsaminaceae</i>	Cd	1168	(Wei et al., 2012)
<i>Ipomoea aquatica</i>	<i>Convolvulaceae</i>	Cd	138	(Wang et al., 2008)
<i>Lepidium sativum</i>	<i>Brassicaceae</i>	Cd	122.4	(Epelde et al., 2009)
<i>Lonicera japonica</i>	<i>Caprifoliaceae</i>	Cd	470.25	(Liu et al., 2009)
<i>Nymphaea aurora</i>	<i>Nymphaeaceae</i>	Cd	140	(Schor-Fumbarov et al., 2003)
<i>Panicum virgatum</i>	<i>Poaceae</i>	Cd	280	(Chen et al., 2012)
<i>Phytolacca americana</i>	<i>Phytolaccaceae</i>	Cd	637, 714	(Liu et al., 2010; Peng et al., 2008)
<i>Populus nigra</i>	<i>Salicaceae</i>	Cd	96.8	(Kirkham, 2006; Marmiroli et al., 2013)
<i>Pteris vittata</i>	<i>Pteridaceae</i>	Cd	6434	(Xiao et al., 2008)



<i>Ricinus communis</i>	<i>Euphorbiaceae</i>	Cd	288	(Zhang et al., 2014)
<i>Salix viminalis</i>	<i>Salicaceae</i>	Cd	200	(Vollenweider et al., 2006)
<i>Sedum alfredii</i>	<i>Crassulaceae</i>	Cd	161.5	(Sun et al., 2009b)
<i>Sedum alfredii</i>	<i>Crassulaceae</i>	Cd	922.6	(Wenhao et al., 2013)
<i>Sedum alfredii</i> Hance	<i>Crassulaceae</i>	Cd	747, 9000	(Liang et al., 2014; Yang and Stoffella, 2004)
<i>Solanum nigrum</i> L.	<i>Solanaceae</i>	Cd	117.2	(Chen et al., 2014)
<i>Tagetes patula</i>	<i>Asteraceae</i>	Cd	324	(Wei et al., 2012)
<i>Thlaspi caerulescens</i>	<i>Brassicaceae</i>	Cd	7400, 3000	(Escarre et al., 2000; Reeves et al., 2001)
<i>Wolffia globosa</i>	<i>Araceae</i>	Cd	500	(Xie et al., 2013)
<i>Poa pratensis</i>	<i>Poaceae</i>	Cd,Pb	174, 209	(He et al., 2009)
<i>Thlaspi caerulescens</i>	<i>Brassicaceae</i>	Cd,	380	(Dechamps et al., 2005)
<i>Thlaspi caerulescens</i>	<i>Brassicaceae</i>	Cd,	2120	(Perromnet et al., 2003)
<i>Helianthus annuus</i>	<i>Helianthoideae</i>	Cd	580	(Meighan et al., 2011)
<i>Eleocharis acicularis</i>	<i>Cyperaceae</i>	Cd, Pb	195, 1030	(Ha et al., 2011)
<i>Noea mucronata</i>	<i>Amaranthaceae</i>	Pb	1485	(Chehregami et al., 2009)
<i>Sedum alfredii</i>	<i>Crassulaceae</i>	Cd, Pb	617, 1624	(Zhang et al., 2012)



<i>Bidens pilosa</i>	<i>Asteraceae</i>	Cd, Pb	400.7, 100.6	(Salazar and Pignata, 2013; Sun et al., 2009a)
<i>Baccharis latifolia</i>	<i>Asteraceae</i>	Pb	2120-3060	(Bech et al., 2012a)
<i>Onchus oleraceus</i>	<i>Asteraceae</i>	Pb	2180-2900	(Bech et al., 2012a)
<i>Bidens maximowicziana</i>	<i>Asteraceae</i>	Pb	2164.7	(Wang et al., 2007)
<i>Bidens triplinervia</i>	<i>Asteraceae</i>	Pb	5187	(Bech et al., 2012b)
<i>Brassica juncea</i>	<i>Brassicaceae</i>	Pb	2200	(Zaier et al., 2010b)
<i>Buckwheat</i>	<i>Polygonaceae</i>	Pb	2500	(Chen et al., 2004)
<i>Cynara cardunculus</i>	<i>Asteraceae</i>	Pb	1332	(Epelde et al., 2008)
<i>Helianthus annuus</i>	<i>Helianthoideae</i>	Pb	1800	(Chen et al., 2004)
<i>Hemidesmus indicus</i>	<i>Apocynaceae</i>	Pb	1300	(Sekhar et al., 2005)
<i>Lepidium bipinnatifidum</i>	<i>Brassicaceae</i>	Pb	6300-7240	(Bech et al., 2012a)
<i>Indian mustard</i>	<i>Brassicaceae</i>	Pb	2900	(Chen et al., 2004)
<i>Najas indica</i>	<i>Brassicaceae</i>	Pb	3554	(Singh et al., 2010)
<i>Pelargonium</i>	<i>Geraniaceae</i>	Pb	3000	(Arshad et al., 2008)
<i>Piptatherum miliaceum</i>	<i>Poaceae</i>	Pb	8179.8	(García et al., 2004)
<i>Pisum sativum</i>	<i>Fabaceae</i>	Pb	1110	(Chen et al., 2004)



<i>Plantago orbignyana</i>	<i>Plantaginaceae</i>	Pb	6070-8240	(Bech et al., 2012a)
<i>Sedum alfredii</i>	<i>Crassulaceae</i>	Pb	2506	(Gupta et al., 2010)
<i>Senecio</i> sp.	<i>Asteraceae</i>	Pb	4253	(Bech et al., 2012a)
<i>Sesuvium portulacastrum</i>	<i>Aizoaceae</i>	Pb	3400	(Zaier et al., 2010a; Zaier et al., 2010b)
<i>Sonchus oleraceus</i>	<i>Asteraceae</i>	Pb	1113	(Xiong, 1997)
<i>Tagetes minuta</i> L.	<i>Asteraceae</i>	Pb	380.5	(Salazar and Pignata, 2014, 2013)
<i>Thlaspi rotundifolium</i>	<i>Brassicaceae</i>	Pb, Cd	8200, 108	(Reeves and Brooks, 1983; Wenzel and Jockwer, 1999)
<i>Zea mays</i>	<i>Poaceae</i>	Pb	10600	(Huang and Cunningham, 1996)
<i>Phaseolus vulgaris</i>	<i>Fabaceae</i>	Pb, Cd	487, 10.1	(Luo et al., 2005)
<i>Raphanus sativus</i>	<i>Brassicaceae</i>	Pb, Cd	189.52, 326.75	(Chen et al., 2003)
<i>Rorippa globosa</i>	<i>Brassicaceae</i>	Cd	150.1	(Wei and Zhou, 2006)



1 **5. Induced and natural phytoextraction of Cd and Pb**

2 Naturally plants can extract lower concentration of eavy metals from the soil solution
3 and this capacity can be improved by introduction of chelates and complexing agents.
4 Phytoextraction can be induced (chelate assisted) or natural (continuous). Induced
5 phytoextraction is driven by chelates, while, natural phytoextraction is based on the
6 hyperaccumulators with no soil amendments (Hseu et al., 2013; Liang et al., 2014;
7 Saifullah et al., 2010; Schor-Fumbarov et al., 2003).

8 Chelant-enhanced phytoextraction is cost-effective substitute to conventional
9 techniques for soil HMs remediation. Besides mobilizing metals in soil, chelates also
10 facilitate metal translocation from root to shoot. Chelates help in HMs desorption from soil
11 particles and form metal-chelant complexes in soil, drawn upward by passive apoplastic
12 pathway. The use of chelates is reported in various phytoextraction studies (Epelde et al.,
13 2008; Evangelou et al., 2006; Liang et al., 2014; Zhang et al., 2014), where it enhanced the
14 capability of hyperaccumulator plants to extract higher quantity of HMs (Cd, Pb) from the
15 soil-water system (Freitas et al., 2013; Hadi et al., 2010; Saifullah et al., 2010). The Pb
16 uptake is not improved to the required level by the chelates application. The main reason is
17 supposed to be the root injury caused by chelates. While, the other metals uptake is
18 improved by chelates application in field trials. However, chelates can cause secondary
19 pollution. The excess use of EDTA increase the risk of leaching metallic ions from the soil
20 to groundwater causing severe health hazards and ill effects on the plant biomass and
21 growth (Evangelou et al., 2008).



1 Natural chelating agents like EDDS and nitrilotriacetic acid (NTA) can be an alternate
 2 for EDTA. But it also has leaching and toxicity effects on plants. Thus, proper care should
 3 be taken when practicing induced phytoextraction (Evangelou et al., 2008; Song et al.,
 4 2012). At phytotoxic level of metals in the soil, lime and organic matter can be a best
 5 choice for delaying solubility (Pilonismit, 2005). The use of citric acid as a chelating agent
 6 could be promising, because it has a natural origin and is easily biodegraded in soil.
 7 Furthermore, citric acid is nontoxic to plants, therefore plant growth is not restricted
 8 (Smolińska and K, 2007). Chelates can be particularly useful in mobilizing heavy metals at
 9 high soil pH as the stability of metal-organic complex increases with increasing pH. The
 10 common chelates used for enhancing the HMs (Cd, Pb) phytoextraction are presented in
 11 Table 3.

12 **Table 3:** List of chelates used for inducing Cd and Pb uptake by hyperaccumulators

Chelates or complexing agents	Metals assisted	References
EDDS and NTA	Cd	(Hseu et al., 2013)
Humic acid, EDTA	Cd	(Evangelou et al., 2004; Schor-Fumbarov et al., 2003)
Elemental sulfur, EDTA	Cd, Pb	(Liang et al., 2014; Saifullah et al., 2010)
Citric acid	Cd, Pb	(Freitas et al., 2013; Gao et al., 2012)
EDTA	Pb, Cd	(Gabos et al., 2009; Wang et al., 2007; Wei et al., 2012; Zhang et al., 2014)
EDDS, MGDA	Pb	(Cao et al., 2007)
EDTA, EDDS	Pb, Cd	(Meers et al., 2007)
EDTA, PDTA	Pb	(Cho et al., 2009)
EDTA, EDDS	Pb, Cd	(Luo et al., 2005)
EDTA and EDDS	Pb	(Chen et al., 2004; Epelde et al., 2008)
Na ₂ -EDTA	Pb	(Evangelou et al., 2006)
HEDTA	Pb	(Huang and Cunningham, 1996)
Citric acid (CA)	Pb, Cd	(Chen et al., 2003)



1 The suitability of plants for the phytoextraction of heavy metals depends on the
2 following characteristics (Ali et al., 2013; Bhargava et al., 2012; Mahar et al., 2016).

3 Massive growth potential and high biomass production.

4 (i) Extensive root system and root developing capacity in adverse condition.

5 (ii) Ability to grow outside their area of collection.

6 (iii) Higher accumulation rate of target heavy metals from soil and translocation of the
7 accumulated heavy metals from roots to shoots for successful phytomining.

8 (iv) Tolerance to the toxic effects of the target heavy metals.

9 (v) Good adaptation to prevailing environmental and climatic conditions (drought,
10 temperature, humidity, salinity, nutrient deficiency and water logging).

11 (vi) Easy cultivation, harvest and resistance to pathogens and pests attack.

12 (vii) Repulsion to herbivores to avoid food chain contamination.

13 Phytoextraction is an income-generating, solar driven technology, removing precious
14 metals from the soil as bio-ore, generate energy through biomass burning i.e., phytomining
15 (Brooks and Robinson, 1998; Ha et al., 2011; Li et al., 2003). Phytoremediation is the
16 stabilization or recovery of metal contaminants for secure disposal, while phytomining
17 refers to the recovery of precious metals (Au, Pt, Ni and Tl) via growing hyperaccumulators
18 for monetary return (Mcgrath and Zhao, 2003).

19 **6. Phytomining of heavy metals**

20 It is an environment friendly technology of growing metal hyperaccumulator plants,
21 harvesting the biomass and burning it to produce a bio-ore as shown in Figure 3 (Ha et al.,

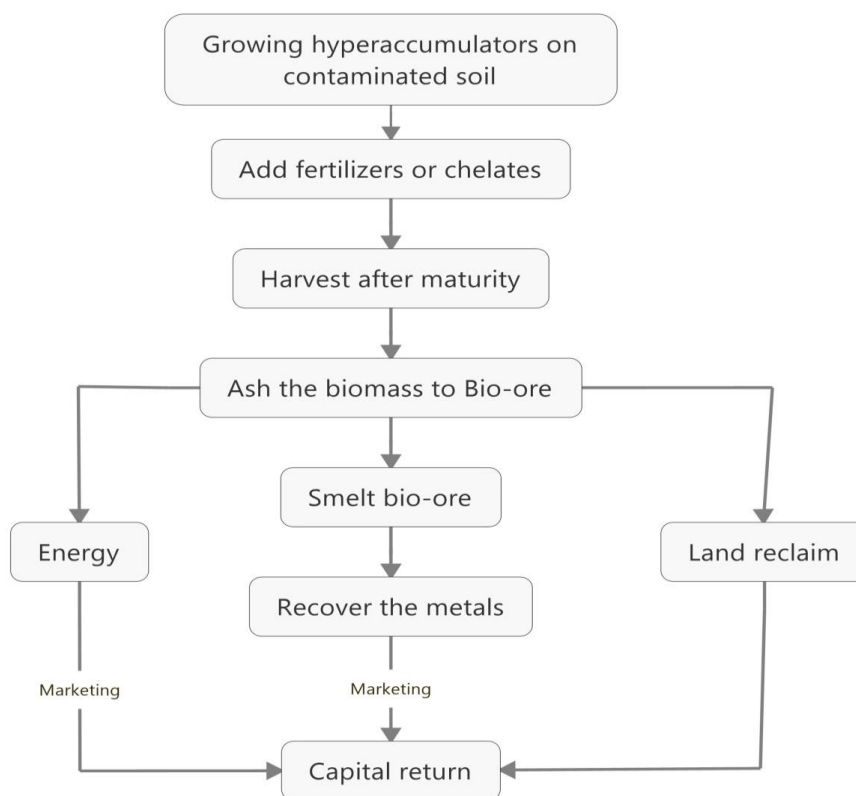


1 2011). Phytomining offers the possibility of exploiting ores/mineralized soils that are not
2 economic to explore by conventional techniques. The metal content of bio-ore is greater
3 than conventional ore and requires less storage space due to low density. Moreover,
4 phytomining is an environmentally responsible approach to site remediation. A well-
5 planned phytoremediation/phytomining operation will result in commercially viable metal-
6 enriched bio-ore. The mined soil can be used for agriculture (forestry and horticulture) and
7 commercial use (Sheoran et al., 2009). Research efforts are underway to recognize the
8 economic potential of this green technology. Practicing phytomining will hamper the
9 distribution of HMs by surface runoff and wind, reduce leaching into aquifers, provide
10 vegetation to control water and wind erosion of soil. Phytomining is considered an aesthetic,
11 safe and nondestructive technology, with high public and commercial acceptance (Sheoran
12 et al., 2009).

13 The pioneering field trial for phytomining was reported by the US Bureau of the Mines,
14 Reno, Nevada on a naturally occurring strain of *Streptanthus polygaloides* which is a specie
15 known to hyperaccumulate nickel (Chaney et al., 1998). Several plant species are renowned
16 as suitable for phytoremediation/phytomining of Ni, Co, Tl, Pb, Cu, Zn, As and Au
17 (Anderson et al., 2005; Boominathan et al., 2004). Phytomining not only provide precious
18 metals, but also increases soil biological activity, nutrients and carbon content (Brooks and
19 Robinson, 1998). Phytomining is less intrusive, requires less energy than traditional mining
20 technology. Phytomining has minimal environmental disturbances and effects due to
21 stabilizing action of the hyperaccumulator plants, when compared with the erosion caused



- 1 by opencast mining operation (Robinson and Mcgrath, 2003). Vegetation cover can
- 2 stabilize and accelerate ecological succession (Sheoran et al., 2009).



3

4 **Figure 3.** An illustrative model of economical phytomining of heavy metals.

5 Phytomining faces similar limitations as phytoextraction process, like soil pH, climatic
6 conditions, root depth, solubility and availability of HMs and nutrients affecting plant
7 growth (Li et al., 2003). Phytomining can assist in generating revenue along with
8 rehabilitation and sustainable closure of mining sites (Wilson-Corral et al., 2011).



1 7. An insight into economics of heavy metals phytomining

2 Some reclaimed metals (Tl, Au, Co, Ni, Cu, U, Cd, Zn, Pb, Mn, and Se) may provide
3 additional revenue by phytomining (Thangavel and Subbhuraam, 2004). The remediation
4 market around the world is estimated to be nearly 34–54 billion US\$ (Evangelou and
5 Deram, 2014). Several companies and scientific research groups are pursuing phytomining
6 strategies. *Berkheya coddii*, *Daucus carota* and *Brassica juncea* are reported to accumulate
7 as much as 20 mg kg⁻¹ of gold after ammonium thiocyanate supplementation (Prasad, 2003).
8 Some companies are gaining profit not just by recovering metals from the biomass, but also
9 using the biomass for energy generation and the ash as a source of carbon and potash as
10 well as gaining benefits from the sale of carbon dioxide credits (Rosenfeld and Henry, 2001;
11 Sheoran et al., 2009). Research showed the extraction of highly pure Ni from Ni-
12 contaminated *Alyssum* biomass, which can be used as substitute for Ni fertilizer. A number
13 of phytomining companies have emerged in US, Canada, Western and Eastern Europe,
14 Japan, Australia, Latin America and an emerging market also exists in Asia (China).

15 The economics of phytomining is influenced by a number of factors, i.e., the metal
16 content in soil and plant, annual biomass production and whether the energy of combustion
17 of the biomass can be recovered and sold. The biomass production plays an important role
18 in adaptation of hyperaccumulator for phytomining operation in future agrofarming. The
19 most important factor, however, is worldwide price of metal being phytomined (Brooks and
20 Robinson, 1998; Harris et al., 2009). Metal value ranges from \$1.793 to \$39368.59 kg⁻¹ for
21 lead and gold, respectively (March, 2016 shown in Table 4). The best candidate metals for
22 phytomining are Au, Tl, Co, and Ni due to their high market prices and metal concentration



1 in biomass of hyperaccumulators. Though, the price of uranium and gold are comparatively
2 high among the candidate metals, but its reported metal concentration (100, 10 mg kg⁻¹) in
3 biomass (10000, 20000 kg ha⁻¹) is low, which makes *Atriplex confertifolia*, *Berkheya coddii*
4 it uneconomical for phytomining (Mahar et al., 2016; Sheoran et al., 2009). The high
5 market value can compensate to some extent the low biomass, but low biomass can reduce
6 the yield of the metal in the bio-ore and hence reduce the profit. The price of Mn was low
7 (\$1.91 kg⁻¹) but plant concentration (1650 mgkg⁻¹) was high in *Macadamia neurophylla*,
8 making it more practical than *Haumaniastrum katangense* and *Atriplex confertifolia* used
9 for Copper and Uranium, respectively (Jaffré, 1980). Metals prices are subjected to global
10 economics condition and current low/high value of a metal cannot ensure its consideration
11 for permanent phytomining. The produced biomass could be combusted to ash, stored until
12 the world price hikes (Brooks and Robinson, 1998). Reviewing the published scientific
13 literature, the plant species reported for phytoextraction of precious metals (Tl, Au, Co, Ni,
14 Cu, U, Cd, Zn, Pb, Mn, and Se) may be used for phytomining purpose after field trials. The
15 revenue (US\$) to the grower is presented in Table 4 at the harvest time and at current, based
16 on the price of the metals (March, 2016).



Table 4. Economic benefits of phytomining of precious metals

Hyperaccumulators	Metals	Biomass (kg/ha)	Metal concentration		Price \$/kg March, 2016	Profit \$/ha	References
			(mg/kg)	(kg/ha)			
<i>Iberis intermedia</i>	Tl	8000	4055	32.44	7.03	228.05	(Brooks, 1977)
<i>Iberis intermedia</i>	Tl	10000	4000	40	7.03	281.2	(Leblanc et al., 1999)
<i>Biscutella</i>	Tl	4000	14000	56	7.03	393.68	(Leblanc et al., 1999)
<i>Iberis intermedia</i>	Tl	8000	3070	24.56	7.03	172.6568	(Leblanc et al., 1999)
<i>Berkheya coddii</i>	Au	20000	10	0.2	39368.59	7873.72	(Msuya et al., 2000)
<i>Daucus carota</i>	Au	-----	3.8	0.779	39368.59	30668.13	(Msuya et al., 2000)
<i>Daucus carota</i> (induced)	Au	-----	3.8	1.45	39368.59	57084.46	(Msuya et al., 2000)
<i>Haumaniastrum robertii</i>	Co	4000	10200	40.8	23.205	946.76	(Brooks, 1977)
<i>Alyssum murale</i>	Ni	20000	22000	440	8.62	3792.80	(Li et al., 2003)
<i>Alyssum corsicum</i>	Ni	90000	800	72	8.62	620.64	(Li et al., 2003)
<i>Streptanthus polygaloides</i>	Ni	10000	10000	100	8.62	862.00	(Chaney et al., 1998)



<i>Alyssum bertolonii</i>	Ni	9000	8000	72	8.62	620.64	(Robinson et al., 1997)
<i>Berkheya coddii</i>	Ni	22000	5500	121	8.62	1043.02	(Robinson et al., 1997)
<i>Alyssum serpyllifolium</i>	Ni	9370	6515	61.05	8.62	526.21	(Morais et al., 2015)
<i>Alyssum serpyllifolium</i>	Ni	8890	7037	62.55	8.62	539.26	(Morais et al., 2015)
<i>Haumaniastrum katangense</i>	Cu	5000	8356	41.78	5.06	211.41	(Brooks, 1977)
<i>Atriplex confertifolia</i>	U	10000	100	1	63.382	63.38	(Cannon, 1964)
<i>Thlaspi caerulescens</i>	Cd	4000	3000	12	2.06	247.2	(Reeves et al., 1996)
<i>Thlaspi rotundifolium</i>	Pb	4000	8200	32.8	1.793	58.81	(Reeves and Brooks, 1983)
<i>Macadamia neurophylla</i>	Mn	30000	55000	1650	1.91	3151.50	(Jaffré, 1980)
<i>Astragalus pattersoni</i>	Se	5000	6000	30	14.68	14.68	(Cannon, 1964)



1 **8. Factors affecting phytoextract on (phytomining)**

2 The efficiency of hyperaccumulator plants used in phytoextraction of HMs depend on
3 the favorable soil and environmental factors; like salinity, pH, nutrients deficiency, HMs
4 toxicity, speciation and bioavailability, flooding, temperature, humidity, water logging,
5 desiccation and resistant to drought conditions (Ali et al., 2013).

6 The increase in clay content (clay type specially and surface area) has a negative impact on
7 the mobility and availability of metals in soil due to fixation in clay matrix and the uptake
8 is also pH dependent (Saifullah et al., 2010). The exchangeable and soil solution pool of
9 metals is considered to be readily available for plant uptake (Meers et al., 2007). pH and
10 organic matter are two of the most important soil factors that control Cd availability
11 (Kirkham, 2006). Bioavailability of the heavy metals increases at low soil pH, since metal
12 salts are soluble in acidic media. In acidic soils, metal desorption from soil binding sites
13 into solution is stimulated due to H⁺ competition for binding sites. Soil pH affects not only
14 metal bioavailability, but also every process of metal uptake into roots. This effect appears
15 to be metal specific. For example, in *Thlaspi caerulescens*, Zn uptake in roots showed small
16 pH dependence, whereas uptake of Mn and Cd was more dependent. The CEC is a function
17 of the amount and types of organic matter and clay minerals in the soil. The uptake of Cd
18 by wheat was highest in plants grown in soils with a low CEC and vice versa. Apparently,
19 in the soil with a high CEC, more Cd was adsorbed to the exchange complexes, and hence,
20 less Cd was available for uptake by the wheat plants. In general, sorption to soil particles
21 reduces the activity of metals in the system. Thus, the higher the cation exchange capacity
22 (CEC) of the soil, the greater the sorption and immobilization of the metals.



1 **9. Limitations of phytoextraction (Phytomining)**

2 Although the remediation of heavy metals is effective by hyperaccumulators, but the
3 process is limited by biogeochemical factors viz. rhizobiological activity, exudates release,
4 prevailing temperature, soil moisture and pH, competing ions affecting plant growth and
5 solubility and availability of the metals in the soil-water system (Ali et al., 2013; Bhargava
6 et al., 2012; Mahar et al., 2016).

7 The major limitations of most metal phytoextraction processes are:

- 8 • Bioavailability of only target metal(s).
- 9 • Plants accumulate metals within above ground biomass, which is low.
- 10 • Polluted site must be large enough to carry out phytomining.
- 11 • Extended time for remediation process.
- 12 • Limited to low and medium metal contaminant concentrations.
- 13 • Climate dependent/variable; seasonal effectiveness.
- 14 • Risk of metals transfer by food chain (to animals or air).
- 15 • Introduction of non-native species may affect biodiversity (competition/allelopathy).
- 16 • Tightly bound fraction of metals in soil clay requires higher chelate application rates,
17 leading to ground water pollution.
- 18 • The contaminants must be in the root zone (rhizosphere) to be drawn up by plants.
- 19 • Most of the hyperaccumulators are not suitable for field applications due to low
20 biomass and slow growth.



1 10. Conclusion and Recommendations

2 The growing world population requires more food, infrastructure, transportation and
3 industrial growth to meet their daily requirements. These activities will intensify the use of
4 agro-chemicals in the agriculture sector, exploration of mining sites for energy and
5 infrastructure, manufacturing of automobile for public transportation and production of
6 households in the coming years. As a result, these activities will contribute to higher metal
7 release into soil, air and water, leading to environmental pollution. All the known
8 conventional remediation technologies for HMs have secondary pollution. An environment
9 friendly and green technology known as “phytoremediation” for in situ remediation of
10 polluted sites is easy, economical and compatible alternate to conventional technologies.
11 Effective phytoremediation (phytoextraction) depends on phytoavailable portion of metals
12 in soil solution, metal uptake in plant tissue and plant biomass. The metal ions are present
13 in soil solution but the plant option for specific ion reduces the uptake capacity of plants.
14 Metals like Pb can form carbonates, hydroxides and phosphates in soil and thus reduces the
15 phytoextraction efficiency, making the natural process difficult to continue. Phytoextraction
16 (phytomining) depends on environmental and soil properties with some limitations, like
17 low biomass and slow growth of hyperaccumulators. But still, progressive as compared to
18 conventional methods, as it is solar driven, low secondary pollution, hyperaccumulators
19 used as fuel and maintain the greenery of environment. Phytomining not only generates
20 revenue for the grower but also provides mineral supplementation and biofuel as well as
21 increases soil health and mitigate climate changes.

22 Based on the previous studies the following recommendations can be made.



- 1 (i) Further exploration of hyperaccumulator plants for enhanced phytoextraction of heavy
2 metals is needed.
- 3 (ii) The establishment of hyperaccumulators seed bank must be encouraged, for the
4 expansion of phytoextraction/phytomining studies in different ecological zones. The
5 findings at different ecological zones will help in further understanding of
6 phytoextraction/phytomining for the remediation of pollutants.
- 7 (iii) Extensive and precise research is required in the application of chelates assisted
8 phytoextraction in order to reduce secondary pollution of soil and air.
- 9 (iv) Experimentation on cost to benefit ratios (economics) and time consumption is
10 required to reach a final conclusion.
- 11 (v) The use of constructed wetland for improving water quality by practicing
12 phytoextraction is required.
- 13 (vi) Molecular studies on the mechanisms of hyperaccumulation, translocation, distribution,
14 tolerance and sensitivity of heavy metals in different plants need further attention.
- 15 (vii) Molecular techniques for the gene identification and introduction into the desired
16 plants for effective phytoextraction.
- 17 (viii) The extraction of metals in the target sites during the phytomining need special
18 considerations to trafficking and toxicity of heavy metals through food chain from
19 water, soil, plant and animal to human.
- 20 (ix) Need further studies on the rhizosphere for the enhanced phytoextraction.
- 21 (x) Biofortification of vegetables with micronutrients requires authentic medical trials,
22 precise toxicity assessment and appropriate dosages prescription.



1 (xi) The conversion of biomass produced by hyperaccumulator plants into biofuel and
2 biochar need investment and technical experience to meet the economic requirements.

3 (xii) Measurements for protection and conservation of native plant diversity before
4 introduction of alien plants for phytomining.

5 **11. Future Perspective**

6 Phytoremediation is a slow and time consuming process. Since hyperaccumulators have
7 low biomass and can extract minute quantity of HMs from the soil, which doesn't meet the
8 remediation requirements on large scale within a short time span. It can be improved by
9 exploration of fast growing plants, which yield high biomass and extract high concentration
10 of HMs. Plant species with short growth period, capable of rotation and resistant to
11 environmental stress should be identified for effective phytoextraction. Assisted
12 phytoextraction can be possible cost effective commercial technology for phytomining of
13 HMs in future, which can enhance metal uptake and reduces the environmental risks and
14 time for remediation process. In order to solve the problem of low solubility, soil pH and
15 fixation in clay, new research dimensions with respect to rhizosphere should be explored.
16 Exploration of plant growth regulators (cytokinins, gibberellic acid, indolebutyric acid,
17 naphthylacetic acid and indole-3-acetic acid) and rhizobacteria (P solubilizing) provide a
18 new research area with respect to the mechanism of HMs uptake and stabilization for a safe
19 and green environment. The role of biotechnology and genetic engineering for improving
20 the phytomining can't be ignored. Many genes are involved in metal accumulation,
21 translocation and sequestration. Gene transfer into candidate plant is a possible strategy for
22 genetic engineering of plants. Selection of individuals with genetic coding for high metal



1 content, high biomass production and superior tolerance to soil heavy metal content will
2 augment metal crops. The isolation of genetic materials may allow the genetic manipulation
3 of high biomass plants such as *Zea mays*, to produce a plant that will extract large quantities
4 of metals. Genetic engineering is currently being used to improve metal hyperaccumulation
5 in plants by changing oxidation state of metals, enhancing metal transporters and chelators,
6 encoding metal sequestration proteins i.e., MTs and PCs (metallothioneins and
7 phytochelatins), transport proteins such as ZIP family proteins (zinc-iron permease) and
8 ZAT (Zn transporter). Environment friendly and biodegradable chelates should be
9 developed. If phytomining proceed beyond the theoretical and pilot stage. Plants can be
10 harvested and feedstock can be used for incineration. This could supply steam for
11 electricity production. Biofortification of food and feed will meet the nutritional
12 requirements of human and animals. Production of biofuel and metal rich biochar provide a
13 new research area for soil nutritionist and economist in future. Before phytoremediation is
14 fully commercialized, further research is needed to assure that tissues of plants used for
15 phytoremediation do not have adverse environmental effects if eaten by wildlife or human.
16 Further, explorations of efficient hyperaccumulator that produce more biomass stress the
17 need for commercial smelting to extract the metals from plant biomass.

18

19



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