A systematic comparison of experimental set-ups for modelling extensional tectonics

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# 15 Abstract

Analogue modellers investigating extensional tectonics often use different machines, set-ups and model materials, implying that direct comparisons of results from different studies can be challenging. Here we present a systematic comparison of crustal-scale analogue experiments using simple set-ups simulating extensional tectonics, involving either a foam base, a rubber base, rigid basal plates or a conveyor base to deform overlying brittle-only or brittle-viscous models. We use X-ray computed tomography (CT) techniques for a detailed 3D analysis of internal and external model evolution.

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25 We find that our brittle-only experiments are strongly affected by their specific set-up, as the 26 materials are directly coupled to the model base. Experiments with a foam or rubber base 27 undergo distributed faulting, whereas experiments with a rigid plate or conveyor base 28 experience localized deformation and the development of discrete rift basins. Pervasive 29 boundary effects may occur due to extension-perpendicular contraction of a rubber base. 30 Brittle-viscous experiments are less affected by the experimental set-up than their brittle-only 31 equivalents as the viscous layer acts as a buffer that decouples the brittle layer from the base. 32 Under reference conditions, a structural weakness at the base of the brittle layer is required to 33 localize deformation into a rift basin. Brittle-viscous plate and conveyor base experiments better 34 localize deformation for high brittle-to-viscous thickness ratios since the thin viscous layers in 35 these experiments allow deformation to transfer from the experimental base to the brittle cover. Brittle-viscous-base coupling is further influenced by changes in strain rate, which affects 36 37 viscous strength. We find, however, that the brittle-to-viscous strength ratios alone do not 38 suffice to predict the type of deformation in a rift system and that the localised or distributed 39 character of the experimental set-up needs to be taken into account as well.

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41 Our set-ups are most appropriate for investigating crustal-scale extension in continental and 42 selected oceanic settings. Specific combinations of set-up and model materials may be used 43 for studying various tectonic settings or lithospheric conditions. Here, natural factors such as 44 temperature variations, extension rate, water content and lithology should be carefully 45 considered. We hope that our experimental overview may serve as a guide for future 46 experimental studies of extensional tectonics.

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# 49 **1. Introduction**

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51 1.1 Analogue experimental set-ups for investigating extensional tectonics52

Tectonic analogue modellers have historically used different experimental apparatus and model materials to investigate continental extension. These experiments have provided the scientific community with highly valuable insights in the evolution of basins and initial rift structures. However, a robust comparison between various experiments is challenging, because of the variety of experimental set-ups and model materials that have been used. Experiments have, for example, used set-ups involving (a combination of) basal foam bars, basal rubber sheet,

59 rigid basal plates or conveyor belt style basal sheets with moving sidewalls to deform model 60 materials (e.g. Allemand et al. 1989; Acocella et al. 1999; Bahroudi et al. 2003; Amilibia et al. 61 2005; Alonso-Henar et al. 2015; Philippon et al. 2015). Alternatively, extension can be achieved 62 through gravitational gliding or spreading, in which case no moving sidewalls or an extending 63 base needs to be applied (e.g. Gartrell 1997; Fort et al. 2004; Acocella et al. 2005). Analogue 64 materials used to simulate brittle parts of the lithosphere include, among others, guartz or 65 feldspar sand, silica flour, microbeads, and (kaolinite) clay (Hubbert 1951, Elmohandes 1981; 66 Serra & Nelson 1988; Clifton & Schlische 2001; Autin et al. 2010; Abdelmalak et al. 2016, 67 Klinkmüller et al. 2016, Fig. 1). Pure silicone oils and silicone putties are frequently used as 68 analogues for ductile parts of the lithosphere (Weijermars & Schmeling 1986; Basile & Brun 69 1999; Michon & Merle 2000; Sun et al. 2009, Rudolf et al. 2015, Fig. 1).

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71 Vendeville et al. (1987) present experiments that highlight several factors controlling the 72 geometry of fault systems in extensional tectonics. The study used rubber sheet set-ups with a 73 brittle sand layer for homogeneous thin-skinned deformation, brittle-viscous gravity-spreading 74 models resting on a solid base, and experiments with the whole brittle-viscous lithospheric 75 analogue floating on a simulated asthenosphere. The results provide a first impression of the 76 differences between these set-ups, revealing the correlation between fault spacing and laver 77 thickness in brittle materials, rift localisation in brittle-viscous settings and isostatic effects, such 78 as tilted margins due to the influence of the asthenosphere. Yet the many experimental 79 parameters were widely different from experiment to experiment, making a quantitative 80 comparison difficult.

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82 Allemand & Brun (1991) test the influence of two-layer brittle-viscous material layering, but 83 using a conveyor belt set-up to achieve both symmetric and asymmetric extension with a 84 velocity discontinuity (VD). The basal sheets diverge, here representing a fault in the underlying 85 (not-simulated) brittle lithospheric mantle. Asymmetric extension is shown to generate strongly 86 asymmetric rift geometries, in both brittle and brittle-viscous models. The rifts under symmetric 87 extension conditions also develop a degree of structural asymmetry. The similarities of results 88 from four-layer (lithospheric-scale) models (Fig. 1) to their two-layer model results supports the 89 validity of applying a VD to simulate faults in the brittle upper mantle. Model parameters such 90 as layer thickness, material properties and extension velocities are however not clearly defined, 91 again making a direct comparison of these experiments challenging.

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93 Brun (1999) summarises extension experiments with a focus on layer rheology and extension 94 velocity. He shows that an increase in extension velocity in crustal-scale brittle-viscous 95 conveyor belt models leads to an increase in viscous strength and brittle-viscous coupling. 96 favouring widespread deformation or wide rifting. By contrast, low extension velocities lead to 97 localized extension or narrow rifting. A similar effect is obtained by changing the brittle-to-98 viscous thickness ratio: a high ratio of 3:1 leads to low brittle-viscous coupling and narrow 99 rifting, whereas a small ratio of 1:1 leads to high coupling and wide rifting. On a lithospheric 100 scale however, the behaviour of the upper mantle becomes important as well (Fig. 1); a single 101 fault in a strong upper mantle layer may induce narrow deformation in the overlying crustal 102 layers, whereas a weak upper mantle promotes distributed deformation. The models also 103 suggest that within such wide rifts, local weaknesses can account for the development of core 104 complexes. Next to providing a summarizing scheme similar to Brun (1999), Corti et al. (2003) 105 show how magma presence can control rift initiation in narrow rifts and cause a wide rift to shift 106 to core complex mode. The authors also describe the additional effects of oblique extension 107 and multiple extension phases on rift evolution. However, the models presented in both review 108 articles come from numerous studies and are often performed with very different techniques 109 and parameters. 110

The additional significance of VDs in the brittle upper mantle was investigated by Michon & Merle (2000; 2003) by means of brittle-viscous base plate experiments, where the VD is situated at the edge of the plate. A single VD leads to asymmetric extension and the development of a single rift, whereas a double VD experiment may form two or more rift basins, depending on the initial distance between the VDs. This is valid for high strain rates, as low 116 strain rates focus deformation (narrow rifting), decreasing the number of rift basins. Apart from

the varying strain rates and VDs, the other parameters such as model size, materials and layer

- thickness remained fixed.
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120 Schreurs et al. (2006) compared results of a brittle-viscous plate base extension experiment 121 that was run by five analogue laboratories. The overall experimental procedure was kept as 122 similar as possible using, for example, the same foil to cover the base of the apparatus, the 123 same extension velocity and the same viscous material (PDMS). But differences occurred in 124 brittle materials (different types of sand and a wet clay) and model dimension (width and 125 length). This study illustrated the overall large-scale structural similarities, but also showed 126 differences in fault dip angle and fault spacing, that were related to differences in model 127 materials and/or model set-up.

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- 129 1.2 Analogue materials used in extension experiments130

131 Brittle, Mohr-Coulomb type granular materials have very similar internal friction angles with 132 respect to their natural analogues (ranging between ca. 25° and 40°, Schellart 2000; 133 Klinkmüller et al. 2016). Granular materials such as dry quartz sand have a very low cohesion 134 and are considered a good analogue for large-scale models aiming at the brittle crust or the 135 crust and lithospheric mantle (Fig. 1). By contrast, high-cohesion materials, such as silica flour 136 and clay (C = 40-750 Pa, Eisenstadt & Sims 2005; Guerit et al. 2016), are better suitable for 137 modelling the uppermost kilometres of the crust where cohesion is an important rheological 138 factor. Intermediate cohesions can be obtained by mixing granular materials (Abdelmalak et al. 139 2016; Montanari et al. 2017). Low-friction microbeads with internal friction angles of ca. 20° 140 allow the modelling of structural weaknesses or weak crustal lithologies (e.g. Colletta et al. 141 1991; Panien et al. 2005). The density of brittle analogue materials depends on various factors 142 such as its specific density, grain size and shape, sorting and handling techniques, as well as 143 water content (for clays), but lies generally between ca. 1400-1800 kg/cm<sup>3</sup> (e.g. Krantz 1991; 144 Eisenstadt & Sims 2005; Klinkmüller et al. 2016).

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146 Pure silicone oils consist of polydimethylsiloxane (PDMS), are transparent, have a density of ca. 147 1000 kg/m<sup>3</sup> (Weijermars & Schmeling 1986) and a Newtonian viscosity between c. 10<sup>3</sup> Pa·s and 10<sup>5</sup> Pa·s at room temperature and at typical experimental deformation rates (Rudolf et al. 148 149 2015; Schellart & Strak 2016). Silicone putties are mixtures of polyborondimethylsiloxane 150 (PBDMS) and inert fillers (Weijermars, 1986), and have higher densities than pure silicone oils. 151 Examples of opaque silicone putties commonly used in analogue modelling include Rhodorsil 152 Gomme GS1R (Cobbold & Quinquis, 1980), Rhodorsil Silbione 70009 (Nalpas & Brun, 1993) 153 and Dow Corning DC3179 (Dixon and Summers, 1985). Their density range varies between c. 1140 and 1420 kg/m<sup>3</sup> and they display Newtonian viscosities between c. 10<sup>4</sup> and 4·10<sup>5</sup> Pa·s at 154 room temperature (e.g., Casas et al., 2001; Cagnard et al., 2006; Konstantinovskaya et al., 155 156 2007). It should be noted that the viscosity of silicone-based materials can in some cases 157 strongly depend on temperature (Cagnard et al. 2006) and also aging processes have an effect 158 on silicone behaviour (Rudolf et al. 2015 and references therein). Pure silicone oils and silicone 159 putties can be mixed with for instance sand or metallic powders to modify the material's density 160 and viscosity (e.g. Calignano et al. 2015; Zwaan et al. 2016). Other substances, such as 161 paraffin and gelatin mixtures can be applied when power-law or temperature-dependent 162 rheological behaviour is required (e.g. Zulauf & Zulauf 2004; Boutelier & Oncken 2011). In 163 lithosphere-scale models, the weak ductile behaviour of the asthenospheric mantle is simulated 164 with low viscosity materials, such as honey, glucose syrup, mixtures of polytungstate with 165 glycerol, or even pure water (Mart & Dauteuil 2000; Chemenda et al. 2002; Schellart et al. 2002; 2003; Willingshofer et al. 2005; Molnar et al. 2017). These normally exhibit Newtonian 166 167 behaviour. Further details and references concerning the above-mentioned and other analogue 168 model materials can be found in a comprehensive review article by Schellart & Strak (2016). 169

170 1.3 Aims of this study

172 The analogue modelling work summarized above reveals a trend from a rather gualitative 173 modelling approach to a more quantitative approach. Older studies tend to present a range of 174 models with widely different parameters (for materials and set-up), which are often not fully 175 described. By contrast, newer studies often specify such data in much detail, allowing repetition 176 by analogue and also numerical means. Yet direct comparisons between the various methods 177 remain challenging, especially since these methods aim to simulate different tectonic settings 178 (see also sections 2.2 and 2.3). In theory, the scaling principles that have elevated analogue 179 modelling from a qualitative to a quantitative method can be applied to compute how models 180 should compare to each other (e.g. Hubbert 1937; Ramberg 1981; Weijermars & Schmeling 181 1986). In practice, however, such calculations remain approximate. Different material handling 182 techniques (laboratory traditions, the human factor) or climatic conditions (room temperature, 183 humidity) may influence material behaviour and thus model results with the same set-up can 184 vary from laboratory to laboratory (e.g. Krantz 1991; Schreurs et al. 2006, 2016; Rudolf et al. 185 2015). Furthermore, our understanding of experimental material rheology may be incomplete or 186 poorly constrained since some parameters are difficult to properly determine (e.g. Schellart 187 2000; Eisenstadt & Sims 2005; Schreurs et al. 2006; Dooley & Schreurs 2012 and references 188 therein; Ritter et al. 2016). Thus, the need for reference studies of lithospheric extension with 189 standardized model parameters remains and to our knowledge no such work is available to 190 date.

- 192 The aim of this study is to systematically compare a series of simple crustal-scale, normal-193 gravity laboratory experiments involving commonly used set-ups and to discuss the tectonic 194 settings to which these would apply. We use either a foam base, a rubber base, rigid base 195 plates or "conveyor belt" style plastic sheets as a mechanism to deform the overlying brittle or 196 brittle-viscous experimental materials. This forms a total of 16 reference experiments. Various 197 additional experiments serve to examine, among others, the effects of varying extension 198 velocity, layer thickness and brittle-to-viscous thickness ratio. We also apply X-ray computed 199 tomography (XRCT or CT) for obtaining a highly detailed 3D view of the internal as well as the 200 external evolution of our experiments. We furthermore address the various boundary effects 201 occurring in our experiments, a crucial factor that may strongly influence experimental results. 202 We hope that the opportunities and challenges associated with our experimental set-ups and 203 results, combined with the summary of materials above, may form an inspiration for future 204 experimental work.
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209 2.1 Material properties 210

211 We ran brittle (single-layer) and brittle-viscous (two-layer) experiments to simulate a brittle 212 upper crust and a complete brittle-ductile crust, respectively (Fig. 2). Reference brittle-only 213 experiments contain a 4 cm thick layer of fine quartz sand ( $\phi = 60-250 \mu m$  angle of internal 214 peak and stable friction: 36.1° and 31.4°, respectively, Zwaan et al. 2016; 2018b). The sand is 215 sieved from ca. 30 cm height into the experimental apparatus to guarantee a sand density of ca. 216 1560 kg/m<sup>3</sup>. The sand is flattened using a scraper at every cm thickness during preparation of the experiment, causing slight density variations, which subsequently appears on CT images 217 218 as a "layering" (Fig. 4f, g). The reference experiments with a brittle-ductile layering are built of an additional 4 cm thick, near-Newtonian viscous layer (viscosity  $\eta$  = ca. 1.5 10<sup>5</sup> Pa·s; stress 219 220 exponent n = 1.05) consisting of a 1:1 weight mixture of SGM-36 Polydimethylsiloxane (PDMS) 221 silicone and corundum sand ( $\rho_{\text{specific}}$  = 3950 kg/m<sup>3</sup>, Panien et al. 2006; Zwaan et al. 2016, 222 2018c; Carlo AG 2019). The obtained density of the viscous material (ca. 1600 kg/m<sup>3</sup>) is close 223 to that of the overlying quartz sand layer (1560 kg/m<sup>3</sup>). This results in a density profile that 224 avoids buoyant rise of the viscous material that would occur for a layering involving pure, low density PDMS ( $\rho = 965 \text{ kg/m}^3$ . Weijermars 1986). Further material properties are listed in Table 225 226 1.

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- 228 2.2. Experimental design

229 230 The experimental apparatus consists of a fixed base and two longitudinal sidewalls, which can 231 move outward independently from each other above a fixed support table, controlled by precise 232 computer-guided stepper motors. The initial width of the experiment is 30 cm in all set-ups, 233 which is considerably less than their length (as specified below). This high length-to-width ratio 234 diminishes the influence of boundary effects of the short sidewalls. Through modification of the 235 apparatus we can use four different methods to transfer deformation from the base of the set-236 up to the overlying experimental materials: by applying either a foam base or rubber sheet base 237 for a distributed deformation setting, or a base of rigid plates or conveyor belt system for 238 focussed deformation (Fig. 2). The confinement along the short sidewalls varies according to 239 the set-up, as explained below. Since the various set-ups differ significantly, we also specify 240 which type of tectonic setting or crustal rheology is simulated (Fig. 3). An additional overview of 241 the similarities and differences between our set-ups by means of (relative) velocities and shifts 242 in reference frames is provided in Appendix A (Fig. A1).

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### 244 2.2.1. Distributed extension set-ups

245 246 A foam base (F series experiments) induces distributed extension (e.g. Schreurs & Colletta 247 1998; Schlagenhauf et al. 2008; Zwaan et al. 2016, Zwaan & Schreurs 2017). An 8 cm thick 248 RG 50 Polyurethane foam base is first compressed between the sidewalls with the experiment 249 subsequently constructed on top (Fig. 2a-c). As the sidewalls move apart during an experiment, 250 the foam expands, causing the overlying materials to deform (Fig. 2b, c). Rubber sidewalls at 251 the short ends of the set-up confine the materials, with the distributed extension of the rubber 252 decreasing boundary effects there (Fig. 2a). All foam base experiments have a length of 79 cm 253 for an initial length-to-width ratio of 2.6.

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255 For the rubber base set-up (R series experiments) a 1.5 mm thick Neoprene rubber sheet is 256 spanned between the two long sidewalls (e.g. Vendeville et al. 1987; Bahroudi et al. 2003; 257 Bellahsen et al. 2003; Bellahsen & Daniel 2005; Fig. 2d-f). Note that this is slightly different 258 from set-ups applying a narrow rubber sheet between two rigid base plates. When these are 259 subsequently moved apart a limited band of distributed deformation occurs above the rubber 260 while the plate edges essentially act as VDs (e.g. McClay & White 1995, McClay et al. 2002; 261 Corti et al. 2007; Henza et al. 2010). Instead, we use a full rubber base for our experiments in 262 order to allow a comparison with the foam base set-up and to achieve distributed extension 263 throughout the experiment. When the long sidewalls move apart, the rubber sheet is stretched 264 and extends uniformly along a velocity gradient with a constant slope, causing distributed 265 deformation (Fig. 2e, f). The short sides of the experiment are free in experiments with only a 266 brittle layer, that is, not confined by a sidewall that may influence the experimental results. The 267 short sidewalls of the brittle-ductile rubber base experiments are enclosed by a sand talus so 268 that the viscous material cannot escape sideways (Fig. 2d). Since the large forces involved in 269 stretching a large rubber sheet may cause damage to the experimental apparatus, the length of 270 the rubber base experiments is kept to 50 cm. Therefore, the initial length-to-width ratio is 1.7. 271

272 Previous authors have applied a rubber or foam base with an overlying brittle layer to simulate 273 distributed thin-skinned extension (e.g. Bahroudi et al. 2003; Schlagenhauf et al. 2008). In 274 nature, distributed extension in the brittle crust could develop in a setting with high brittle-ductile 275 coupling between a brittle upper crust and a strong ductile lower crust (Fig. 3a), either due to 276 high strain rates or high viscosity (Brun 1999, Buiter et al. 2008; Allken et al. 2012; Zwaan et al. 277 2016). Note that the sub-crustal mantle has no direct influence in this case. By contrast, 278 experiments with brittle-viscous layers on top of a rubber or foam base would simulate a normal 279 brittle-ductile crust on top of a viscously deforming weak mantle (Fig. 3b). This setting, in which 280 the strength of the lithosphere is determined by the brittle crust (Bürgman & Dresen 2008), can 281 be expected in a hot lithosphere, for instance above a mantle plume (Saunders et al. 1992; 282 Burov et al. 2007) or in regions subject to enhanced radiogenic heating (Mareschal & Jaupart 283 2013).

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- 285 2.2.2. Localized extension set-ups

286 287 The plate base set-up (P series experiments) involves two 2 mm thick rigid plastic plates that 288 are fixed to the long sidewalls (Fig. 2g-i) (e.g. Tron & Brun 1991; Brun & Tron 1993; Bonini et al. 289 1997; Keep & McClay 1997; Michon & Merle 2000; Gabrielsen et al. 2016). When these plates 290 move apart with the long sidewalls, velocity discontinuities (VD) develop at the basal edges of 291 the plates. The support table below the plates prevents material from escaping (Fig. 2h, i). The 292 short sidewalls are confined by a similar plate system that is fixed to the horizontal plates, thus 293 moving in sync and creating the same boundary conditions as at the base of the apparatus (Fig. 294 2q). In contrast to the set-ups applying distributed extension described above, the rigid base 295 plates allow both symmetric and asymmetric extension. In the former case, two moving VDs 296 occur as the edges of both non-overlapping plates move apart, whereas the latter case results 297 in only one VD (similar to Michon & Merle 2000, see also Fig. A1). The initial length of the base 298 plate experiments is 90 cm, so that the length-to-width ratio is 3. Although we did not measure 299 the boundary friction between the plastic plates and quartz sand, it is likely to be close to the 300 values reported by Panien et al. 2006 for similar guartz sand on top of either plastic or PVC: ca. 301 21°.

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303 The final set-up is a modified version of the plate base set-up involving a "conveyor belt" type of 304 deformation (C series experiments) (e.g. Allemand & Brun 1991; Tron & Brun 1991; Dauteuil & 305 Brun 1993; Keep & McClay 1997; Román-Berdiel et al. 2000). Sub-mm thick plastic sheets or 306 foil ("Alkor" foil 120010 formerly produced by Alkor-Venilia and now available as "Gekkofix 307 11325" www.gekkofix.com; Klinkmüller et al. 2016) are fixed to the plate base set-up and are 308 led down through a slit in the support table, along the central axis of the experiment (Fig. 2i-I). 309 When the long sidewalls move apart, the sheets are pulled upward through the slit (Fig. 2k, I). 310 In contrast to the plate base experiments, a single VD occurs, which remains located at the 311 centre of the experiment. Since this is true for both symmetrical and asymmetrical experiments 312 (Fig. 2k, I), the plate base and conveyor belt set-ups are different. Yet the asymmetric conveyor 313 belt mechanism is, after a switch of reference frame, the same as the asymmetric plate base 314 mechanism (Fig. A1) and should thus produce an identical result. The same sheet system is 315 applied on the short sidewalls in order to have a continuous confinement (Fig. 2j). These 316 conveyor belt experiments have the same length-to-width ratio as the plate base experiments, 317 i.e. 3. The angle of boundary friction of the foil with guartz sand lies between 15° and 21° 318 (Schreurs et al. 2016).

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320 Both the plate base and conveyor base experimental designs involve localized deformation at 321 VDs. These VDs simulate a discrete fault (or shear zone) in a strong layer underlying the 322 experimental materials. In the case of our brittle-only experiments, this would translate to a fault 323 at the base of the upper crust. In order to have a fault in the lower crust, the latter needs to 324 behave in a brittle fashion, which in our case would be expected in an old, cool crust (Fig. 3c). 325 On a smaller scale, one can also interpret the VD as a reactivated basement fault affecting 326 overlying strata (e.g. Acocella et al. 1999; Ustaszewski et al. 2005). Concerning our brittle-327 viscous crustal experiments, the VD translates to a fault in a strong upper mantle (e.g. 328 Allemand & Brun 1991; Michon & Merle 2000). Such a setting can be expected in a young 329 stable lithosphere with a strong brittle mantle (Fig. 3d). Note however, that VDs could be 330 produced by differential motion focussed along various types of (linear) irregularities or 331 inherited structures within the lithosphere, but that these may be challenging to simulate. For 332 instance, Morley (1999) points out that (1) VDs in analogue experiments cannot serve to 333 reproduce irregularities within the overlying layers, but only structures at the base of these 334 layers, and that (2) VDs per definition represent discrete features, rather than pervasive 335 structures (e.g. foliations) that may be present throughout a volume of rock.

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- 337 2.3. Additional experimental parameters and definition of coupling338

For every experimental set-up, we test brittle-only materials and brittle-viscous layering, with a reference layer thickness of 4 cm, so that brittle-only and brittle-viscous experiments are 4 cm and 8 cm thick, respectively. However, for specific experiments, we either apply a 4 cm thick

342 brittle-viscous layering, or we modify the brittle-to-viscous thickness ratio by decreasing the

thickness of the viscous layer to 2 or 1 cm, in order to capture the effects that a different crustal layering may have on extensional structures (details in Table 2). This decrease in viscous layer thickness can be either due to a thinner, viscous lower crust, assuming that the brittle crustal thickness remains the same (Fig. 3g, h), or an increase in brittle crustal thickness with a constant Moho depth. In both cases, this would result in a relative strengthening of the crust with respect to the default layering. Brittle-to-viscous strength ratios are given in Table 2, based on the calculations in Appendix B.

351 We also apply "seeds" to localize deformation in several experiments (Fig. 2, Table 2). These 352 seeds are 1 cm thick, semi-cylindrical viscous rods of the previously described 353 PDMS/corundum sand mixture that are placed at the base of the brittle layer. The seeds are 354 continuous and stretch along the full axis of the experiment. They form weak zones within the 355 sand pack, where deformation may localise, since the strong sand cover is locally thinner and 356 thus weaker (e.g. Zwaan et al. 2016). Although we acknowledge that surface processes can 357 influence rift evolution (e.g. Burov & Cloetingh 1997; Bialas & Buck 2009; Zwaan et al. 2018a), we neither apply erosion nor sedimentation in our experiments, since we aim to directly 358 359 evaluate differences in experimental results obtained by differences in simple experimental set-360 ups.

361 362 Our reference extension velocity is 8 mm/h, with both long sidewalls moving 4 mm/h for 363 symmetrical extension, or a single sidewall moving 8 mm/h for asymmetrical extension (Fig. 2). 364 Considering a reference duration of 5 h, the total extension equals 40 mm (or ca. 13%, given 365 an initial width of ca. 30 cm). In addition, we varied extension velocity for selected experiments. 366 In the case of the brittle-only experiments, however, this should not affect brittle deformation 367 structures because of the time-independent mechanical behaviour of the sand that directly 368 overlies the model base. For brittle-viscous experiments, variations in extension velocity are 369 equivalent to variations in viscous strength (e.g. Brun 1999; Buiter et al. 2008) and will thus 370 affect the strength contrast and coupling between the brittle and viscous materials (Fig. 3e, f, 371 Table 2, Appendix B). In the experiments with a foam or rubber base, a strengthening of the 372 viscous material, due to an increase in extension rate, can be seen as simulating strengthening 373 of a hot lithosphere with increased brittle-ductile coupling between the upper and lower crust, 374 but still a relatively weak mantle (compare Fig. 3b with Fig. 3e). In the plate base or conveyor 375 base set-up equivalent, a higher extension rate would then represent a similarly hot crust 376 subject to increased brittle-ductile coupling overlying a brittle upper mantle (compare Fig. 3d 377 with Fig. 3f). Higher extension rates may also affect the degree of coupling between the 378 analogue materials and base of the set-up, which can have an important influence on the 379 development of a rift system (e.g. Corti et al. 2003). We therefore distinguish the following 380 types of coupling: brittle-basal (between the brittle layer and the base of the set-up in brittle-381 only models), brittle-viscous (between brittle and viscous layers in brittle-viscous experiments) 382 and viscous-basal (between a viscous layer and the base of the set-up in brittle-viscous 383 experiments). In addition, we can also describe to what degree the brittle cover is decoupled 384 from the base of the set-up by the viscous layer in brittle-viscous experiments. 385

386 Furthermore, a thin (ca. 0.5 mm thick) grid made of dark (corundum) sand with a 4 x 4 spacing 387 applied to the surface of each experiment allows a first-order assessment of surface 388 deformation by means of top view images, without influencing the experimental results. 389 Furthermore, every component of the machine around the experiment consists of X-ray 390 transparent materials to allow for CT-scanning and various experiments are analysed with CT-391 techniques to reveal their 3D internal evolution. Most experiments marked in Table 2 as "CT-392 scanned" were a rerun of previous tests performed without CT scanning. Various other 393 experiments were also repeated and did indicate little structural variation, thus good 394 reproducibility is ensured (Table 2, details presented in Appendix C, Figs. C1, C2).

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- 397 2.4. Scaling
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399 We calculate stress ratios (convention:  $\sigma^* = \sigma_{experiment}/\sigma_{nature}$ ) based on Hubbert (1937) and 400 Ramberg (1981): 401 402  $\sigma^* = \rho^* \cdot h^* \cdot g^*$ (eq. 1) 403 404 where  $\rho^*$ , h\* and g\* represent the density, length and gravity ratios respectively. 405 406 The strain rate ratio  $\dot{\epsilon}^*$  = is derived from the stress ratio  $\sigma^*$  and the viscosity ratio  $\eta^*$ 407 (Weijermars & Schmeling 1986): 408 έ\* **=** σ\*∕η\*. 409 (eq. 2) 410 411 Subsequently, the velocity ratio  $v^*$  and time ratio  $t^*$  can be obtained as follows: 412 413  $\dot{\epsilon}^* = v^*/h^* = 1/t^*$ . (eq. 3) 414 415 Natural values for lower crustal viscosity may have a wide range depending on the specific tectonic setting ( $\eta = 10^{19}$ - $10^{23}$  Pa s, e.g. Buck 1991; Brun 1999; Bürgman & Dresen 2008). We 416 assume an intermediate lower crustal viscosity of 10<sup>21</sup> Pa s, which is in line with recent findings 417 (Shinevar et al. 2015, and references therein). An hour in our experiments thus translates to 418 419 0.84 Ma in nature and our reference velocity (8 mm/h) converts to a velocity of ca. 5 mm/y in 420 nature, close to typical values for initial continental rifting (1-5 mm/y, e.g. Saria et al. 2014). The 421 scaling parameters are summarized in Table 3. 422 423 To ensure dynamic similarity between brittle natural and experimental materials, we calculate 424 the ratio  $R_{s}$ , which is a function of gravitational stress and cohesive strength (C) (Ramberg 425 1981; Mulugeta 1998): 426 427  $R_s = (\rho \cdot g \cdot h)/C$ (eq. 4) 428 429 When adapting an intermediate cohesion of ca. 8 MPa for upper crustal rocks, we obtain an Rs 430 value of 67 for both nature and our experiments. This cohesion is relatively low compared to 431 the ca. 20-40 MPa measured for continental rocks (e.g. Handin 1969; Jaeger & Cook 1976; 432 Twiss & Moores 1992), but should be reasonable given that the strength of the earth's crust is 433 generally reduced due to previous phases of tectonic activity. 434 For verifying the dynamic similarity of viscous materials, the Ramberg number R<sub>m</sub> applies 435 436 (Weijermars & Schmeling 1986): 437 438  $R_m$  = gravitational stress/viscous strength =  $(\rho \cdot g \cdot h^2)/(\eta \cdot v)$ . (eq. 5) 439 440 Our experimental and the equivalent natural  $R_m$  values are the same: ca. 75. 441 442 The reference experiments are thus properly scaled. Scaling the other experiments can be more challenging. When adopting a lower crust viscosity of 10<sup>21</sup> Pa s, many experiments would 443 seem to extend unrealistically fast (Table 2). However, when assuming a higher lower crustal 444 viscosity of 10<sup>22</sup> or even 10<sup>23</sup> Pa·s (e.g. Buck 1991), the equivalent natural extension rates to 445 446 those listed in Table 2 are more reasonable. 447 448 449 450 3. Results 451 452 3.1. Foam base experiments (F series) 453

454 Fig. 4 shows the results of two brittle-only foam base experiments (set-up in Fig. 2a, b). 455 Experiment F1 (without seed) develops no distinct structures except for significant boundary 456 effects along the longitudinal sidewalls towards the end of the experiment (Fig. 4a). In contrast, 457 the seed in experiment F4 localizes deformation in the centre of the experiment, although 458 faulting along the long sidewalls is also visible at the surface (Fig. 4b). The CT data from 459 experiment F4 (with seed) reveals the evolution of these structures in more detail (Fig. 4c-q). 460 After ca. 60 min (8 mm) of extension, a rift starts forming above the seed and becomes visible 461 at the surface after 120 min (16 mm of extension, Fig. 4d, f). This main rift structure continues 462 developing towards the end of the experiment (Fig. 4e, g). The CT images show how additional 463 faulting occurs: first along the sidewalls (Fig. 4d, f), later on throughout the experiment so that 464 at the end of the experiment, pervasive sidewall-parallel striking normal faulting is omnipresent 465 (Fig. 4e, g). Note that this distributed faulting is not visible on the top view images due to the 466 low fault offsets at the surface that do not cast shadows on the experiment surface (Fig. 4b), 467 and may very well be present in the experiment without seed as well (F1, Fig. 4a).

468

469 The evolution of foam base experiments with a brittle-viscous layering is summarized in Fig. 5 470 (set-up in Fig. 2a, c). Experiment F5, without a seed, forms no central rift basin (Fig. 5a). 471 Instead, all deformation is concentrated as boundary effects along the long sidewalls. By 472 contrast, experiment F7, with a seed, produces a well-developed symmetric rift structure. Still 473 also this experiment produces some minor faulting along the long sidewalls (Fig. 5b). CT 474 images illustrate the 3D evolution of experiment F7 (Figs. 5c-g). Soon after initiation (30 min, 4 475 mm extension), a central rift structure with two main boundary faults develops above the seed. 476 As the experiment progresses, this structure continues evolving: the rift basin grows deeper 477 and the brittle material situated between the initial boundary faults starts breaking up due to 478 internal faulting (Fig. 5d, f). Some boundary effects develop, but are relatively minor with 479 respect to the central rift structure (Fig. 5d-g). Towards the end of the experiment the brittle 480 layer is almost breached by the upwelling viscous layer (Fig. 5e, g). In this experiment, 481 deformation is strongly focussed on the rift structure and no distributed faulting can be 482 distinguished.

- 483
- 484 3.2. Rubber base experiments (R series)
- 485

486 The surface evolution of two selected rubber base experiments built of only sand is depicted in 487 Fig. 6 (set-up in Fig. 2d, e). Experiment R1 (Fig. 6a, a') has no seed to localize deformation and, 488 as a consequence, deformation focuses along the sidewalls. In addition, remarkable conjugate 489 faults develop within the standard experiment duration (300 min, 40 mm of extension), but are 490 not well visible on our top view images since they do not create significant topography (Fig. 6a). 491 However, an additional phase of extension in experiment R1 (30 min at 40 mm/h) helps to 492 highlight these conjugate faults (Fig. 6a'). In contrast to experiment R1, experiment R5 contains 493 a viscous seed that focuses faulting along the experiment's central axis (Fig. 6b). As a result, 494 this experiment develops a central rift structure. Similar to experiment R1, well-defined 495 conjugate faults occur as well. 496

The CT-derived 3D images from experiment R5 (Fig. 6c-g) reveal how deformation localizes along the seed and the sidewall in the initial stages, forming a cylindrical central rift structure (Fig. 6d). However, after some 20-25 mm of extension, the conjugate sets of vertical strike-slip faults start developing (Figs. 6f), which become pervasive toward the end of the experiment (Figs. 6e, g). This curious feature is the result of along-strike compression, as the orthogonally extending rubber sheet contracts perpendicular to the extension direction (Fig. 6a'). Yet the rift structure continues to evolve toward the end of the experiment run (Fig. 6e, g).

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Fig. 7 shows results of four brittle-viscous rubber base experiments (set-ups in Fig. 2d, f). Experiment R7, without seed, produces no clear surface structures except for strong boundary effects along the sidewalls (Fig. 7a). In contrast, experiment R8 (with seed), experiences early fault localization (after 30 min a rift becomes visible at the surface), which continues evolving towards the end of the experiment (Fig. 7b). However, also this experiment develops strong boundary effects along the long sidewalls and at the corners, where some viscous material flows into the gap between the original sand buffer and the retreating sidewalls. The rift structure is best developed in the centre of the experiment and dies out towards the short sidewalls, involving slight block rotation of the sand layer in the four corners of the experiment (Fig. 7b).

515

516 Experiment R9 was run at an increased extension velocity of 80 mm/h (Fig. 7c) and produces a 517 central rift that is quite similar to the rift in experiment R8 (Fig. 7b), even though no seed is 518 included. Significantly higher extension velocities (480 mm/h in experiment R10) result in 519 strongly distributed deformation with multiple rifts (Fig. 7d). These three experiments without a 520 seed at different extension rates (Fig. 7a, c, d) reveal the effect of decreasing strength 521 contrasts between the brittle and viscous layers (strength ratios of 84, 8.4 and 1.4, respectively, 522 Table 2), of which the implications are discussed in section 4.4.

- 523
- 524 3.3. Plate base experiments (P series)

525 526 Experiments P1 and P2 consist of a brittle sand layer on top of plastic-covered rigid base 527 plate(s) (Figs. 2h, 8a, b). In experiment P1 we apply symmetric extension, whereas in 528 experiment P2 extension is asymmetric. Both experiments initially develop a rift above the 529 velocity discontinuity along the central axis of the experiment. However, with continued 530 extension experiment P1 develops a rift basin with a central horst block in the middle, which 531 does not develop in experiment P2 (Fig. 8a, b). Otherwise, both rift structures have the same 532 width. No boundary effects occur along the long sidewalls.

533

534 Fig. 8c-g shows the results of the plate base experiments with brittle-viscous layering (set-up in 535 Fig. 2q. i). Experiments P3 and P7 are following symmetrical and asymmetrical extension. 536 respectively. No seed is included. The structural evolution is similar for both experiments. 537 Rifting initiates at the short sidewalls, where both the base plates and confining plates are 538 moving apart (Figs. 2g, i, 8c, d). These rifts propagate slightly towards the centre of the 539 experiment, but strong boundary effects along the long sidewalls take up much of the extension 540 there and no continuous rift structure develops in the centre of the experiment (Fig. 8c, d). As a 541 result, block rotation (ca. 3° around a vertical axis near the tips of the propagating rifts) occurs 542 at the short ends of the experiments (Fig. 8c, d). The surface structures are largely the same in 543 both experiments, suggesting that the application of symmetric or asymmetric extension does 544 not have a significant influence on this type of experiment.

545

The application of a seed on top of the viscous layer (Exp. P10, in symmetric extension) results in early localization and rift development along the central axis of the experiment (Fig. 8e). This structure continues developing throughout the experiment, yet more extension is accommodated towards the short sidewalls than the middle section, where boundary effects along the long sidewalls take up a larger part of the deformation, similar to experiments P3 and P7 (Fig. 8c, d).

553 The thick viscous layer in experiments P3 and P7 likely dampens the influence of the basal 554 boundary condition on the sand layer. We therefore ran further tests (experiments P8 and P9, 555 both with half the reference layer thicknesses, keeping the same brittle-to-viscous ratio, i.e. 2 556 cm brittle and 2 cm viscous material, without seed). Both these experiments did not produce a 557 continuous rift basin either. However, experiment P9, with a high 80 mm/h extension velocity 558 and a low brittle-to-viscous strength ratio of 4.4 (compared to the reference ratio of 84), 559 produces interesting basin geometries (Fig. 8f, g). Instead of developing a simple rift structure, 560 the viscous layer at the centre of the experiment is strongly stretched, creating a depression 561 with continuous rift basins at its margin due to what seems to be passive downbending as the 562 underlying viscous layer is stretched (Fig. 8g). Secondary graben structures develop further 563 away from the central depression, indicating a degree of distributed deformation. Notably, no 564 boundary effects occur along the long sidewalls, in contrast to the other brittle-viscous plate 565 base experiments.

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3.4. Conveyor base experiments (C series)569

Fig. 9 shows the results of the conveyor base set-up with only a brittle layer (experiments C1 and C3) (set-up in Figs. 2j, k). Both experiments develop a large rift structure along the central axis of the experiment (Fig. 9a, b), rather similar to the plate base experiments P1 and P2 (Fig 8a, b). We do, however, not directly observe a difference between results obtained with symmetrical and asymmetrical extension.

576 The results of the brittle-viscous experiments show more diversity than their brittle-only 577 counterparts (Fig. 2j, I, 9c-q). Experiment C4, with symmetrical extension, develops two rifts 578 that originate from the short sidewalls and propagate towards the experiment centre (Fig. 9c). 579 They do however not connect, as boundary effects along the long sidewalls take up most of the 580 deformation in the centre, similar to the structures observed in the plate base equivalents 581 (experiments P3 and P7, Fig. 8c, d). We did not run an asymmetrical extension experiment with 582 brittle-viscous layering since we did not expect significant differences. Instead, we attempted to 583 reduce boundary effects along the short sidewalls by applying lubricants or adding a sand 584 buffer as proposed by Tron & Brun (1991) (experiments C5 and C6, respectively). 585 Unfortunately, the boundary effects remained or got worse (See Appendix C, Fig. C1). 586 Furthermore we ran the conveyor base equivalent of experiment P9 (2 cm sand, 2 cm viscous 587 material and 80 mm/h extension, Fig. 8f, g), labelled C12, with very similar results to the plate 588 base experiment (Fig. C2).

589

590 We also tested the effect of decreasing viscous layer thickness in experiments C7 and C8, thus 591 simultaneously decreasing and increasing the brittle-to-viscous thickness and strength ratios, 592 respectively. In experiment C7 (Fig. 9d), the thickness and strength ratios are 2 and 168, which 593 does not lead to a significantly different structural evolution compared to the reference set-up of 594 experiment C4 (Fig. 9c). However, decreasing the viscous layer thickness further to 1 cm 595 (thickness and strength ratios: 4 and 337) in experiment C8 (Fig. 9e) causes localization of 596 faulting along the central axis of the experiment during early stages of deformation, and the 597 development of a dual rift on both sides of the VD with a horst in the middle. This central 598 structure subsequently remains in place but faulting becomes more widespread towards the 599 end of the experiment (Fig. 9e).

600

601 Additional tests with higher extension velocities (80 and 40 mm/h for experiments C9 and C10/C11, respectively, see Table 2, Fig. 9f and Appendix C, Fig. C2) have shown to improve 602 603 rift localization, as faulting is less widely developed than in experiment C8 (Fig. 9e). 604 Experiment C10 was subsequently rerun in the CT scanner as experiment C11 for further 605 analysis (Fig. 9g). We observe that these specific experiments develop the same features: a double rift system on either side of the VD, of which the internal structures become more 606 607 complex with time, and a central intact but subsided horst in the rift centre (Fig. 9g). We also 608 observe the development of minor additional rift basins striking parallel. Slight boundary effects 609 occur along the long sidewalls in experiments C10/C11 as well (Fig. 9f, g).

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# 613 **4. Discussion**

614 615

# 616 4.1. General structures

617 618 We present a schematic overview of our experimental results in Fig. 10, summarizing the 619 general structures in map view and section, and Table 4, linking these observations with 620 potential natural settings. A clear distinction exists between the brittle-only experiments (left-621 hand half of upper three rows in Fig. 10) and the brittle-viscous experiments (right-hand half of 622 upper three rows in Fig. 10) since the viscous layer acts as a buffer between the deformation-623 inducing base and the overlying sand. In the brittle-only experiments, no such buffer exists and 624 deformation induced by the base of the set-up is directly transmitted to the overlying sand 625 cover, leading to more distinct structural differences between the experimental series. In 626 addition, the bottom row of Fig. 10 summarizes the structures observed in the high extension 627 velocity experiments and the tests with high brittle-to-viscous ratios, leading to different 628 degrees of coupling and more complex surface structures. Our experimental results are 629 discussed in more detail below.

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632

631 4.2. Brittle-only reference experiments

633 In the foam base experiments, the sand above the foam directly experiences the distributed 634 deformation induced by the expanding foam, causing fault development throughout the experiment, but also along the long sidewalls (Figs. 4a, 10a). Schlagenhauf et al. (2008) report 635 636 similar but more pronounced distributed rifting, possibly enhanced by a higher degree of 637 extension of their foam base (20% vs. our 13%) and a thicker sand pack (8 cm vs our 4 cm). 638 Seeds do localize rift basins in our experiments (Figs. 4b-g, 10b), but these structures only 639 account for a minor part of the extension as the rifts experience little subsidence with respect to 640 most other experiments (e.g. P1 and P2 in Figs. 8a, b, 10). The brittle-only rubber base 641 experiments produce similar structures as the brittle-only foam base experiments: distributed 642 deformation and a minor axial rift when a seed is applied (Figs. 6, 10e, f). Significant faulting 643 develops at the long sidewalls and migrates towards the centre of the experiment (Fig. 6c-q). 644 which could be explained by stronger strain gradients in the rubber near the sidewalls 645 (Ackermann 1997). A similar effect could possibly occur in the foam base experiments as well, 646 explaining the comparable boundary effects (Fig. 4). It is worth noting that the results from our 647 experiments with a full rubber base (distributed faulting) differ from those obtained with narrow rubber sheets between base plates (localized and well-developed rift basins, e.g. McClay & 648 649 White 1995 and McClav et al. 2002: Schlische & Withiack 2009). This is because in the latter 650 experiments, deformation is strongly concentrated above the rubber sheets, with the edges of 651 the plates acting as VDs. These models produce well-developed rift structures, but mix two 652 basal boundary conditions (distributed extension and VDs) making it more difficult to identify 653 equivalent natural conditions (Morley 1999, see also 4.6).

654

655 Our rubber base experiments also develop conjugate strike-slip faults due to the contraction of the rubber perpendicular to the extension direction (Poisson effect) (Smith & Durney 1992; 656 657 Venkat-Ramani & Tikoff 2002, Figs. 4a', 10e, f). Such structures are not always observed in 658 other model studies applying a rubber base set-up (e.g. Vendeville et al. 1987, Fig. 11a). The 659 Poisson effect-related structures we obtain are probably due to the relatively low length-to-660 width ratio rubber base we use (ca. 1.7). Narrow rubber base models by McClay & White 661 (1995) and McClay et al. (2002) with much higher length-to-width ratios (6 and 4, respectively) 662 do not undergo any visible contraction perpendicular to the extension direction, whereas an 663 experiment by Bahroudi et al. (2003) with a length-to-width ratio of 0.8 develops strong 664 conjugate faulting (Fig. 11b). The faults in Bahroudi et al. (2003) have a normal fault 665 component as well, possibly because the rubber was stretched from one side only. It is 666 furthermore interesting to note that the Poisson effect may occur in very different types of 667 models or materials. Chemenda et al. (2002) for instance, applying an elasto-plastic mixture of 668 various components floating on water to simulate the lithosphere and asthenosphere, also 669 obtain pervasive conjugate faults due to extension-perpendicular contraction.

670

671 Contrary to their rubber and foam base equivalents, a strong localization of faulting above the 672 velocity discontinuity (VD) occurs in the brittle-only plate base and conveyor base experiments 673 (Figs. 8a, b, 9a, b, 10i, j). The plates and sheets translate overlying materials, except at the 674 velocity discontinuity, where extension localises and deep rift basins form. The centre of the rift 675 basins in both the asymmetric and symmetric experiments lies practically at the same level as 676 the experimental base at the end of the experiment (4 cm depth, scaling to a 20 km deep basin 677 in nature, (Figs. 8a, b, 9a, b, 10i, j). In nature, isostatic compensation would have reduced 678 basin depth, but this effect is absent here. Such experiments may therefore perhaps best be 679 used for investigating initial (small) amounts of extension (e.g. maximum half the thickness of 680 the brittle crust). Larger amounts of extension could be simulated when significant 681 sedimentation is applied, preserving a more realistic topography by filling in the generated

682 "accommodation space" and providing additional material for the formation of new structures 683 (e.g. Allemand & Brun 1991; Brun & Tron 1993; Keep & McClay 1997; Gabrielsen et al. 2016, 684 Fig. 11c, d). The small horst structure along the axis of the symmetric extension plate base 685 experiment (Figs. 8a, 10i) is likely formed when both plates move away, leaving a small 686 quantity of material behind in the middle. Previous authors have shown the impact extension 687 asymmetry can have on rift geometry by creating strongly asymmetric rift basins (Allemand et 688 al. 1989; Allemand & Brun 1991; Panien et al. 2005, Fig. 11c, d). Yet these effects are not 689 directly observed in our experiments, possibly due to the relatively minor total extension, the 690 lack of syn-rift sedimentation or because we lack the necessary cross-sections as these 691 models were not CT-scanned.

692

### 693 4.3. Brittle-viscous reference experiments

694

695 The presence of a viscous layer in our experiments leads to guite different structures with 696 respect to those observed in their brittle-only counterparts (Fig. 10). The brittle-viscous foam 697 and rubber base cases produce basically the same structures: when no seed is present, 698 faulting only occurs along the sidewalls, whereas a seed strongly concentrates deformation as 699 well, resulting in a central rift structure (Figs. 5, 7a, b, 10c, d, g, h). The decoupling of the sand 700 from the foam or rubber base allows the brittle cover to behave as rigid blocks, more or less 701 passively floating on the viscous layer (Zwaan et al. 2018a). By contrast, the sand in the brittle-702 only experiments is directly coupled to the base, forcing a pervasive type of faulting (Fig. 10a, b, 703 e, f). Due to this decoupling effect of the viscous layer, no conjugate strike-slip fault sets occur 704 in neither our brittle-viscous rubber base experiments, nor in those experiments performed by 705 Bellahsen et al. (2003) or Bellahsen & Daniel (2005). The fact that the rifts in our rubber base 706 experiments are less developed towards the short ends of the set-up is most likely caused by 707 the use of a sand talus to contain the viscous material there (Figs. 2d, 7b-d). This creates a 708 deformation contrast between the immobile talus and the deforming material above the rubber 709 sheet, an effect that could potentially be reduced by using a rubber sidewall, as in the foam set-710 up (Figs. 2a, 5).

711

712 In contrast to the results of the brittle-only experiments that show strong differences depending 713 on the set-up, those of the brittle-viscous plate base and conveyor base experiments are quite 714 similar to their foam and rubber base equivalents (Fig. 10), most likely due to the tendency of 715 the viscous material to easily spread out when subject to relatively slow extension rates. All of 716 these experiments, however, see minor rifting initiating at the short sides of the set-up, because 717 there the materials are confined by sidewalls or sheets that move in sync with the long 718 sidewalls, imposing the same boundary conditions there as at the base of the set-up. The 719 resulting additional drag enhances the extensional deformation at these short edges, forcing 720 the development of rifts, which propagate toward the centre of the experiment (Figs. 8c-e, 9c, d, 721 10k, I). In the centre, however, the viscous spreading mechanism is dominant, so that we 722 observe the same structures as in the other brittle-viscous experiments (Fig. 10). This "short 723 sidewall effect", which is also present when applying a seed, causes the rifts to be more 724 developed at the short ends of the experiment (Figs. 8e, 10l) and may also have occurred in a 725 model by Mart & Dauteuil (2000). Their experiment involves a curious propagating rift system, 726 initiating at the short edge of the set-up, which has a similar plate confinement as in our 727 experiments. We see similar rift initiation from the sides of the model in the work by Autin et al. 728 (2010; 2013) as well. In order to reduce this type of boundary effects, higher strain rates can be 729 applied (Fig. 9e-f). However the use of a sand talus to confine the short ends of the experiment 730 as suggested by Tron & Brun (1991) does not reduce these boundary effects in our 731 experiments, as the sand is even more strongly coupled to the experimental materials the side 732 plates or sheets, causing more friction (experiment C5, Appendix C2, Fig. C2). This is 733 expressed by the internal friction angle of our quartz sand being higher than that of quartz sand 734 with respect to the plastic plates or sheets used at the short sidewalls (36.1° versus ca. 20°) 735

As with the reference brittle-only experiments, we do not observe a clear difference between symmetric and asymmetric extension. Yet previous authors have shown that asymmetric extension may have an effect in brittle-viscous experiments as well. This is however mostly in

- combination with a relatively thin viscous layer that allows a more direct transfer of deformation
- from the set-up base to the sand cover (e.g., Allemand et al. 1989). By contrast the relatively
- thick viscous layer in our reference experiments acts as a buffer, decoupling the sand from the
- extending plates or sheets at the base of the experiments (see also section 4.5).

4.4. Velocity effects: distributed extension versus passive downbending and marginal grabenformation

746

747 As discussed in section 4.3, the reference brittle-viscous foam and rubber base experiments 748 without a seed see the brittle cover decoupled from the set-up base. Increasing the extension 749 rate as in experiments R9 and R10 (Fig. 7c, d, Table 2), seems to increase the influence of the 750 set-up: distributed extension is induced at the base and observed at the surface of the 751 experiments. Yet the lower strength ratios (8.4 and 1.4 for experiments R9 and R10, compared 752 to the reference ratio of 84, see Table 2) also indicate higher brittle-viscous coupling, which is 753 known to cause distributed or wide rifting (e.g. Brun 1999; Buiter et al. 2008; Zwaan et al. 2016; 754 Figs. 7c, d, 10m). Since both the enhanced cover-basal and high brittle-viscous coupling 755 should lead to similar results, it is challenging to determine which factor is dominant. Still the 756 type of deformation in these experiments is not as evenly distributed as in their brittle-only 757 equivalents (Figs. 4, 10a, b, e, f), suggesting that the influence of the base is secondary 758 compared to the effects of brittle-viscous coupling. We can also infer that the central rift in 759 experiment R9 (Fig. 7c), probably forms due to some wide rifting effect: the higher the 760 extension rate (while keeping all other parameters constant), the higher the brittle-viscous 761 coupling and the more rifts develop, as illustrated by experiment R10 (Fig. 7d).

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763 Yet considering the results from the high velocity rubber base experiments R9 and R10 (Figs. 764 7c, d, 10m), those of the high velocity brittle-viscous plate/conveyor base experiments P9 (Figs. 765 8f, g, 10n) and C12 (Fig. C2) may seem somewhat remarkable; instead of developing 766 distributed rifting, these experiments generate a 'down-bent' depression bordered by marginal 767 grabens (Figs. 8f, g, 10n, C2), that may also be present in the models by Gabrielsen et al. 768 (2016). The high extension velocity in P9 and C12 (80 mm/h) causes high coupling between 769 the viscous layer and the brittle cover (strength ratio: 4.4), as well as between the viscous layer 770 and the base. This basal coupling leads to intense stretching (necking) above the VD(s) and 771 subsequent downward 'bending' of the sand cover (Fig. 8g). High coupling between the viscous 772 layer and the base also explains why no apparent boundary effects are visible along the 773 longitudinal sidewalls. The bending of the brittle layer at the edge of the system causes local 774 extension in the sand and the formation of marginal grabens, which seems to resemble the 775 structures along the Western Escarpment of the Afar (northernmost sector of the East African 776 Rift System) in Ethiopia (e.g. Abbate & Sagri 1969: Chorowicz et al. 1999). However, 777 interesting as these structures may be, the high extension velocities may approach unrealistic 778 values (see section 2.4), highlighting the importance of careful model scaling. 779

780 As previous studies have shown, increasing brittle-viscous coupling can be linked to more 781 distributed faulting styles (e.g. Davy et al. 2005, Schueller et al. 2005; 2010; Dyksterhuis et al. 782 2007; Moresi et al. 2007; Buiter et al. 2008, Zwaan et al. 2016), which is seen in our rubber 783 base experiments as well (experiments R9 and R10, Figs. 7c, d, 10m). However, the 784 experiments in these previous studies generally use a very weak or free-slip base, allowing 785 their models to be (fully) controlled by the rheology of the brittle-viscous layers. When such 786 basal boundary conditions are not met, coupling between the viscous layer and the substratum 787 is also an important factor as illustrated by our plate and conveyor base experiments 788 (experiments P9 and C12 (Figs. 8f, g, 10n, C2). We thus identify a competition between brittle-789 viscous coupling and viscous-basal coupling in such systems, depending on which the resulting 790 structures may vary widely. Within the context of extensional tectonics, this is in line with the 791 concept that the strength of the uppermost mantle can have a significant influence on the 792 deformation of the overlying crustal layers (e.g. Brun 1999; Corti et al. 2003).

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4.5. Effects of different brittle-to-viscous thickness ratios

798 Our brittle-viscous plate and conveyor base experiments with the reference parameters but no 799 seed (P3, P7, C4, Figs. 8c-d, 9c, 10k) fail to produce proper rift basins, in contrast to their 800 brittle-only equivalents (experiments P1, P2, C1 and C3, Figs. 8a, b, 9a, b, 10i, j). Instead, we 801 either need a seed as in the foam and rubber base experiments (experiment P10, Figs. 8e, 10l), 802 or a high brittle-to-viscous thickness ratio (>2) to localize deformation (experiments C8 and C11, 803 Fig. 9e-g). The decrease in viscous layer thickness in experiments C7 and C8 causes 804 increasing strength contrasts: from the reference value of 84 to 169 and 337, respectively 805 (Table 2), corresponding to a trend towards localized (narrow) rifting (Fig. 9c-e). This is in line 806 with the model results presented by e.g. Brun (1999). However, increasing the extension rate in 807 experiment C11 (resulting in a lower strength contrast) does not lead to distributed deformation, 808 but more localized faulting. Similar to the high extension rate examples discussed in 4.5, our 809 results thus suggest that brittle-to-viscous strength ratios alone are not sufficient to properly 810 infer a specific rifting mode, but that additional factors such as viscous-basal coupling need to 811 be considered as well.

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813 Furthermore, in the experiments with high brittle-to-viscous ratios (i.e. C8 and C11), we obtain 814 double rift structures rather than the single rift basins seen in our brittle-only experiments and 815 previous publications (Figs. 8a, b, 9a, b, 10i, j, 9e-g). For instance, Brun & Tron (1993) apply a 816 relatively thin viscous layer (brittle-to-viscous ratio of ca. 2) and obtain well-developed rift 817 structures in symmetric extension (Fig. 11g). The relatively thin viscous layer probably allows a 818 shift to a brittle-dominated system, leading to rift localization near the VD, similar to our brittle-819 only plate and conveyor base experiments (Fig. 8a, b, 9a, b). However, the extension model by 820 Tron & Brun (1991, Fig. 11f) produces the same double rift structure including the additional 821 faults away from the central rifts as our experiment C11 (Fig. 9f, g). Also Keep & McClay (1997) 822 and Schreurs et al. (2006) obtain two rifts with symmetrical extension experiments involving a 823 conveyor or plate base and a brittle-to-viscous ratio of 4 and 6, respectively. A lateral transfer 824 of deformation through the viscous layer, away from the VD (i.e. "soft linkage", e.g. Stewart et 825 al. 1996) is the probable cause of this dual rift arrangement (Michon & Merle 2000; 2003, Fig. 826 11e). This feature seems to occur in lithospheric-scale models involving the asthenosphere as 827 well (Allemand & Brun 1991; Brun & Beslier 1996; Cappelletti et al. 2013; Nestola et al. 2015, 828 Fig. 1). A single rift structure may form due to factors as higher strain rates (Keep & McClay 829 1997; Michon & Merle 2000), asymmetric extension or possibly syn-rift sedimentation (e.g. 830 Brun & Tron 1993, Fig. 11g). The formation of a single or dual rift structure is most likely 831 influenced by the viscosity of the viscous layer as well. Experiments with high brittle-to-viscous 832 thickness ratios thus seem to be highly sensitive to various parameters. Whether the various 833 thickness ratios mentioned above are realistic depends on the specific tectonic setting that is 834 simulated, as lithospheric rheological profiles are known to vary considerably in extensional 835 settings (e.g. Brun 1999; Burov 2011; Tetreault & Buiter 2018, see also section 4.7).

- 836 837
- 838 4.6. Boundary effects and experimental confinement839

840 Most of our reference experiments, except for the brittle-only plate and conveyor base 841 experiments, develop some degree of normal faulting along the long sidewalls (Fig. 10). In the 842 brittle-only experiments, this may be due to enhanced local stretching of the rubber base 843 (Ackermann 1997), an effect quite possibly present in the foam base equivalents as well. The 844 rigid sand layer in the brittle-viscous experiments on the other hand is subject to "inertia", i.e. 845 an inability to move and extend as easily as the viscous materials, leaving "gaps" along the 846 sidewalls that take up significant amounts of deformation in the experiment (Zwaan et al. 847 2018a). 848

This "inertia" effect occurs in various model studies and may significantly affect the quality of the experimental result. Some authors seem to avoid these problems by simply ignoring them and focussing on the structures in the centre of the experiment. Others attempt to reduce faulting by applying a viscous layer that does not reach the model sidewalls (Tron & Brun 1991; 853 Schreurs et al. 2006; Gabrielsen et al. 2016). By narrowing the viscous layer however, the 854 boundaries of the viscous material become rheological contrasts that may trigger faulting 855 themselves, thus causing a new type of boundary effects (e.g. Bonini et al. 1997). This also 856 raises the question what the viscous layer represents in nature, if not a continuous viscous 857 lower crust. Even narrower patches of viscous material, for instance simulating a weak zone in 858 the crust due to magmatism, may lead to narrower rift structures (e.g. Brun & Nalpas 1996; 859 Dauteuil et al. 2002) and the seeds in our experiments can be seen as the most extreme 860 exponent of this trend. The inferred width of the structural weakness is also relevant for set-ups 861 involving a narrow rubber base fixed between two base plates (e.g. McClay & White 1995, 862 McClay et al. 2002; Corti et al. 2007; Henza et al. 2010). In such experiments, all deformation 863 tends to focus above the rubber sheet, with its edges acting as VDs, imposing the boundaries 864 of the rift system (see also 4.2). 865

866 Our results show that the type of confinement along the short edges of the brittle-viscous 867 experiment forms another important factor generating boundary effects, which is similar to the 868 findings by Schreurs et al. (2006). In the foam base experiments, the rubber sheet sidewalls 869 cause little to no additional deformation, yet the sand talus confinement in the rubber base 870 experiments generates significant boundary effects, and enhanced rifting is associated with the 871 plate base and conveyor base confinements. However, the similarity of the structures in the 872 centre of all our reference brittle-viscous experiments (due to the likely dominance of the 873 viscous spreading mechanism under low brittle-viscous coupling conditions) may suggest that, 874 if the short edge boundary effects can be reduced, the type of extension mechanism would be 875 of little influence under our standardized conditions. Therefore, we could perhaps have 876 obtained comparable results for brittle-viscous experiments, even without a method to induce 877 deformation directly at the base of the experimental materials; only moving apart the two 878 longitudinal sidewalls may suffice to cause uniform spreading of the viscous layer (e.g. Le 879 Calvez & Vendeville 2002; Margues 2012). However, the results of such experiments may 880 again vary with different strain rates, layering and layer thickness, materials, application of 881 sedimentation etc., highlighting the challenges of directly comparing the results from different 882 modelling studies and the need to specify all relevant parameters and boundary conditions, as 883 well as any resulting boundary effects.

### 885

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### 4.7. Recommendations for further extension experiments

887 Our extension experiments represent different rheological stratifications and extension 888 conditions, and may serve as a guide for future modelling studies aiming at investigating 889 extension in specific tectonic settings (Fig. 3). Since the overview presented in Fig. 3 remains 890 schematic, we calculated a series of rheological profiles for natural cases to allow a direct 891 rheological comparison to the experimental strength profiles (Fig. 12). We used the rheological 892 values of Table 3 with laboratory flow laws often adopted for the lower crust and lithospheric 893 mantle (Hirth & Kohlstedt 2003; Rybacki et al. 2006) and we varied both extension velocity (0.5 894 to 10 mm/yr) and Moho temperature (550 and 650 °C). The calculations show that extension 895 velocity has a relatively minor influence on the rheological profile with respect to temperature 896 and dry or wet versions of the flow laws. The plots also indicate that our reference brittle-to-897 viscous thickness ratio of 1:1, although often used in analogue models (Corti et al. 2003 and 898 references therein), is quite low (compare Fig. 12a, with Fig. 12b) and may only occur in a 899 relatively wet and hot lithosphere (Figs. 3b, 12f). This may for instance be in accordance with 900 the situation in the East African Rift System (Fadaie & Ranalli 1990; Corti 2009), but a 2:1 or 901 3:1 ratio would fit better with the calculations for a normal-temperature lithosphere (Fig. 12b-d). 902 A strong upper mantle, as inferred for (brittle-viscous) plate and conveyor base set-ups, only 903 occurs in a wet cold lithosphere (Fig. 12e) or in a completely dry lithosphere (dotted lines in Fig. 904 12), yet the complete absence of hydrous minerals may be unrealistic (Xia & Hao 2010). Note, 905 however, that our strength profile calculations are based on monomineralic flow laws 906 (anorthosite and olivine, Hirth & Kohlstedt 2003; Rybacki et al. 2006), whereas continental 907 rocks are of course polymineralic. Different rheological profiles for natural settings can be 908 obtained by not only varying the thermal gradient, but also by variations in water content, 909 temperature or by simply using other flow laws. We choose lower crust and mantle flow laws

910 (Rybacki et al. 2006 and Hirth & Kohlstedt 2003, respectively) that are fairly recent and neither 911 overly weak nor strong in comparison with other flow laws.

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913 The rheological calculations highlight that one should carefully consider the various factors that 914 may influence the strength of the lithosphere in a given tectonic setting before selecting a 915 specific experimental set-up. It is also important to stress that although the materials involved 916 may only represent the upper parts of the crust, deeper parts of the lithosphere (basement or 917 mantle) are simulated via the chosen experimental extension mechanism (Fig. 3). This is most 918 evident for brittle-only experiments that are directly coupled to the set-up (Fig. 10). However, 919 we have shown that for low extension velocity brittle-viscous experiments, that aim at 920 representing a hot lithosphere, any extension mechanism should suffice due to the high degree 921 of decoupling (Fig. 10). This decoupling effect could also allow a simple way to model an 922 oceanic lithosphere, which is generally considered to comprise a brittle oceanic crust and a 923 viscous lithospheric mantle (e.g. Benes & Scott 1996). Note, however, that in such experiments 924 an imposed weakness seems to be necessary to create any rift structure at all (Fig. 10). Since 925 efforts should be made to keep boundary effects to a minimum, we recommend using the foam 926 base method for such brittle-viscous models (see also section 4.6).

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928 Our experiments could be extended to include more layers (three or four-layer lithospheres) 929 (e.g. Corti et al. 2003 and references therein) and an underlying asthenosphere that would 930 allow an assessment of the effect of isostatic compensation on a stretching lithosphere. In such 931 cases a strong lithosphere would strongly affect rifting processes (Brun 1999; Corti et al. 2003), 932 whereas in the case of a weak lithosphere (Figs. 3b, e, 12f), the (rising) asthenosphere may 933 have an important impact. The presence of an asthenosphere analogue would also allow the 934 vertical motions associated with a major fault or shear zone in the strong upper mantle (e.g. 935 Vendeville et al. 1987, Allemand & Brun 1991, Fig. 1). In the commonly used plate and 936 conveyor base set-ups such a fault is represented by the VD, yet any associated vertical 937 motions are not simulated. The symmetric conveyor belt extension mechanism may not be well 938 suited to crustal-scale models, as the continuous "upwelling" of the plastic sheets resembles a 939 convection cell system, which could be taken to simulate sub-lithospheric mantle behaviour and 940 would therefore be more appropriate for lithospheric-scale models driven by mantle convection. 941 For crustal-scale wide rift experiments we recommend using an asymmetric plate base or 942 conveyor belt mechanism instead, which are the same after a shift of reference frame 943 (appendix A). 944

945 It could also be worthwhile to repeat our experiments with other brittle materials and viscous 946 analogues, which may better capture the behaviour of the lithosphere (overview in Schellart & 947 Strak 2016). The use of temperature-dependent materials would allow the inclusion of 948 temperature effects (e.g. Boutelier & Oncken 2011), which can strongly control rifting as shown 949 by numerical simulations (Tetreault & Buiter, 2018). Also the feedbacks between magmatism 950 and rifting need to be further explored (e.g. Corti et al. 2003; 2015). A next necessary step in 951 modelling large-scale rift structures is to include surface processes as well (e.g. Burov & 952 Cloetingh 1997; Bialas & Buck 2009; Zwaan et al. 2018a)

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954 We would like to stress the importance of standardized modelling methods and strict lab 955 procedures (e.g. Klinkmüller et al. 2016). Different handling techniques, laboratory conditions 956 and personal preferences may cause variations in, for instance, sand density (e.g. Krantz 957 1991) or rheology of viscous materials (Rudolf et al. 2015) and can have significant effects on 958 model results (Schreurs et al. 2006, 2016). By means of standardized procedures within a 959 modelling group, these variations can be reduced. Yet reproducing the same model results in 960 different laboratories will probably always remain a challenge (see efforts by Schreurs et al. 961 2006, 2016).

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## 963

### 964 **5. Conclusion**

We present a systematic comparison of four set-ups commonly used for analogue modelling of
crustal-scale extension. We examine distributed extension obtained by a foam or rubber base
and localised extension by rigid basal plates or conveyor-belt basal sheets. We find that:

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- Brittle-only experiments are strongly affected by the experimental set-up, as the materials are directly coupled to the base of the set-up. Foam base or rubber base experiments therefore undergo distributed deformation and wide rifting, whereas plate base or conveyor base experiments experience localized deformation and narrow rifting.
- Strong boundary effects may occur due to extension-perpendicular contraction effects
   during stretching of a rubber base (Poisson effect, e.g. Smith & Durney 1992). This may
   be mitigated by using a high length-to-width ratio for rubber base set-ups.
- 977
   Brittle-viscous experiments are less affected by the experimental set-up than brittle-only equivalents as the viscous layer acts as a buffer that decouples the brittle layer from the base of the set-up. In our reference experiments this decoupling implies that a seed must be inserted in order to produce a rift basin.
- 981 Brittle-viscous experiments with low brittle-viscous strength contrasts and a rubber base 982 set-up show distributed rifting as expected based on previous studies. Yet plate and 983 conveyor base experiments (expected localized extension) with high strain rates 984 (expected distributed extension) develop intense localized stretching of the viscous 985 layer, leading to the formation of a "downbent" basin with marginal grabens. This 986 suggests that the brittle-viscous strength ratio on its own does not determine the style of 987 deformation in a rift system, but that the nature of the underlying substratum is 988 important as well.
- Brittle-viscous plate and conveyor base experiments with higher brittle-to-viscous thickness ratios (thus decreasing brittle-viscous coupling) achieve better fault localization, which is in line with previous work. However, higher strain rates in these experiments (which increase brittle-viscous coupling) improve localization, highlighting once again that brittle-viscous coupling is not the only parameter influencing the fault style of rift systems.
- Of the brittle-viscous experiments we tested, the least boundary effects occur for a setup involving a foam base and a stretchable rubber sidewall. This sidewall method could also be applied to a rubber base setup to minimize boundary effects. In contrast, the plate base and conveyor base set-ups can experience major boundary effects along their short sidewalls that may proof difficult to reduce.
- 1001 The significant differences between experimental results obtained with the different set-ups. 1002 sometimes due to seemingly small differences in, for instance extension velocity or layer 1003 thicknesses, indicate the need to accurately specify model parameters and boundary 1004 conditions in order to allow meaningful comparisons between (analogue) modelling studies. 1005 The combination of rheological stratification and experimental set-up defines the tectonic 1006 setting that is investigated. Our set-ups can be applied to study extension of crustal materials in 1007 various tectonic settings or lithospheric conditions with different levels of basement control. 1008 Here factors as temperature, extension rate, water content and lithology should be taken into 1009 account (Fig. 12). We advise to avoid the symmetric conveyor belt method for crustal-scale 1010 models.
- 1011
- Finally, we recommend that every laboratory standardize its procedures and methods as much
   as possible in order to minimalize variations due to different handling techniques and personal
   preferences.
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#### 1018 Appendix A. Schematic overview of relations between experimental set-ups

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1020 Fig. A1 provides an overview of the various set-ups and how these compare to each other by 1021 means of extension velocities and shifts of reference frames. All symmetric extension set-ups 1022 are different: foam/rubber base experiments (Fig. A1a, b) develop an extension gradient, 1023 whereas the plate and conveyor base experiments develop velocity discontinuities (Fig. A1d, e 1024 and i, j, respectively). Also the plate and conveyor set-ups are different from each other (e.g. a 1025 moving and fixed VD occurs in plate base and conveyor base configurations, respectively, as is 1026 revealed after applying a shift of reference frame, Fig. A1e, j). Asymmetric extension set-ups 1027 differ from their symmetric equivalents as well, but are between themselves, after a shift of 1028 reference frame, basically the same (Fig. A1g, I).

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Appendix B. Brittle and viscous strength calculations B1. Brittle domain For calculating brittle strength in our experiments, we use the Mohr-Coulomb yield criterion written in terms of principal stresses  $\sigma_1$  and  $\sigma_3$ :  $\frac{1}{2}(\sigma_1 - \sigma_3) = \frac{1}{2}(\sigma_1 + \sigma_3)\sin(\phi) + C\cos(\phi)$ (eq. B1) Where  $\sigma_1$  is the maximum compressive stress,  $\phi$  the angle of internal friction and C the cohesion  $\frac{1}{2}(\sigma_1 + \sigma_3)$  represents the mean stress, which we equate to lithostatic pressure  $\rho$  g z. Brittle layer strength is obtained by integrating over thickness of the brittle layer h<sub>b</sub>:  $\int_{0}^{hb} (\sigma_{1} - \sigma_{3}) dz = \int_{0}^{hb} (2 \rho g z \sin(\phi) + 2 C \cos(\phi)) dz = \rho g h_{b}^{2} \sin(\phi) + 2 C h_{b} \cos(\phi)$ (eq. B2) B2. Viscous domain Strength profiles for viscous layers depend on the viscosity of the material  $\eta$  and the principal strain rates  $\dot{\epsilon_1}$  and  $\dot{\epsilon_3}$ :  $(\sigma_1 - \sigma_3) = 2 \eta \dot{\epsilon_1} - 2 \eta \dot{\epsilon_3}$ (eq. B3) We simply assume pure shear for the initial stages of the experiment and thus  $\varepsilon 1 = 2V/2W =$ V/W and  $\varepsilon_3 = -\varepsilon_1$  (where V and W are half the extension velocity and half the width of the experiment, respectively), so that:  $(\sigma_1 - \sigma_3) = 4 \text{ n V/W}$ (eq. B4) Viscous layer strength then becomes:  $\int_{a}^{hv} (\sigma_1 - \sigma_3) dz = \int_{a}^{hv} (4 \eta V/W) dz = 4 \eta V h_v/W$ (eq. B5) Where  $h_v$  represents viscous layer thickness. 

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### Appendix C. Experimental reproducibility

1077 Figs. C1 and C2 show the surface results of repeated experiments in order to evaluate their 1078 reproducibility. In most cases, the structures are very similar. Although the boundary effects in 1079 P6 and P7 (Fig. C1) do show some variation, the structures in the centre are the same in both 1080 cases (no rift). Experiments C4-C6 seem guite different (Fig. C1), but C5 and C6 are tests to 1081 reduce boundary effects. As proposed by Tron & Brun (1991), we added sand to confine the 1082 short ends of the experiment, but instead of improving the situation this measure increases 1083 boundary effects, most likely due to the higher friction of sand. In C6 (Fig. C1) we added a 1084 lubricant (hand soap) between the sides and the model. Since there was no improvement, we 1085 aborted the experiment after 120 min. Note that asymmetric brittle-viscous plate base 1086 experiment P6 and symmetric brittle-viscous conveyor belt experiment C4 are guite similar, due 1087 to viscous decoupling effects. Also asymmetric brittle-only plate/conveyor base experiments P2. 1088 C2 and C3 produce the same structures (Fig. C2), since both the plate base and conveyor 1089 base set-ups are, after a shift of reference frame, identical in asymmetric extension conditions. 1090 The double rift structure in conveyor base experiment C10 is almost identical to the version 1091 generated in C11 (Fig. C2), although the curving nature of the normal faults does provide local 1092 variations in rift width. High-velocity models P9 and C12 develop very similar structures, 1093 although those in the conveyor belt set-up (C12) are better developed than in plate base 1094 experiment P9 (Fig. C2). Note that the additional rift basins in C12 are also present in P10, but 1095 not very visible due to their less evolved state and the unfavourable lighting conditions.

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#### 1098 7. Author contribution

1099 1100 The first author, Frank Zwaan, performed the analogue models and composed the first version 1101 of the manuscript. Second author and project supervisor Guido Schreurs assisted with the 1102 model interpretation and the finalizing of the manuscript. This study was inspired by a 1103 collaboration on numerical-analogue comparisons with third author Susanne Buiter, who 1104 helped planning and discussing the model series, provided strength calculations, and helped in 1105 finalizing the manuscript.

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#### 1108 8. Acknowledgements 1109

1110 We would like to express our gratitude to Nicole Schwendener for assisting us with the CT-1111 scanning, Marco Herwegh for providing the necessary financial support to upgrade the experimental apparatus and to the engineers from IPEK Rapperswil (Theodor Wüst, Reto 1112 Gwerder, Rudolf Kamber, Michael Ziltener and Christoph Zolliker) for realizing these 1113 1114 improvements. We thank John Naliboff and Jürgen Adam for supportive discussions and 1115 testing of analysis techniques as well as Ernst Willingshofer and an anonymous reviewer for 1116 their helpful and constructive feedback. This project was funded by the Swiss National Science 1117 Foundation (grant no. 200021 147046/1).

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### 1619 **Table captions**

### 1620 1621 Table 1. Material properties

1622

Granular materials	Quartz sand <sup>a</sup>	Corundum sand <sup>b</sup>		
Grain size range	60-250 µm	88-175 µm		
Density (specific) <sup>c</sup>	2650 kg/m <sup>3</sup>	3950 kg/m <sup>3</sup>		
Density (sieved)	1560 kg/m <sup>3</sup>	1890 kg/m <sup>3</sup>		
Angle of internal peak friction	36.1°	37°		
Angle of dynamic-stable friction	31.4°	32°		
Cohesion	9 ± 98 Pa	39 ± 10 Pa		
Viscous material	PDMS/corundum sand mixture <sup>a</sup>			
Pure PDMS density (specific) <sup>d</sup>	0.965 kg/m <sup>3</sup>			
Weight ratio PDMS : corundum sand	0.965 kg : 1.00 l	kg		
Mixture density	ca. 1600 kg/m <sup>3</sup>			
Viscosity <sup>e</sup>	ca. 1.5·10 <sup>5</sup> Pa·s			
Туре	near-Newtonian	$(n = 1.05)^{f}$		

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- <sup>a</sup> Quartz sand, and viscous mixture characteristics after Zwaan et al. (2016; 2018a, b)
- <sup>b</sup> Corundum sand characteristics after Panien et al. (2006)
- <sup>c</sup> Specific densities of quartz and corundum sands after Carlo AG (2019)
- 1627 <sup>d</sup> PDMS specific density after Weijermars (1986)
- 1628 <sup>e</sup> The viscosity value holds for model strain rates <  $10^{-4}$  s<sup>-1</sup>
- 1629 <sup>f</sup> Stress exponent n (dimensionless) represents sensitivity to strain rate

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#### 1633 Table 2. List of experimental parameters

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	h 34	

	Experiment	Layering		Seed	Extension	sion			Shown
		Туре	Thickness		Туре	Velocity		viscous	in:
			(brittle/ viscous)			Experiment	Nature (For $\eta = 10^{21} \text{ Pa·s}$ )	strength ratio <sup>ª</sup>	
	F1			No		8 mm/h	-	-	Fig. 4
a a	F2	Brittle	40/- mm			8 mm/h	-	-	
as( es)	F3	only		Seed	Symmetric	8 mm/h	-	-	
m b šeri	F4 <sup>CT</sup>					8 mm/h	-	-	Fig. 4
E al	F5		40/40 mm	No		8 mm/h	5 mm/y	84	Fig. 5
	F6	Brittle-				8 mm/h	5 mm/y	84	
	F7 <sup>CT</sup>	viscous		Seed		8 mm/h	5 mm/y	84	Fig. 5
	R1°°			No seed		1 <sup>st</sup> ph: 8 mm/h 2 <sup>nd</sup> ph: 40 mm/h	-	-	Fig. 6
	R2 <sup>°</sup>	Brittle	40/- mm			10 mm/h	-	-	
	R3 <sup>°</sup>	only		Cood		20 mm/h	-	-	
ase s)	R4 <sup>C1, c, d</sup>			Seed		20 mm/h	-	-	
er b	R5 <sup>CT, c</sup>				Symmetric	10 mm/h	-	-	Fig. 6
obe R se	R6 <sup>CT, c</sup>					20 mm/h	-	-	
Rul F)	R7 <sup>0, e</sup>	Brittle- viscous	40/40 mm	No seed		1 <sup>st</sup> ph: 8 mm/h 2 <sup>nd</sup> ph 40 mm/h	5 mm/y 24 mm/y	84 17	Fig. 7
	R8			Seed	-	8 mm/h	5 mm/y	84	Fig. 7
	R9			No		80 mm/h	47 mm/y	8.4	Fig. 7
	R10			seed		480 mm/h	280 mm/y	1.4	Fig. 7
	P1	Brittle	40/- mm		Symmetric	8 mm/h	-	-	Fig. 8
	P2	only			Asymmetric	8 mm/h	-	-	Fig. 8
	P3	Brittle-	40/40		Symmetric	8 mm/h	5 mm/y	84	
s) se	P4			No		2 mm/h	1 mm/y	337	
ba	P5		40/40 mm	seed		40 mm/h	24 mm/y	17	
ate se	P6	viscous				8 mm/h	5 mm/y	84	
E E	P7			-	Asymmetric	8 mm/h	5 mm/y	84	Fig. 8
	P8 <sup>r</sup>		20/20 mm		Symmetric	2 mm/h	5 mm/y	175	
	P9'	-				80 mm/h	190 mm/y	4.4	Fig. 8
	P10		40/40 mm	Seed		8 mm/h	5 mm/y	84	Fig. 8
	C1	Brittle	40/ mm		Symmetric	40 mm/h	-	-	Fig. 9
	C2	only	40/- 11111		Acummotric	40 mm/h	-	-	<b>-</b>
	C3	only			Asymmetric	40 mm/h	-	-	Fig. 9
se	C4	4	40/40 mm			8 mm/h	5 mm/y	84	⊢ıg. 9
yor ba: eries)	C5*	4	40/40 11111	No		8 mm/h	5 mm/y	84	
	00	Brittle-	40/20	seed		8 mm/n	5 mm/y	84	
C s	C/	viscous	40/20 mm	-	Symmetric	o mm/n	5 mm/y	169	Fig. 9
Co.		4	40/10 mm			o mm/n	5 mm/y	331	⊢ıg. 9
Ŭ	C9	4				00 mm/h	4∠ mm/y	34 69	
		4				40 mm/b	24 mm/y	60	Fig. 0
		-	20/20 mm	-		40 mm/b	24 IIIII/y	00	гig. 9
	012	1	20/20 11111				190 mm/y	4.4	1

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 $1636 \\ 1637$ Bold Shown in this article

СТ CT-scanned models

1638 1639 See Appendix B for calculations а

Two-phase model with 40 mm of extension at 8 mm/h followed by 20 mm of extension at 40 mm/h b 1640

Initial model width 25 cm instead of 30 cm С

1641 d 54 mm total extension; rubber sheet ripped partly after ca. 2 h (40 mm extension)

1642 е Total extension: 60 mm

1643 Models with a total 40 mm thickness (20 mm brittle, 20 mm viscous) and 20 mm total extension f

1644 Attempt to reduce boundary effects (see text and Fig. C2 in Appendix C for details) g

# 1647 Table 3. Scaling parameters

	Gene	ral parameter	ers	Brittle upper crust		Ductile lower crust		Dynamic scaling values	
	Gravitational acceleration g (m/s <sup>2</sup> )	Upper crustal thickness h (m)	Extension velocity v (m/s)	Density ρ (kg/m <sup>3</sup> )	Cohesion C (Pa)	Density ρ (kg/m³)	Viscosity η (Pa·s)	Ramberg number R <sub>m</sub>	Brittle stress ratio R <sub>s</sub>
Model (reference)	9.81	4·10 <sup>-2</sup>	2.2·10 <sup>-6</sup>	1560	9	1600	1.5·10 <sup>5</sup>	75	68
Nature	9.81	2·10 <sup>4</sup>	1.5·10 <sup>-10</sup>	2800	8·10 <sup>6</sup>	2870	1·10 <sup>21</sup>	75	68

1656 Table 4. Overview of links between our experimental set-ups and initial conditions, the resulting

1657 structures observed in our experiments and their potential natural analogues

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	hhX	

Set- up	Layering	Extensi on velocity	Brittle- viscous thickness ratio	Brittle- viscous strength ratio	Coupling* observed in experiments	Potential natural analogue	Structural style observed in experiments
Foam/Rubber base (F and R series)	Brittle	Slow	-	-	Very high coupling of brittle layer with substratum	Strong ductile lower crust (Fig. 3a)	No seed: distributed rifting (Fig. 10a, a') Seed: distributed rifting with small localized rift basin (Fig. 10b, b') NB: Rubber base: conjugate faults
	Brittle-	Slow	1:1	84 (high strength contrast; low b/v	Low coupling between all components*, brittle cover decoupled from baco	Weak, hot lithosphere (strong mantle absent) (Fig. 3b)	may occur! (Fig. 10e, e', f, f') No seed: only boundary effects (Fig. 10c, c') Seed: localized rifting (Fig. 10d, d')
	viscous	Fast	1:1	1.4-8.4 (low strength contrast; high b/v coupling)	High coupling between all components*, brittle cover potentially coupled to base, but brittle-viscous coupling dominant	Strong ductile lower crust, but weak ductile upper mantle (Fig. 3e)	No seed: distributed rifting (Fig. 10m, m') Seed: distributed rifting with a localized rift basin (e.g. Zwaan et al. 2016)
Plate/Conveyor base (P and C series)	Brittle	Slow	-	-	Very high coupling of brittle layer with substratum	Cold lithosphere; Fault in (thick) brittle crust or brittle mantle (Fig. 3c).	Strongly localized rifting (Fig. 10i, i', j, j')
	Brittle- viscous	Slow	1:1	84 (high strength contrast; low b/v coupling)	Low coupling between all components*, brittle cover decoupled from base	Hot lithosphere with thick ductile lower crust above brittle upper mantle (Fig. 3d)	No seed: only boundary effects (Fig. 10k, k) Seed: localized rifting (Fig. 10l, l')
			4:1	337 (very high strength contrast; very low b/v	Low b/v coupling, but soft linkage between base and sand cover	Cold lithosphere with thin ductile lower crust above brittle upper mantle (Fig 3h)	No seed: distributed (double) rifting (Fig. 10o, o') Seed: Not known
		Fast	1:1	coupling) 4.4 (low strength contrast; high b/v coupling)	Very high coupling between all components*, brittle cover potentially coupled to base, but viscous-base coupling dominant	Hot lithosphere with thick ductile lower crust above brittle upper mantle (Fig. 3f)	No seed: localized stretching and downbending basin (Fig. 10m, m')
			4:1	68 (intermedi ate strength contrast, intermedia te b/v coupling)	Low brittle-base decoupling; brittle cover strongly influenced by set-up base	Cold lithosphere with thin ductile lower crust above brittle upper mantle (Fig. 3h)	Localized (double) rifting (Fig. 10p, p') Seed: not known

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(\*) we distinguish four types of coupling: brittle-basal, brittle-viscous and viscous basal
 coupling, as well as cover-basal decoupling due to the presence of a viscous layer (see
 section 2.3)

1663 (\*\*) all components: all parts of the experiment, i.e. the sand layer, viscous layer and

1664 substratum (base of the set-up)

1665 **b/v = brittle-viscous** 

# **Figures + captions**



### 

Fig. 1. Example of model layering to simulate extension in a stable four-layer lithosphere. Left: strength profile of the natural example, with a brittle upper crust, a ductile lower crust, a strong brittle upper mantle and a ductile lower mantle that blends into the underlying asthenosphere at a temperature of 1300 °C. Middle: model materials representing the various layers: sand for the brittle parts of the lithosphere, viscous silicone (mixtures) for the ductile crust and mantle. The asthenosphere is simulated with a honey or viscous syrup. Right: cross-section at the end of an asymmetric extension experiment. Adapted from Allemand & Brun (1991) with permission from Elsevier.

### Foam base experiments (F series)



### Rubber base experiments (R series)



### Plate base experiments (P series) mobile

sidewalls

sand

support plate

viscous mixture

2 mobile base plates

(attached to sidewalls)

g



### Conveyor base experiments (C series)



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Fig. 2. Experimental design adopted for our reference experiments. See Table 2 for a complete 1683 overview of the specific parameters applied in this study. Note that the 3D cut-out views show 1684 examples of reference experiments with brittle-viscous layering. VD: velocity discontinuity. For 1685 details on the additional experimental parameters, see Table 2.

### Reference experiments (reference parameters)





Fig. 3. Schematic experimental and natural strength profiles (always left and right, respectively), indicating the lithospheric setting that experiments may represent. The strength profiles of our experiments are qualitative (no scale for stress) and we have exaggerated the viscous strength for visualisation purposes. Natural strength profiles can be affected by numerous factors, as discussed in section 4.7 and illustrated quantitatively in Fig 12. Dotted lines in (e) and (f) indicate the schematic strength profile under reference conditions for comparison. (\*) the

1695 effects of these parts of the lithosphere are not simulated in the given case. b/d = brittle/ductile.

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### Final top views of brittle-only foam base experiments



Fig. 4. Foam base (brittle-only) results. (a, b) Top views depicting the final surface structures of
models F1 (no seed) and F4 (with seed). The brittle layer is 4 cm thick and the extension
velocity is 8 mm/h. Note that the boundary effects are present on both sides of the experiment,
but these are partially invisible due to shadow. (c-d) 3D evolution of CT-scanned experiment F4.
(f, g) 3D internal evolution of CT-scanned experiment F4.

### Final top views of brittle-viscous foam base experiments



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Fig. 5. Foam base (brittle-viscous) results. (a, b) Top views depicting the final surface structures of experiments F5 (no seed) and F7 (with seed). Both the brittle and viscous layers are 4 cm thick and the extension velocity is 8 mm/h. Note that the boundary effects are present on both sides of the experiment, but these are partially invisible due to shadow. (c-d) 3D evolution of CT-scanned experiment F7. (f, g) 3D internal evolution of CT-scanned experiment F7.

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### Final top views of brittle-only rubber base experiments



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1719 Fig. 6. Rubber base (brittle-only) results. (a, b) Top views depicting surface structures of experiments R1 (no seed) and R5 (with seed) after 40 mm of extension. Note that (a) 1720 1721 represents the first phase of experiment R1 (8 mm/h, until 40 mm extension) and (a') the 1722 second phase where an additional 20 mm of extension with an enhanced extension velocity of 1723 20 mm/h was applied to the same experiment to amplify fault structures. Experiment R5 was 1724 run with an extension velocity of 10 mm/h. These deviations from the reference extension 1725 velocity (8 mm/h) are permissible, since the behaviour of sand is time-independent. The sand 1726 layer is 4 cm thick in both experiments. (c-d) 3D evolution of CT-scanned experiment R5. (f, g) 1727 3D internal evolution of CT-scanned experiment R5. Note that the boundary effects are present 1728 on both sides of the experiment, but these are partially invisible due to shadow.

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### Final top views of brittle-viscous rubber base experiments



Fig. 7. Rubber base (brittle-viscous) results. Top views depicting the final surface structures of (a, b) experiments R7 and R8 (reference extension velocity of 8 mm/h) and (c, d) R9 and R10 (high extension velocity experiments: 80 and 480 mm/h, respectively). Note that boundary effects, although partially invisible due to shadow, are present on all sides of the experiment and therefore especially in the corners.

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### Final top views of brittle-only plate base experiments









Fig. 8. Overview depicting our plate base results. (a, b) Top views of brittle-only experiments 1744 1745 P1 (symmetric extension) and P2 (asymmetric extension). (c-f) Brittle-viscous experiments in map view: (c-d) experiments P3 and P7 (reference extension velocity experiments, without 1746 1747 seed), (e) Exp. P10 (reference extension velocity, with seed), (f) Exp. P9 (40 mm total thickness, high extension velocity of 80 mm/h, no seed). Note that boundary effects are present 1748 1749 on both sides of the experiment, but these are partially invisible due to shadow. (g) Schematic 1750 section depicting the interpreted internal structures of experiment P9 from surface data and the 1751 topography of the stretched viscous material as observed after removal of the sand at the end 1752 of the simulation. Note the two VDs, the base plates are 2 mm thick each.

### Final top views of brittle-only conveyor base experiments







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Fig. 9. Overview of conveyor base results (all without seed). Top views depicting the final surface structures of (a, b) brittle-only experiments C1 and C3, (c, d) brittle-viscous Exp. C4 (reference layering and extension velocity), (d) experiment C7 (reference extension velocity, brittle-to-viscous ratio: 2), (e) Exp. C8 (reference extension velocity, brittle-to-viscous ratio: 4) and (f) Exp. C11 (elevated extension velocity: 40mm/h, brittle-to-viscous ratio: 4). Note that the boundary effects (if present) occur on both sides of the experiment, but may be partially invisible due to shadow. (g) CT section depicting the internal structures of Exp. C11.





Fig. 10. Schematic summary of our experimental results. (a-l) Experiments with reference brittle-to-viscous ratios (1:1) and reference extension velocities (8 mm/h). (\* = plate base experiment result only). All sections shown go through the central part of the experiment where boundary effects are minimal. (m-p) Additional brittle-viscous experiments with high extension velocities (80 mm/h) and/or high brittle-to-viscous ratios (4:1).



1775 Fig. 11. Examples of previously published analogue models of extensional tectonics. (a) Cross-1776 section of a brittle-only rubber base model, as used for homogeneous thin-skinned deformation. 1777 Note the conjugate fault sets. Adapted from Vendeville et al. (1987) with permission from the 1778 Geological Society, London. (b) Top view and cross-section of a brittle-only rubber base model 1779 similar to (a), although developing the conjugate fault sets due to extension-perpendicular 1780 contraction of the rubber sheet (Poisson effect). Adapted from Bahroudi et al. (2003) with 1781 permission from Elsevier. (c-d) Cross-sections of brittle-only conveyor base experiments with 1782 symmetric (c) or symmetrical extension (d), both including syn-rift sedimentation. Here the VD 1783 may represent a basement structure controlling deformation in the overlying strata. Redrawn 1784 after Allemand & Brun (1991) with permission from. (e-g) Cross-sections of brittle-viscous 1785 models with a plate base or conveyor belt set-up, with the VD representing a fault in the strong 1786 brittle mantle affecting the overlying crustal analogues. (e) Brittle-viscous plate base model with 1787 asymmetric extension, illustrating the relation between the velocity discontinuity (VD) and the 1788 two rift basins. Compare with experiment C11 (Figs. 9f, g, C2). Redrawn (with permission from 1789 Elsevier) after Michon & Merle (2003), who investigated the European Cenozoic Rift System 1790 and the influence of VDs in a strong upper lithospheric mantle. (f) Symmetric extension model 1791 with conveyor set-up and brittle-viscous layering, designed to simulate the influence of a strong 1792 mantle on a two-layer crust. Adapted from Tron & Brun (1991) with permission from Elsevier. 1793 (q) Brittle-viscous plate base model with asymmetric extension. Note that this experiment includes syn-rift sedimentation and aims to reproduce the North Sea Viking Graben. Modified 1794 1795 after Brun & Tron (1993) with permission from Elsevier. Black arrows indicate extensional 1796 motion. VD: velocity discontinuity.

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Fig. 12. Strength profiles calculated for our reference experiments (a) and various natural 1802 cases (b-f). Reference values for the natural example are  $T_{moho}$  = 600 °C and v = 0.5 mm/y (b). 1803 1804 Extension velocity variations are shown in (c) and (d) and variations due to different Moho 1805 temperatures are depicted in (e) and (f). The crust and mantle flow laws used here are 1806 anorthosite dislocation creep (Rybacki et al. 2006) and olivine dislocation creep (Hirth & 1807 Kohlstedt 2003). Note that the filled-in profile represents a wet lithosphere, whereas the dotted 1808 profiles delineate a dry lithosphere scenario. The horizontal lines indicate various brittle-to 1809 viscous ratios (see discussion in text).

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### 1814 Appendix Figures



Fig. A1. Schematic overview of relations between experimental set-ups, illustrated with shifts of reference frame (v = velocity, VD = velocity discontinuity). Compare with Fig. 2. (a-b) Foam and Rubber base set-ups, in which the base induces an extension gradient. (c-g) Plate base set-ups. (h-l) Convevor base set-ups. Shifts of reference frame are used to highlight the direct differences between set-ups. Note that most set-ups fundamentally differ as indicated by the  $(\neq)$  sign, except for the asymmetric plate base and conveyor base set-ups (f-q, k-l), which are fundamentally the same. The latter are indicated by the (=) sign. Darker colors indicate mobile parts of the set-ups, whereas brighter colors indicate static parts.





1835 Fig. C1. Reproducibility tests. Final top views of experiments F2-F4 (brittle-only, foam base, 1836 with seed), F6 and F7 (brittle-viscous foam base, with seed), P6 and P7 (brittle-viscous plate 1837 base experiments, no seed) and C4-C6 (brittle-viscous conveyor base, no seed). Note that C5\* 1838 and C6\*\* were attempts to decrease boundary effects by replacing part of the basal viscous 1839 layer with sand (transparent overlay) or adding a lubricant (hand soap) along the short ends of 1840 the set-up, respectively. The former however increased boundary effects, whereas the latter did 1841 not significantly change surface structures and was therefore halted after 2 hours. Extension 1842 velocities are 8 mm/h in all cases.

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### Brittle-viscous plate/conveyor base (high velocity)





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Fig. C2. Reproducibility tests. Final top views of experiments P2, C2 and C3 (brittle-only asymmetric plate base [P] and conveyor base [C]), C9-C11 (brittle-viscous conveyor base experiments, 4:1 brittle-viscous thickness ratio, high velocity: 40 mm/h [C10/C11] and 80 mm/h [C9]) and experiments P9 and C12 (brittle-viscous plate base [P] and conveyor base [C], half layer thickness, high extension velocity: 80 mm/h).

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