

**Dear Dave,**

Thank you very much for your comments. We agree with your recommendations and consequently, we have included the volume estimation of the total injected brine plus CO<sub>2</sub> in reservoir conditions. Also, a brief explanation about the orange dots obtained in M-C analysis is included in the caption of Figure 10 and finally, we have discussed the role of the slip and dilatation tendency as suggested.

*Best regards,*

*The authors*

**DETAILED COMMENTS:**

*I would like to insist on one more change: please calculate the volume of the CO<sub>2</sub> at the reservoir conditions (depth/pressure, temperature) and then re-calculate the maximum predicted moment for comparison to the room conditions value. This is important, as it will surely be less.*

**New calculation and text:**

*We have applied a physic model to estimate the total volume injected (room conditions) and in reservoir conditions. Then we have applied the McGarr's (2014) approximation of the maximum expected seismic moment for induced earthquakes. The injection of 10 k tons of CO<sub>2</sub> in Hontomín (Gastine et al., 2017), represents an approximated injected volume of CO<sub>2</sub> of 5.56 x10<sup>6</sup> m<sup>3</sup> (room conditions, pressure of 1 bar and temperature of 20 °C). The P/T conditions at the bottom of the wells have a maximum value close to 190 bar (Ortiz et al., 2015; Kovacs et al., 2015), although oscillating between 125 and 170 bar and with a maximum temperature close to 58 °C. Kovacs et al. (2015) pointed out a pressure gradient 0,023 MPa/m and a thermal vertical gradient of 0.033 °C/m, which would correspond to a pressure of 357 bar and 51 °C at 1,550 m depth. P/T bottom values obtained from the observational wells (HA and HI) by Ortiz et al. (2015) and Kovacs et al. (2015), were 170 bar and 42 °C respectively.*

*We have used the general law for gases  $P_1 \cdot V_1 / T_1 = P_2 \cdot V_2 / T_2$ . Therefore, the total injected volume in reservoir conditions according to the parameters observed at the bottom of the wells are,  $P_1 = 1.01$  bar,  $T_1 = 20$  °C,  $V_1 = 5.56 \times 10^6$  m<sup>3</sup>,  $P_2 = 170$  bar and  $T_2 = 42$  °C. Hence, the total volume of injected CO<sub>2</sub> plus brine is  $6.94 \times 10^4$  m<sup>3</sup>.*

*McGarr (2014) empirically determined the maximum seismic moment related to a volume increasing by underground injection. The expression is  $M_o(max) (Nm) = G \cdot \Delta V$  (McGarr 2014, eq. 13), where  $G$  is the modulus of rigidity and for the upper limit is  $3 \times 10^{10}$  Pa, and  $\Delta V$  is the total injected volume (we have used the total injected volume in reservoir conditions). The result is  $M_o(max)$  equal to  $2.1 \times 10^{15}$  Nm, which corresponds to a maximum seismic moment magnitude  $M_w (max) = 4.2$ , by applying the equation  $M_w = (Log M_o(max) - 9.05)/1.5$  from Hanks and Kanamori (1979); where Log is the logarithm to the base 10.*

*The Mohr-Coulomb diagrams in relation to Reviewer 1 comments are good, but you might want to look at slip and dilation tendency (Morris et al., 1996; Ferrill et al., 1999) too, if not for this paper then for the future.*

We guess that the complete reference is Morris, Alan, David A. Ferrill and D. Brent Henderson: Slip-tendency analysis and fault reactivation. *Geology*, 24,275-278. doi: [http://doi.org/10.1130/0091-7613\(1996\)024<0275:STAAFR>2.3.CO;2](http://doi.org/10.1130/0091-7613(1996)024<0275:STAAFR>2.3.CO;2), 1996.

Yes, we are aware of this type of analysis for fault reactivation and it is totally complementary with our work. In fact, applying this analysis is similar because both analyses are based on the same concepts: stress tensor (R and K) and the fault orientation. Moreover, if you see the original paper of Morris et al. (1996), Fig. 4A shows  $\sigma_2$  with N-S trend and  $\sigma_3$  with E-W trend, under a strike-slip stress tensor. In this context, the most likelihood fault orientation to slip reactivation is N-S with dip greater than 59°. These values are similar to our results in Hontomin, with the same stress tensor and fault orientation than Morris et al. (1996), but in the Basque Cantabria basin instead of Yucca Mountains. The most interesting thing is that results are similar in both cases. Anyway, we can perform this kind of analysis combined with our analysis but as you say, for future works. Even so, we have mentioned this type of analysis in Discussion section of fault reactivation. Thank you for the suggestion.

**Included text:**

*We propose as a complementary and future work, a combined analysis between the fault population analysis and the slip-tendency analysis (Morris et al. 1996), which could improve and discriminate those fault sets most likely to be reactivated under an active stress field. Although both analyses (Fault Population and slip-tendency) are based on the stress tensor and the orientation of fault traces, the slip-tendency also includes rock strength values obtained from the "in situ" tests.*

*Also, what are the yellow data on the M-C plot? They do not have a corresponding rose plot...*

*The yellow data in the M-C diagrams are referred to those planes close to be reactivated, and potentially reactivated by increasing the pore pressure.*

**We have included this sentence in the caption of Figure 9.**

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# Active tectonic field for CO<sub>2</sub> Storage management: Hontomín onshore study-case (SPAIN)

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## Abstract

*One of the concerns of underground CO<sub>2</sub> onshore storage is the triggering of Induced Seismicity and fault reactivation by pore pressure increasing. Hence, a comprehensive analysis of the tectonic parameters involved in the storage rock formation is mandatory for safety management operations. Unquestionably, active faults and seal faults depicting the storage bulk are relevant parameters to be considered. However, there is a lack of analysis of the active tectonic strain field affecting these faults during the CO<sub>2</sub> storage monitoring. The advantage of reconstructing the tectonic field is the possibility to determine the strain trajectories and describing the fault patterns affecting the reservoir rock. In this work, we adapt a methodology of systematic geostructural analysis to the underground CO<sub>2</sub> storage, based on the calculation of the strain field and defined by the strain field from kinematics indicators on the fault planes ( $e_y$  and  $e_x$  for the maximum and minimum horizontal shortening respectively). This methodology is based on a statistical analysis of individual strain tensor solutions obtained from fresh outcrops from Triassic to Miocene. Consequently, we have collected 447 fault data in 32 field stations located within a 20 km radius. The understanding of the fault sets role for underground fluid circulation can also be established, helping for further analysis about of CO<sub>2</sub> leakage and seepage. We have applied this methodology to Hontomín*

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32 onshore CO<sub>2</sub> storage facilities (Central Spain). The geology of the area and the number  
33 of high-quality outcrops made this site as a good candidate for studying the strain field  
34 from kinematics fault analysis. The results indicate a strike-slip tectonic regime with the  
35 maximum horizontal shortening with N160°E and N50°E trend for local regime, which  
36 activates NE-SW strike-slip faults. A regional extensional tectonic field was also  
37 recognized with N-S trend, which activates N-S extensional faults, and NNE-SSW and  
38 NNW-SSE strike-slip faults, measured in the Cretaceous limestone on top of the  
39 Hontomín facilities. Monitoring these faults within the reservoir is suggested in addition  
40 ~~with to~~ the possibility of obtaining focal mechanism solutions for microearthquakes ( $M$   
41  $< 3$ ).

42

43 Keywords: onshore CO<sub>2</sub> storage, tectonic field, paleostrain analysis, active fault,  
44 Hontomín onshore pilot-plant.

45

## 46 1. INTRODUCTION

47 Industrial made-man activities generate CO<sub>2</sub> that could change the chemical balance of  
48 the atmosphere and their relationship with the geosphere. The Geological Carbon  
49 Storage (GSC) appears as a good choice to reduce the CO<sub>2</sub> gas emission to the  
50 atmosphere (Christensen, 2004), allowing the industry increasing activity with a low  
51 pollution impact. There is a lot of literature about what must have a site to be a potential  
52 underground storage suitable to GSC (e.g. Chu, 2009; Orr, 2009; Goldberg et al., 2010  
53 among others). The reservoir sealing, the caprock, permeability and porosity, plus  
54 injection pressure and volume injected, are the main considerations to choose one  
55 geological subsurface formation as the CO<sub>2</sub> host-rock. In this frame, the tectonic active  
56 field is considered in two principal ways: (1) to prevent the fault activation and

57 earthquakes triggering, with the consequence of leakage and seepage, and (2) the long-  
58 term reservoir behavior, understanding as long-term from centennial to millennial time-  
59 span. Therefore, what is the long-term behavior of GSC? What do we need to monitor  
60 for a safe GSC management? [Winthaegen et al. \(2005\)](#) suggest three subjects for  
61 monitoring: (a) the atmosphere air quality near the injection facilities, due to the CO<sub>2</sub>  
62 toxicity (values greater than 4%, see [Rice, 2003](#) and [Permentier et al., 2017](#)), (b) the  
63 overburden monitoring faults and wells and (c) the sealing of the reservoir. The study of  
64 natural analogues for GSC is a good strategy to estimate the long-term behavior of the  
65 reservoir, considering parameters as the injected CO<sub>2</sub> pressure and volume, plus the  
66 brine mixing with CO<sub>2</sub> ([Pearce, 2006](#)). Hence, the prediction of site performance over  
67 long timescales also requires an understanding of CO<sub>2</sub> behavior within the reservoir, the  
68 mechanisms of migration out of the reservoir, and the potential impacts of a leak on the  
69 near surface environment. The assessments of such risks will rely on a combination of  
70 predictive models of CO<sub>2</sub> behavior, including the fluid migration and the long-term  
71 CO<sub>2</sub>-porewater-mineralogical interactions ([Pearce, 2006](#)). Once again, the tectonic  
72 active field interacts directly on this assessment. Moreover, the fault reactivation due to  
73 the pore pressure increasing during the injection and storage has also to be considered  
74 ([Röhmman et al., 2013](#)). Despite the uplift measure by [Röhmman et al. \(2013\)](#) are  
75 submillimeter (c.a. 0.021 mm) at the end of the injection processes, given the ongoing  
76 occurrence of microearthquakes, long-term monitoring is required. The geomechanical  
77 and geological models predict the reservoir behavior and the caprock sealing properties.  
78 The role of the faults inside these models is crucial for the tectonic long-term behavior  
79 and the reactivation of faults that could trigger earthquakes.

80 Concerning the Induced Seismicity, [Wilson et al. \(2017\)](#) published the Hi-Quake  
81 database, with a classification of all man-made earthquakes according to the literature,

82 in an online repository (<https://inducedearthquakes.org/>, last access on May, 2019). This  
83 database includes 834 projects with proved Induced Seismicity, where two different  
84 cases with earthquakes as large as M 1.7, detected in swarms about 9,500  
85 microearthquakes, are related to GSC operations. Additionally, [Foulger et al. \(2018\)](#)  
86 pointed out that GSC can trigger earthquakes with magnitudes lesser than M 2, namely  
87 the cases described in their work are as great as M 1.8, with the epicenter location 2 km  
88 around the facilities. [McNamara \(2016\)](#) described a comprehensive method and  
89 protocol for monitoring GSC reservoir for the assessment and management of Induced  
90 Seismicity. The knowledge of active fault patterns and the stress/strain field could help  
91 on designing monitoring network and identifying those faults capable of triggering  
92 micro-earthquakes ( $M < 2$ ) and/or breaking the sealing for leakage (patterns of open  
93 faults for low-permeability CO<sub>2</sub> migration).

94 In this work, we propose that the description, the analysis and establishment of the  
95 tectonic strain field have to be mandatory for long-term GSC monitoring and  
96 management, implementing the fault behavior in the geomechanical models. This  
97 analysis does not increase the cost for long-term monitoring, given that they are low-  
98 cost and the results are acquired in a few months. Therefore, we propose a methodology  
99 based on the reconstruction of the strain field from the classical studies in geodynamics  
100 ([Angelier, 1979 and 1984](#); [Reches, 1983](#); [Reches, 1987](#)). As a novelty, we introduce the  
101 strain fields (SF) analysis between 20 away from the subsurface reservoir deep  
102 geometry, under the area of influence of induced seismicity for fluid injection. The  
103 knowledge of the strain field at local scale allows classifying the type of faulting and  
104 their role for leakage processes, whilst the regional scale explores the tectonic active  
105 faults that could affect the reservoir. The methodology is rather simple, taking measures  
106 of slickensides and striations on fault planes to establish the orientation of the maximum

107 horizontal shortening ( $e_y$ ), and the minimum horizontal shortening ( $e_x$ ) for the strain  
108 tensor. The principal advantage of the SF analysis is the directly classification of all the  
109 faults involved into the geomechanical model and the prediction of the failure  
110 parameters. Besides, a Mohr-Coulomb failure analysis was performed to the fault  
111 pattern recognized in the Cretaceous outcrop located on top of the pilot plant.

112 The tectonic characterization of the GSC of Hontomín was implemented in the  
113 geological model described by [Le Gallo and de Dios \(2018\)](#). Beyond the use of Induced  
114 Seismicity and potentially active faults, the scope of this method is to propose an initial  
115 analysis to manage underground storage operations. We present how the Structural  
116 Analysis of fault/slip data can improve the knowledge of the tectonic large-scale fault  
117 network for the potential seismic reactivation during fluid injection and time-depend  
118 scale for fluid stays.

119

## 120 2. HONTOMÍN ONSHORE STUDY CASE

### 121 *2.1 Geological description of the reservoir*

122 The CO<sub>2</sub> storage site of Hontomín is enclosed in the southern section of the Mesozoic  
123 Basque–Cantabrian Basin, known as Burgalesa Platform ([Serrano and Martínez del](#)  
124 [Olmo, 1990](#); [Tavani, 2012](#)), within the sedimentary Bureba Basin (**Fig. 1**). This  
125 geological domain is located in the northern junction of the Cenozoic Duero and Ebro  
126 basins, forming an ESE-dipping monocline bounded by the Cantabrian Mountains  
127 Thrust to the north, the Ubierna Fault System (UFS) to the south and the Asturian  
128 Massif to the west (**Fig. 1**).

129 The Meso-Cenozoic tectonic evolution of the Burgalesa Platform starts with a first rift  
130 period during Permian and Triassic times ([Dallmeyer and Martínez-García, 1990](#);  
131 [Calvet et al., 2004](#)), followed by a relative tectonic quiescence during Early and Middle

132 Jurassic times (e.g. Aurell et al., 2002). The main rifting phase took place during the  
133 Late Jurassic and Early Cretaceous times, due to the opening of the North Atlantic and  
134 the Bay of Biscay-Pyrenean rift system (García-Mondéjar et al., 1986; Le Pichon and  
135 Sibuet, 1971; Lepvrier and Martínez-García, 1990; García-Mondéjar et al., 1996; Roca  
136 et al., 2011; Tugend et al., 2014). The convergence between Iberia and Eurasia from  
137 Late Cretaceous to Miocene times triggered the inversion of previous Mesozoic  
138 extensional faults and the development of an E-W orogenic belt (Cantabrian domain to  
139 the west and Pyrenean domain to the east) formed along the northern Iberian plate  
140 margin (Muñoz, 1992; Gómez et al., 2002; Vergés et al., 2002).

141 The Hontomín facilities are located within the Basque-Cantabrian Basin (**Fig. 1b**). The  
142 geological reservoir structure is bordered by the UFS to the south and west, by the Poza  
143 de la Sal diapir and the Zamanzas Pop-up structure (Carola, 2014) to the north and by the  
144 Ebro Basin to the east (**Fig. 1**). The structure is defined as a forced fold related dome  
145 structure (Tavani et al., 2013; **Fig. 2**), formed by an extensional fault system with  
146 migration of evaporites towards the hanging wall during the Mesozoic (Soto et al.,  
147 2011). During the tectonic compressional phase, associated with the Alpine Orogeny  
148 affecting the Pyrenees, the right-lateral transpressive inversion of the basement faults  
149 was activated, along with the reactivation of transverse extensional faults (**Fig. 2**;  
150 Tavani et al., 2013; Alcalde et al., 2014).

151 The target reservoir and seal formations consist of Lower Jurassic marine carbonates,  
152 arranged in an asymmetric dome-like structure (**Fig. 2**) with an overall extent of 15 km<sup>2</sup>  
153 and located at 1,485 m of depth (Alcalde et al., 2013, 2014; Ogaya et al., 2013). The  
154 target CO<sub>2</sub> injection point is a saline aquifer formed by a dolostone unit, known as  
155 “Carniolas”, and an oolitic limestone of the Sopeña Formation, both corresponding to  
156 Lias in time (Early Jurassic). The estimated porosity of the Carniolas reaches over 12%



157 (Ogaya et al., 2013; Le Gallo and de Dios, 2018) and it is slightly lower at the  
158 Carbonate Lias level (8.5% in average). The reservoir levels contain saline water with  
159 more than 20 g/l of NaCl and very low oil content. The high porosity of the lower part  
160 of the reservoir (i.e., the Carniolas level) is the result of secondary dolomitization and  
161 different fracturing events (Alcalde et al., 2014). The minimum thickness of the  
162 reservoir units is 100 m. The potential upper seal unit comprises Lias marlstones and  
163 black shales from a hemipelagic ramp (Fig. 2); Pliensbachian and Toarcian) of the  
164 “Puerto del Pozazal” and Sopeña Formations.

165

## 166 2.2 Regional tectonic field

167 The tectonic context has been described from two different approaches: (1) the tectonic  
168 style of the fractures bordering the Hontomín reservoir (De Vicente et al., 2011; Tavani  
169 et al., 2011) and (2) the tectonic regional field described from earthquakes with  
170 mechanism solutions and GPS data (Herraiz et al., 2000; Stich et al., 2006; De Vicente  
171 et al., 2008).

172 (1) The tectonic style of the Bureba Basin was described by De Vicente et al. (2011),  
173 which classified the Basque-Cantabrian Cenozoic Basin (Fig. 1a) as transpressional  
174 with contractional horsetail splay basin. The NW-SE oriented Ventaniella fault (Fig.  
175 1a), includes the UFS in the southeastward area, being active between the Permian and  
176 Triassic period, and strike-slip during the Cenozoic contraction. In this tectonic  
177 configuration, the Ubierna Fault acts as a right-lateral strike-slip fault. These authors  
178 pointed out the sharp contacts between the thrusts and the strike-slip faults in this basin.  
179 Furthermore, Tavani et al. (2011) also described complex Cenozoic tectonic context  
180 where right-lateral tectonic style reactivated WNW-ESE trending faults. Both the  
181 Ventaniella and the Ubierna faults acted as transpressive structures forming 120 km

182 long and 15 km wide of the UFS, and featured by 0.44 mm/yr of averaged tectonic  
183 strike-slip deformation between the Oligocene and the present day. The aforementioned  
184 authors described different surface segments of the UFS of right-lateral strike-slip  
185 ranging between 12 and 14 km length. The structural data collected by [Tavani et al.](#)  
186 [\(2011\)](#) pointed out the 60% of data correspond to right lateral strike-slip with WNW-  
187 ESE trend, together with conjugate reverse faulting with NE-SW, NW-SE and E-W  
188 trend, and left-lateral strike-slip faults N-S oriented. They concluded that this scheme  
189 could be related to a transpressional right-lateral tectonic system with a maximum  
190 horizontal compression,  $S_{Hmax}$ , striking N120°E. Concerning the geological evidence of  
191 recent sediments affected by tectonic movements of the UFS, [Tavani et al. \(2011\)](#)  
192 suggest Middle Miocene in time for this tectonic activity. However, geomorphic  
193 markers (river and valley geomorphology) could indicate tectonic activity at present-  
194 times. All of these data correspond to regional or small-scale data collected to explain  
195 the Basque-Cantabrian Cenozoic transpressive basin. The advantage of the  
196 methodology proposed here to establish the tectonic local regime affecting the reservoir,  
197 is the searching for local-scale tectonics (20 km sized), and the estimation of the depth  
198 for the non-deformation surface for strata folding in transpressional tectonics ([Lisle et](#)  
199 [al., 2009](#)).

200 (2) Regarding the stress field from earthquake focal mechanism solutions, [Herraiz et al.](#)  
201 [\(2000\)](#) pointed out the regional trajectories of  $S_{Hmax}$  with NNE-SSW trend, and with a  
202 NE-SW  $S_{Hmax}$  trend from slip-fault inversion data. [Stich et al. \(2006\)](#) obtained the stress  
203 field from seismic moment tensor inversion and GPS data. These authors pointed out a  
204 NW-SE Africa-Eurasia tectonic convergence at tectonic rate of 5 mm/yr approximately.  
205 However, no focal mechanism solutions are found within the Hontomín area (20 km)  
206 and only long-range spatial correlation could be made with high uncertainty (in time,

207 space and magnitude). The same lack of information appears in the work of De Vicente  
208 et al. (2008), with no focal mechanism solutions in the 50 km surrounding the HPP. In  
209 this work, these authors classified the tectonic regime as uniaxial extension to strike-slip  
210 with NW-SE  $S_{Hmax}$  trend.

211 Regional data about the tectonic field inferred from different works (Herraiz et al.,  
212 2000; Stich et al., 2006; De Vicente et al., 2008, 2011; Tavani et al., 2011; Tavani,  
213 2012), show differences for the  $S_{Hmax}$ . These works explain the tectonic framework for  
214 regional scale. Nevertheless, local tectonics could determine the low permeability and  
215 the potential induced seismicity within the reservoir. In the next section, we have  
216 applied the methodology described at the section 3 of this manuscript, in order to  
217 compare the regional results from these works and to establish the tectonic evolution of  
218 the Burgalesa Platform.

219

### 220 *2.3 Strategy of the ENOS European Project*

221 Hontomín pilot-plant (HPP) for CO<sub>2</sub> onshore storage is the only one in Europe  
222 recognized as a key-test-facility, and it is managed and conducted by CIUDEN  
223 (*Fundación Ciudad de la Energía*). The HPP is located within the province of Burgos  
224 (**Fig. 1b**), in the northern central part of Spain.

225 The methodology proposed in this work and its application for long-term onshore GSC  
226 managing in the frame of geological risk, is based on the strain tensor calculation, as  
227 part of the objectives proposed in the European project “ENabling Onshore CO<sub>2</sub>  
228 Storage in Europe” (ENOS). The ENOS project is an initiative of CO<sub>2</sub>GeoNet, the  
229 European Network of Excellence on the geological storage of CO<sub>2</sub> for supporting  
230 onshore storage and fronting the associated troubles as GSC perception, the safe storage  
231 operation, potential leaking management and health, and environmental safety (Gastine

232 [et al., 2017](#)). ENOS combines a multidisciplinary European project, which focuses in  
233 onshore storage, with the demonstration of best practices through pilot-scale projects in  
234 the case of Hontomín facilities. Moreover, this project claims for creating a favorable  
235 environment for GSC onshore through public engagement, knowledge sharing, and  
236 training ([Gastine et al., 2017](#)). In this context, the work-package WP1 is devoted to  
237 “ensuring safe storage operations”.

238

### 239 3. METHODS AND RATIONALE

240 The lithosphere remains in a permanent state of deformation, related to plate tectonics  
241 motion. Strain and stress fields are the consequence of this deformation on the upper  
242 lithosphere, arranging different fault patterns that determine sedimentary basins and  
243 geological formations. Kinematics of these faults describes the stress/strain fields, for  
244 example measuring grooves and slickensides on fault planes (see [Angelier, 1979](#),  
245 [Reches, 1983 among others](#)). The relevance of the tectonic field is that stress and strain  
246 determine the earthquake occurrence by the fault activity. In this work, we have  
247 performed a brittle analysis of the fault kinematics, by measuring slickenfiber on fault  
248 planes (dip/ dip direction and rake), in several outcrops in the surroundings of the  
249 onshore reservoir. To carry out the methodology proposed in this work, the study area  
250 was divided in a circle with four equal areas, and we searched outcrops of fresh rock to  
251 perform the fault kinematic analysis. This allows establishing a realistic tectonic very-  
252 near field to be considered during the storage seismic monitoring and long-term  
253 management. Finally, we have studied the fault plane reactivation by using the Mohr-  
254 Coulomb failure criterion ([Pan et al., 2016](#)), from the fault pattern obtained in the  
255 Cretaceous limestone outcrop located on top of the HPP facilities.

256

### 257 3.1 Paleostrain Analysis

258 We have applied the strain inversion technique to reconstruct the tectonic field  
259 (paleostrain evolution), affecting the Hontomín site between the Triassic, Jurassic,  
260 Cretaceous and Neogene ages (late Miocene to present times). For a further  
261 methodology explanation, see [Etchecopar et al. \(1981\)](#), [Reches \(1983\)](#) and [Angelier](#)  
262 [\(1990\)](#). The main assumption for the inversion technique of fault population is the self-  
263 similarity to the scale invariance for the stress/strain tensors. This means that we can  
264 calculate the whole stress/strain fields by using the slip data on fault planes and for  
265 homogeneous tectonic frameworks. The strain tensor is an ellipsoid defined by the  
266 orientation of the three principal axes and the shape of the ellipsoid ( $k$ ). This method  
267 assumes that the slip-vectors, obtained from the pitch of the striation on different fault  
268 planes, define a common strain tensor or a set in a homogeneous tectonic arrangement.  
269 We assume that the strain field is homogeneous in space and time, the number of faults  
270 activated is greater than five and the slip vector is parallel to the maximum shear stress  
271 ( $\tau$ ).

272 The inversion technique is based on the Bott equations ([Bott, 1959](#)). These equations  
273 show the relationship between the orientation and the shape of the stress ellipsoid:

274

$$275 \quad \text{Tan}(\theta) = [n / (l * m)] * [m^2 - (1 - n^2) * R'] \quad [\text{eq.1}]$$

$$276 \quad R' = (\sigma_z - \sigma_x) / (\sigma_y - \sigma_x) \quad [\text{eq.2}]$$

277

278 Where  $l$ ,  $m$  and  $n$  are the direction cosines of the normal to the fault plane,  $\theta$  is the pitch  
279 of the striation and  $R'$  is the shape of the stress ellipsoid obtained in an orthonormal  
280 coordinate system,  $x$ ,  $y$ ,  $z$ . In this system,  $\sigma_y$  is the maximum horizontal stress,  $\sigma_x$  is the  
281 minimum horizontal stress axis and  $\sigma_z$  is the vertical stress axis.

282

### 283 *3.2 The Right-Dihedral Model for Paleostrain Analysis*

284 The Right-Dihedral (RD) is a semi-quantitative method based on the overlapping of  
285 compressional and extensional zones by using a stereographic plot. The final plot is an  
286 interferogram figure, which usually defines the strain-regime. This method is strongly  
287 robust for conjugate fault sets and with different dip values for a same tensor. The RD  
288 was originally defined by Pegoraro (1972) and Angelier and Mechler (1977), as a  
289 geometric method, adjusting the measured fault-slip data (slickensides) in agreement  
290 with theoretical models for extension and compressive fault-slip. Therefore, we can  
291 constraint the regions of maximum compression and extension related to the strain  
292 regime.

293

### 294 *3.3 The Slip Model for the Paleostrain Analysis*

295 The Slip Model (SM) is based on the Navier-Coulomb fracturing criteria (Reches,  
296 1983), taking the Anderson model solution for this study (Anderson, 1951; Simpson,  
297 1997). The Anderson model represents the geometry of the fault plane as monoclinic,  
298 relating the quantitative parameters of the shape parameter ( $K'$ ) with the internal  
299 frictional angle for rock mechanics ( $\phi$ ) (De Vicente 1988; Capote et al., 1991).  
300 Moreover, this model is valid for neoformed faults, and some considerations have to be  
301 accounted for previous faults and weakness planes present in the rock. These  
302 considerations are related to the dip of normal and compressional faults, such as for  
303 compressional faulting dip values lower than  $45^\circ$ , reactivated as extensional faults. This  
304 model shows the relationships between the  $K'$ ,  $\phi$  and the direction cosines for the  
305 striation on the fault plane (De Vicente, 1988; Capote et al., 1991):

306

307  $K' = e_y / e_z$  [eq.3]

308

309 Where  $e_z$  is the vertical strain axis,  $e_y$  is the maximum horizontal shortening and  $e_x$  is  
310 the minimum horizontal shortening. This model assumes that there is no change of  
311 volume during the deformation and  $e_y = e_x + e_z$ .

312 For isotropic solids, principal strain axes coincide with the principal stress axes. This  
313 means that in this work, the orientation of the principal stress axis,  $S_{Hmax}$  is parallel to  
314 the orientation of the principal strain axes,  $e_y$ , and hence, the minimum stress axis,  $S_{hmin}$ ,  
315 is parallel to the minimum strain axis,  $e_x$ . This assumption allows us to estimate the  
316 stress trajectories ( $S_{Hmax}$  and  $S_{hmin}$ ) from the  $e_y$  SM results.

317 Resolving the equations of Anderson for different values (Anderson, 1951), we can  
318 classify the tectonic regime that activates one fault from the measurement of the fault  
319 dip, sense of dip ( $0^\circ$ - $360^\circ$ ) and pitch of the slickenside, assuming that one of the  
320 principal axes ( $e_x$ ,  $e_y$  or  $e_z$ ) is vertical (Angelier, 1984). We can classify the tectonic  
321 regime and represent the strain tensor by using the  $e_y$  and  $e_x$  orientation.

322

### 323 3.4 The $K'$ strain diagram

324 Another analysis can be achieved by using the  $K'$ -strain diagram developed by Kaverina  
325 et al. (1996) and codified in python-code by Álvarez-Gómez (2014). These authors have  
326 developed a triangular representation based on the fault-slip, where tectonic patterns can  
327 be discriminated between strike-slip and dip-slip types. This diagram is divided in 7  
328 different zones according to the type of fault: (1) pure normal, (2) pure reverse and (3)  
329 pure strike-slip; combined with the possibility of oblique faults: (4) reverse strike-slip  
330 and (5) strike-slip with reverse component; and lateral faults: (6) normal strike-slip and  
331 (7) strike-slip faults with normal component (Fig. 3). Strike-slip faults are defined by

332 small values for pitch ( $p < 25^\circ$ ), and dips close to vertical planes ( $\beta > 75^\circ$ ). High pitch  
333 values ( $p > 60^\circ$ ) are related to normal or/reverse fault-slip vectors. Extensional faults  
334 show  $e_y$  in vertical whereas compressional faults show  $e_y$  in horizontal plane.

335 This method was originally performed for earthquake focal mechanism solutions by  
336 using the focal parameters, the nodal planes (dip and strike) and rake (Kaverina et al.,  
337 1996). The triangular graph is based on the equal-areal representation of the T, N or B  
338 and P axes in spherical coordinates (T tensile, N or B neutral and P pressure axes), and  
339 the orthogonal regression between earthquake magnitudes  $M_s$  and  $m_b$  for the Harvard  
340 earthquake CMT global catalogue in 1996. [Álvarez-Gómez \(2014\)](#) presented a code  
341 python-based for computing the Kaverina diagrams, and we have modified the input  
342 parameters by including the  $K'$  intervals for the strain field from the SM. The  
343 relationship between the original diagram of Kaverina (**Fig. 3a**) and the  $K'$ -dip diagram  
344 (**Fig. 3b**) that we have used in this work is shown in the figure 3. The advantage of this  
345 diagram is the fast assignation of the type of fault and the tectonic regime that determine  
346 this fault pattern, and the strain axes relationship.

347 Table 1 summarizes the different tectonic regimes of the figure 3b showing the  
348 relationship with the strain main axes  $e_y$ ,  $e_x$  and  $e_z$ . This diagram exhibits a great  
349 advantage to classify the type of fault according to the strain tensor. Therefore, we can  
350 assume the type of fault from the fault orientation affecting geological deposits for each  
351 strain tensor obtained.

352

### 353 *3.5 The Circular-Quadrant-Search (CQS) strategy for the paleostrain analysis*

354 In this work, we propose a low-cost strategy based on a well-known methodology for  
355 determining the stress/strain tensor affecting a GSC reservoir, which will allow the  
356 long-term monitoring of the geological and seismic behavior (**Fig. 4**). The objective is



357 to obtain enough structural data and spatially homogeneous of faults (**Figs. 4, 5**) for  
358 reconstructing the stress/strain tensor. The key-point is the determination of the  
359 orientation of the  $e_y$ ,  $e_x$  and  $K'$  to plot in a map and therefore, to establish the tectonic  
360 regime. We have chosen quadrants of the circles with the aim to obtain a high-quality  
361 spatial distribution of point for the interpretation of the local and very near strain field.  
362 Hence, data are homogeneously distributed, instead of being only concentrated in one  
363 quadrant of the circle.

364 [Pérez-López et al. \(2018\)](#) carried out a first approach to the application of this  
365 methodology at Hontomín, under the objective of the ENOS project (see next section  
366 for further details). We propose a circular searching of structural field stations (**Figs. 4,**  
367 **5**), located within a 20 km radius. This circle was taken, given that active faults with the  
368 capacity of triggering earthquakes of magnitudes close to M 6, exhibits a surface rupture  
369 of tens of kilometers, according to the empirical models ([Wells and Coppersmith,](#)  
370 [1994](#)). Moreover, [Verdon et al. \(2015\)](#) pointed out that the maximum distance of  
371 induced earthquakes for fluid injection is 20 km. Larger distances could not be related  
372 to the stress/strain regime within the reservoir, except for the case of large geological  
373 structures (folds, master faults, etc.). Microseismicity in GSC reservoir is mainly related  
374 to the operations during the injection/depletion stages and long-term storage ([Verdon](#)  
375 [2014; Verdon et al., 2015; McNamara, 2016](#)).

376 The presence of master faults (capable to trigger earthquakes of magnitude = or > than 6  
377 and 5 km long segment) inside the 20 km radius circle, implicates that the regional  
378 tectonic field determines the strain accumulation in kilometric fault-sized. Furthermore,  
379 the presence of master faults could increase the occurrence of micro-earthquakes, due to  
380 the presence of secondary faults prone to trigger earthquakes by their normal seismic  
381 cycle ([Scholz, 2018](#)). Bearing in mind that GSC onshore reservoirs use to be deep saline

382 aquifers (e.g. [Bentham and Kirby, 2005](#)) as the Hontomín case ([Gastine et al., 2017](#), [Le](#)  
383 [Gallo and de Dios, 2018](#)), which is confined in folded and fractured deep geological  
384 structures, in which local tectonics plays a key role in micro-seismicity and the  
385 possibility of CO<sub>2</sub> leakage.

386 The constraints of this strategy are related to the absence of kinematics indicators on  
387 fault planes. It could occur due to later overlapping geological processes as neoformed  
388 mineralization. Also, a low rigidity eludes the slicken fiber formation, and no  
389 kinematic data will be marked on the fault plane.. A poor spatial distribution of the  
390 outcrops was also taken into account for constraining the strategy. The age of sediments  
391 does not represent the age of the active deformations and hence, the active deformation  
392 has to be analyzed by performing alternative methods (i.e. paleoseismology,  
393 archaeoseismology).

394

## 395 4. RESULTS

### 396 *4.1 Strain Field Analysis*

397 We have collected 447 fault-slip data on fault planes in 32 outcrops, located within a 20  
398 km radius circle centered at the HPP (**Fig. 5**). The age of the outcrops ranges between  
399 Early Triassic to post-Miocene and are mainly located in Cretaceous limestone and  
400 dolostone (**Fig. 5, Table 2**). However, no Jurassic outcrops were located, and only  
401 seven stations are located on Neogene sediments, ranging between Early Oligocene to  
402 Middle-Late Miocene. The small number of Neogene stations is due to the mechanical  
403 properties of the affected sediments, mainly poor-lithified marls and soft-detrital fluvial  
404 deposits. Despite that, all the Neogene stations exhibit high-quality data with a number  
405 of fault-slip data ranging between 7 and 8, enough for a minimum quality analysis.

406 We have labeled the outcrops with the acronym HTM followed by a number (see **figure**  
407 **5** for the geographical location and **Table 2** and **figure 6** for the fault data). The station  
408 with the highest number of faults measured is HTM17 with 105 faults on Cretaceous  
409 limestone. Conjugate fault systems can be recognized in most of the stations (HTM1, 3,  
410 5, 7, 10, 14, 16, 21, 23, 24, 25, 29, 30 and 32, **Fig. 6**), although there are a few stations  
411 with only one well defined fault set (6, 22, 32). We have to bear in mind that the  
412 recording of conjugate fault systems is more robust for the brittle analysis than  
413 recording isolated fault sets, better constraining the solution ([Žaholar and Vrabc,](#)  
414 [2008](#)). In total, 29 of 32 stations were used (HTM24, 27, 28 with no quality data), and  
415 from these 29 stations, 21 were analyzed with the paleostrain technique. Solutions  
416 obtained here are robust to establish the paleostrain field in each outcrop as the  
417 orientation of the  $e_y$ ,  $S_{Hmax}$  (**Fig. 7**).

418 The results obtained from the application of the paleostrain method have been expressed  
419 in stereogram, right dihedral (RD), slip method (SM) and  $K'$ - diagram (**Fig. 7**). The  $K'$ -  
420 diagram shows the fault classification as normal faults, normal with strike-slip  
421 component, pure strike-slip, strike-slip with reverse component and reverse faults (see  
422 **Fig. 3**). Main faults are lateral strike-slips and normal faults, followed by reverse faults,  
423 strike-slips and oblique strike-slips faults. The results of the strain regime are as  
424 follows: 1) 43% of extensional with shear component; 2) 22% of shear; 3) 13% of  
425 compressive strain (lower Cretaceous and early-middle Miocene, **Table 2**); 4) 13% of  
426 pure shear and 5) 9% of shear with compression strain field, although with the presence  
427 of five reverse faults.

428 In contrast, we can observe that there are solutions with a double value for the  $e_y$ ,  $S_{Hmax}$   
429 orientation: HTM1, 2, 10, 11, 13, 15, 19, 26, and 30. The stations HTM3 and 23 (upper

430 Cretaceous), show the best solution for strike-slip strain field as a pure strike-slip  
431 regime and  $e_y$  with N25°E and N99°E trend, respectively (**Fig. 7**).

432 It is easy to observe the agreement between the  $e_y$  results from the SM and the K'- strain  
433 diagram, for instance, in the HTM2 the K'-diagram indicates strike-slip faults with  
434 reverse component for low dips ( $0^\circ < \beta < 40^\circ$ ), but also indicates strike-slip faults with  
435 normal component for larger dips ( $40^\circ < \beta < 90^\circ$ ). However, both results are in  
436 agreement with a strain field defined by the orientation for  $e_y$ ,  $S_{Hmax}$  with  $N150^\circ \pm 18^\circ$   
437 trend. This tectonic field affects Cretaceous carbonates and coincides with the regional  
438 tectonic field proposed by [Herraiz et al. \(2000\)](#), [Tavani et al. \(2011\)](#) and [Alcalde et al.](#)  
439 [\(2014\)](#).

440

#### 441 *4.2 Late Triassic Outcrop Paleostrain*

442 Strain analysis from HTM5 fault set shows  $e_y$  with NW-SE trending and shear regime  
443 with extension defined by strike-slip faults (**Figs. 7a**). This is in agreement with the  
444 uniaxial extension described in [Tavani \(2012\)](#), constraining this regime with  $S_{hmin}$  with  
445 NE-SW trending.

446

#### 447 *4.3 Cretaceous Outcrops Paleostrain*

448 We have divided this result in two groups, (a) outcrops within the 20km circle from  
449 HPP and (b) the outcrop the HTM17 (**Fig. 5**), which is located in the HPP facilities and  
450 described in the next section. HTM14 is the only outcrop from Early Cretaceous age,  
451 showing a compressive tectonic stage with reverse fault solutions, defined by  $e_y$  with  
452 NE-SW trend (**Fig. 7b and 7c**). Taking into account the extensional stage related to the  
453 Main Rifting Stage that took place in Early Cretaceous times (i.e. [Carola, 2004](#); [Tavani,](#)

454 [2012; Tugend et al., 2014](#)), we interpreted these results as a modern strain field,  
455 probably related to the Cenozoic Inversion stage.  
456 Outcrops HTM 2, 3, 8, 17, 19, 20, 21, 22, 23, 25, 26, 29, 31 and 32 are from the upper  
457 Cretaceous carbonates (**Fig. 7**). Results are: (1) a compressive strain stage featured by  $e_y$   
458 with NW-SE trend, similar to the stage described in [Tavani \(2012\)](#), and (2) a normal  
459 strain stage with  $e_y$  striking both E-W and NE-SW (**Fig. 7**, HTM 20, 21, 31 and 32).  
460 Finally, a (3) shear stage (activated strike-slip faults) and (4) a shear with extension  
461 (strike-slip with normal component) were described as well. These two late stages are  
462 featured by  $e_y$  with NE-SW and NW-SE trends. The existence of four different strain  
463 fields is determined by different ages during the Cretaceous and different spatial  
464 locations in relation to the main structures, the Ubierna Fault System, Hontomín Fault,  
465 Cantabrian Thrust, Montorio folded band and the Polientes syncline (**Fig. 1**).

466

#### 467 *4.4 Cretaceous Outcrop HTM17 on the Hontomín Pilot Plant*

468 This outcrop is located on top of the geological reservoir, in a quarry of Upper  
469 Cretaceous limestones. The main advantage of this outcrop is the well-development of  
470 striation and carbonate microfibrils which yields high-quality data. 105 fault-slip data  
471 were measured, with the main orientation striking N75°E; N-50°E; and a conjugate set  
472 with N130°E ( $\pm 10^\circ$ ) trend (**Fig. 8**). The result of the strain inversion technique shows an  
473 extensional field featured by an  $e_y$  trajectory striking N107°E ( $\pm 24^\circ$ ) related to an  
474 extensional strain field (see the K' diagram in **figure 8**). Most of the faults are  
475 extensional faults NE-SW and NW-SE oriented (**Fig. 9**), in agreement with the  
476 extensional RD solution. Reverse faults are oriented NNE-SSW, E-W and WNW-ESE.  
477 The advantage of this outcrop is the geographical and stratigraphic position. It is located  
478 on top of the HPP facilities in younger sediments than the reservoir rocks. Furthermore,

479 given that the Jurassic reservoir rock and the Cretaceous upper unit are both composed  
480 by carbonates, the fault pattern measured here could be a reflex of the fracture network  
481 affecting the Jurassic storage rocks in depth (see **Figs. 2, 9**).

482

#### 483 *4.5 Cenozoic outcrops strain field*

484 The Cenozoic tectonic inversion was widely described in the area by different authors  
485 (e.g. Carola, 2004; Tavani, 2012; Tungend et al., 2014). This tectonic inversion is  
486 related to compressive structures, activating NW-SE and NE-SW thrusts with NW-SE  
487 and NNE-SSW  $e_y$  trends, respectively. The Ubierna Fault has been inverted with a  
488 right-lateral transpressive kinematics during the Cenozoic (Tavani et al., 2011). Early  
489 Oligocene outcrop (HTM13, **Figs. 7c**) shows a local extensional field with  $e_y$  with  
490 NNE-SSW and N150°E trend. During the Lower-Middle Miocene, HTM15 and HTM30  
491 outcrops exhibit the same  $e_y$  trend, but for a compressive tectonic regime (**Figs. 7d**).  
492 HTM1 shows extensional tectonics with  $e_y$  oriented N50°E and N130°E. Summarizing,  
493 the Cenozoic inversion and tectonic compression are detected during the Early to  
494 Middle Miocene and the Oligocene. However, during the middle Miocene only one  
495 extensional stage was interpreted (HTM1, **Fig. 7c**).

496 The outcrops located closer to the HPP (HTM 17, 31, 32, **Figs. 5 and 7**) show E-W  
497 faults. HTM5 is located on the Ubierna Fault, showing a NW-SE trend, whilst HTM3  
498 shows NE-SW strike-slip.

499 Strain analysis suggests that the planes parallel to the  $S_{Hmax}$  orientation (NNW-SSE and  
500 N-S), could induce the leakage into the reservoir (**Fig. 7**). Moreover, N50°E  $S_{Hmax}$   
501 orientation could also affect the reservoir. HPP facilities are close to the Hontomin Fault  
502 (**Fig. 5**), a WNW-ESE oriented fault, although the HTM17 station shows that N-S fault  
503 planes could play an important role for seepage of fluid into the reservoir.

504

## 505 5. DISCUSSION

### 506 5.1 Regional active stress tensor in HTM17 fault pattern

507 The active regional field proposed by [Herraiz et al. \(2000\)](#), [Stich et al. \(2006\)](#), [Tavani et](#)  
508 [al. \(2011\)](#) and [Alcalde et al. \(2014\)](#), shows  $e_y$ ,  $S_{Hmax}$  with almost NNW-SSE and N-S  
509 trends. Namely, the work from Herraiz et al. (2000) calculates three stress tensors  
510 within the 20 km of our study area and a Quaternary stress tensor close to the area (c.a.  
511 40 km southward of Hontomín). The age of the first one is Miocene, and defined by  $\sigma_1$   
512  $87^\circ/331^\circ$ ;  $\sigma_2$   $01^\circ/151^\circ$ ;  $\sigma_3$   $00^\circ/061^\circ$  (dip/dip sense  $0^\circ$ - $360^\circ$ ), with an  $R=0.06$  and  $S_{Hmax}$   
513 trending  $N151^\circ E$ , under an extensional tectonic regime. Two post-Miocene stress  
514 tensors are defined by: (1)  $\sigma_1$   $87^\circ/299^\circ$ ;  $\sigma_2$   $00^\circ/209^\circ$ ;  $\sigma_3$   $01^\circ/119^\circ$  with  $R = 0.13$ ,  $S_{Hmax}$   
515 with  $N29^\circ E$  trend under an extensional tectonic regime and (2)  $\sigma_1$   $00^\circ/061^\circ$ ;  $\sigma_2$   
516  $86^\circ/152^\circ$ ;  $\sigma_3$   $03^\circ/331^\circ$ , with  $R=0.76$ , and  $S_{Hmax}$   $N62^\circ E$  under strike-slip tectonic regime.  
517 Finally, these authors calculated a Quaternary stress tensor defined by:  $\sigma_1$   $85^\circ/183^\circ$ ;  $\sigma_2$   
518  $02^\circ/273^\circ$ ;  $\sigma_3$   $03^\circ/003^\circ$ ;  $R=0.02$  and  $S_{Hmax}$  with  $N101^\circ E$  trend under an extensional  
519 tectonic regime. The regional active stress tensor defined for Pliocene-Quaternary ages  
520 is  $\sigma_1$   $88^\circ/197^\circ$ ;  $\sigma_2$   $01^\circ/355^\circ$ ;  $\sigma_3$   $00^\circ/085^\circ$  for 327 data with  $R = 0.5$  and  $S_{Hmax}$  with N-S  
521 trend under an extensional tectonic regional regime.

522 We have applied the regional active stress tensor ([Herraiz et al., 2000](#)) for studying the  
523 reactivation of previous fault patterns measured in HTM17 (**Figs. 8 and 9**). To carry out  
524 this study, we assume that the fault plane reactivation depends on  $\sigma_1$  and  $\sigma_3$ , and the  
525 shape of the failure envelope. Therefore, we have used the Mohr-Coulomb failure  
526 criteria for preexisting fault planes ([Xu et al., 2010](#); [Labuz and Zang, 2012](#)), by using  
527 the Mohr Plotter v3.0 code ([Allmendinger, 2012](#)). Moreover, to calculate the Mohr-  
528 Coulomb circle, it is necessary to know the cohesion and friction parameters of the

529 reservoir rock. Bearing in mind that the reservoir rocks are Lower-Jurassic carbonates  
530 (dolostone and oolitic limestone, [Alcalde et al., 2013, 2014](#); [Ogaya et al., 2013](#)), we  
531 have assumed the averaged cohesion for carbonates (limestone and dolostone) in  $35^\circ$   
532 and the coefficient of internal friction of 0.7 ([Goodman, 1989](#)). In addition, we have  
533 assumed no cohesion with an angle of static friction of 0.7 for preexisting faults.

534 Figure 10 shows the main results for the Mohr analysis. The reactivated planes under  
535 the active-present stress field are red dots, 52 out of the original 105 fault-slip  
536 measurements at HTM17. Green and orange dots indicate faults with no tectonic  
537 strength accumulation under the present-day stress field. Reactivated fault sets are  
538 oriented between N to  $N60^\circ E$  and  $N115^\circ$  to  $180^\circ E$ , with N-S and NNE-SSW as main  
539 trends (**Fig. 10**, red rose diagram). Under an extensional tectonic field with  $R = 0.5$ , N-S  
540 are normal faults, whereas NNE-SSW and NNW-SSE trends are strike-slips faults with  
541 extensional component. According to the results shown in figure 10, these faults could  
542 be reactivated without a pore pressure increase. The inactive fault orientation is  
543 constrained between  $N60^\circ E$  and  $N115^\circ E$ , mainly WNW-ESE (**Fig. 10**, green rose  
544 diagram). Regarding the uncertainties of these fault orientations, these values can  
545 oscillate  $\pm 5^\circ$ , according to the field error measurement (averaged error for measuring  
546 structures by a compass).

547 Concerning the reliability of the results, some constrains need to be explained. The  
548 Mohr-Coulomb failure criterion is an approximation that assumes that the normal stress  
549 on the fault plane is not tensile. Furthermore, the increasing of pore pressure in the  
550 reservoir rock reduces the normal stress on the plane of failure and the interval of fault  
551 reactivation could be higher. This effect was not considered in the previous analysis  
552 since the calculation of the critical pore pressure is beyond the purpose of this work.



553 Nevertheless, the MohrPlotter software (Allmendinger, 2012), allows estimating the  
554 increase of pore pressure to the critical value under some conditions.

555 Finally, we have applied the Slip Model and Right Dihedral to the reactivated fault-slip  
556 data from HTM17 outcrop (Fig. 11), by including the rake estimated from the active  
557 regional stress tensor determined by Herraiz et al. (2000). At a glance, faults oriented  
558 between N10°E and N10°W act as normal faults (4 out 52, Figs. 11a, c), faults between  
559 N10°E - N50°E, and N10°W – N50°W act as extensional faults with strike-slip  
560 component (31 out 52), and NE-SW and NW-SE vertical faults act as pure strike slips  
561 (8 out 52). The Right Dihedral shows a tectonic regime of strike-slip with extensional  
562 component (see De Vicente et al., 1992), with orthorhombic symmetry and  $S_{Hmax}$   
563 oriented N10°W, which is in agreement with the stress-tensor proposed by Herraiz et al.  
564 (2000) with  $\sigma_2 = 01^\circ/355^\circ$  and  $\sigma_1$  vertical. However, strain analysis in this case shows  
565 a strike-slip extensional tectonic regime, instead of the extensional regime derived from  
566 the stress field. Despite this, both the Mohr-Coulomb analysis and the Paleostain  
567 analysis (SM and RD), suggest N-S normal faulting, NNE-SSW to NE-SW and NNW-  
568 SSE to NW-SE strike-slips as the active fault network affecting the reservoir. De  
569 Vicente et al. (1992) pointed out that the SM analysis is more robust applied in fault-  
570 slip data classified previously by other techniques. Here, we have used the Mohr  
571 Coulomb failure criteria to separate active fault set under the same strain tensor,  
572 yielding robustness to the results from SM and RD analysis.

573 We propose as a complementary and future work, a combined analysis between the fault  
574 population analysis and the slip-tendency analysis (Morris et al. 1996), which could  
575 improve and discriminate those fault sets most likely to be reactivated under an active  
576 stress field. Although both analyses (Fault Population and slip-tendency) are based on

577 | the stress tensor and the orientation of fault traces, the slip-tendency also includes rock  
578 | strength values obtained from the "in situ" tests.

579

## 580 5.2 Active faulting in the surrounding of HPP

581 Quaternary tectonic markers for the UFS are suggested by [Tavani et al. \(2011\)](#).  
582 According to the tectonic behavior of this fault as right-lateral strike-slip, and the fault  
583 segments proposed by [Tavani et al. \(2011\)](#), ranging between 12 and 14 km long, the  
584 question is whether this fault could trigger significant earthquakes and which could be  
585 the maximum associated magnitude. This is a relevant question given that the “natural  
586 seismicity” in the vicinity could affect the integrity of the caprock. Bearing in mind the  
587 expectable long-life for the reservoir, estimated in thousands of years, the potential  
588 natural earthquake that this master fault could trigger has to be estimated. In this sense,  
589 it is necessary to depict seismic scenarios related to large earthquake triggering;  
590 however, this type of analysis is beyond the focus of this work.

591 The income information that we have to manage in the area of influence (20 km) is: (a)  
592 the instrumental seismicity, (b) the geometry of the fault, (c) the total surface rupture,  
593 (d) the upper crust thickness and (e) the heat flow across the lithosphere. Starting for the  
594 heat flow value, the Hontomín wells show a value that lies between 62 and 78 mW/m<sup>2</sup>  
595 at a 1,500 m depth approximately ([Fernández et al., 1998](#)). Regarding the Moho depth  
596 in the area, these aforementioned authors obtained a value ranging between 36 and 40  
597 km depth, while the lithosphere base ranges between 120 and 130 km depth ([Torre et](#)  
598 [al., 2015](#)). The relevance of this value is the study of the thermal weakness into the  
599 lithosphere that could nucleate earthquakes in intraplate areas ([Holford et al., 2011](#)). For  
600 these authors, the comparison between the crustal heat-flow in particular zones, in  
601 contrast with the background regional value, could explain large seismicity and high

602 rates of small earthquakes occurrence, as the case of the New Madrid seismic zone  
603 ([Landgraf et al., 2018](#)). For example, in Australia heat-flow values as much as 90  
604  $\text{mW/m}^2$  are related with earthquakes sized  $M > 5$  ([Holford et al., 2011](#)).

605 Regarding the maximum expected earthquake into the zone, we have applied the  
606 empirical relationships obtained by [Wells and Coppersmith \(1994\)](#). We have used the  
607 equations for strike-slip earthquakes according to the strain field obtained in the area  
608 (pure shear), and the surface rupture segment for the Ubierna Fault System, assuming a  
609 surface rupture segments between 12 and 14 km ([Tavani et al., 2011](#)). The obtained  
610 results show that the maximum expected earthquake ranges between  $M 6.0$  and  $M 6.1$ .  
611 [Wells and Coppersmith \(1994\)](#) indicate for these fault parameters a total area rupture  
612 ranging between 140 and  $150 \text{ km}^2$ . Surface fault traces rupture as lower as 7 km needs  
613 at least 20 km of depth in order to reach a value of the fault-area rupturing greater than  
614  $100 \text{ km}^2$ , in line with a Moho between 36 and 40 in depth.

615 Regarding the instrumental earthquakes recorded into the area, the two largest  
616 earthquakes recorded correspond to magnitude  $M 3.4$  and  $M 3.3$ , with a depth ranging  
617 between 8 and 11 km, respectively, and a felt macroseismic intensity of III (EMS98,  
618 [www.ign.es](http://www.ign.es), last access on May, 2019). Both earthquakes occurred between 50 and 60  
619 km of distance from the Hontomín Pilot Plant. Only five earthquakes have been  
620 recorded within the 20-km radius area of influence and with small magnitudes ranging  
621 between  $M 1.5$  and  $M 2.3$ . The interesting data is the depth of these earthquakes,  
622 ranging between 10 and 20 km, which suggest that the seismogenic crust could reach 20  
623 km of depth.

624

625 *5.3 Local tectonic field and induced seismicity*

626 The fluid injection into a deep saline aquifer, which is used as GSC, generally increases  
627 the pore pressure. The increasing of the pore pressure migrates from the point of  
628 injection to the whole reservoir. Moreover, changes into the stress field for faults that  
629 are located below the reservoir, could also trigger induced earthquakes (Verdon et al.,  
630 2014). Nevertheless, to understand this possibility and the study the volumetric strain  
631 field spatial distribution is required (Lisle et al., 2009).

632 We have applied a physic model to estimate the total volume injected (room conditions)  
633 ~~and in reservoir conditions, and~~ Then we have applied the McGarr's (2014)  
634 approximation ~~of the maximum expected seismic moment for induced earthquakes~~. The  
635 injection of 10 k-tons of CO<sub>2</sub> in Hontomín (Gastine et al., 2017), represents an  
636 approximated injected volume of CO<sub>2</sub> of 5.56 x10<sup>6</sup> m<sup>3</sup> (room conditions, ~~pressure of 1~~  
637 ~~bar and temperature of 20 °C).~~ The P/T conditions at the bottom of the wells have a  
638 ~~maximum value close to 190 bar (Ortiz et al., 2015; Kovacs et al., 2015), although~~  
639 ~~oscillating between 125 and 170 bar and with a maximum temperature close to 58 °C.~~  
640 ~~Kovacs et al. (2015) pointed out a pressure gradient 0.023 MPa/m and a thermal vertical~~  
641 ~~gradient of 0.033 °C/m, which would correspond to a pressure of 357 bar and 51 °C at~~  
642 ~~1,550 m depth. P/T bottom values obtained from the observational wells (HA and HI)~~  
643 ~~by Ortiz et al. (2015) and Kovacs et al. (2015), were 170 bar and a temperature of 42 °C~~  
644 ~~respectively.~~

645 ~~We have used the general law for gases  $P_1 \cdot V_1 / T_1 = P_2 \cdot V_2 / T_2$ . Therefore, the total~~  
646 ~~injected volume in reservoir conditions according to the parameters observed at the~~  
647 ~~bottom of the wells are,  $P_1 = 1.01$  bar,  $T_1 = 20$  °C,  $V_1 = 5.56 \times 10^6$  m<sup>3</sup>,  $P_2 = 170$  bar and~~  
648  ~~$T_2 = 42$  °C. Hence, the total volume of injected CO<sub>2</sub> plus brine is  $6.94 \times 10^4$  m<sup>3</sup>.~~

649 ~~McGarr (2014) empirically determined the maximum seismic moment related to a~~  
650 ~~volume increasing by underground injection. The expression is  $M_o(\max) (\text{Nm}) = G \cdot \Delta V$~~

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Con formato: Interlineado: Doble

651 (McGarr 2014, eq. 13), where G is the modulus of rigidity and for the upper limit is 3 x  
652 10<sup>10</sup> Pa, and ΔV is the total injected volume (we have used the total injected volume in  
653 reservoir conditions). The result is Mo(max) equal to 2.1 x 10<sup>15</sup> Nm, which corresponds  
654 to a maximum seismic moment magnitude Mw (max) = 4.2, by applying the equation  
655 Mw = (Log Mo(max) – 9.05)/1.5 from Hanks and Kanamori (1979); where Log is the  
656 logarithm to the base 10.

657 ~~We have used the expression Mo(max) (Nm) = G ΔV (McGarr 2014, eq. 13), where G~~  
658 ~~is the modulus of rigidity and for the upper limit is 3 x 10<sup>10</sup> Pa, and ΔV is the total~~  
659 ~~injected volume (in room conditions). The result is Mo(max) equal to 1.67 x 10<sup>17</sup> Nm~~  
660 ~~(Joules), which corresponds to a maximum seismic moment magnitude Mw (max) =~~  
661 ~~5.45, by applying the equation Mw = (Log Mo(max) – 9.05)/1.5 from Hanks and~~  
662 ~~Kanamori (1979); where Log is the logarithm to the base 10.~~

Con formato: Resaltar

663 McGarr (2014) applied this approach for three cases: (1) wastewater injection, (2)  
664 hydraulic fracturing, and (3) geothermal injection. We propose to include this approach  
665 for fluid injection related to geological storage of CO<sub>2</sub>. We assume that the pore  
666 pressure increases from CO<sub>2</sub> injection in a similar way that wastewater does (originally  
667 defined by Frohlich, 2012). According to McGarr (2014), the utility of the analysis we  
668 have performed is “to predict in advance of a planned injection whether there will be  
669 induced seismicity”, and in the case of the HPP, to estimate of the “total injected  
670 volume” in a small-scale injection plant.

671 Therefore, the earthquake magnitude to this fluid-injected volume according to the  
672 McGarr (2014) and Verdon et al. (2014) could be M > 5-4 if there are faults with a  
673 minimum size of 4 km and oriented according to the present-day stress field (N-S  
674 extensional faults and NNE-SSW/NNW-SSE strike slip faults; **Fig. 10**). In the case of  
675 HPP, there are faults below the reservoir with this potential earthquake triggering

Con formato: Resaltar

676 (Alcalde et al., 2014). Also according to McGarr (2014), this value has not to be  
677 considered as an absolute physic limit but as a qualitative approximation. Alternatively,  
678 increasing by overpressure of the carbonate reservoir along with the pore pressure  
679 variations of about 0.5 MPa could trigger earthquakes, as well. Stress-drop related to  
680 fluid injections are also reported (Huang et al., 2016).

681 Le Gallo and de Dios (2018) described two main fault sets affecting the reservoir with  
682 N-S and E-W trend, respectively. According to the present-day stress tensor described  
683 by Herraiz et al. (2000) and Tavani et al. (2011), E-W fault-sets are accommodating  
684 horizontal shortening, which means that the permeability could be low. Besides, these  
685 faults are decoupled from the present-day stress tensor. However, N-S faults could act  
686 as normal faults and, hence, with higher permeability. In this sense, the study of focal  
687 mechanisms solutions could improve the safety management, even for  
688 microearthquakes of magnitude lesser than M 3.

689 Moreover, the CO<sub>2</sub> lateral diffusion and pressure variation change during the fluid  
690 injection phase, and then the system would relax before to be increased during the next  
691 injection phase. In this context, the intermittent and episodic injection of CO<sub>2</sub> could also  
692 trigger earthquakes by the stress-field and fluid pressure variations in short time periods.

693

## 694 6. CONCLUSIONS

695 | The application of the analysis for brittle deformation determines the active tectonic  
696 | ~~evolution of the~~ strain field, applied in the ongoing seismic monitoring for Geological  
697 | Carbon Storage (GSC). The possibility that pore pressure variations due to fluid  
698 | injection could change the stress/strain conditions in the reservoir's caprock, makes the  
699 | study of the present-day tectonic field as mandatory for the storage safety operations. In  
700 | this sense, we have to bear in mind that this kind of subsurface storage is designed for

701 long-life expectancy, about thousands of years, and therefore, relevant earthquakes  
702 could occur affecting the sealing and the seepage of CO<sub>2</sub>, compromising the integrity of  
703 the reservoir. Hence, we can conclude from our analysis the following items:

704 (1) The study of ~~this-the~~ tectonic field allows classifying the geometry of the faults to  
705 prevent prone earthquake-related structures and design monitoring seismic network.

706 (2) The influence area around the facilities of the GSC for studying the active  
707 stress/strain field could reach 20 km from the facility ~~and the tectonic evolution of the~~  
708 ~~geological history of the reservoir have to be established~~, adding missing information  
709 from map scale and boreholes. This information could be used from the 3D local  
710 fracture pattern estimation to avoid the pore overpressure. Analysis of the stress-drop  
711 due to the fluid injection could be combined with this information to understand  
712 potential microseismicity associated with the injection operations.

713 (3) In the case of Hontomín Pilot-Plant, we have obtained two strain active tectonic  
714 fields featured as shear deformation. These fields are defined by (a) a local tectonic  
715 strain field with  $e_y$ ,  $S_{Hmax}$  striking N50°E and (b) the regional one defined by  $e_y$ ,  $S_{Hmax}$   
716 with N150°E trend. In this context, strike-slip faults with N-S, NNE-SSW and NNW-  
717 SSE trends, are accumulating present-day tectonic deformation. Analysis of Mohr-  
718 Coulomb failure criterion shows a potential reactivation of these fault sets.

719 (4) N-S faults are accumulating tectonic deformation and they could act as normal  
720 faults. This means that this fault set is the preferential direction for potential fluid  
721 leakage. In addition, intersection with NNE-SSW and NNW-SSE could arrange 3D  
722 networks for fluid mobilization and leakage.

723 (5) The Ubierna Fault System represents a tectonically active fault array that could  
724 trigger natural earthquakes as large as M 6 ( $\pm 0.1$ ), from the empirical relationship of the  
725 total rupture segment (ranging between 12 and 14 km, and the total fault-area rupture,

726 oscillating between 100 and 150 km<sup>2</sup>). Despite the lack of instrumental seismicity into  
727 the influence area, we cannot obviate the potential earthquake occurrence within  
728 intraplate areas due to the long- timescale expected-life of the GSC. The heat-flow  
729 values and thermal crust conditions could determine the presence of intraplate  
730 earthquakes with magnitude  $M > 5$ , for a long timescale (thousands of years), and the  
731 total injected fluid could trigger induced earthquakes greater than M 4.  
732 ~~The tectonic evolution and kinematics of the west part of the Burgalesa Platform~~  
733 ~~domain from upper Triassic to present day show a Cretaceous tectonic inversion, local~~  
734 ~~reverse strain field during the early Oligocene and early Miocene, with a Normal strain~~  
735 ~~field during the middle Miocene.~~ The active strain field is now defined by an  
736 extensional tectonic defined by  $e_y$  with N-S trend, activating N-S normal faults and  
737 right-lateral faults with NNW- and NNE- trends.  
738 Finally, we state that the determination of the active tectonic strain field, the application  
739 of the slip-tendency analysis, the recognition and study of active faults within the area  
740 of influence (20 km), the estimation of the maximum potential triggered natural  
741 earthquake, the modeling of the stress-change during the fluid injection and stress-drop,  
742 probably improve the operations for a secure storage. In a short future, earthquake  
743 scenarios will be the next step: modeling the Coulomb static stress-changes due to fluid  
744 injection and the modeling of intensity maps of horizontal seismic acceleration.

745



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1018  
1019 **FIGURE CAPTIONS**

1020

1021 **Figure 1.** a) Location map of the study area in the Iberian Peninsula, along with the  
1022 geological map of the Asturian and Basque-Cantabrian areas, labelling major units and

1023 faults (modified after Quintà and Tavani 2012); b) Geographical location of Hontomín  
1024 pilot-plant (red dot) within the Basque-Cantabrian Basin. This basin is tectonically  
1025 controlled by the Ubierna Fault System (UFS; NW-SE oriented) and the parallel  
1026 Polientes syncline, the Duero and Ebro Tertiary basins and Poza de la Sal evaporitic  
1027 diapir. Cret: Cretaceous; F: Facies.

1028

1029 **Figure 2.** Interpretation of a 2D seismic reflection profile crossing the oil exploration  
1030 wells (H1, H2 and H4), along with the monitoring well (Ha) and injection well (Hi)  
1031 through Hontomin Pilot Plant (HPP). Modified from Alcalde et al. (2014). See Figure 1  
1032 for location, black line at the red circle.

1033

1034 **Figure 3.** a) Kaverina original diagram to represent the tectonic regime from an  
1035 earthquake focal mechanism population (see Kaverina et al., 1996 and Álvarez-Gómez,  
1036 2014). b)  $K'$ -strain diagram used in this work. Dotted lines represent the original  
1037 Kaverina limits. Colored zones represent the type of fault. The tectonic regime is also  
1038 indicated by the relationship between the strain axes and the colored legend. SS Strike  
1039 slip. The B axis is the orthogonal to the P and T axes.

1040

1041 **Figure 4.** Methodology proposed to obtain the strain field affecting the GSC reservoir.  
1042 The distances for outcrops and quadrants proposed is 20 km. The technique of Right  
1043 Dihedral and the  $K'$  strain diagram is described in the main text. The ey and ex  
1044 represented are a model for explaining the methodology. Dey and Dex are the direction  
1045 of the maximum and minimum strain, respectively. Blue box at the center is the CO<sub>2</sub>  
1046 storage geological underground formation.

1047

1048 **Figure 5.** Geographical location of field outcrops in the eastern part of the Burgalesa  
1049 Platform domain. Black lines: observed faults; red circle: 20km radius study zone. Rosd  
1050 diagram are the fault orientations from the map. A total of 447 fault data were collected  
1051 in 32 outcrops. Data were measured by a tectonic compass on fault planes at outcrops.  
1052 The spatial distribution of the field stations is constrained by the lithology. Coordinates  
1053 are in meters, UTM H30.

1054

1055 **Figure 6.** Stereographic representation (cyclographic plot in Schmidt net, lower  
1056 hemisphere) of the fault planes measured in the field stations. “n” is the number of

1057 available data for each geostructural station. HTM24, 27, 28 are not included due to  
1058 lack of data, and HTM17 due to the high number of faults.

1059

1060 **Figure 7.** Results of the paleostrain analysis obtained and classified by age. Deym:  
1061 striking of the averaged of the Dey value; F: fault stereographic representation; K':  
1062 diagram with dots for each fault slip solution; RD: Right Dihedral method; SM: Slip  
1063 Method, K'. See Methods for further explanation.

1064

1065 **Figure 8.** Fault data from the outcrop HTM17 located on top of the HPP. See figure 5  
1066 for the geographical location. Stereogram plot is lower hemisphere and Schmidt net.

1067

1068 **Figure 9.** Normal and reverse faults stereograms (lower hemisphere and Schmidt net),  
1069 and rose diagrams measured in HTM17. Green arrows indicate the orientation of the  
1070 local paleostrain field. Grey arrows indicate the orientation of the present-day regional  
1071 stress field (Herraiz et al., 2000).

1072

1073 **Figure 10.** Mohr-Coulomb failure analysis for the fault-slip data measured in HTM17  
1074 under the present-day stress tensor determined by Herraiz et al. (2000). Red dots are  
1075 faults reactivated, and green and orange dots are located within the stable zone. Red  
1076 rose diagram shows the orientation of reactivated faults, between N-S to N60°E and  
1077 from N115°E to N180°E. Green rose diagram shows the fault orientation for faults non-  
1078 reactivated under the active tress field within the area. See text for further details. The  
1079 yellow data in the M-C diagrams are referred to those planes close to be reactivated, and  
1080 potentially reactivated by increasing the pore pressure.

1081

1082 **Figure 11.** a) Stereogram and poles of fault sets (HTM17) reactivated under the present-  
1083 day stress field suggested by Herraiz et al. (2000). b) Right-Dihedral of the reactivated  
1084 fault sets. c) K'-strain diagram showing the type of fault for each fault-set.

1085

1086 **TABLE CAPTIONS**

1087

1088 **Table 1.** Different tectonic regimes,  $K'$  values, dip values and fault type for the  
1089 Kaverina modified diagram used in this work. According to the strain axes relationship,  
1090 faults can be classified and the tectonic regime can be established.

1091

1092 **Table 2.** Summary of the outcrops showing the number of faults, the type of the strain  
1093 tensor obtained, the Dey,  $S_{Hmax}$  striking and the age of the affected geological materials.  
1094 N-C is normal component for strike-slip movement.

1095