



1 Active tectonic field for CO₂ Storage management: Hontomín onshore
2 study-case (SPAIN)

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4 Raúl Pérez-López¹, José F. Mediato¹, Miguel A. Rodríguez-Pascua¹, Jorge L. Giner-Robles²,
5 Adrià Ramos¹, Silvia Martín-Velázquez³, Roberto Martínez-Orío¹, Paula Fernández-Canteli¹

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7 1. IGME – Instituto Geológico y Minero de España – Geological Survey of Spain. C/Ríos Rosas 23,
8 Madrid 28003 – SPAIN. Email: r.perez@igme.es, jf.mediato@igme.es, ma.rodriguez@igme.es,
9 ro.martinez@igme.es, a.ramos@igme.es; paula.canteli@igme.es
10 2. Departamento de Geología y Geoquímica. Facultad de Ciencias. Universidad Autónoma de Madrid.
11 Campus Cantoblanco, Madrid. SPAIN. Email: jorge.giner@uam.es
12 3. Universidad Rey Juan Carlos. Email: silvia.martin@urjc.es

13
14 *Abstract*

15 *One of the concerns of underground CO₂ onshore storage is the triggering of Induced*
16 *Seismicity and fault reactivation. Hence, a comprehensive analysis of the tectonic*
17 *parameters involved in the storage rock formation is mandatory for safety management*
18 *operations. Unquestionably, active faults and seal faults depicting the storage bulk are*
19 *relevant parameters to be considered. However, there is a lack of analysis of the active*
20 *tectonic strain field affecting these faults during the CO₂ storage monitoring. The*
21 *advantage of reconstructing the tectonic field is the possibility to determine the strain*
22 *trajectories and describing the fault patterns affecting the reservoir rock. In this work,*
23 *we adapt a methodology of systematic geostructural analysis to the underground CO₂*
24 *storage, based on the calculation of the strain field and defined by the strain field from*
25 *kinematics indicators on the fault planes (e_y and e_x for the maximum and minimum*
26 *horizontal shortening respectively). This methodology is based on a statistical analysis*
27 *of individual strain tensor solutions obtained from fresh outcrops. Consequently, we*
28 *have collected 447 fault data in 32 field stations located within a 20 km radius. The*
29 *understanding of the fault sets role for underground fluid circulation can also be*
30 *established, helping for further analysis of CO₂ leakage and seepage. We have applied*
31 *this methodology to Hontomín onshore CO₂ storage facilities (Central Spain). The*



32 *geology of the area and the number of high-quality outcrops made this site ~~as~~ a good*
33 *candidate for studying the strain field from kinematics ~~fault~~ analysis. The results*
34 *indicate a strike-slip tectonic regime with the maximum horizontal shortening with*
35 *N160°E and N50°E trend for the local regime, which activates NE-SW strike-slip faults*
36 *and NE-SW compressional faults. A regional tectonic field was also recognized with a*
37 *N-S trend, which activates E-W compressional faults. Monitoring of E-W faults within*
38 *the reservoir is suggested in addition to the possibility of obtaining focal mechanism*
39 *solutions for microearthquakes ($M < 3$).*

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41 Keywords: onshore CO₂ storage, tectonic field, paleostrain analysis, active fault,
42 Hontomín onshore pilot-plant.

43

44 1. INTRODUCTION

45 Industrial made-man activities generate CO₂ that could change the chemical balance of
46 the atmosphere and their relationship with the geosphere. Carbon capture and
47 sequestration (CCS) appears as a good choice to reduce the CO₂ gas emission to the
48 atmosphere (Christensen, 2004), allowing the industry increasing activity with a low
49 pollution impact. There is a lot of literature about what must have a site to be a potential
50 underground storage suitable to CCS (e.g. Chu, 2009; Orr, 2009; Goldberg et al., 2010
51 among others). The reservoir sealing, the caprock, permeability and porosity, plus
52 injection pressure and volume injected, are the main considerations to choose one
53 geological subsurface formation as the CO₂ host-rock. In this frame, the tectonic active
54 field is considered in two principal ways: (1) to prevent the fault activation and
55 earthquakes ~~triggering~~, with the consequence of leakage and seepage, and (2) the long-
56 term reservoir behavior, understanding as long-term from centennial to millennial time-



57 span. Therefore, what is the long-term behavior of CCS? What do we need to monitor
58 for a safe CCS management? Winthaege et al. (2005) suggest three subjects for
59 monitoring: (a) the atmosphere air quality near the injection facilities, due to the CO₂
60 toxicity (values greater than 4%, see Rice, 2003 and Permentier et al., 2017), (b) the
61 overburden monitoring faults and wells and (c) the sealing of the reservoir. The study of
62 natural analogues for CCS is a good strategy to estimate the long-term behavior of the
63 reservoir, considering parameters as the injected CO₂ pressure and volume, plus the
64 brine mixing with CO₂ (Pearce, 2006). Hence, the prediction of site performance over
65 long timescales also requires an understanding of CO₂ behavior within the reservoir, the
66 mechanisms of migration out of the reservoir, and the potential impacts of a leak on the
67 near surface environment. The assessments of such risks will rely on a combination of
68 predictive models of CO₂ behavior, including the fluid migration and the long-term
69 CO₂-porewater-mineralogical interactions (Pearce, 2006). Once again, the tectonic
70 active field interacts directly on this assessment. Moreover, the fault reactivation due to
71 the pore pressure increasing during the injection and storage has also to be considered
72 (Röhm et al., 2013). Despite the uplift measure by Röhm et al. (2013) are
73 submillimeter (c.a. 0.021 mm) at the end of the injection processes, given the ongoing
74 occurrence of microearthquakes, long-term monitoring is required. The geomechanical
75 and geological models predict the reservoir behavior and the caprock sealing properties.
76 The role of the faults inside these models is crucial for the tectonic long-term behavior
77 and the reactivation of faults that could trigger earthquakes.

78 Concerning the Induced Seismicity, Wilson et al. (2017) published the Hi-Quake
79 database, with a classification of all man-made earthquakes according to the literature,
80 in an online repository (<https://inducedearthquakes.org/>, last access on May, 2019). This
81 database includes 834 projects with proved Induced Seismicity, where two different



82 cases with earthquakes as large as $M 1.7$, detected in swarms about 9,500
83 microearthquakes, are related to CCS operations. Additionally, Foulger et al. (2018)
84 pointed out that CCS can trigger earthquakes with magnitudes lesser than $M 2$, namely
85 the cases described in their work are as large as $M 1.8$, with the epicenter location 2
86 km around the facilities. McNamara (2016) described a comprehensive method and
87 protocol for monitoring CCS reservoir for the assessment and management of Induced
88 Seismicity. The knowledge of active fault patterns and the stress/strain field could help
89 on designing a monitoring network and identifying those faults capable for triggering
90 micro-earthquakes ($M < 2$) and/or breaking the sealing for leakage (patterns of open
91 faults for low-permeability CO_2 migration).

92 In this work, we propose that the description, the analysis and establishment of the
93 tectonic strain field have to be mandatory for long-term CCS monitoring and
94 management, implementing the fault behavior in the geomechanical models. This
95 analysis does not increase the cost for long-term monitoring, given that they are low-
96 cost and the results are acquired in a few months. Therefore, we propose a methodology
97 based on the reconstruction of the strain field from the classical studies in geodynamics
98 (Angelier, 1979 and 1984; Reches, 1983; Reches, 1987). As a novelty, we introduce the
99 strain fields (SF) between 20 away from the subsurface reservoir deep geometry. The
100 knowledge of the strain field at local scale allows to classify the type of faulting and
101 their role for leakage processes, whilst the regional scale explores the tectonic active
102 faults which could affect the reservoir. The methodology is rather simple, taking
103 measures of slickensides and striations on fault planes to establish the orientation of the
104 maximum horizontal shortening (e_y), and the minimum horizontal shortening (e_x) for
105 the strain tensor. The principal advantage of the SF analysis is the direct classification



106 of all the faults involved into the geomechanical model and the prediction of the failure
107 parameters.

108 The tectonic characterization of the CCS of Hontomín was implemented in the
109 geological model described by Le Gallo and de Dios (2018). Beyond the use of Induced
110 Seismicity and potentially active faults, the scope of this method is to propose an initial
111 protocol to manage underground storage operations.

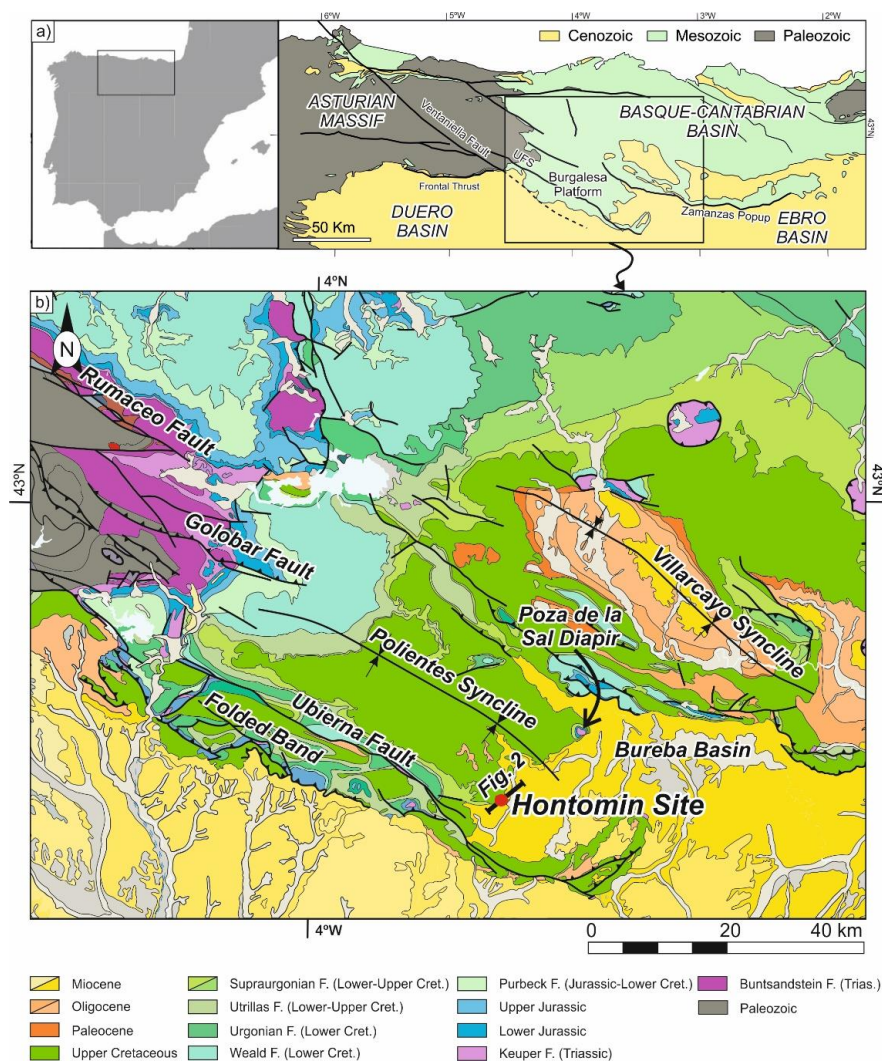
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113 2. HONTOMÍN ONSHORE STUDY CASE

114 2.1 Geological description of the reservoir

115 The CO₂ storage site of Hontomín is enclosed in the southern section of the Mesozoic
116 Basque–Cantabrian Basin, known as Burgalesa Platform (Serrano and Martínez del
117 Olmo, 1990, Tavani, 2012), within the sedimentary Bureba Basin (**Fig. 1**). This
118 geological domain is located in the northern junction of the Cenozoic Duero and Ebro
119 basins, forming an ESE-dipping monocline bounded by the Cantabrian Mountains
120 Thrust to the north, the Ubierna Fault System (UFS) to the south and the Asturian
121 Massif to the west (**Fig. 1**).

122 The Meso-Cenozoic tectonic evolution of the Burgalesa Platform starts with a first rift
123 period during Permian and Triassic times (Calvet et al., 2004; Dallmeyer and Martínez-
124 García, 1990), followed by a relative tectonic quiescence during Early and Middle
125 Jurassic times (e.g. Aurell et al., 2002). The main rifting phase took place during the
126 Late Jurassic and Early Cretaceous times, due to the opening of the North Atlantic and
127 the Bay of Biscay-Pyrenean rift system (García-Mondéjar et al., 1986; García-
128 Mondéjar et al., 1996; Le Pichon and Sibuet, 1971; Lepvrier and Martínez-García,
129 1990; Roca et al., 2011; Tugend et al., 2014). The convergence between Iberia and
130 Eurasia from Late Cretaceous to Miocene times triggered the inversion of previous



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132

133 *Figure 1. a) Location map of the study area in the Iberian Peninsula, along with the geological map of*

134 *the Asturian and Basque-Cantabrian areas, labelling major units and faults (modified after Quintà and*

135 *Tavani 2012); b) Geographical location of Hontomín pilot-plant (red dot) within the Basque-Cantabrian*

136 *Basin. This basin is tectonically controlled by the Ubierna Fault System (UFS; NW-SE oriented) and the*

137 *parallel Polientes syncline, the Duero and Ebro Tertiary basins and Poza de la Sal evaporitic diapir.*

138 *Cret: Cretaceous; F: Facies.*

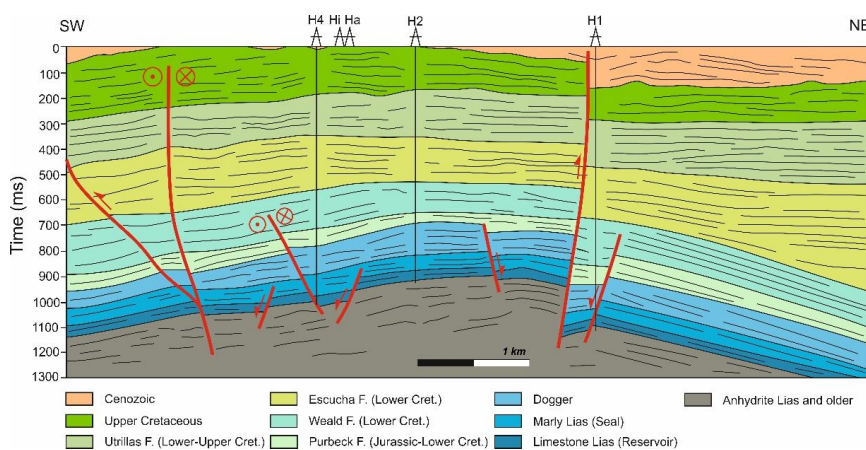
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141 Mesozoic extensional faults and the development of a E-W orogenic belt (Cantabrian
142 domain to the west and Pyrenean domain to the east) formed along the northern Iberian
143 plate margin (Gómez et al., 2002; Muñoz, 1992; Vergés et al., 2002).

144 The facilities are located within the Basque-Cantabrian Basin (**Fig. 1b**). This reservoir
145 is a deep saline aquifer developed in fractured Jurassic carbonates, with a low porous
146 permeability matrix, located at almost 1,500 m depth (Alcalde et al., 2013). The
147 Hontomín geological structure was described by Alcalde et al. (2013) and Le Gallo and
148 de Dios (2018), and defined as a fold-related dome (Tavani et al., 2013). The reservoir
149 structure is associated to the Cenozoic extensional tectonic stages, according to these
150 authors. The present-day geological structure is related with the reactivation by a
151 tectonic compressional phase during the Cenozoic Pyrenees compression (Alcalde et al.,
152 2013).



153

154

155 *Figure 2: Interpretation of a 2D seismic reflection profile crossing the oil exploration wells (H1, H2 and*
156 *H4), along with the monitoring well (Ha) and injection well (Hi) through Hontomin Pilot Plant (HPP).*
157 *Modified from Alcalde et al. (2014). See Figure 1 for location, black line at the red circle.*

158



159 The Hontomín structure is bordered by the UFS to the south and west, by the Poza de la
160 Sal diapir and the Zamanzas Popup structure (Carola, 2014) to the north and by the
161 Ebro Basin to the east (**Fig. 1**). The structure has been classified as forced fold related
162 dome structure (Tavani et al., 2013; **Fig. 2**), which was formed by an extensional fault
163 system with migration of evaporites towards the hanging wall during the Mesozoic
164 (Soto et al., 2011). During the tectonic compressional phase, associated with Cenozoic
165 tectonics affecting the Pyrenees, the right-lateral transpressive inversion of the basement
166 faults was activated, plus the reactivation of transverse extensional faults (**Fig. 2**; Tavani
167 et al., 2013; Alcalde et al., 2014).

168

169 At the HPP, the target reservoir and seal formations consist of Lower Jurassic marine
170 carbonates, arranged in an asymmetric dome-like structure (**Fig. 2**) with an overall
171 extent of 15 km² and located at 1,485 m of depth (Alcalde et al., 2013, 2014; Ogaya et
172 al., 2013). The target CO₂ injection point is a saline aquifer formed by a dolostone unit,
173 known as “Carniolas”, and an oolitic limestone of the Sopeña Formation, both
174 corresponding to Lias in time (Early Jurassic). The estimated porosity of the Carniolas
175 reaches over 12% (Ogaya et al., 2013; Le Gallo and de Dios, 2018) and it is slightly
176 lower at the Carbonate Lias level (8.5% in average). The reservoir levels contain saline
177 water with more than 20 g/l of NaCl and very low oil content. The high porosity of the
178 lower part of the reservoir (i.e., the Carniolas level) is the result of secondary
179 dolomitization and different fracturing events (Alcalde et al., 2014). The minimum
180 thickness of the reservoir units is 100 m. The potential upper seal unit comprises Lias
181 marlstones and black shales from a hemipelagic ramp (**Fig. 2**); Pliensbachian and
182 Toarcian) of the “Puerto del Pozazal” and Sopeña Formations.

183



184 *2.2 Regional tectonic field*

185 The tectonic context of HPP has been described from two different approaches: (1) the
186 tectonic style of the fractures bordering the Hontomín reservoir (De Vicente et al., 2011;
187 Tavani et al., 2011) and (2) the tectonic regional field described from earthquakes with
188 mechanism solutions and GPS data (Herraiz et al., 2000; Stich et al., 2006; De Vicente
189 et al., 2008).

190 (1) The tectonic style of the Bureba Basin was described by De Vicente et al. (2011),
191 which classified the Basque-Cantabrian Cenozoic Basin (**Fig. 1a**) as transpressional
192 with contractional horsetail splay basin. The NW-SE oriented Ventaniella fault (**Fig.**
193 **1a**), includes the UFS in the southeastward area, being active between the Permian and
194 Triassic period, and strike-slip during the Cenozoic contraction. In this tectonic
195 configuration, the Ubierna Fault is a right-lateral strike-slip fault. These authors pointed
196 out the sharp contacts between the thrusts and the strike-slip faults in this basin.
197 Furthermore, Tavani et al. (2011) also described complex Cenozoic tectonic context
198 where right-lateral tectonic style reactivated WNW-ESE trending faults. Both the
199 Ventaniella and the Ubierna faults acted as transpressive structures forming 120 km
200 long and 15 km wide of the UFS, and featured by 0.44 mm/yr of averaged tectonic
201 strike-slip deformation between the Oligocene and the present day. The aforementioned
202 authors described different surface segments of the UFS of right-lateral strike-slip
203 ranging between 12 and 14 km length. The structural data collected by Tavani et al.
204 (2011) pointed out the 60% of data correspond to right lateral strike-slip with WNW-
205 ESE trend, together with conjugate reverse faulting with NE-SW, NW-SE and E-W
206 trend, and left-lateral strike-slip faults N-S oriented. They concluded that this scheme
207 could be related to a transpressional right-lateral tectonic system with a maximum
208 horizontal compression, S_{Hmax} , striking N120°E. Concerning the geological evidence of



209 recent sediments affected by tectonic movements of the UFS, Tavani et al. (2011)
210 suggest Middle Miocene in time for this tectonic activity. However, geomorphic
211 markets (river and valley geomorphology) could indicate activity at present-times. All
212 of these data correspond to regional or small-scale data collected to explain the Basque-
213 Cantabrian Cenozoic transpressive basin. The advantage of the methodology proposed
214 here to establish the tectonic local regime affecting the reservoir, is the searching for
215 local-scale tectonics (20 km sized), and the estimation of the depth for the non-
216 deformation surface for strata folding in transpressional tectonics (Lisle et al., 2009).

217 (2) Regarding the stress field from earthquake focal mechanism solutions, Herraiz et al.
218 (2000) pointed out the regional trajectories of S_{Hmax} with NNE-SSW trend, and with a
219 NE-SW S_{Hmax} trend from slip-fault inversion data. Stich et al. (2006) obtained the stress
220 field from seismic moment tensor inversion and GPS data. These authors pointed out a
221 NW-SE Africa-Eurasia tectonic convergence at tectonic rate of 5 mm/yr approximately.
222 However, no focal mechanism solutions are found within the Hontomín area (20 km)
223 and only long-range spatial correlation could be made with high uncertainty (in time,
224 space and magnitude). The same lack of information appears in the work of De Vicente
225 et al. (2008), with no focal mechanism solutions in the 50 km surrounding the HPP. In
226 this work, these authors classified the study area as uniaxial extension to strike-slip with
227 NW-SE S_{Hmax} trend.

228 Regional data about the tectonic field within the HPP (Bureba basin), inferred from
229 different works (Herraiz et al., 2000; Stich et al., 2006; De Vicente et al., 2008, 2011;
230 Tavani et al., 2011; Tavani, 2012), show differences for the S_{Hmax} trend. These works
231 explain the tectonic framework for regional scale, nevertheless local tectonics could
232 determine the low permeability and the potential Induced Seismicity within the
233 Hontomín reservoir. In the next section, we have applied the methodology described at



234 the section 3 of this manuscript, in order to compare the regional results from these
235 works and to establish the tectonic evolution of the Burgalesa Platform.

236

237 2.3 Strategy of the ENOS European Project

238 Hontomín pilot-plant (HPP) for CO₂ onshore storage is the only one in Europe
239 recognized as a key-test-facility, and it is managed ~~and conducted~~ by CIUDEN
240 (*Fundación Ciudad de la Energía*). This HPP is located within the province of Burgos
241 (**Fig. 1b**), in the northern central part of Spain.

242 The methodology proposed in this work and its application for long-term onshore CCS
243 managing in the frame of geological risk, is based on the strain tensor calculation, as
244 part of the objectives proposed in the European project ENOS. The ENOS project is an
245 initiative of CO₂GeoNet, the European Network of Excellence on the geological storage
246 of CO₂ for supporting onshore storage and fronting the associated troubles, as CCS
247 perception, the safe storage operation, potential leaking management and health, and
248 environmental safety (Gastine et al., 2017). ENOS combines a multidisciplinary
249 European project, which focuses in onshore storage, with the demonstration of best
250 practices through pilot-scale projects in the case of Hontomín facilities. Moreover, this
251 project claims for creating a favorable environment for CCS onshore through public
252 engagement, knowledge sharing, and training (Gastine et al., 2017). In this context, the
253 work-package WP1 is devoted to “ensuring safe storage operations”. The IGME team is
254 committed to develop and to carry out a technology to determine the active strain-field
255 affecting the sub-surface reservoir and fault patterns and to assign the fault type for the
256 estimation of potential fault-patterns as low-permeability paths as well.

257

258



259 3. METHODS AND RATIONALE

260 The lithosphere remains in a permanent state of deformation, related to plate tectonics
261 motion. Strain and stress fields are the consequence of this deformation on the upper
262 lithosphere, **arranging different fault patterns that determine sedimentary basins and**
263 **geological formations.** Kinematics of these faults describes the stress/strain fields, **for**
264 **example measuring grooves and slickensides on fault planes** (see Angelier, 1979,
265 Reches, 1983 among others). **The relevance of the tectonic field is that stress and strain**
266 **determine the earthquake occurrence by the fault activity.** In this work, we have
267 performed a brittle analysis of the fault kinematics, by measuring slickenfiber on fault
268 planes in several outcrops in the surroundings of the ~~onshore~~ reservoir. These faults
269 were active during the Mesozoic, and from Late Miocene to Quaternary. To carry out
270 the methodology proposed in this work, the study area was **divided in a circle** with four
271 equal areas, and we searched outcrops of fresh rock to perform the fault kinematic
272 analysis. **This allows establishing a realistic tectonic very-near field to be considered**
273 **during the storage seismic monitoring and long-term management.**

274

275 2.1 *Paleostrain Analysis*

276 We have applied the strain inversion technique to reconstruct the tectonic field
277 (paleostrain evolution), affecting the Hontomín site between the Triassic, Jurassic,
278 Cretaceous and Neogene ages (late Miocene to present times). **For a further**
279 **methodology explanation see Etchecopar et al. (1981), Reches (1983) and Angelier**
280 **(1990).** The main assumption for the inversion technique of fault population is the self-
281 similarity to the scale invariance for the stress/strain tensors. This means that we can
282 calculate the whole stress/strain fields by using the slip data on fault planes and for
283 homogeneous tectonic frameworks. The strain tensor is an ellipsoid defined by the



284 orientation of the three principal axes and the shape of the ellipsoid (k). This method
285 assumes that the slip-vectors, obtained from the pitch of the striation on different fault
286 planes, define a common strain tensor or a set in a homogeneous tectonic arrangement.
287 We assume that the strain field is homogeneous in space and time, the number of faults
288 activated is greater than five and the slip vector is parallel to the maximum shear stress
289 (τ).

290 The inversion technique is based on the Bott equations (Bott, 1959). These equations
291 show the relationship between the orientation and the shape of the stress ellipsoid:

292

$$293 \quad \tan(\theta) = [n / (l * m)] * [m^2 - (1 - n^2) * R'] \quad [\text{eq.1}]$$

$$294 \quad R' = (\sigma_z - \sigma_x) / (\sigma_y - \sigma_x) \quad [\text{eq.2}]$$

295

296 Where l , m and n are the direction cosines of the normal to the fault plane, θ is the pitch
297 of the striation and R' is the shape of the stress ellipsoid obtained in an orthonormal
298 coordinate system, x , y , z . In this system, σ_y is the maximum horizontal stress, σ_x is the
299 minimum horizontal stress axis and σ_z is the vertical stress axis.

300

301 *3.2 The Right-Dihedral Model for Paleostrain Analysis*

302 The Right-Dihedral (RD) is a semi-quantitative method based on the overlapping of
303 compressional and extensional zones by using a stereographic plot. The final plot is an
304 interferogram figure which usually defined the strain-regime. This method is strongly
305 robust for conjugate fault sets and with different dip values for a same tensor. The RD
306 was originally defined by Pegoraro (1972) and Angelier and Mechler (1977), as a
307 geometric method, adjusting the measured fault-slip data (slickensides) in agreement
308 with theoretical models for extension and compressive fault-slip. Therefore, we can



309 constraint the regions of maximum compression and extension related to the strain
310 regime.

311

312 *3.3 The Slip Model for the Paleostrain Analysis*

313 The Slip Model (SM) is based on the Navier-Coulomb fracturing criteria (Reches,
314 1983), taking the Anderson model solution for this study (Anderson, 1951; Simpson,
315 1997). The Anderson model represents the geometry of the fault plane as monoclinic,
316 relating the quantitative parameters of the shape parameter (K') with the internal
317 frictional angle for rock mechanics (ϕ) (De Vicente 1988, Capote et al., 1991).
318 Moreover, this model is valid for neoformed faults, and some considerations have to be
319 accounted for previous faults and weakness planes present in the rock. These
320 considerations are related to the dip of normal and compressional faults, such as for
321 compressional faulting values lower than $b < 45^\circ$ reactivated as extensional faults. This
322 model shows the relationships between the K' , ϕ and the direction cosines for the
323 striation on the fault plane (De Vicente, 1988; Capote et al., 1991):

324

$$325 \quad K' = e_y / e_z \quad [eq.3]$$

326

327 Where e_z is the vertical strain axis, e_y is the maximum horizontal shortening and e_x is
328 the minimum horizontal shortening. This model assumes that there is no change of
329 volume during the deformation and $e_y = e_x + e_z$.

330 For isotropic solids, principal strain axes coincide with the principal stress axes. This
331 means that in this work, the orientation of the principal stress axis, S_{Hmax} is parallel to
332 the orientation of the principal strain axes, e_y , and hence, the minimum stress axis, S_{hmin} ,



333 is parallel to the minimum strain axis, e_x . This assumption allows us to estimate the
334 stress trajectories (S_{Hmax} and S_{hmin}) from the De_y SM results.

335 Resolving the equations of Anderson (Anderson, 1951) for different values, we can
336 classify the tectonic regime that activates one fault from the measurement of the fault
337 dip, sense of dip and pitch of the slickenside, assuming that one of the principal axes
338 (e_x , e_y or e_z) are vertical (Angelier, 1984). We can classify of the tectonic regime and
339 represent the strain tensor by using the e_y and e_x orientation.

340

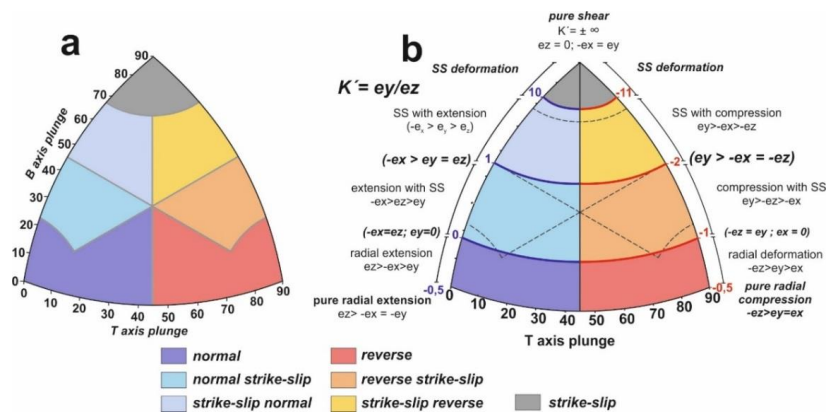
341 *3.4 The K' strain diagram*

342 Another analysis can be achieved by using the K' -strain diagram developed by Kaverina
343 et al. (1996) and codified in python-code by Álvarez-Gómez (2014). These authors have
344 developed a triangular representation based on the fault-slip, where tectonic patterns can
345 be discriminated between strike-slip and dip-slip types. This diagram is divided in 7
346 different zones according to the type of fault: (1) pure normal, (2) pure reverse and (3)
347 pure strike-slip, combined with the possibility of oblique faults: (4) reverse strike-slip
348 and (5) strike-slip with reverse component, and lateral faults: (6) normal strike-slip and
349 (7) strike-slip faults with normal component (**Fig. 3**). Strike-slip faults are defined by
350 small values for pitch ($p < 25^\circ$) and dips close to vertical planes ($\beta > 75^\circ$). High pitch
351 values ($p > 60^\circ$) are related to normal or/reverse fault-slip vectors. Extensional faults
352 show e_y in vertical whereas compressional faults show e_y in horizontal plane.

353 This method was originally performed for earthquake focal mechanism solutions by
354 using the focal parameters, the nodal planes (dip and strike) and rake. The triangular
355 graph is based on the equal-areal representation of the T, N or B and P axes in spherical
356 coordinates (T tensile, N or B neutral and P pressure axes), and the orthogonal
357 regression between M_s and m_b for the Harvard earthquake CMT global catalogue in



1996. Álvarez-Gómez (2014) presented a code python-based for computing the
 Kaverina diagrams, and we have modified the input parameters by including the K'
 intervals for the strain field from the SM. The relationship between the original diagram
 of Kaverina (Fig. 3a) and the K' -dip diagram (Fig. 3b) that we have used in this work is
 shown in the figure 3. The advantage of this diagram is the fast assignation of the type
 of fault and the tectonic regime that determine this fault pattern, and the strain axes
 relationship.



365
 366 Figure 3. a) Kaverina original diagram to represent the tectonic regime from an earthquake focal
 367 mechanism population (see Kaverina et al., 1996 and Álvarez-Gómez, 2014). b) K' -strain diagram used
 368 in this work. Dotted lines represent the original Kaverina limits. Colored zones represent the type of
 369 fault. The tectonic regime is also indicated by the relationship between the strain axes and the colored
 370 legend. SS Strike slip. The B axis is the orthogonal to the P and T axes.

371
 372 Table 1 summarizes the different tectonic regimes of the figure 3b showing the
 373 relationship with the strain main axes e_y , e_x and e_z . This diagram exhibits a great
 374 advantage to classify the type of fault according to the strain tensor. Therefore, we can
 375 assume the type of fault from the fault orientation affecting geological deposits for each
 376 strain tensor obtained.

377



| K' | T -axis | strain axis rel. | fault type | tectonic field |
|---------------------|---------------------|-----------------------|--------------------|-------------------------|
| < -0.5 | 0° | $e_z > -e_x = -e_y$ | normal | pure radial extension |
| $-0.5 < K' < 0$ | $0^\circ-45^\circ$ | $e_z > -e_x > -e_y$ | normal | radial extension |
| $K' = 0$ | $0^\circ-45^\circ$ | $e_z = -e_x, e_y = 0$ | normal | plain strain |
| $0 < K' < 1$ | $0^\circ-45^\circ$ | $-e_x > e_z > e_y$ | normal with SS | extension with shear |
| $k=1$ | $0^\circ-45^\circ$ | $-e_x > e_y = e_z$ | normal with SS | extension with shear |
| $1 < K' < 10$ | $0^\circ-45^\circ$ | $-e_x > e_y > e_z$ | strike-slip with N | shear with extensional |
| $10 < K' < \infty$ | $0^\circ-45^\circ$ | ----- | strike-slip | shear deformation |
| $K' = \infty$ | 45° | $e_z = 0; -e_x = e_y$ | strike-slip | pure shear deformation |
| $\infty < K' < -11$ | $45^\circ-90^\circ$ | ----- | strike-slip | shear deformation |
| $-11 < K' < -2$ | $45^\circ-90^\circ$ | $e_y > -e_x > -e_z$ | strike-slip with R | shear with compression |
| $K' = -2$ | $45^\circ-90^\circ$ | $e_y > -e_x = -e_z$ | reverse with SS | compression with shear |
| $-2 < K' < -1$ | $45^\circ-90^\circ$ | $e_y > -e_z > -e_x$ | reverse with SS | compression with shear |
| $K' = -1$ | $45^\circ-90^\circ$ | $-e_z = e_y, e_x = 0$ | reverse | plain strain |
| $-1 < K' < -0.5$ | $45^\circ-90^\circ$ | $-e_z > e_y > e_x$ | reverse | radial compression |
| $K' = -0.5$ | $45^\circ-90^\circ$ | $-e_z > e_y = e_x$ | reverse | pure radial compression |

SS = strike-slip
 N = normal
 R = reverse

e_x = value of the minimum horizontal shortening
 e_y = value of the maximum horizontal shortening
 e_z = value of the vertical axis

378

379 *Table 1. Different tectonic regimes, K' values, dip values and fault type for the Kaverina modified*
 380 *diagram used in this work. According to the strain axes relationship, faults can be classified and the*
 381 *tectonic regime can be established.*

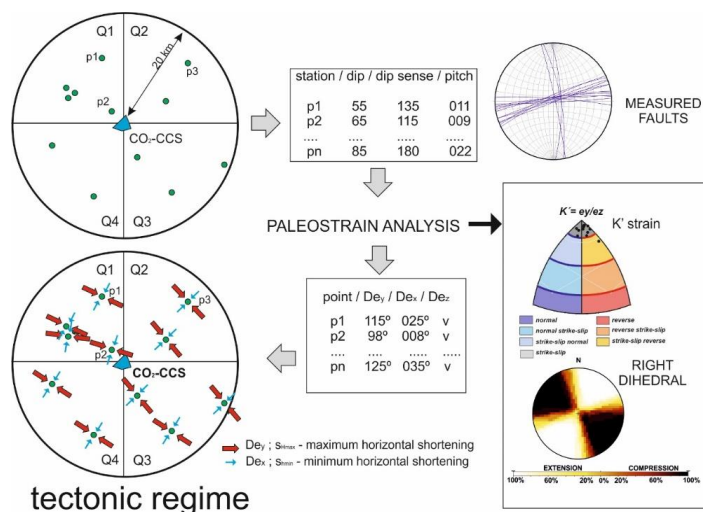
382

383 3.5 The Circular-Quadrant-Search (CQS) strategy for the paleostrain analysis

384 In this work, we propose a low-cost strategy based on a well-known methodology for
 385 determining the stress/strain tensor affecting a CCS reservoir, which will allow for
 386 monitoring long-term geological and seismic behavior. The objective is to obtain
 387 enough structural data and spatially homogeneous of faults (**Figs. 4, 5**), for
 388 reconstructing the stress/strain tensor by using the methodologies described above. The
 389 key-point is the determination of the orientation of the e_y , e_x and K' to plot in a map and
 390 therefore, to establish the tectonic regime. We have chosen quadrants of the circles with
 391 the aim to obtain a high-quality spatial distribution of point for the interpretation of the



392 local and very near strain field. Hence, data are homogeneously distributed, instead of
 393 being only concentrated in one quadrant of the circle.



394 tectonic regime

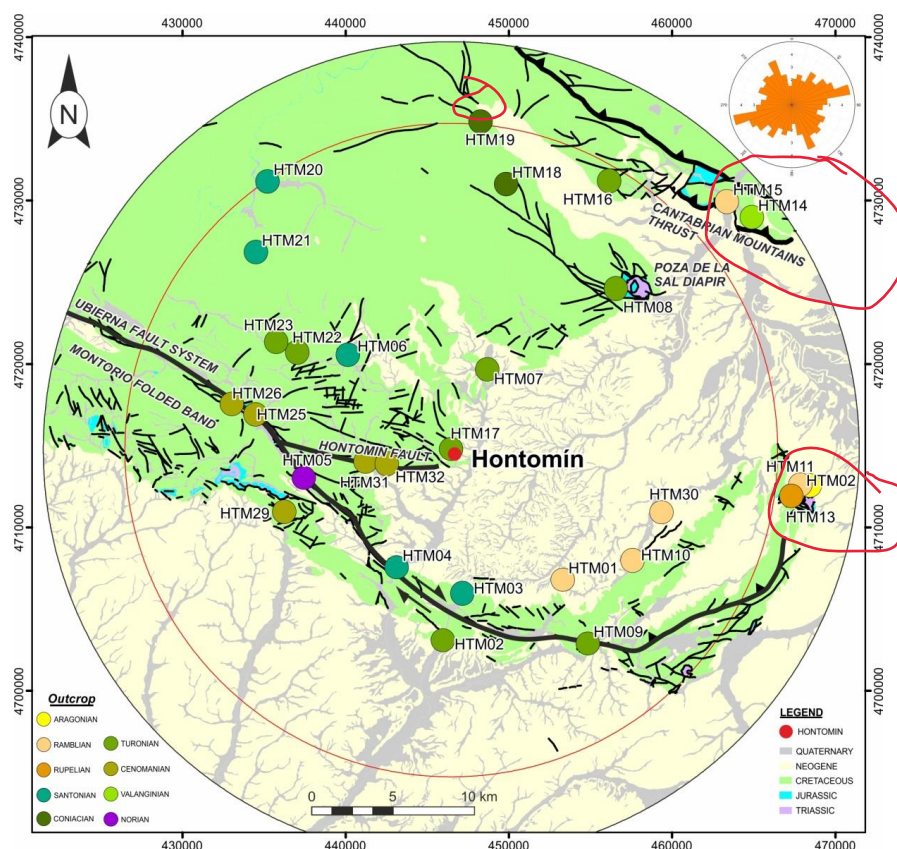
395 Figure 4. Methodology proposed to obtain the strain field affecting the CCS reservoir. The distances for
 396 outcrops and quadrants proposed is 20 km. The technique of Right Dihedral and the K' strain diagram is
 397 described in the main text. The e_y and e_x represented are a model for explaining the methodology. De_y
 398 and De_x are the direction of the maximum and minimum strain, respectively. Blue box at the center is the
 399 CO_2 storage geological underground formation.

400

401 Pérez-López et al. (2018) carried out a first approach to the application of this
 402 methodology at Hontomín, under the objective of the ENOS project (see next section
 403 for further details). We propose a circular searching of structural field stations (Figs. 4,
 404 5), located within a 20 km radius. This circle was taken given that active faults with the
 405 capacity of triggering earthquakes of magnitudes close to M 6, exhibits a surface rupture
 406 of tens of kilometers, according to the empirical models (Wells and Coppersmith,
 407 1994). Moreover, Verdon et al. (2015) pointed out that the maximum distance of
 408 induced earthquakes for fluid injection is 20 km. Larger distances could not be related
 409 to the stress/strain regime within the reservoir, except for the case of large geological



410 structures (folds, master faults, etc.). Microseismicity in CCS reservoir is mainly related
411 to the operations during the injection/depletion stages and long-term storage (Verdon
412 2014, Verdon et al., 2015, McNamara, 2016).



413
414 *Figure 5. Geographical location of field outcrops in the eastern part of the Burgalesa Platform domain.*
415 *Black lines: observed faults; red circle: 20km radius study zone. A total of 447 fault data were collected*
416 *in 32 outcrops. Data were measured by a tectonic compass on fault planes at outcrops. The spatial*
417 *distribution of the field stations is constrained by the lithology. Coordinates are in meters, UTM H30.*

418
419 **The presence of master faults (capable to trigger earthquakes of magnitude = or > than 6**
420 **and 5 km long segment) inside the 20 km radius circle, implicates that the regional**
421 **tectonic field is relevant for the reservoir geodynamics, being responsible for the strain**
422 **accumulation in kilometric fault-sized. Furthermore, the presence of master faults could**



423 increase the occurrence of micro-earthquakes, due to the presence of secondary faults
424 prone to trigger earthquakes by their normal seismic cycle. ~~Bearing in mind that CCS~~
425 ~~onshore reservoirs use to be deep saline aquifers~~ (e.g. Bentham and Kirby, 2005), as
426 Hontomín is (Gastine et al., 2017, Le Gallo and de Dios, 2018), and be related to
427 folding and fractured deep geological structures, local tectonics plays a key role in
428 micro-seismicity and the possibility of CO₂ leakage.

429 The constraints of this strategy are related to the absence of kinematics indicators on
430 fault planes, due to the geomechanical property of the lithology involved or the erase by
431 later geological processes as neoformed mineralization, etc. A poor spatial distribution
432 of the outcrops was also taken into account for constraining the strategy. The age of
433 sediments does not represent the age of the active deformations and hence, the active
434 deformation has to be analyzed by performing alternative methods (i.e.
435 paleoseismology, archaeoseismology).

436

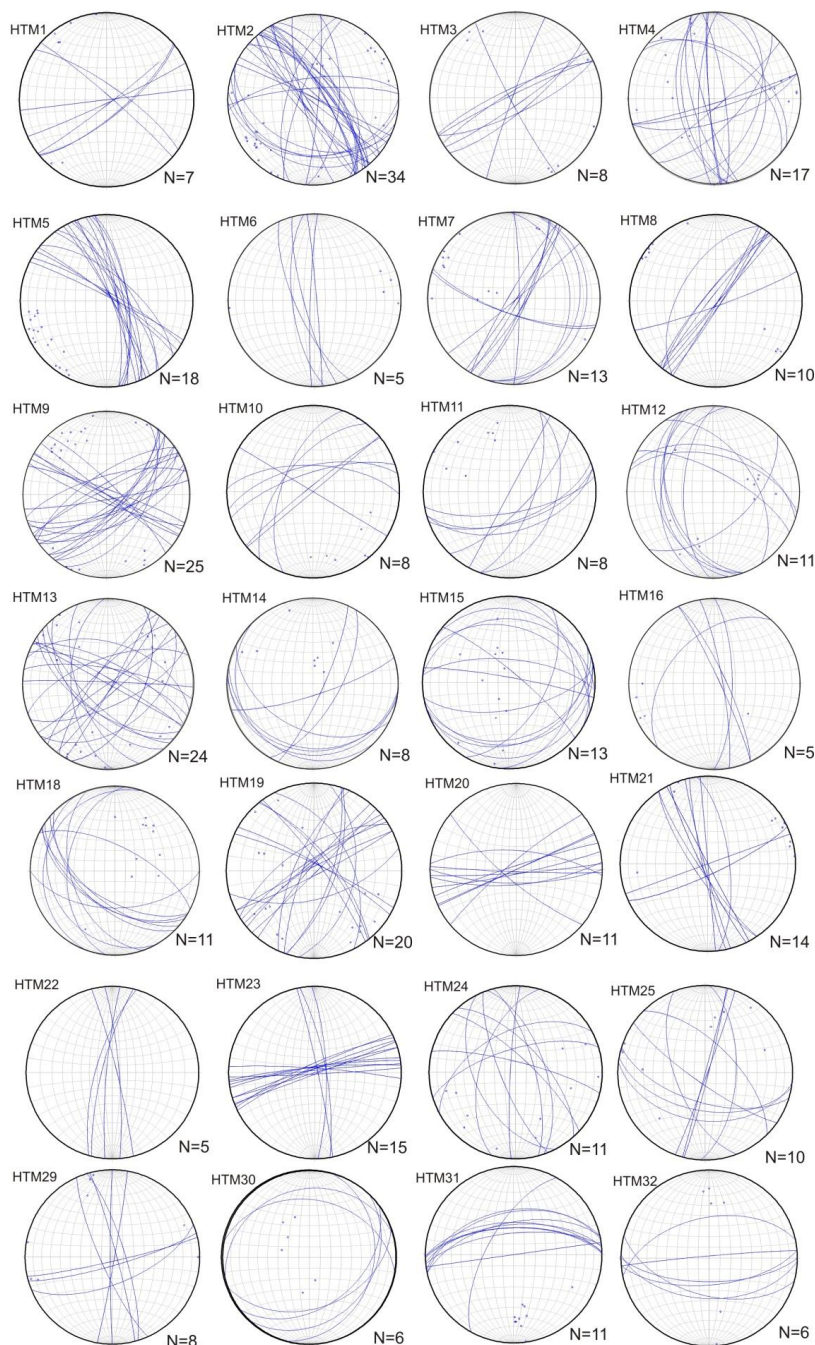
437 4. RESULTS

438 4.1 Strain Field Analysis

439 We have collected 447 fault-slip data on fault planes in 32 outcrops, located within a 20
440 km radius circle centered at the HPP (**Fig. 5**). The age of the structural field stations
441 ranges between Early Triassic to present-day and are mainly located in Cretaceous
442 limestones and dolostones (**Fig. 5, Table 2**). No Jurassic outcrops were located, and
443 seven stations are located on Neogene sediments, ranging between Early Oligocene to
444 Middle-Late Miocene. The short number of Neogene stations is due to the mechanical
445 properties of the affected sediments, mainly poor-lithified marls and soft-detrital fluvial
446 deposits. Despite that, all the Neogene stations exhibit high-quality data with a number
447 of fault-slip data ranging between 7 and 8, enough for a minimum quality analysis.



448 We have labeled the outcrops with the acronym HTM followed by a number (see **figure**
449 **5** for the geographical location and **Table 2** and **figure 6** for the fault data). The station
450 with the highest number of faults measured is HTM17 with 107 faults on Cretaceous
451 limestone. Nevertheless, we have removed the HTM17 to the analysis due to the high
452 number of measurements, including lot of noise that could disturb the whole analysis.
453 Conjugate fault systems can be recognized in most of the stations (HTM1, 3, 5, 7, 10,
454 14, 16, 21, 23, 25, 26, 29, 30 and 32, **Fig. 6**), although there are a few stations with only
455 one well defined fault set (6, 22, 32). We have to bear in mind that recording of
456 conjugate fault systems are more robust for the brittle analysis than recording isolated
457 fault sets, better constraining the solution (Žaholar and Vrabec, 2008). In total, 29 of 32
458 stations were used (HTM24, 27, 28 with no quality data), and from these 29 stations, 24
459 were analyzed with the paleostrain technique. Solutions obtained here are robust to
460 establish the strain field as the orientation of the e_y , S_{Hmax} (**Figs. 7 and 8**).



461

462 *Figure 6. Stereographic representation (cyclographic plot in Schmidt net, lower hemisphere) of the fault*

463 *planes measured in the field stations. “n” is the number of available data for each geostructural station.*

464 *HTM24, 27, 28 are not included due to lack of data, and HTM17 due to the high number of faults.*

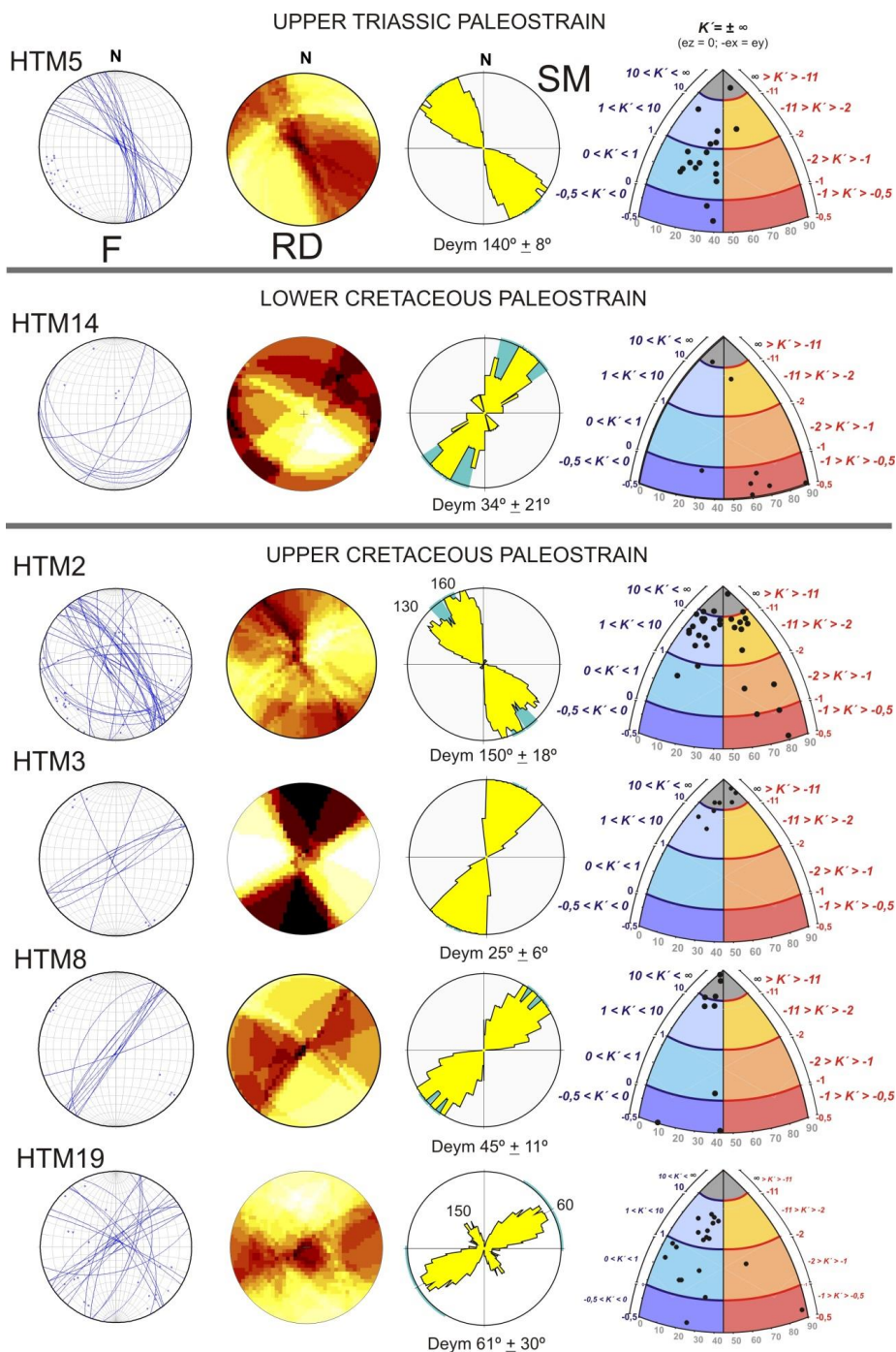


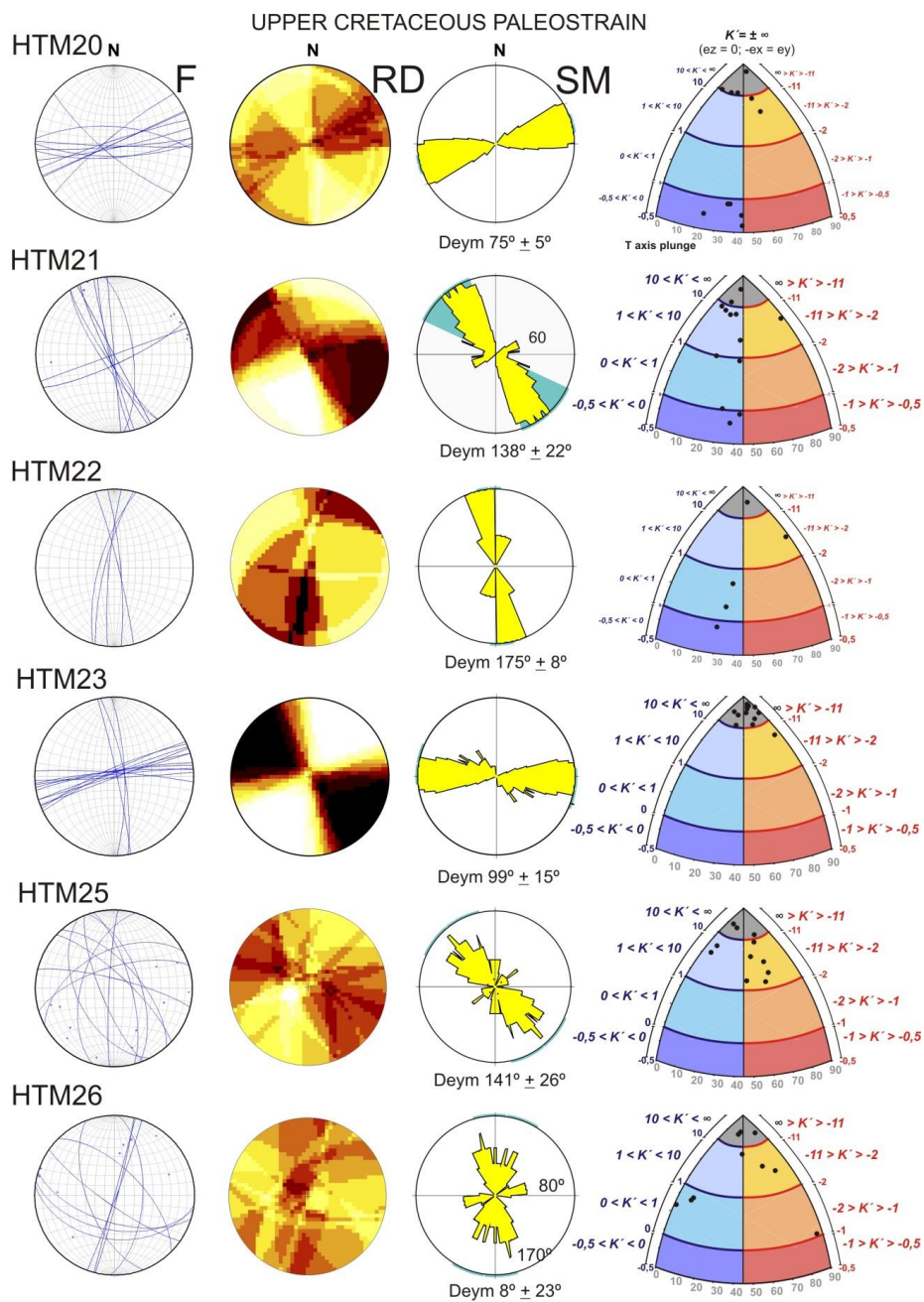
465

466 The results obtained from the application of the paleostrain method have been expressed
467 in stereogram, right dihedral (RD), slip method (SM) and K' - diagram (**Fig. 7**). The K' -
468 diagram shows the fault classification as normal faults, normal with strike-slip
469 component, pure strike-slip, strike-slip with reverse component and reverse faults (see
470 **Fig. 3**). Main faults are lateral strike-slips and normal faults, followed by reverse faults,
471 strike-slips and oblique strike-slips faults. The results of the strain regime are as
472 follows: 1) 43% of extensional with shear component; 2) 22% of shear; 3) 13% of
473 compressive strain (lower Cretaceous and early-middle Miocene, **Table 2**); 4)13% of
474 pure shear and 5) 9% of shear with compression strain field, although with the presence
475 of five reverse faults.

476 On the other hand, we can observe that there are solutions with a double value for the
477 e_y , S_{Hmax} orientation: HTM1, 2, 10, 11, 13, 15, 19, 26, and 30. The stations HTM3 and
478 23 (upper Cretaceous), show the best solution for strike-slip strain field as a pure strike-
479 slip regime and e_y with N25°E and N99°E trend, respectively (**Fig. 7**).

480 It is easy to observe the agreement between the e_y results from the SM and the K' - strain
481 diagram, for instance, in the HTM 2 the K' -diagram indicates strike-slip faults with
482 reverse component for low dips ($0^\circ < \beta < 40^\circ$) but also indicates strike-slip faults with
483 normal component for larger dips ($40^\circ < \beta < 90^\circ$). However, both results are in
484 agreement with a strain field defined by the orientation for e_y , S_{Hmax} with $N150^\circ \pm 18^\circ$
485 trend. This tectonic field affects Cretaceous carbonates and coincides with the regional
486 tectonic field proposed by Herraiz et al. (2000), Stich et al. (2006),Tavani et al. (2011)
487 and Alcalde et al. (2014).





489

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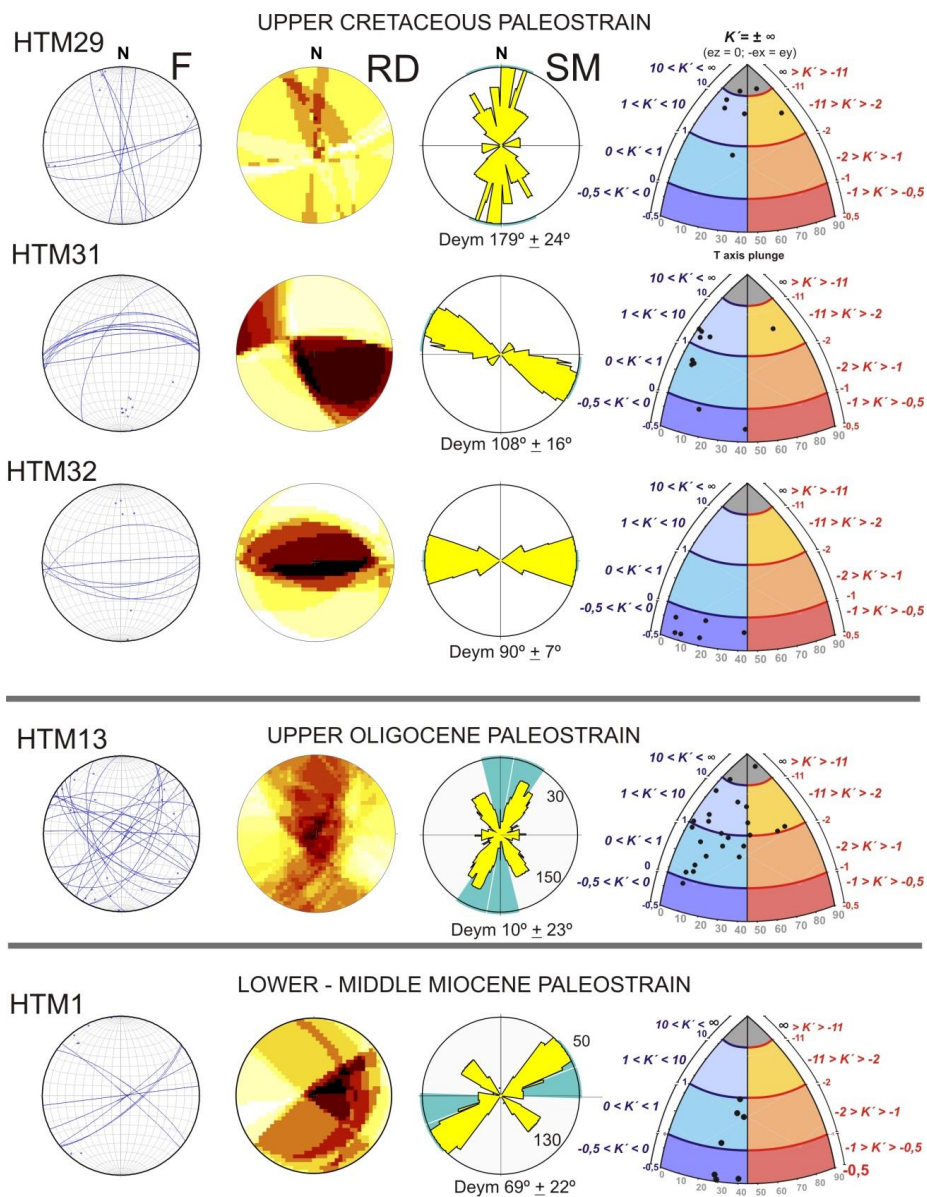
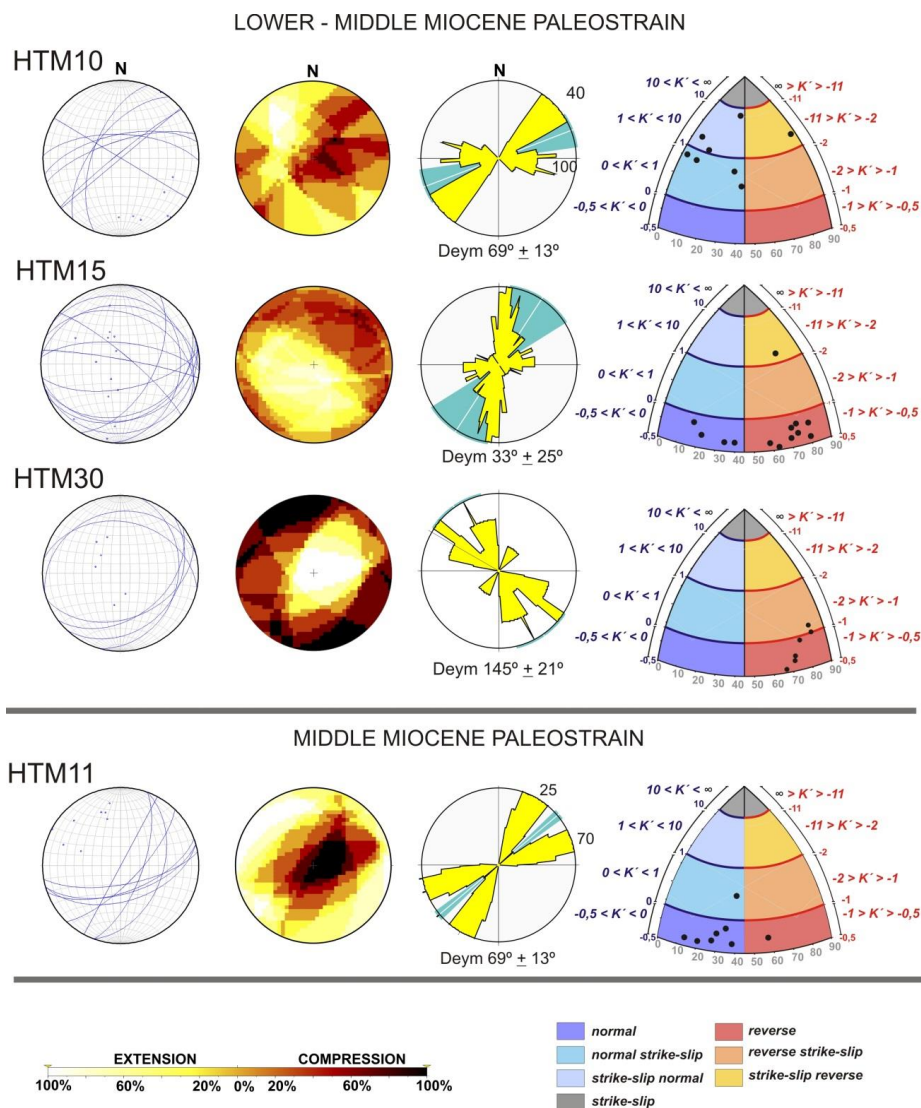


FIGURE 7c



492
 493
 494
 495
 496
 497

FIGURE 7d

Figure 7. Results of the paleostrain analysis obtained and classified by age. Deym: striking of the averaged of the De_v value; F: fault stereographic representation; K' : diagram with dots for each fault slip solution; RD: Right Dihedral method; SM: Slip Method, K' . See Methods for further explanation.



| STATION | n° faults | series/epoch | Dey main direction | dispersion | strain tensor |
|---------|-----------|----------------------|--------------------|------------|-------------------------|
| HTM05 | 18 | UPPER TRIASSIC | 140 | 8 | NORMAL STRIKE-SLIP |
| HTM14 | 8 | LOWER CRETACEOUS | 34 | 21 | COMPRESSION |
| HTM02 | 34 | UPPER CRETACEOUS | 150 | 18 | STRIKE-SLIP (N-C) |
| HTM03 | 8 | UPPER CRETACEOUS | 25 | 6 | STRIKE-SLIP (N-C) |
| HTM08 | 10 | UPPER CRETACEOUS | 45 | 11 | STRIKE-SLIP |
| HTM17 | 105 | UPPER CRETACEOUS | 107 | 24 | NORMAL |
| HTM19 | 20 | UPPER CRETACEOUS | 61 | 30 | NORMAL STRIKE-SLIP |
| HTM20 | 11 | UPPER CRETACEOUS | 75 | 5 | STRIKE-SLIP |
| HTM21 | 14 | UPPER CRETACEOUS | 138 | 22 | STRIKE-SLIP NORMAL |
| HTM22 | 5 | UPPER CRETACEOUS | 175 | 8 | NORMAL STRIKE-SLIP |
| HTM23 | 14 | UPPER CRETACEOUS | 99 | 15 | STRIKE-SLIP |
| HTM25 | 11 | UPPER CRETACEOUS | 141 | 26 | STRIKE-SLIP COMPRESSION |
| HTM26 | 10 | UPPER CRETACEOUS | 0 | 23 | STRIKE-SLIP |
| HTM29 | 8 | UPPER CRETACEOUS | 179 | 24 | STRIKE-SLIP NORMAL |
| HTM31 | 11 | UPPER CRETACEOUS | 108 | 16 | STRIKE-SLIP NORMAL |
| HTM32 | 6 | UPPER CRETACEOUS | 90 | 7 | NORMAL |
| HTM13 | 24 | EARLY OLIGOCENE | 25-160 | 23 | NORMAL STRIKE-SLIP |
| HTM01 | 7 | EARLY-MIDDLE MIOCENE | 70 | 22 | NORMAL STRIKE-SLIP |
| HTM10 | 8 | EARLY-MIDDLE MIOCENE | 69 | 13 | NORMAL STRIKE-SLIP |
| HTM15 | 13 | EARLY-MIDDLE MIOCENE | 33 | 25 | COMPRESSION |
| HTM30 | 6 | EARLY-MIDDLE MIOCENE | 145 | 21 | COMPRESSION |
| HTM11 | 8 | MIDDLE MIOCENE | 50 | 4 | NORMAL STRIKE-SLIP |

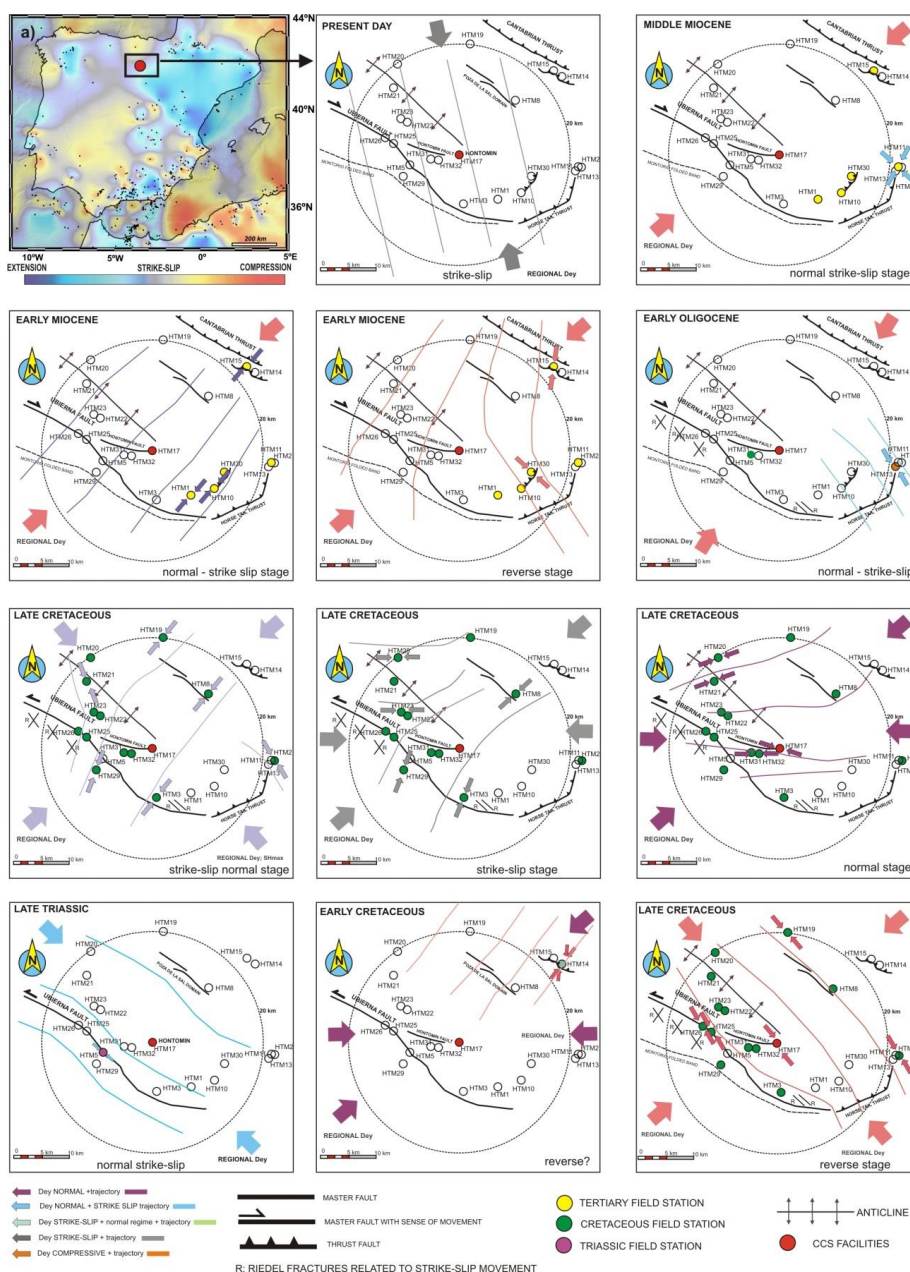
498

499 *Table 2. Summary of the outcrops showing the number of faults, the type of the strain tensor obtained, the*
 500 *De_y, S_{Hmax} striking and the age of the affected geological materials. Asterisk indicates those field stations*
 501 *detailed in the figure 7. N-C is normal component for strike-slip movement.*

502

503 Two e_y, S_{Hmax} directions can be considered, N150°E and N50°E. We obtained an
 504 averaged value of N105°E by mixing both values of trend. However, a large number of
 505 measured faults and their uncertainties slightly disturb the results (**Table 2**).

506



507

508 *Figure 8) Upper left frame: Synthesis of the K' -map obtained from Giner-Robles et al. (2018) for the*
 509 *whole Iberian Peninsula from focal mechanism solutions. HPP is located between a triple junction of K'*
 510 *defined by compression towards the north, extension to the southeast and strike-slip to the west. Black*
 511 *dots are the earthquakes with focal mechanism solutions used. Sketches represent the e_y , S_{Hmax}*
 512 *trajectories obtained from the outcrops for early Triassic, Early Cretaceous, Late Cretaceous, Early*



513 *Oligocene, Early – Middle Miocene and Present-day strain field from Herraiz et al. (2000). Main*
514 *structures activated under the strain field defined are also included.*

515

516 *4.2 Late Triassic Paleostrain*

517 Strain analysis from HTM5 fault set shows e_y with NW-SE trending and shear regime
518 with extension defined by strike-slip faults (**Figs. 7a and 8**). This is in agreement with
519 the uniaxial extension described in Tavani (2012), author that constrain this regime with
520 S_{hmin} with NE-SW trending.

521

522 *4.3 Cretaceous Paleostrain*

523 HTM 14 is the only outcrop from early Cretaceous age, showing a compressive tectonic
524 stage with reverse fault solutions, defined by e_y with NE-SW trending (**Fig. 8**). Taking
525 into account the extensional stage related to the Main Rifting Stage (i.e. Carola, 2004;
526 Tavani, 2012; Tugend et al., 2014) during this age, we interpreted these results as a
527 modern strain field, probably related to the Cenozoic Inversion stage. A local
528 compressive stage was discarded due to the absence of compressive structures related to
529 this age in the area and surroundings.

530 Outcrops HTM 2, 17, 19, 20, 21, 23, 25, 26, 29, 31 and 32 are from the upper
531 Cretaceous carbonates, and four main strain fields are described, depending on the fault
532 sets (**Fig. 8**): (1) a compressive stage featured by e_y with NW-SE trending, similar to
533 those stage described in Tavani (2012), (2) a normal strain stage with e_y striking both E-
534 W and NE-SW (**Fig. 8**, HTM 20, 21, 31 and 32). Finally, a (3) a shear stage (activated
535 strike-slip faults) and (4) a shear with an extension (strike-slip with normal component)
536 were described as well. These two late stages are featured by e_y with NE-SW and NW-
537 SE trends. The existence of four different strain fields is determined by different ages
538 during the Cretaceous and different spatial locations in relation to the main structures,



539 the Ubierna Fault System, Hontomin Fault, Cantabrian Thrust, Montorio folded band
540 and the anticline (**Fig. 8**).

541

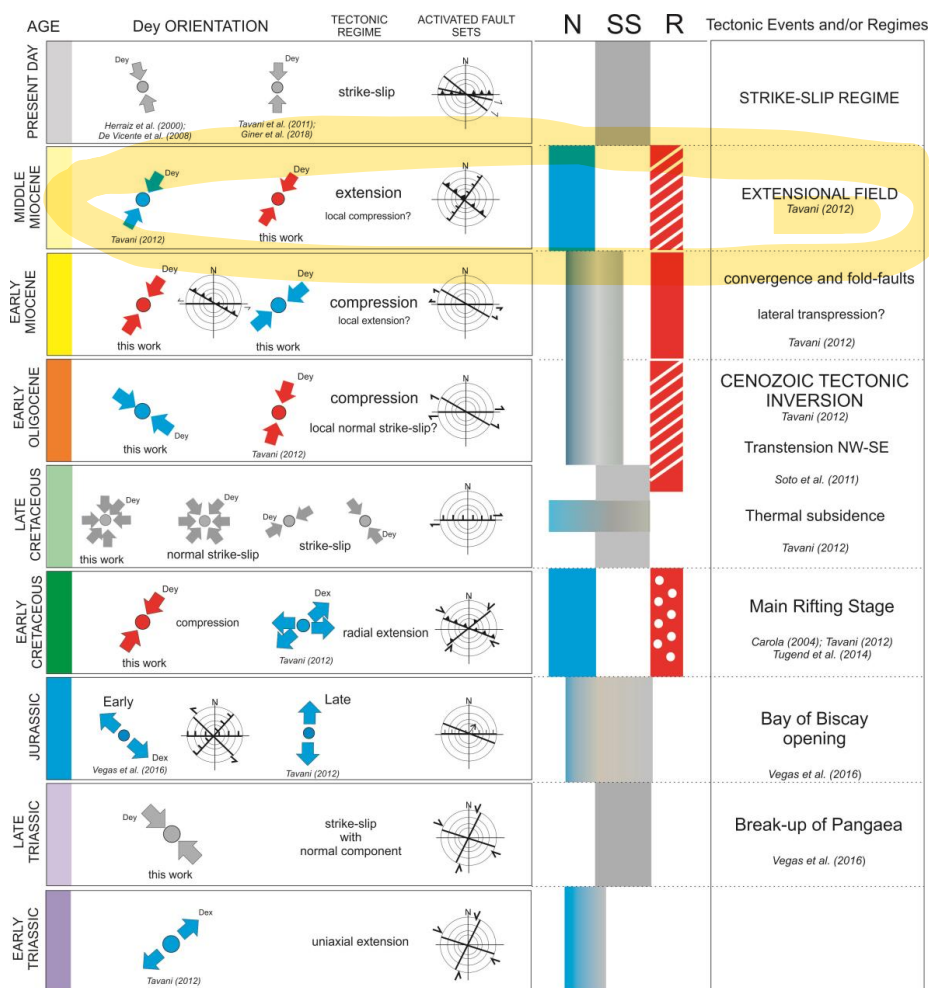
542 *4.4 Cenozoic strain field*

543 The Cenozoic tectonic inversion was widely described in the area by different authors
544 (e.g. Carola, 2004; Tavani, 2012; Tungend et al., 2014). **This tectonic inversion is**
545 **related to compressive structures**, activating NW-SE and NE-SW thrusts with NW-SE
546 and NNE-SSW e_y trends, respectively. The Ubierna Fault has been inverted with a
547 right-lateral transpressive kinematics during the Cenozoic (Tavani et al., 2011). Early
548 Oligocene outcrop (HTM13, **Figs. 7c** and **8**) shows an extensional field with e_y with
549 NNE-SSW trending. During the Miocene, HTM15 and HTM30 outcrops exhibit the
550 same e_y striking, but for compressive tectonic stage (**Figs. 7c** and **8**). In addition, during
551 the middle Miocene, an extensional tectonic strain is described and characterized by
552 NNE-SSW and NE-SW trends. Summarizing, the Cenozoic inversion and tectonic
553 compression are detected during the early middle Miocene, later to the Oligocene, but
554 during the middle Miocene, only one extensional stage was interpreted.

555 The outcrops located closer to the HPP (HTM 17, 31, 32, **Figs. 5** and **7**), show E-W
556 faults. HTM05 is located on the Ubierna Fault, showing NW-SE, whilst HTM03 shows
557 strike-slip NE-SW. Moreover, this station is located within a valley with the same
558 orientation and surrounding faults have the same orientation (**Fig. 5**). Close to the HPP
559 facilities, E-W faults are measured. This fault set was activated under a strain field
560 defined by e_y with E-W trending and K' -diagram shows normal faults with strike-slip
561 component. However, the present-day e_y with a roughly N-S trend (Herraiz et al., 2000,
562 Stich et al., 2006), could **active** E-W faults as reverse faults and hence, more energy



563 would needed to move like seismic sources. In addition, fault dip data obtained from
 564 structural analysis can be included in geomechanical analysis of fault rupture.
 565 Strain analysis suggests that the planes that could affect the leakage into the reservoir
 566 would be those planes parallel to the S_{Hmax} orientation, that is, NNW-SSE and N-S (Fig.
 567 7). Moreover, N50°E S_{Hmax} orientation could also affect the reservoir. HPP facilities are
 568 close to WNW-ESE fault although the HTM17 station shows that N-S fault planes
 569 could play an important role for seepage fluid into the reservoir.



570

571



572 *Figure 9. Tectonic field evolution of the Burgalesa Platform domain (20-km radius circle centered at*
573 *HPP), interpreted from the paleostrain analysis. The regional tectonic field from other authors are also*
574 *included. Ages were estimated from the HTM outcrops affecting geological well-dated deposits. N:*
575 *extensional faulting; R: compressive faulting; SS: strike-slip faulting. Red and white means local*
576 *paleostrain field, and red with white dots means superposed modern paleostrain field.*

577

578 5. DISCUSSION

579 5.1. Mesozoic – Cenozoic Paleostrain evolution

580 We propose a tectonic field evolution for the Hontomín CCS area, from the Triassic to
581 Neogene times (**Fig. 9**), based on the results obtained from the paleostrain analysis (**Fig.**
582 **8**). Furthermore, the data used in this work were completed from available bibliographic
583 data of paleostress and paleostrain affecting the Burgalesa Platform (see references in
584 **Fig. 9**) and large-scale tectonic events.

585 Triassic age is featured by a uniaxial extension determined by a paleostrain field with e_x
586 striking NE-SW (Tavani, 2012). The oldest tectonic strain field that we have obtained,
587 recorded during the Late Triassic, is represented by a strike-slip tectonic field with shear
588 component (**Figs 7a, 8 and 9**), which we have related with the break-up of Pangaea. In
589 this stage, NE-SW right lateral faults are dominant.

590 No Jurassic outcrops are in the studied area and hence, the Jurassic deformation
591 assumed in this work comes from Tavani (2012), suggesting the aperture of the Bay of
592 Biscay in a large-scale N-S extension. **Alcala et al. (2014)** pointed out a tectonic
593 evolution from Lias diparism (Early Jurassic) and N-S tectonic extension, activating E-
594 W extensional faults. Moreover, Vegas et al. (2016) interpreted a rift extension during
595 this period.

596 The Early Cretaceous tectonic field shows a e_y with NE-SW trend, determining a
597 compressive and convergence local stage (**Figs 7a, 8**). However, we have assumed this



598 strain field as modern, probably during the Cenozoic inversion, overlapping the
599 extensional regional paleostrain (**Fig. 9**, red with white circles). The Upper Cretaceous
600 tectonic strain is defined by several e_y trends, from an initial N-S and NE-SW, to NW-
601 SE in a final transtensional state, which is in agreement with Soto et al. (2011), being
602 active even during the Early Oligocene.

603 The tectonic convergence represented by a NE- trending S_{Hmax} , determining a reverse
604 field to have taken place during the Early Miocene, although Tavani (2012) pointed out
605 that the Cenozoic tectonic inversion could start at the Upper Cretaceous. During the
606 Miocene, normal faults with shear during this period (**Fig. 9**) could be interpreted as
607 folding fractures. In this case, extensional faulting could appear in the upper part of
608 anticlines formed by bending. The middle Miocene is interpreted as an extensional stage
609 with normal strain field.

610 Finally, the active strain field (Miocene-Present-day), shows a local compressional field
611 with N50°E trending e_y , S_{Hmax} with and the regional field with N150°E trending e_y ,
612 S_{Hmax} . The active regional field proposed by Herraiz et al. (2000), Stich et al. (2006),
613 Tavani et al. (2011) and Alcalde et al. (2014), shows e_y , S_{Hmax} with almost NNW-SSE
614 and N-S trends.

615

616 *5.2 Active faulting in the surrounding of HPP*

617 Quaternary tectonic markers for the UFS are suggested by Tavani et al. (2011).
618 According to the tectonic behavior of this fault as right-lateral strike-slip, and the fault
619 segments proposed by Tavani et al. (2011), ranging between 12 and 14 km long, the
620 question is whether this fault could trigger significant earthquakes and which could be
621 the maximum associated magnitude. This is a relevant question given that the “natural
622 seismicity” in the vicinity could affect the integrity of the caprock. Bearing in mind the



623 expectable long-life for the reservoir, estimated in thousands of years, the potential
624 natural earthquake that this master fault could trigger has to be estimated. In this sense,
625 it is necessary to depict seismic scenarios related to large earthquake triggering;
626 however, this type of analysis is beyond the focus of this work.

627 **The income information** that we have to manage in the area of influence (20 km) is: (a)
628 the instrumental seismicity, (b) the geometry of the fault, (c) the total surface rupture,
629 (d) the upper crust thickness and (e) the heat flow across the lithosphere. Starting for the
630 heat flow value, the Hontomín wells show a value that lies between 62 and 78 mW/m²
631 at a 1,500 m depth approximately (Fernández et al., 1998). **Regarding the Moho depth**
632 **in the area, these aforementioned authors obtained a value ranging between 36 and 40**
633 **km depth, while the lithosphere ranges between 120 and 130 km depth (Torne et al.,**
634 **2015). The relevance of this value is the study of the thermal weakness into the**
635 **lithosphere that could nucleate earthquakes in intraplate areas (Holford et al., 2011). For**
636 **these authors, the comparison between the crustal heat-flow in particular zones, in**
637 **contrast with the background regional value, could explain large seismicity and high**
638 **rates of small earthquakes occurrence, as the case of the New Madrid seismic zone. For**
639 **example, in Australia heat-flow values as much as 90 mW/m² are related with**
640 **earthquakes M > 5.**

641 Regarding the maximum expected earthquake into the zone, we have applied the
642 empirical relationships obtained by Wells and Coppersmith (1994). **We have used the**
643 **equations for strike-slip earthquakes according to the strain field obtained in the area**
644 (pure shear), and the surface rupture segment for the Ubierna Fault System, assuming a
645 surface rupture segments between 12 and 14 km (Tavani et al., 2011). The obtained
646 results show that the maximum expected earthquake ranges between M 6.0 and M 6.1.
647 Wells and Coppersmith (1994) indicate for these fault parameters a total area rupture



648 ranging between 140 and 150 km². Surface fault traces rupture as lower as 7 km needs
649 at least 20 km of depth in order to reach a value of the fault-area rupturing greater than
650 100 km², in line with a Moho between 36 and 40 in depth.

651 Regarding the instrumental earthquakes recorded into the area, the two largest
652 earthquakes recorded correspond to magnitude M 3.4 and M 3.3, with a depth ranging
653 between 8 and 11 km, respectively, and a felt macroseismic intensity of III (EMS98,
654 www.ign.es, last access on May, 2019). Both earthquakes occurred between 50 and 60
655 km of distance from the Hontomín Pilot Plant. Only five earthquakes have been
656 recorded within the 20-km radius area of influence and with small magnitudes ranging
657 between M 1.5 and M 2.3. The interesting data is the depth of these earthquakes,
658 ranging between 10 and 20 km, which suggest that the seismogenic crust could reach 20
659 km of depth.

660 Furthermore, if E-W sets act as extensional faults in this regional tectonic context, it
661 would be related to the upper part of the no deformational compressive zone and reverse
662 earthquakes would appear with the foci located deeper than 2 km. The strain field is
663 directly related to the permeability tensor due to rock dissolution. Hence, this value
664 could play an important role for long-term reservoir expected life.

665

666 *5.3 Local tectonic field and Induced Seismicity*

667 The fluid injection into a deep saline aquifer, which is used as CCS, generally increases
668 the pore pressure. The increasing of the pore pressure migrates from the point of
669 injection to the whole reservoir. Moreover, changes into the stress field for faults that
670 are located below the reservoir, could also trigger induced earthquakes (Verdon et al.,
671 2014). Nevertheless, to understand this possibility and the study the volumetric strain
672 field spatial distribution is required (Lisle et al., 2009).



673 The injection of 10 k tons of CO₂ in Hontomín (Gastine et al., 2017) represents an
674 approximate injected volume of CO₂ of 5.5 x10⁶ m³. The earthquake magnitude to this
675 fluid-injected volume according to the McGarr (2014) and Verdon et al. (2014) could be
676 M > 5 if there are faults with a minimum size of 10 km and oriented according to the
677 present stress field within the influence area (N-S extensional faults and NE-SW/NW-
678 SE strike slip faults). In the case of HPP, there are faults below the reservoir with this
679 potential earthquake triggering (Alcalde et al., 2014). On the other hand, overpressure
680 increasing the permeability of the carbonate reservoir along with the pore pressure
681 variations of about 0.5 MPa, could trigger earthquakes, as well. Stress-drop related to
682 fluid injections are also reported (Huang et al., 2016).

683 Le Gallo and de Dios (2018) described two main fault sets affecting the reservoir with
684 N-S and E-W trend, respectively. According to the present-day stress tensor described
685 by Herraiz et al. (2000) and Tavani et al. (2011), E-W fault-sets are accommodating
686 horizontal shortening, which means that the permeability could be low, and N-S faults
687 could act as strike-slip with trans-tensional component and, hence, higher permeability.
688 On the other hand, increasing the pore-pressure of E-W faults could reduce de seismic
689 cycle in these faults. Therefore, special attention has to be paid in microseismicity
690 related to E-W faults. In this sense, the study of focal mechanisms solutions could
691 improve the safety management, even for microearthquakes of magnitude lesser than M
692 3.

693 Moreover, the CO₂ lateral diffusion and pressure variation change during the fluid
694 injection phase, and then the system would relax before to be increased during the next
695 injection phase. In this context, the intermittent and episodic injection of CO₂ could also
696 trigger earthquakes by the stress-field and fluid pressure variations in short time periods.

697



698 6. CONCLUSIONS

699 The application of the analysis for brittle deformation determines the tectonic evolution
700 of the strain field, applied in Carbon Capture and Sequestration (CCS). The possibility
701 that pore pressure variations due to fluid injection could change the stress/strain
702 conditions in the reservoir's caprock, makes the study of the present-day tectonic field
703 as mandatory for the storage safety operations. In this sense, we have to bear in mind
704 that this kind of subsurface storage is designed for long-life expectancy, about
705 thousands of years, and therefore, relevant earthquakes could occur affecting the sealing
706 and the seepage of CO₂, compromising the integrity of the reservoir. Hence, we can
707 conclude from our analysis the following items:

708 (1) The study of this tectonic field allows classifying the geometry of the faults to
709 prevent prone earthquake-related structures and design monitoring seismic network.

710 (2) The influence area around the facilities of the CCS for studying the active
711 stress/strain field could reach 20 km from the facility and the tectonic evolution of the
712 geological history of the reservoir have to be established, adding missing information
713 from map scale and boreholes. This information could be used from the 3D local
714 fracture pattern estimation to avoid overpressure for increasing the permeability paths.
715 Analysis of the stress-drop due to the fluid injection could be combined with this
716 information to understand potential microseismicity associated with the injection
717 operations.

718 (3) In the case of Hontomín Pilot-Plant, we have obtained two strain active tectonic
719 fields featured as shear deformation. These fields are defined by (a) a local tectonic
720 strain field with e_y , S_{Hmax} striking N50°E and (b) the regional one defined by e_y , S_{Hmax}
721 with N150°E trend. In this context, strike-slip faults with NE-SW trend, reverse faults
722 with NW-SE trend and reverse oblique faults oriented E-W, are accumulating present-



723 day tectonic deformation. Therefore, we propose the monitoring of E-W faults and the
724 intersection with strike-slip faults, either due to the possibility to make high-permeable
725 paths for CO₂ mobility, or due to the possibility to act as compressional faulting due to
726 the increasing of the pore pressure during injection.

727 (4) Both WNW-ESE fault plus N-S and NE-SW directions are the preferential fault
728 directions for potential fluid leakage. E-W could act as compressive faults.

729 (5) The Ubierna Fault System represents a tectonically active fault array that could
730 trigger natural earthquakes as large as M 6 (± 0.1), from the empirical relationship of the
731 total rupture segment (ranging between 12 and 14 km, and the total fault-area rupture,
732 oscillating between 100 and 150 km²). Despite the lack of instrumental seismicity into
733 the influence area, we cannot obviate the potential earthquake occurrence within
734 intraplate areas due to the long- timescale expected-life of the CCS. The heat-flow
735 values and thermal crust conditions could determine the presence of intraplate
736 earthquakes with magnitude $M > 5$, for a long timescale (thousands of years).

737 The tectonic evolution and kinematics of the west part of the Burgalesa Platform
738 domain from upper Triassic to present day show a Cretaceous tectonic inversion, local
739 reverse strain field during the early Oligocene and early Miocene, with a Normal strain
740 field during the middle Miocene. The active strain field is now defined by a shear
741 tectonic defined by e_y with N-S trend, activating E-W thrust and right-lateral faults with
742 WNW- and NW- trend.

743 Finally, we state that the determination of the active tectonic strain field, the recognition
744 and study of active faults within the area of influence (20 km), the estimation of the
745 maximum potential triggered natural earthquake, the modeling of the stress-change
746 during the fluid injection and stress-drop, probably improve the operations for a secure
747 storage. In a short future, earthquake scenarios will be the next step: modeling the



748 Coulomb static stress-changes due to fluid injection and the modeling of intensity maps
749 of horizontal seismic acceleration.
750



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756



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