

We would like to thank the editor and reviewers for their constructive comments. We took the recommendations into account and revised our manuscript accordingly. In this file, the comments are marked in black, our responses are in red, and changes are in violet.

Editor:

(1) comment:

I will additionally suggest you include your detailed response to the first comment of reviewer 1 in your revised manuscript as other readers may have the same concerns. I would also like to see the reference to Wong and Thomas included in the manuscript for the same reason.

I also think your paper would be more suitable as a Method paper instead of a Research paper within Solid Earth since it does not present new research results in the traditional sense and is really focused on a visualization method. If you can change the type of paper you are submitting, please do so. Otherwise we will try to figure out how to change it later.

(2) response:

Thanks for this comment. Our response to the referee 1 was included in the revised manuscript. The reference to Wong and Thomas was added. In the new submission, we changed the type of paper to Method paper.

(3) changes:

P13, lines 21-30, P14, lines 1-5, detailed response to the first comment of reviewer 1 was included.

P3, line 7, a reference was cited to support it.

Our article type was changed to method paper.

Referee 1:

(1) comment:

The authors present a platform where the aftershock data can be visualized well, including the 3D view, fault plane fitting and outliers removal. Although the platform may be helpful to researchers that have crucial demands on 3D data visualization, it is not that clear why this platform is new and important in finding new fault planes or aftershock migrations. The proposed procedure of determining fault planes in principle relies heavily on the amount and accuracy of the catalog, which plays a much more important role.

(2) response:

Thanks for this comment. It is true that the accuracy of aftershock data is of great importance in determining fault geometry and finding aftershock migrations. However, the methods used for data analysis also play a very important role. It is widely accepted that visual analytic with interactive visual interfaces can amplify human cognitive capabilities, and is suitable for solving some complex problem relying on closely coupled human and machine analysis. When applying to the aftershock catalog, visual analytic can therefore help to discover some new fault planes or weak signals of aftershock migrations, which might be hard to observe directly from

the data.

Specifically, the designed visual analytics procedure can assist the knowledge discovery from aftershock catalog in several ways: 1) by accelerating the fault segment discovery processing through 3D view manipulation (e.g. zooming, panning, and rotating); 2) by enhancing the recognition of fault segments pattern through rapid visual computing functions (e.g. interactive projection, plane fitting, and fault data fusing); 3) by reducing the influence from low-quality or irrelevant aftershock points through various filtering (e.g. depth, LOF, time, and magnitude filtering); 4) by enabling the aftershock migration exploration through providing more cognitive resources (e.g. animation, and propagation distance-time plotting).

(3) changes:

P3, lines 7-11, some sentences were rephrased. And our article type was changed to method paper.

P13, lines 21-30, P14, lines 1-5, detailed response was included.

(1) comment:

Below are some line comments:

p1, line 24: ' where they are divided into a number of subparallel segments with the lengths of approximately 10-25 km ', is this true for all types of faults?

(2) response:

Thanks for the comment. The cited reference used normal fault dataset and is published 22 years ago. We cited a more recent reference to update the description.

Manighetti, I., C. Caulet, L. Barros, C. Perrin, F. Cappa, and Y. Gaudemer (2015), Generic along-strike segmentation of Afar normal faults, East Africa: Implications on fault growth and stress heterogeneity on seismogenic fault planes, *Geochemistry Geophysics Geosystems*, 16(2), 443-467.

(3) changes:

P1, lines 27-30, a more recent reference was cited and the description was updated.

(1) comment:

p2, line 2, again, the complex fault geometry most directly results from an accurate catalog

(2) response:

Thanks for the comment. Given an accurate catalog, a proper analytic method is also important for finding more details.

(3) changes:

P13, lines 21-30, P14, lines 1-5, detailed response was included.

(1) comment:

p2, lines 15-25, it is not clear why the interactive visual analysis represents an 'emerging field', I think it's only a good way to help visually understand the data

(2) response:

Thanks for the comment. Visual analytics is considered as an outgrowth of the fields of data visualization [Pak Chung Wong and J. Thomas, 2004], which focuses on analytical reasoning facilitated by interactive visual interfaces. For better clarification, we down-phrase it from 'field' to 'research direction'.

Pak Chung Wong and J. Thomas (2004). "Visual Analytics". in: IEEE Computer Graphics and Applications, Volume 24, Issue 5, Sept.-Oct. 2004 Page(s): 20–21.

(3) changes:

P3, lines 7-8,, the sentence was rephrased and a reference was cited to support it.

(1) comment:

p2, line 16: assists -> assist

(2) response:

Thanks for the comment. It was corrected in the revised manuscript.

(3) changes:

p2, line 28: corrected.

(1) comment:

p5, line 19, from point p (to) the k-th

(2) response:

Thanks for the comment. It was corrected in the revised manuscript.

(3) changes:

P6, line 27: corrected.

(1) comment:

p8, line 7, any references for the triggering relationship?

(2) response:

Thanks for the comment. We will include the following reference to support the triggering

relationship.

Papadopoulos, G. A., et al. "Earthquake triggering inferred from rupture histories, DInSAR ground deformation and stress-transfer modelling: the case of Central Italy during August 2016–January 2017." *Pure and Applied Geophysics* 174.10 (2017): 3689-3711.

(3) changes:

P10, line 1-2: a recent reference was cited to support the triggering relationship.

(1) comment:

p9, line 12, is should -> should

(2) response:

Thanks for the comment. It would be corrected in the revised manuscript.

(3) changes:

P11, line 13: corrected.

(1) comment:

p10, line 3, the migration of aftershocks could also be related to afterslip and/or pressure transients

(2) response:

Thanks for the suggestion. We included the afterslip and/or pressure transients as the possible mechanisms for aftershock migration in the revised manuscript.

(3) changes:

p12, lines 8-9: the afterslip and/or pressure transients were included as the possible mechanisms for aftershock migration

(1) comment:

p10, line 9, the northward trend is not obvious in Figure 7a

(2) response:

Thanks for the comment. The aftershock points in the north (left points on the figure) happened more lately (colored by red) than south. We included north arrow and color bar to better demonstrate the northward trend of aftershock migration in the revised manuscript.

(3) changes:

P23: Figure 7 is replotted and the caption was rephrased to described the northward trend.

Referee 2:

(1) comment:

Wang, et al. developed an interface to easily visualize aftershock distribution in a 3D setting. They produced a Matlab GUI that allows common processing and visualization techniques when interpreting aftershock temporal and spatial distributions.

In my opinion, this paper is peculiar as it does not produce any new scientific results, but offers a new computational tool to visualize and evaluate datasets. In that sense, I am not completely sure it fits within the traditional scope of SE. Now, we have to admit that a lot of the publications on data analysis only plot the data sets in different way to describe and understand them. So I understand the need for a simple tool to do so. I really appreciate that the tool is freely accessible and has 1) a GUI and 2) a GUI that is easy to use. The tool is still at a prototype stage but can be already used to start analyzing real dataset, as shown in the manuscript.

(2) response:

Thanks for the comment. Visual analytics is considered as an outgrowth of the fields of data visualization [Pak Chung Wong and J. Thomas, 2004], which focuses on analytical reasoning facilitated by interactive visual interfaces. The visualization of seismic refraction data has already been widely applied to explore the distribution of petroleum and gas. However, to date, studies using the state-of-art visual analytics method to explore other kinds of seismic data (e.g. aftershock point cloud) to gain information about earthquakes have been lacking.

This study may be a little different from traditional earthquake research paper, because it focuses on visualization analytics method rather than traditional data processing algorithm. There are already a large number of automatic data analysis methods have been developed for earthquake data processing. However, the complex nature of earthquake problems makes it indispensable to include human intelligence at an early stage in the data analysis process. Visual analytics methods allow researchers to combine their human flexibility, creativity, and background knowledge with the data analysis capabilities of computer, to gain valuable knowledges from the complex earthquake data.

Our work proposes a novel interactive visualization method for exploring the 3D aftershock point cloud data. It is an attempt to test the potential of visual analytics methods in earthquake studies. The proposed visualization approach has been implemented in a software package (Aftershock Visualization [AFV1.0], <https://github.com/caigenszu>) and freely accessible to the scientific community. We believe this approach and the corresponding software package can benefit the scientific community for understanding complex fault system from aftershock data.

(3) changes:

P3, lines 7-11, some sentences were rephrased. And our article type was changed to method paper.

(1) comment:

Although the main goal of such tool is to analyze complex aftershock sequence, I would advise the authors to not neglect the educational potential of such approach. Additionally, point clouds can also be used for analyzing tremor migration, and for tracking aseismic slip more generally. I would be interested to see how this tool could be used for tremors too.

(2) response:

Thanks for the comment. We included the description for educational potential of this visualization analysis tool. We also discussed the potential of this tool for analyzing tremor migration, and tracking aseismic slip in the revised manuscript.

(3) changes:

P13, lines 12-13, P14, lines 9-11: The educational potential is mentioned and the potential of this tool for analyzing tremor migration, and tracking aseismic slip was included.

(1) comment:

Page 1 line 25: “along the up-dip or along the down-dip” directions?

(2) response:

Thanks for the comment. It would be corrected in the revised manuscript.

(3) changes:

Page 2 lines 1-2: corrected.

(1) comment:

Page 2 line 9 mainshock, not “the mains shocks” Page 9 line 12 should, not “is should”

(2) response:

Thanks for the comment. It was corrected in the revised manuscript.

(3) changes:

P11, line 13: corrected.

(1) comment:

Figure 3 need labels on the x and y axes Figure 7 a colorbar would be useful for the time evolution

(2) response:

Thanks for the comment. The labels were included for Figure 3 and the colorbar was included for Figure 7 in the revised manuscript.

(3) changes:

P20, P23: labels and colorbar were added.

(1) comment:

The captions of Figures 3,6 and 7 should describe more what we see, so that we understand the approach and the plotted results while reading the caption

(2) response:

Thanks for the comment. We will include more description in the caption in the revised manuscript.

(3) changes:

P20, P22, P23: more descriptions were included in the captions.

Visual analytics of the aftershock point cloud data in complex fault systems

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Abstract. Aftershock point cloud data provide direct evidence for the characteristics of underground faults. However, there has been a dearth of studies using the state-of-art visual analytics methods to explore the data. In this paper, we present a novel interactive visual analysis approach for visualizing the aftershock point cloud. Our method employs a variety of interactive operations, rapid visual computing functions, flexible display modes and various filtering approaches to present and explore the desired information for the fault geometry and aftershock dynamics. The case study conducted for the 2016 central Italy earthquake sequence shows that the proposed approach can facilitate the discovery of the geometry of the four main fault segments and three secondary fault segments. It can also clearly reveal the spatio-temporal evolution of the aftershocks, helping to find the fluid-driven mechanism of this sequence. An open-source prototype system based on the approach is also developed and is freely available.

25 1 Introduction

Fault complexity of earthquakes is universal in all types of faults (strike-slip, normal, and reverse).

~~Usually, the faults are segmented along the strike by geometrical discontinuities where they are divided into a number of subparallel segments with the lengths of approximately 10-25 km [Scholz, 1997].~~ Usually, the faults are divided into a number of subparallel segments along the strike by geometrical discontinuities [Manighetti et al., 2015]. In some cases, the depth segmentation of a fault is

also observed [J R Elliott et al., 2011] where the cascading earthquake occurs successively along the ~~up-~~
~~dip or along the down-~~dip. The geometrical discontinuities in the complex fault structure can sometimes
impede and stop the rupture propagation and limit the earthquake magnitude, and in some cases act as a
high-permeability conduit to allow fluid migration and control the spatio-temporal sequence of the
5 subsequent earthquakes [Walters et al., 2018]. The elastic rebound theory based on the earthquake cycle
concept is commonly applied for long-term earthquake prediction. However, the complex fault structure
complicates the seismic hazard assessment because theoretical models based on simplistic assumptions
(e.g. single dynamic rupture) were found to be inapplicable to natural faults [Barbot et al., 2012]. The
time intervals for cascading fault rupture due to fault segmentation range from seconds to years on a
10 case-by-case basis, while the control factors of the faults are still not clearly understood. Therefore, it is
highly important to understand the complex fault geometry and such understanding may provide useful
insight into fault mechanics and help to constrain the existing theoretical models.

Due to the past lack of ability to conduct high-resolution observations, it is always difficult to define the
15 geometry of the active fault system. Recent developments of both geodetic and seismic observation
techniques make it possible to reveal the fault geometry at the surface and depth. Advanced SAR geodesy
provides near-field deformation and can be used to directly map the fault surface and constrain the deep
fault through deformation inversion. The construction of an increasing number of seismic stations allows
the identification of the locations of a large number of aftershocks following the mains shocks. The point
20 cloud of the located aftershocks contains the information regarding both the three-dimensional
coordinates and precise time of occurrence that can directly reveal the fault geometry and temporal
evolution of the earthquake sequence. Current interpretation methods for aftershock cloud are mostly
case-specific rather than general, and to date, no standard processing procedure for visualization of these
data has been available. While many studies plot the cross-section profile of the aftershock point cloud
25 to reveal the dip of the fault plane [J Elliott et al., 2013; Hengesh and Whitney, 2016; Irmak et al., 2012;
Li et al., 2010], these simple visualization methods usually have the following limitations. 1) Lack of
interactive operations. The visualization methods provide a static display without an interactive interface
to ~~assists~~assist the users to perform effective analyses through various human-computer interactions. 2)
Lack of visual analysis. The current methods simply present these data without computing the feature
30 (e.g. plane fitting or local outlier factor value calculation) to enhance the structure. 3) Lack of noise

filtering. While the aftershock locations normally contain many uncertainties, most studies do not consider either the identification or removal of these noisy points. As was shown in many recent studies, aftershock data can reveal much more information if these data are presented well, and this is particularly true for a complex fault system [Walters *et al.*, 2018]. Therefore, it is necessary to develop an interactive
5 visual system to effectively mine information from the aftershock data.

Visual analytics is an ~~emerging field that~~ research direction of the fields of data visualization [Wong and Thomas, 2004], which integrates new computational and theory-based tools with innovative interactive techniques and visual representations to enable human-information discourse. ~~This approach~~ How to
10 efficiently transform large earth observation data into knowledge is a tremendous challenge in the big data era [Xia *et al.*, 2018]. Visual analytics is highly advantageous and has good potential in complex data analytics for intuitive information representation and dynamic data exploration [Ward *et al.*, 2015]. The importance of scientific visualization has been recognized for a long time [McCormick, 1988]. Specifically, in geophysics, the visualization of seismic refraction data has already been widely applied
15 to explore the distribution of petroleum and gas [R Liu *et al.*, 2018; Raposo *et al.*, 2016]. However, to date, studies using the state-of-art visual analytics method to explore other kinds of seismic data (e.g. aftershock point cloud) to gain information about earthquakes have been lacking.

In this study, we propose a novel interactive visualization method for exploring the 3D aftershock point
20 cloud data. This method can help researchers to better understand the complex fault geometry in three ways: 1) A set of interactive operations is provided to stimulate creative analysts and exploit the researchers' background knowledge; 2) On-the-fly computation of the local outlier factor (LOF), 2D projection and plane fitting are supported in the visual analysis so that the hidden features of the fault structure can be discovered dynamically; 3) The noisy or irrelevant aftershock points can be filtered to
25 reduce the distractions from invalid information.

2 Visual analytics of aftershock data

Figure 1 shows the flowchart of the proposed approach. Initially, we imported the aftershock point data from an earthquake catalog or literature into our system. At the same time, the LOF values for all of the

points are computed automatically. Then, the points are presented in a 3D view window, allowing free rotation, panning and zooming to observe the point structure. The earthquake fault, either from an existing source or from inferred information, can be imported to the 3D view as well. After defining the parameters for the projection (i.e. strike and dip of the plane, and azimuth of the projection direction), the 2D points are rapidly computed and presented. Then, some interactions, i.e. coloring and filtering, can be performed on the 3D point clouds and 2D plane projection to enhance the structure. From the 2D plane projection, two interactions are further provided for exploring the fault geometry and aftershock dynamics, respectively. The first is the selection and plane fitting of a point cluster on a potential plane. After interactively selecting the clustered points, a plane will be automatically fitted by a robust estimator to reveal the potential fault structure. The second is the observation of aftershock migration. Animation of the aftershocks is provided so that a preliminary recognition of the migration direction can be obtained. Then, the user can define a propagation direction to further explore the aftershock evolution. After assigning the direction, the distance traveled by the aftershocks along the specified direction as function of time will be plotted, which is helpful for discovering the driving factor of aftershocks migration. We note that when the preliminary exploration of the fault geometry or earthquake dynamics are finished, the users can return to the previous interactions to refine their interpretation of the data.

2.1 Visual Design

As shown in Figure 2, the user interface includes four main components. The first component is the operation tools panel with all buttons, sliders, textboxes and labels. It includes most interactions in the visual analytics. The second component is the 3D view panel for presenting cloud points and fault planes. The third component is the 2D view panel for presenting the points in the 2D projection plane. The fourth component is a temporal panel that switches between 3D fault plane fitting plotting and propagation distance-time plotting, corresponding to the explorations of the fault geometry and aftershock dynamics, respectively.

2.2 Interactive operations

The easy-to-use interactions represent a significant difference between the proposed method and conventional aftershock interpretation methods. The traditional static displays prevent the analyst from directly and rapidly exploring the aftershock data. However, the easy-to-use interactive displays can lead

to deeper insight into 3D aftershock cloud point data and discover new fault structure or spatial-temporal evolution pattern. These interactive operations are described as follows:

3D View Manipulation: The point cloud data can be displayed in a 3D view control (Figure 2b). Some
5 typical 3D interactions are available including zooming, panning, rotating, data tips, and data brushing (Figure 2a), allowing interactive exploration and editing of the plotted data to improve the visual display.

Interactive Projection: The cross-section plot is the main visualization approach for exploring the complex fault geometry (Figure 2c). The faults are normally represented by linear features when the
10 aftershocks are projected onto a plane perpendicular to the fault. We offer an interface to input the strike and dip of the projection plane for rapid plotting of a desired plane projection profile.

Dynamic Filtering: Noisy or irrelevant data can significantly affect the interpretation of the aftershock point cloud. The filtering or denoising interaction is an important step for removing noisy or irrelevant
15 aftershock points while retaining the fault geometry details. The filtering is based on the four features of the aftershocks, namely the depth, LOF, time, and magnitude (Figure 2a). LOF is an index for finding anomalous data points that will be introduced below [Breunig *et al.*, 2000]. Filtering based on LOF is used to denoise the data.

Color Switching: The feature for coloring the aftershock points can be quickly switched between the
20 depth, LOF, time, and magnitude, allowing the users to effectively understand the data from different dimensions (Figure 2a).

Fault Plane Fitting: To test the probability of an identified fault, the users first brush the points that
25 form a linear feature in the cross-section plot (Figure 2c). A fault plane will be automatically fitted to these points based on a robust estimator (Figure 2d). This interaction combines expert knowledge and visual computing, allowing the user to quantitatively locate the potential fault structure.

Fault Data Fusing: Users can import the faults to the 3D view in order to assist the visualization and analysis of the aftershock point cloud (Figure 2b). These faults can be hypothetical seismogenic faults or background fault system.

5 **Animation:** The aftershocks can be plotted gradually to form an animation in both the 3D view and the 2D cross-section plot (Figures 2b and 2c). Animated visualization has unique advantages and aiding the viewers to interpret the evolution of the aftershocks, making comparisons between different points in time, chunking the aftershock points into smaller datasets, focusing attention, and anticipating and understanding the spatio-temporal changes in the aftershocks.

10

Propagation distance-time plotting: To further quantify the migration process of the aftershocks, users can define a propagation direction (Figure 2c) and plot the distance along this direction as a function of time (Figure 2d). The temporal trend may reveal the mechanism (e.g. fluid diffusion) underlying the aftershock migration.

15 **2.3 LOF calculation**

Because of the limitations due to the resolution of seismic data inversion, there is some uncertainty in the location of the aftershocks, so that some earthquake points show large deviations from the fault plane. Usually, the original aftershock data do not contain any information about this uncertainty, and we cannot eliminate these outliers. In this study, we proposed to use the LOF which is commonly used in the
20 identification of the outliers in the LiDAR point cloud [Wang *et al.*, 2019] to detect the anomalous points that deviate distinctly from the fault plane. The LOF [Breunig *et al.*, 2000] is based on the concept of local density, which is estimated by the reachability distance of the object to its k nearest neighbors. Points with a substantially lower density than their neighbors are considered outliers. By comparing the local reachability density of the object to those of its neighbors, its LOF value can be obtained. The LOF
25 is calculated as follows:

Let $kd(p)$ be the k -distance of a point p , defined as the distance from point p to the k -th nearest neighbor.

The reachability distance between point p and o is then given by,

$$rd_k(p, o) = \max\{kd(o), d(p, o)\} \quad (1)$$

where $d(p, o)$ is the actual distance between p and o .

The local reachability density of an object p is then defined by,

$$\text{lrd}_k(p) = \frac{|N_k(p)|}{\sum_{o \in N_k(p)} \text{rd}_k(p, o)} \quad (2)$$

5

where $N_k(p)$ is the k nearest neighborhood, defined as the set of points within the distance of the k -distance (p).

The LOF for point p is then obtained by,

$$\text{LOF}_k(p) = \frac{\sum_{o \in N_k(p)} \text{lrd}_k(o)}{|N_k(p)| \text{lrd}_k(p)} \quad (3)$$

10

Figure 3 shows an example of the calculated LOF values where an LOF value significantly larger than 1 indicates a sparse region.

2.4 2D Projection

Projecting the 3D aftershock point cloud to a 2D plane can significantly enhance the fault geometry features. As mentioned above, we offer an interactive way to rapidly compute the projection when a plane and projection direction are assigned. To make the interactive operation user-friendly for geologists, we use geological terms, i.e. strike and dip, to define the orientation of the projection plane, and azimuth angle to define the projection angle. We firstly calculate 3D geographic coordinates of the projected points on the projection plane for aftershocks according to the Line–plane intersection equation. The 3D geographic coordinates are then transformed to 2D local coordinate system by two element rotations, a z-axis rotation by an angle of strike-90, and a x-axis rotation by an angle of -dip, as shown in Figure 4a.

20

The rotation matrices (\mathbf{R}_z and \mathbf{R}_x) are given by,

$$\mathbf{R}_z(\text{strike} - 90) = \begin{bmatrix} \cos(\text{strike} - 90) & -\sin(\text{strike} - 90) & 0 \\ \sin(\text{strike} - 90) & \cos(\text{strike} - 90) & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (4)$$

$$\mathbf{R}_x(90 - \text{dip}) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(-\text{dip}) & -\sin(-\text{dip}) \\ 0 & \sin(-\text{dip}) & \cos(-\text{dip}) \end{bmatrix} \quad (5)$$

The projected coordinates (\mathbf{P}) from the aftershock point (\mathbf{u}) is given by,

$$\mathbf{P} = \mathbf{R}_x \mathbf{R}_z \mathbf{u} \quad (6)$$

where the x- and y- coordinates of \mathbf{P} correspond to the coordinates in the projection plane, and the z- coordinate represents the distance to the plane.

2.5 Plane fitting

When the analyst identifies a potential linear feature from the cross-section plot, the plane fitting can rapidly evaluate the possible feature and provides the precise geometric parameters. In this study, we used an algorithm based on the singular value decomposition to fit a plane to the given 3D aftershock points. The calculation of the parameters of the plane ($ax+by+cz=d$) based on the singular value decomposition (SVD) method is carried out as follows.

Give a set of points ($x_i, y_i, z_i, i = 1 \dots n$) and their center point ($\bar{x}, \bar{y}, \bar{z}$), we form a matrix A as given by,

$$\mathbf{A} = \begin{bmatrix} x_1 - \bar{x} & y_1 - \bar{y} & z_1 - \bar{z} \\ x_2 - \bar{x} & y_2 - \bar{y} & z_2 - \bar{z} \\ x_3 - \bar{x} & y_3 - \bar{y} & z_3 - \bar{z} \\ \vdots & \vdots & \vdots \\ x_n - \bar{x} & y_n - \bar{y} & z_n - \bar{z} \end{bmatrix} \quad (7)$$

We applied an SVD to matrix A

$$\mathbf{A} = \mathbf{U} \mathbf{D} \mathbf{V}^T \quad (8)$$

The parameter vector [a, b, c] is just the smallest singular vector corresponding to the least singular value, and the last parameter d can be obtained by,

$$d = a\bar{x} + b\bar{y} + c\bar{z} \quad (9)$$

To avoid disturbance from noisy points, we include an iterative step to identify outliers and exclude them from plane fitting. This step is carried out using the following algorithm,

- 1) Fit a plane using the available points;
- 2) Calculate the distance (\mathbf{D}) of the points to the plane;
- 3) Calculate the root mean square error (σ) of all of the distances (\mathbf{D});

- 4) Find points with distances 3 times larger than σ and define them as outliers;
- 5) Remove these points and then return to step 1;
- 6) Stop when there is no outlier found, and return the plane parameter.

5 Given the normal vector of the fitted plane (a, b, c), the strike and dip of the fault plane are given by (Figure 4b),

$$\text{strike} = \text{atan2}(\text{sign}(c) \times a, \text{sign}(c) \times b) \quad (10)$$

$$\text{dip} = \text{acos}(c/\sqrt{a^2 + b^2 + c^2}) \quad (11)$$

where $\text{atan2}(Y, X)$ is the four quadrant arctangent of the elements of X and Y, $-\pi \leq \text{atan2}(Y, X) \leq \pi$, and $\text{sign}(\cdot)$ is the signum function that returns 1 for a positive element and -1 for a negative element.

2.6 Prototype system

10 The proposed visualization approach has been implemented in a software package (Aftershock Visualization [AFV1.0], <https://github.com/caigenszu>) and is freely accessible to the scientific community. This prototype system was developed in Matlab with Graphical User Interface (GUI), providing point-and-click control for all operations. An analyst with no programming background does not need to learn a new language or type commands to run the application. Meanwhile, the source code
15 of the package is also provided so that advanced users can easily read the data and results throughout the visualization processing, and can modify the package to include their custom-built modules. This package requires an input file consisting of the aftershock point cloud table in text format with each row represent a point, and the columns representing utm-x, utm-y, depth, magnitude, time (days from reference time), LOF, and event flag (whether or not the shock is the main shock) in sequence. An
20 alternative input file is the fault plane used to assist data interpretation. Each fault plane is represented by one row, and the columns represent strike, dip, center utm-x, center utm-y, length, upper-depth and bottom-depth.

3. Case study: the 2016 central Italy earthquake sequence

On 24 August 2016 (UTC 01:36, local time 03:36), a destructive earthquake (Mw 6.2) occurred in central
25 Italy (the Amatrice earthquake). The epicenter was located at 42.70°N, 13.23°E, between the towns of Norcia and Amatrice. Two months later, two major earthquakes were triggered to the northwest of the

Amatrice earthquake on 26 October (19:18 UTC) and 30 October (19:18 UTC),) [[Papadopoulos et al., 2017](#)], with individual moment magnitudes of 6.1 (Visso earthquake) and 6.6 (Norcia earthquake). The earthquake sequence occurred across several fault systems (Figure 5), including the Mt. Vettore–Mt. Bove Fault system (VBFS) and the Mt. Gorzano Fault system (GFS). The VBFS is a SW-dipping fault system, composed of different splays and segments. The fault system scarps are exposed along the SW foothills of Mt. Vettore, Mt. Porche, and Mt. Bove, with a length of ~27 km. The GFS is a SW-dipping fault, with a ~26 km long fault scarp developing along the foothills of Mt. Gorzano. These two faults are segmented by existing tectonic structures inherited from pre-Quaternary compressional tectonics [[Pizzi and Galadini, 2009](#)]. A ~3 km thick layer in which small events and some large extensional aftershocks occur is found below the seismogenic fault, limiting the seismicity to the first 8 km of the crust [[Chiaraluce et al., 2017](#)].

Many recent studies reported in the literature have revealed a very complex fault geometry for this earthquake sequence. In addition to the main range-front normal faulting structures that were adopted in many early studies [[C Liu et al., 2017](#); [Tinti et al., 2016](#)], recent studies find increasing evidence for some additional minor antithetic and synthetic faults [[Cheloni et al., 2017](#); [Chiaraluce et al., 2017](#); [Scognamiglio et al., 2018](#); [Walters et al., 2018](#)]. The activation of this secondary fault structure has important implication for evaluating the seismic hazard in this section of central Apennines. The complex fault geometry also plays an important role in channeling fluid diffusion, and affects the spatial order of the cascading earthquakes. The aftershock distribution provides direct evidence for the fault geometry and underground dynamics. By interactively visualizing these data, we can obtain useful information regarding both the fault geometry and earthquake dynamics. Additionally, a relocated aftershock dataset has been provided in the work of [Chiaraluce et al. \[2017\]](#), making this earthquake sequence an ideal case for applying our proposed interactive visualization method. Below, we demonstrate the benefits of our method in identifying fault geometry and aftershock migration.

3.1 Fault geometry identification

According to previous studies, several fault segments are involved in this earthquake sequence. Some of these are the main fault segments located in the GFS-VBFS fault system while others are secondary fault segments including an NE dipping normal fault antithetic to the GFS-VBFS fault system, and a

preexisting compressional structure that is likely related to a segment of the Sibillini Thrust. We will show how our interactive visualization method can facilitate the recognition of these structures.

Following the flowchart shown in Figure 1, we first import the relocated aftershock data from the results
5 obtained by *Chiaraluce et al.* [2017] to the 3D view. Some of the basic interactions provided by this
package, i.e. zooming, panning, rotating, data tips, and data brushing, can be used to explore the data.
LOF values were then calculated for all points to identify the aftershock outliers. We can interactively
select to show some of the points according to their location, depth, magnitude, LOF, and time, and
project them to a desired plane. After we found a linear feature in the projection plane, we select the
10 points comprising the linear feature and automatically fit a plane to the feature (Figure 6).

First, we identify the four main fault planes by projecting the cloud point to a plane with 70° strike and
90⁰ dip. This plane is approximately perpendicular to the GFS-VBFS fault system, and ~~is~~ should reveal
the cross-sections of the fault plane well. To better illuminate the linear features of the faults, we filter
15 the aftershock points according to the fault location and the spanning time of the aftershocks on the fault.
To denoise the point cloud, the aftershocks with the LOF value larger than 2 are filtered. We then selected
the points around the linear feature for each fault plane, and automatically obtained the suggested
geometry parameters of the fitted plane as shown in Table 1, finding that the obtained parameters are in
overall agreement with the main seismogenic fault planes in previous studies.

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Second, we explore the point cloud to find other secondary faults. Since there is no hint for the existence
of these faults, we used the basic interaction tools in 3D view and the filtering function in our package
to find the possible fault geometry. An obvious cluster of aftershock points is found on an antithetic NE
dipping plane in the Norcia area. We therefore also project the cloud point to a plane with 70° strike and
25 90° dip. After filtering the points by location and LOF value, we obtain a very strong linear fault signal
as shown in Figure 6. Fault fitting gives a strike -38° of and dip of 59.8° for the Norcia antithetic fault.
However, other fault structures revealed in the literature are not very obvious in the aftershock cloud
point. We then project the point cloud to a simplified seismogenic fault (strike=160, dip=50) along a
horizontal vector with azimuth 15, using the projection settings from *Walters et al.* [2018] . We filter the
30 points by the magnitude, location, timing and LOF and then, two linear structure were observed as shown

in Figure 6. The structure on the right is the NW dipping Pian Piccole fault that generates a significant surface displacement signal in the InSAR measurements. The structure on the left is an east-dipping fault that was only inferred in *Walters et al.* [2018]. We picked the points around these linear structures for plane fitting and obtain a strike of -114° and dip of 46.5° for the Pian Piccole fault, and a strike of 7.8° and dip of 85.3° for the inferred fault.

3.2 Migration of aftershocks

Besides the static analysis of aftershocks to find the fault geometry, the timing information of aftershocks have potential to reveal the earthquake dynamics ~~such as related to~~ fluid diffusion, ~~afterslip and/or pressure transients~~. Fluid diffusion is a main factor in triggering seismicity as observed in many tectonic regimes [Vidale and Shearer, 2006]. It was also proposed to have been present in the nearby 2009 L'Aquila earthquake [Malagnini et al., 2012]. ~~The migration of aftershocks provides a direct evidence for such diffusive process.~~ The migration of the aftershocks ~~provides direct evidence~~ could provide some evidences for such diffusive process. *Walters et al.* [2018] found a significant fluid-driven aftershock migration between the Amatrice earthquake and Visso earthquake controlled by the fault intersection in this earthquake sequence.

To observe the spatio-temporal process, we project the aftershocks to the seismogenic fault along a horizontal vector with azimuth 15 as described above. The points are colored by their rupture time. As shown in Figure 7a, we can observe a trend of the color change from green to red along the northward direction, implying the clear directionality of the aftershock migration. We then used the animation operation to plot the aftershock points step by step as function of time in both the 2D projection plane and 3D view. The directional propagation of the aftershocks can be observed much more clearly.

Next, we can define a starting point and an assumed direction in the projection plane. After drawing the start point and the propagation line, the distances of the aftershocks to the starting point along the direction can be calculated automatically. They are then plotted as a function of time to further reveal the time evolution of the aftershocks. Following the work of *Walters et al.* [2018], we defined a starting point in the peak slip of the Amatrice earthquake and a direction perpendicular to the Pian Piccolo fault. A clear temporal trend of the aftershocks was then observed in the plot (Figure 7b). Therefore, the observed

spatio-temporal pattern of aftershock migration can be interpreted by the seismologist to understand the earthquake mechanism and infer the possible controlling factor for the fault rupture. In this case, the diffusive-like aftershock temporal trend can be further interpreted using a pore fluid source model. Through the interactive visualization operations, we can observe that aftershock propagation is consistent with a diffusive process and can also observe the spatial concentration of the aftershocks along the fault intersection. This information can be used to derive the conclusion, as described in the work of *Walters et al.* [2018], that the intersecting structures act to channel the fluids and control the timing and order of the subsequent earthquakes.

4. Conclusion

We present a novel interactive approach and develop a prototype system to illustrate 3D aftershock point cloud that can help the geophysicist and seismologist to better understand the geometry of the complex fault system and the spatio-temporal pattern of the aftershocks. Following Moreover, it can be applied in educational scenario to facilitate student learning. In this approach, we design a set of interactive operations to facilitate the exploration of the data. Fast computation of LOF, 2D projection and plane fitting are implemented in addition to the visualization to reveal additional, hidden information that is not obvious in the original data. Additionally, the point cloud can be visualized in many ways (e.g. 3D view, 2D plane projection, and animation), aiding viewers in interpreting the aftershock point cloud. A wide range of data filtering options (e.g. by magnitude, depth, location, time and LOF.) enable the users to avoid interference from invalid data, in order to focus on the useful information.

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It is widely accepted that visual analytic with interactive visual interfaces can amplify human cognitive capabilities, and is suitable for solving some complex problem relying on closely coupled human and machine analysis. When applying to the aftershock catalog, visual analytic can therefore help to discover some new fault planes or weak signals of aftershock migrations, which might be hard to observe directly from the data. Specifically, the designed visual analytics procedure can assist the knowledge discovery from aftershock catalog in several ways: 1) by accelerating the fault segment discovery processing through 3D view manipulation (e.g. zooming, panning, and rotating); 2) by enhancing the recognition of fault segments pattern through rapid visual computing functions (e.g. interactive projection, plane fitting,

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and fault data fusing); 3) by reducing the influence from low-quality or irrelevant aftershock points through various filtering (e.g. depth, LOF, time, and magnitude filtering); 4) by enabling the aftershock migration exploration through providing more cognitive resources (e.g. animation, and propagation distance-time plotting).

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A case study of the 2016 Central Italy earthquake sequence shows that the proposed approach can complete a series of complex visualization operations in an user-friendly interactive manner. We can conveniently infer the fault geometry for both the main faults and the secondary faults, and clearly observe the spatio-temporal pattern of the fluid-driven aftershock migration. ~~In future work~~In future work, we would like examine the capability of this tool for more aftershock point data applications, such as analyzing tremor migration, and tracking aseismic slip. Also, we plan to include more kinds of fault information (e.g. InSAR, GPS, source model, and fault scarp) into the visualization in order to ~~to~~ further facilitate the analysis of earthquake fault behavior.

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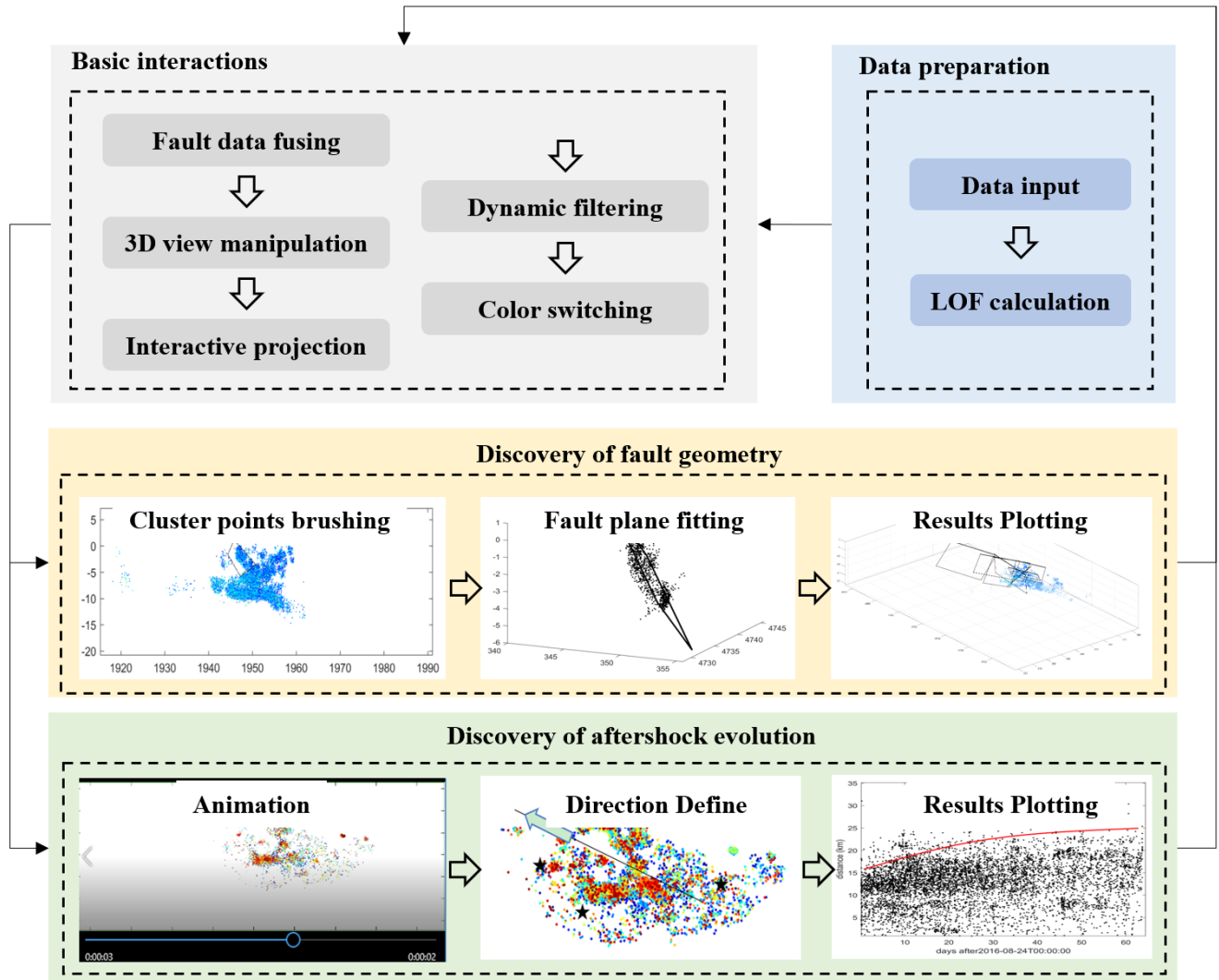


Figure 1: Flowchart of the proposed approach.

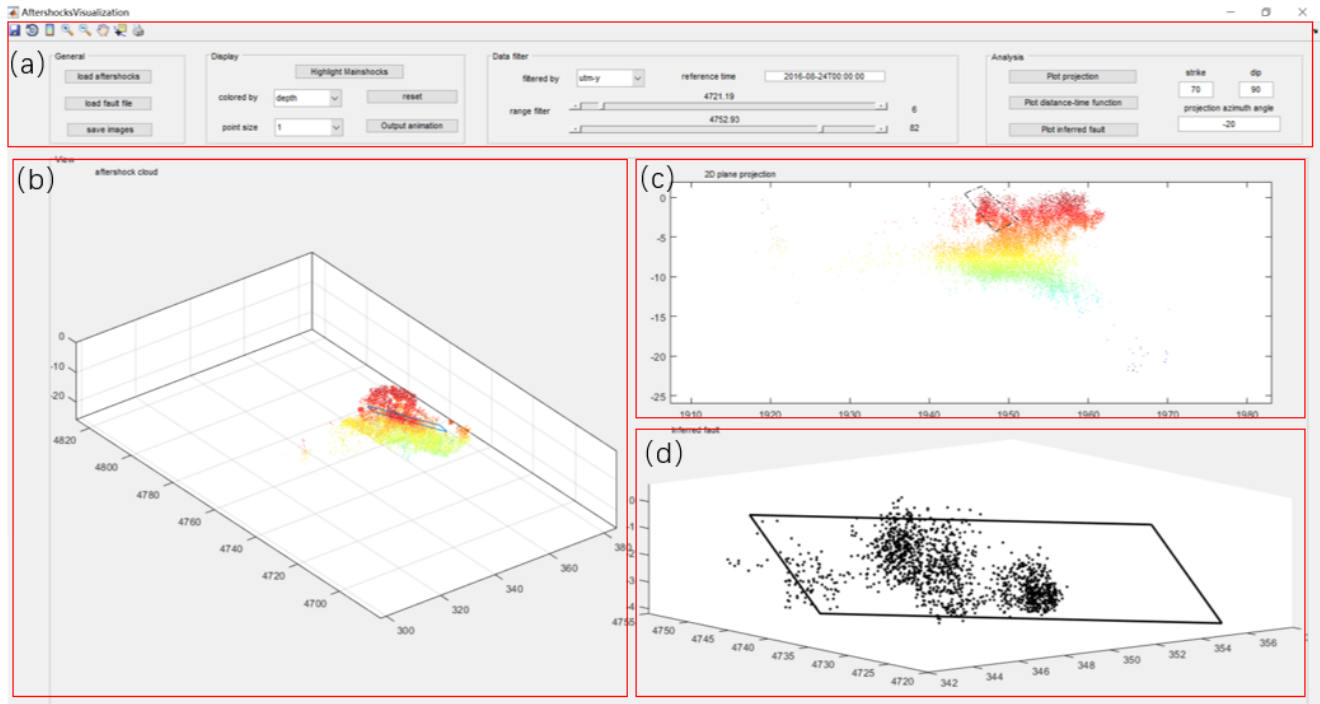


Figure 2. Visual interface of our approach consisting of 4 interrelated components: (a) Operation tools, (b) 3D view, (c) 2D projection view, and (d) temporal view for plotting fitted fault plane or distance-time function.

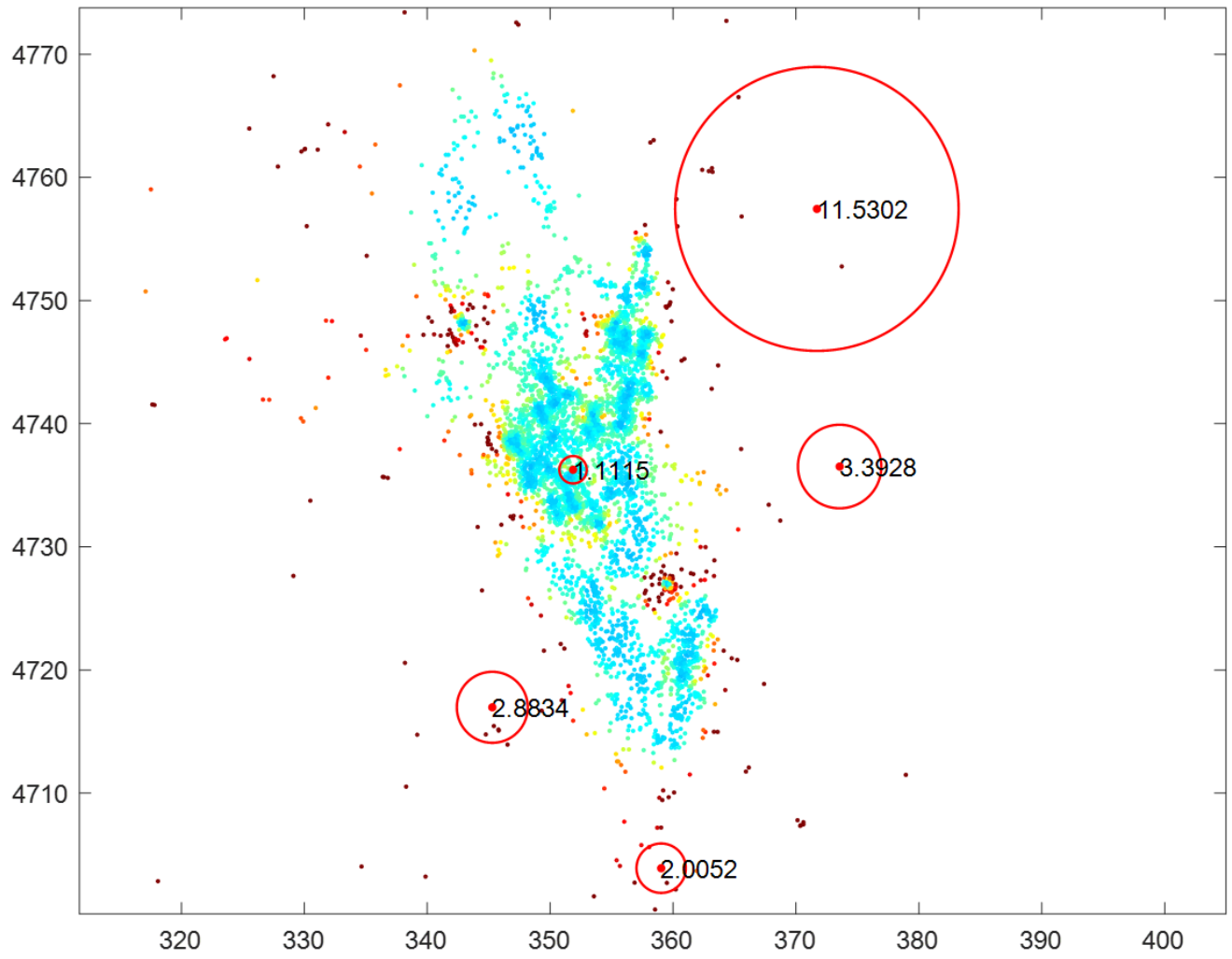


Figure 3. An example of LOF scores for 2-D points

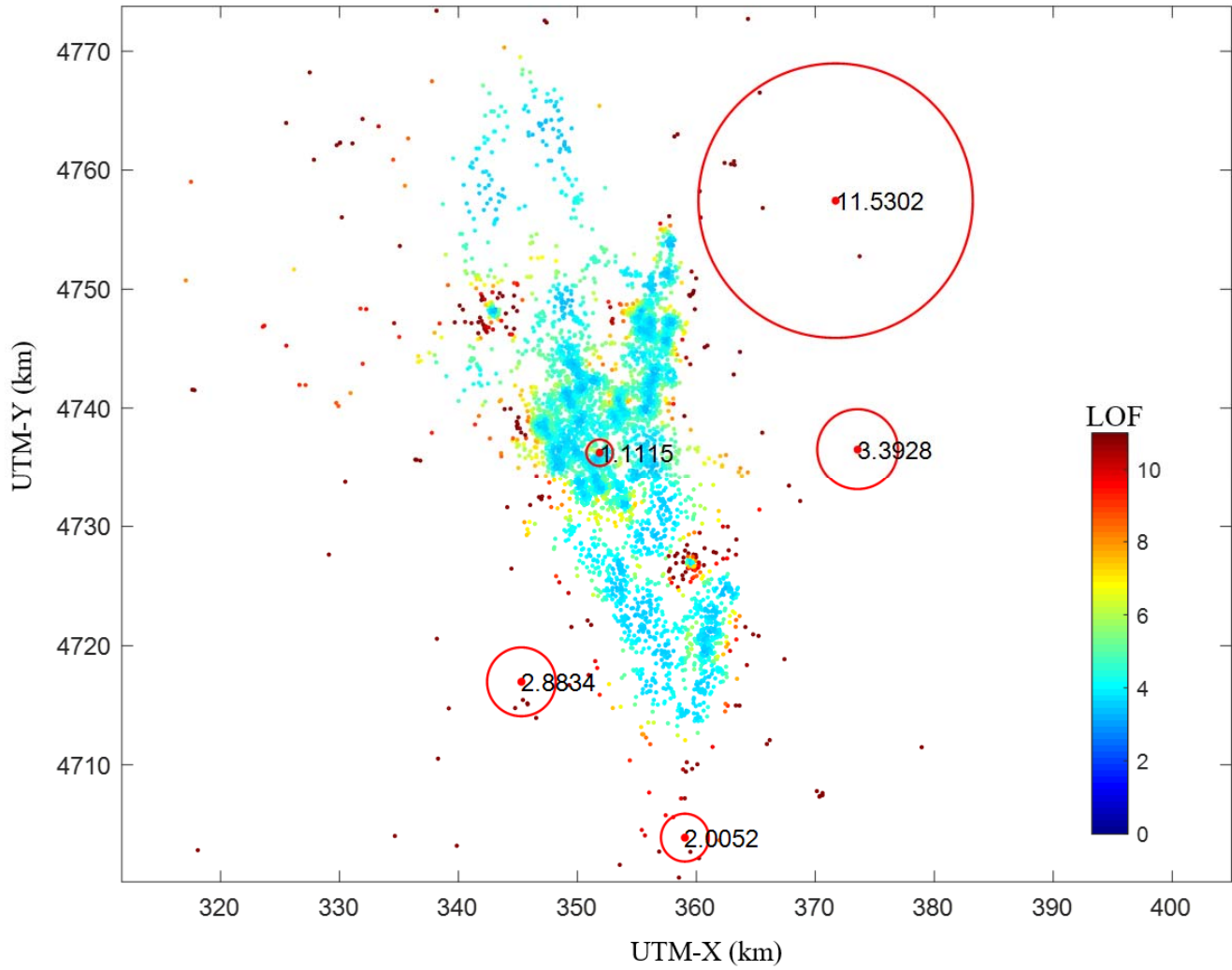


Figure 3. An example of LOF scores for 2-D points. Each point is colored by its LOF score. The radius of red cycles on five selected points are in direct proportion to their LOF score (plotted in the center). It is observed that the central points with high density have small LOF scores, while the surrounding points have large ones.

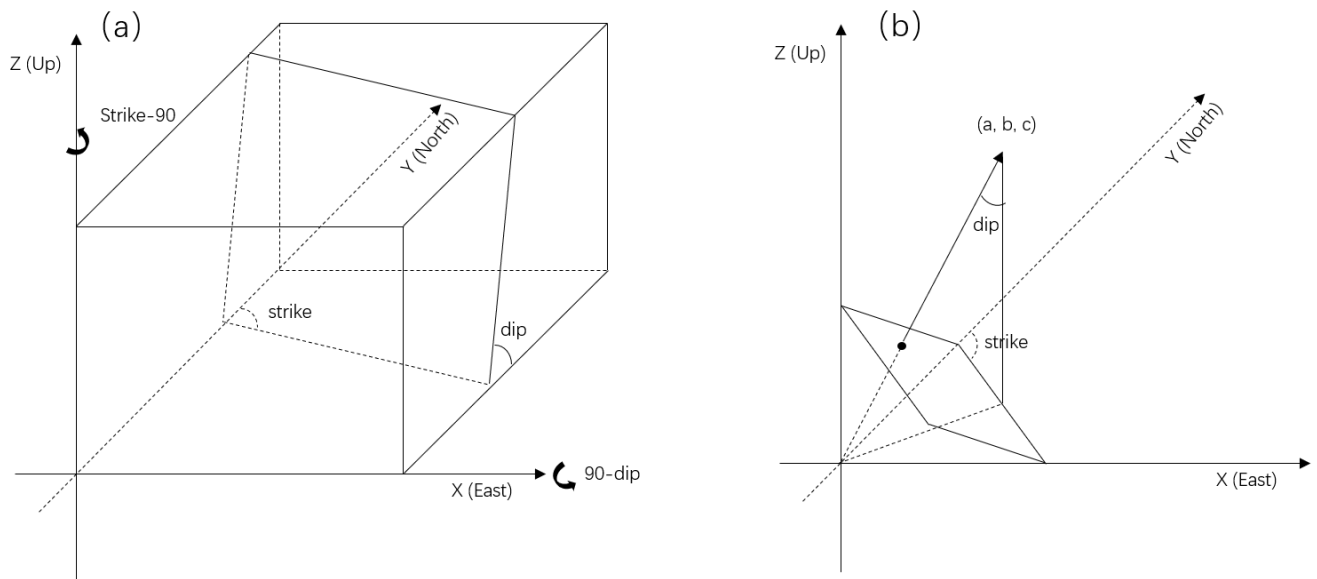


Figure 4. (a) Illumination of projection to a plane defined by strike and dip. (b) Strike and dip parameters calculation from normal vector of a fitted plane.

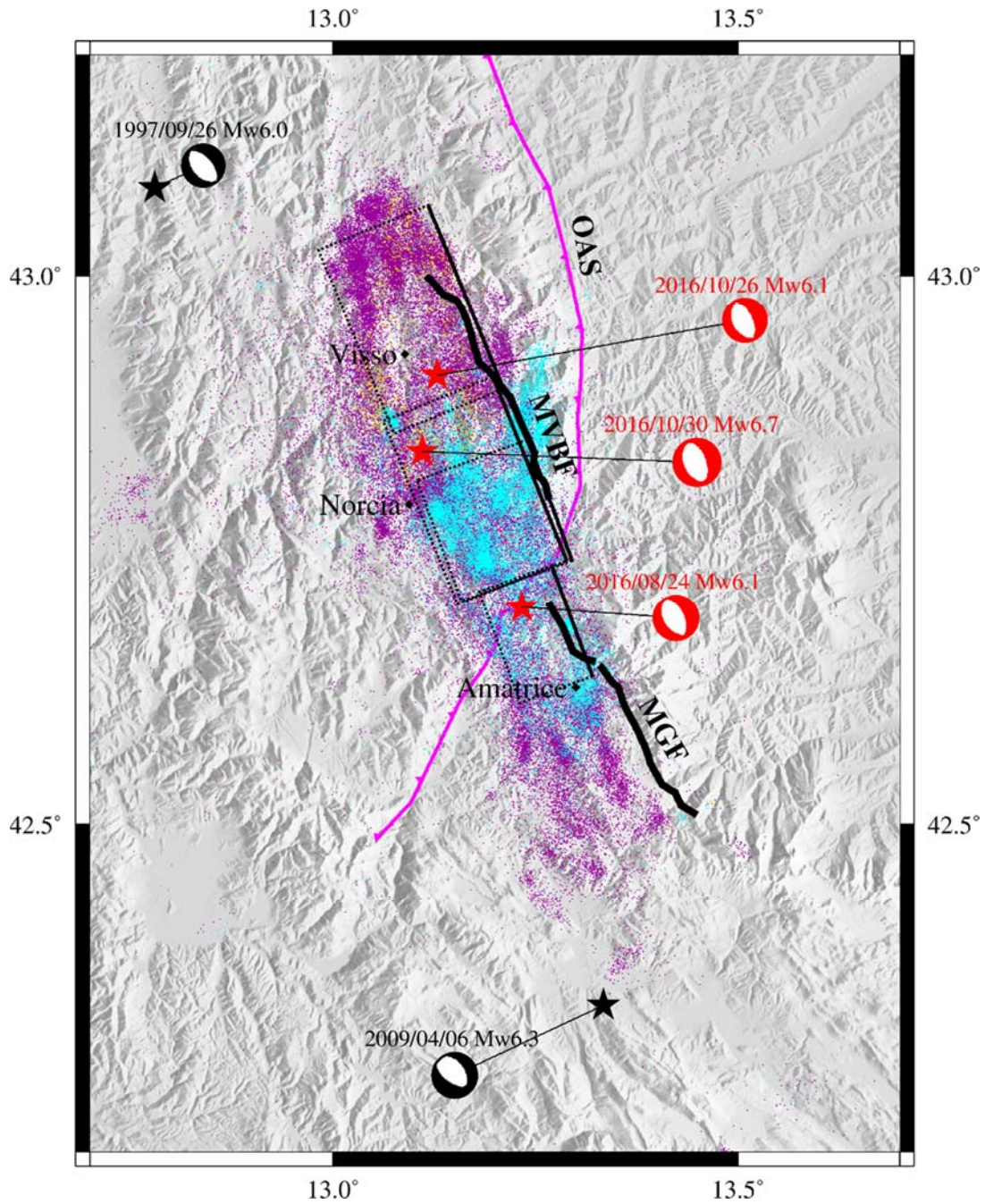


Figure 5. Seismotectonic setting of the Central Italy earthquake sequence. The three major earthquakes in the sequence are denoted by the red stars and beach ball symbols, and the two recent large historical events are colored in black. The dashed black rectangles represent the surface projection of the fault planes adopted in this study. The bold black lines are the two seismogenic normal fault systems, namely, the Mt. Vettore–Mt. Bove Fault (VBFS) system and the Mt. Gorzano Fault (GFS) system. The pink line shows the simplified trace of the preexisting compressional front named the Olevano-Antrdoco-Sibillini (OAS) thrust. Aftershocks are marked by the small dots with different colors. The blue, purple, and yellow points represent the events taking place between 2016.08.24–2016.10.24, 2016.10.24–2016.10.30, and 2016.10.30–2017.10.8, respectively.

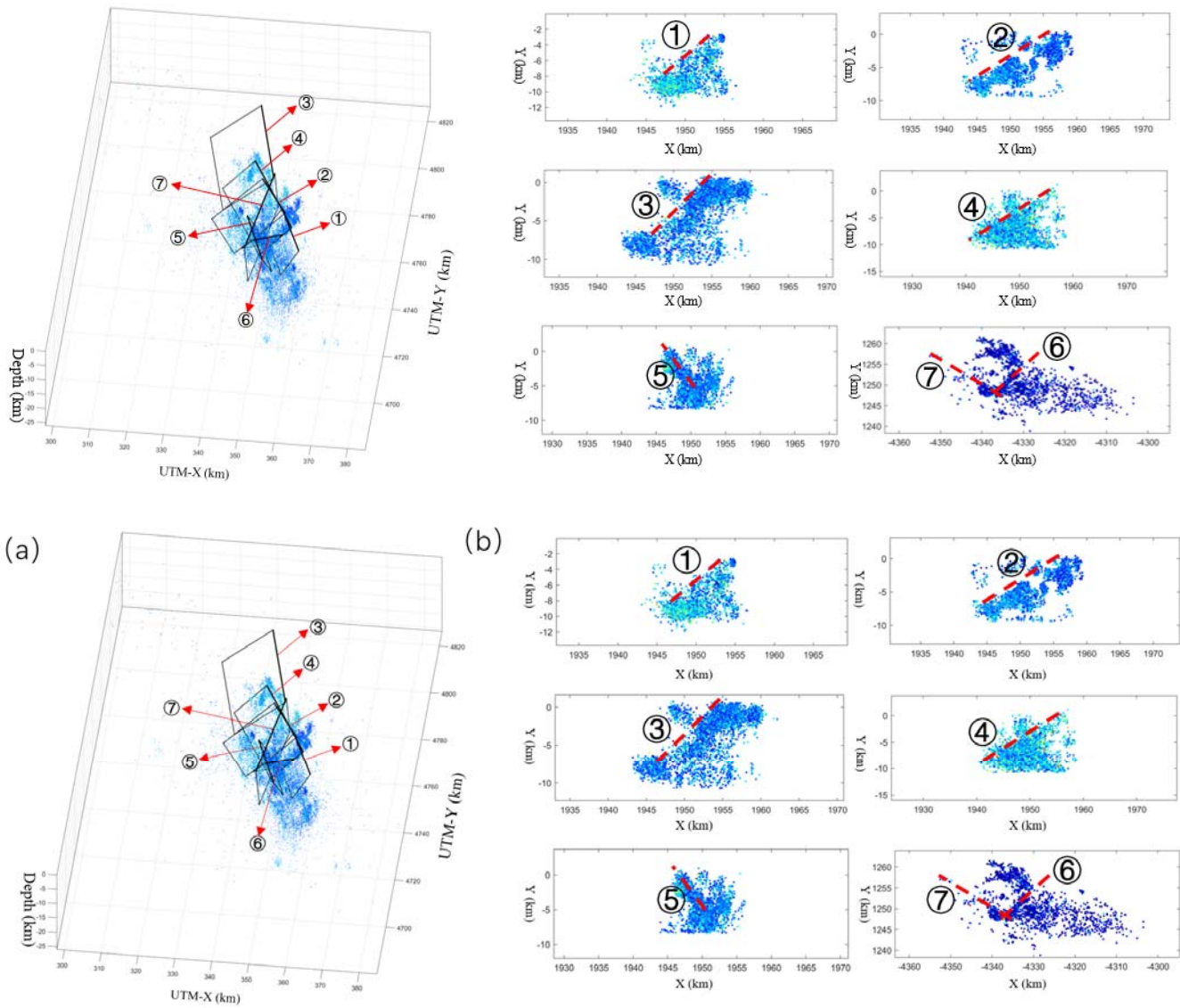


Figure 6. Fault geometry identification and plane fitting. (a) 3D view of seven identified fault segments and aftershock point cloud. Thick lines represent the surface traces of the faults, and the dash lines are the underground fault boundaries. (b) Projections of the 3D aftershocks. Red dash lines denote the seven fitted fault planes.

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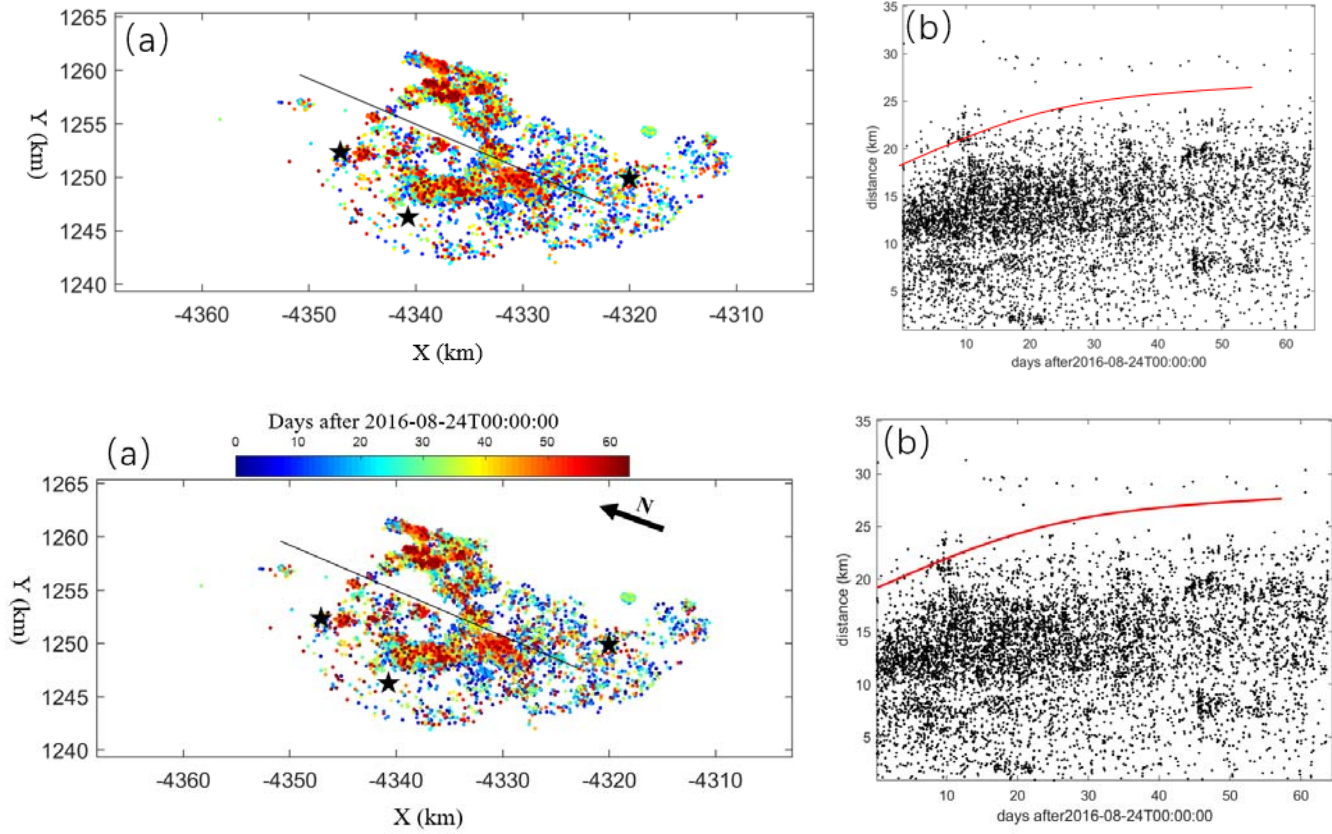


Figure 7. Observation of aftershock migration. (a) Aftershock on the projection plane colored by the time. The arrow represents the north direction. It is observed that most aftershock points in the north happened more recently than south, implying northward migration trend. (b) Propagation distance as a function of time. The red line represents the temporal trend that the propagation distances increase along the time.

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Table 1. Geometry parameters of the inferred fault from the aftershock point cloud

Strike	Dip	UTM-X	UTM-Y	Length	Up-depth	Down-depth
159.06	55.64	357.36	4738.96	18.57	0.00	7.26
140.40	32.08	351.23	4752.59	15.76	0.00	9.31
166.93	35.92	346.80	4775.10	30.88	0.00	10.00
153.88	37.28	351.05	4753.25	29.86	0.00	7.09
-19.12	60.71	348.21	4734.50	18.87	0.00	4.55
-113.54	46.51	351.73	4734.97	8.45	0.00	11.17
7.81	85.33	348.16	4745.97	32.31	0.00	11.52