Interactive comment on “Formation and dynamics of sandy dunes in the inland areas of the Hexi Corridor” by Bing-Qi Zhu

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Received and published: 25 December 2020

Comments from the reviewers
The interactive comment by the Anonymous Referee #1

General comments: The paper of Bing-Qi Zhu focuses on formation and dynamic of changes of aeolian landforms (only sand dunes) and desertification in the Hexi Corridor in China. The methods used are satellite image interpretations, field investigations and observations, comprehensive evidences from geomorphological, aeolian-physical, granulometrical and geochemical analysis to discuss the formation of dune landforms, the mechanism of desertification and their environmental implications in the Hexi Corridor. The author concludes that the Gobi area in the west Hexi Corridor is not the main source area of sandstorms in the middle and east of the corridor except north probably. In the past half century, the warming and humidification of local climate is the main cause of the reduction of sandstorms in the study area, and the Hexi Corridor has a potential trend of anti-desertification, which is mainly controlled by climate change but not by human activities. For the oases areas of the corridor, however, the effective measures to restrict desertification depend on human activities. Restriction of the decline of groundwater is the key to preventing desertification in oases. The abstract is a bit too long and should be shortened. The focus on dune formation in the Hexi corridor in China is an interesting approach and could be of international interest - but this paper should be rejected in its current version for the following six main reasons: (1) The paper discusses also desertification processes – which should appear in the title and in my opinion this is not the scope of this journal. (2) The structure and data used for this paper is a bit confusing. The author used different topics, e.g. geomorphological parameters such as transport processes and dune movement, grain-size and geochemical data, meteorological data sometimes without clear connection between these different processes, data and the methods used. The manuscript shows in some parts a lot of details and methods, but at a closer look, quite a lot of things are missing (e.g. to the methods or the quality / origin of the data) or they are not appropriate. In part, this may result from the different data and sometimes confusing structure of the text, which is often not concise enough to see the main research question(s) and follow a line of arguments. (3) The movements of sand including desertification processes were already mentioned and discussed decades ago, e.g. by Zhu et al. (1988). There is a long reference list including 32 papers in Chinese – but important international papers on desertification and sand movement are missing. There are more international papers focusing on desertification and dune movement worldwide and in China, which must be considered, e.g. the review on desertification in China from Wang et al. (2008). In addition, papers focusing on the aeolian sediments in the Hexi corridor (e.g. Nottebaum et al.) are not considered. (4) The substantial conclusions by the author are: the Hexi Corridor has a potential trend of anti-desertification, which is mainly...
controlled by climate change but not human activities. For the oasis of the corridor, however, the effective measures to restrict desertification depend on human activities. The first statement is based on the metrological data and not (enough) testified with other data, the second is not very new. (5) What is not discussed and also important: The lowering of groundwater table in this region is due to the enlargement of the irrigation around the oasis especially after Second World War. This leads to reduction in river discharge and lowering of groundwater table and resulting in the drying of Gaxun Nur and adjacent lakes at the lower reaches of the Heihe River. This fact is already known and published since decades (e.g. Zhu Zhenda about 30 years ago). In addition, the lowering of discharge of groundwater table increased the salt content in the ground water and reduced areas with populous trees already in the 1980th of the last century. One other main point: It should be considered, why a reduction in groundwater caused dune movements. There is no relation between these two factors – except and maybe with flat sand sheets in the floodplains of larger rivers or close to lakes vegetated with trees. Quite a lot of trees in these areas were mainly destroyed in former times (before 1980) for firewood (see Zhu et al. 1988) and enhanced the desertification processes in sandy regions. However, the main and important factor for dune movement and desertification is grazing and overgrazing. This is not mentioned at all. Several of these aspects were described and discussed in numerous international papers. (6) Figures and language need to be improved (see some comments below). Selected additional references (and references therein): Zhenda, Zhu, Shu, Liu, Xinmin, Di (1988): Desertification and Rehabilitation in China. The International Centre for Education and Research on Desertification Control, Lanzhou. Wang, X., Chen, F., Hasi, E., Li, J. (2008): Desertification in China: An assessment, Earth-Science Reviews 88: 188-206. Nottebaum, V., Lehmkuhl, F., Stauch, G., Lu, H., Yi, S. (2015): Late Quaternary aeolian sand deposition sustained by fluvial reworking and sediment supply in the Hexi Corridor – an example from northern Chinese drylands. Geomorphology 250: 113-127. Specific comments: Line 76-85: references needed Line 165-168: Why this climate details at the end? Much better to climate line 143-142. Line 453-466: Heihe, grain-size discussed by Nottebaum et al.? Line 525-526: : : :closely linked to regional land degradation: : : : Please specify, references? Line 542-554 Please specify, references? Line 617-622: already stated somewhere above Line 624-625: Question should be in the introduction Line 675-680: enlargement of irrigation not mentioned in detail Fig. 1: Rivers and locations of the paper have to be mentioned Fig.2: Please improve, difficult to read. In addition, some of the other figures should be improved. Interactive comment on Solid Earth Discuss., https://doi.org/10.5194/se-2020-132, 2020.

The author's reply to the comments from reviewers
The author's reply to the interactive comments above by the Anonymous Referee #1

We are very grateful to the anonymous reviewer #1 for taking time and effort to read our submitted paper and for his/her detailed and constructive comments and suggestions on the manuscript. According to the review results of the anonymous Referee #1, we revised the manuscript point by point, and retained the trace of modification in the revised manuscript. The details of our one-by-one revision of the manuscript are listed and explained here, as followed below.

[The reviewer's comment] The abstract is a bit too long and should be shortened. [The author's replay] Yes, we agree with the reviewer and according to this comment, we revised the abstract section in the revised manuscript, especially shortened the length of the part.

[The reviewer's comment] 1) The paper discusses also desertification processes – which should appear in the title and in my opinion this is not the scope of this journal. [The author's replay] Yes, according to this comment of the reviewer, we revised the original text. As the reviewer suggested that it inappropriate to discuss the subject of desertification process in the paper submitted to Solid Earth, we reconsidered the topic discussed in our paper during the revision. We then deleted (or weakened) the content
about desertification issue in the study area in our revised manuscript. At the same
time, according to this opinion of the reviewer, we also revised the title of the paper.
Since the desertification part is no longer one of the topics discussed in this paper,
we did not add the word “desertification” to the title of the revised paper. In addition,
we would like to make a brief explanation on whether the desertification research falls
within the scope of this journal (Solid Earth). As can be seen from the introduction
of this journal’s webpage, the scope of papers suitable to submit to the journal is that:
Solid Earth (SE) is a not-for-profit journal that publishes multidisciplinary research on
the composition, structure, and dynamics of the Earth from the surface to the deep
interior at all spatial and temporal scales. It can be seen from this introduction that
the subject/scope of this journal seems to include researches on surface processes
of the earth such as the desertification issue, but it is not explicitly mentioned. We
then consulted the paper bibliography that have been published in Solid Earth (SE)
in the past, and found that some academic papers with the topic of desertification re-
search had been published in the journal after peer review, including desertification
researches both on the modern time scales and on the geological or historical time
scales. Here we list some of them, shown as below in smaller font:

- Shoba P, Ramakrishnan SS. Modeling the contributing factors of desertification and evaluating their relationships to the soil degradation process through geomatic techniques. Solid Earth, 2016, 7, 341-354.
- Wang X, Hua T, Ma W. Responses of aeolian desertification to a range of climate scenarios in China. Solid Earth, 2016, 7, 959-964.
- Zhang JY, Dai MH, Wang LC, Zeng CF, Su WC. The challenge and future of rocky desertification control in karst areas in southwest China. Solid Earth, 2016, 7, 83-91. However, in order to avoid ambiguity, and to respect the reviewer’s opinion, we deleted the discussion of the subject of desertification in the study area in the revised manuscript. We then added the discussion on the geological processes (such as sedimentary processes and geochemical processes) responsible for the formation and evolution of aeolian dunes in the study area, according to the comments below and the new references/papers suggested by the reviewer.

[The reviewer’s comment] (2) The structure and data used for this paper is a bit confusing. The author used different topics, e.g. geomorphological parameters such as transport processes and dune movement, grain-size and geochemical data, meteorological data sometimes without clear connection between these different processes, data and the methods used. The manuscript shows in some parts a lot of details and methods, but at a closer look, quite a lot of things are missing (e.g. to the methods
or the quality / origin of the data) or they are not appropriate. In part, this may result from the different data and sometimes confusing structure of the text, which is often not concise enough to see the main research question(s) and follow a line of arguments. [The author's replay] Yes, according to this comment of the reviewer, we have specially revised the section 2.2 “Methods and analytical data” of the original text in the revised manuscript. Due to the confusion in the structure, topic and logic of the original text, we specially emphasized or explained the analytical method used in this study, the reason and purpose of using the method, what kind of data we have obtained and what is the significance of the data obtained in the revised manuscript. Finally, we pay special attention to make this part consistent with the structures of the sections “3. Results” and “4. Discussion” in the late context and make them echo each other. In order to clearly show the revision results of this part in the revised manuscript, we present the full text of the revised section “2.2 Methods and analytical data” here for the reviewer and editor, as shown below in smaller font: “2.2 Methods and analytical data Formation and characteristics of dune landforms are considered to be the result of a complex interplay between sediment properties and local preconditions (Nottebaum et al., 2015b). Although wind regime, surface condition, and sediment availability all control sand dune formation (Pye, 1995; Kocurek and Lancaster, 1999), geoscientists have mostly put emphasis on the wind regime. However, researches show that the formation of dune cannot be explained solely on wind because different dune types can form under the same wind regime in a given area (Rubin and Hesp, 2009; Lv et al., 2018). For example, in areas with little or no vegetation, dune formation depends strongly on wind regime and sediment availability (Baas and Nield, 2007; Wasson and Hyde, 1983), and both factors must be taken into account in studies on the formation and morphology of aeolian dunes; otherwise, modern dune morphology may lead to erroneous interpretations of the evolutionary history of dune environments (Rubin and Hesp, 2009). Therefore, sediment properties and local preconditions must be paid attention to. In detail, sediment properties are partly inherited from source materials, e.g. grain size and geochemical/mineralogical composition (e.g., Jahn et al., 2001; Prins et al., 2007; Feng et al., 2011; Vandenbergh, 2013; Guan et al., 2013; Nottebaum et al., 2014, 2015b). Important local preconditions comprise surface and topographic properties, e.g. the geomorphologic setting, vegetation cover, and surface roughness on various spatial scales (Mason et al., 1999; Hugenholtz and Wolfe, 2010; Stauch et al., 2012, 2014; Nottebaum et al., 2014, 2015) and wind conditions (e.g. Pye, 1995; Lu et al., 2000; D. Sun et al., 2003; Kimura et al., 2009). Thus an insight into the potential relationship between dune-forming factors related to the wind regime, surface conditions and the available sediment sources within a dune system is needed. Based on this idea, in this study, our analysis methods and data mainly focus on the following aspects of dune landform: first, the data of morphological parameters varied with time in different dune types and dune units and the related acquisition method of these data are used to explore the characteristics of dynamic change and evolution of dune landforms in the study area. Secondly, the grain-size sedimentological data of sand dunes and their analytical methods are used to explore the characteristics of particles' mixing, potential transport mode and sedimentary maturity of aeolian sand in the study area, and to provide sedimentological clues about the wind forcing intensity and source area distance of dune sediments. Thirdly, the geochemical data and related analytical methods of dune sediments are used to determine the material sources of these detrital sediments in the study area. Fourthly, the meteorological, hydrological and climatic data of dune fields and the surrounding areas are used to explore the relationship between the dynamic evolution of dune landforms and environmental factors and its implications on desertification in the study area. These data and related analysis methods are described in detail below. For the study of dune geomorphology, the first method is to use the sample-quadrate survey procedure to measure the height and shape of typical high dunes in the field with a rangefinder, and the second is to measure the length, angle and width of the windward slope and downwind slope of each dune in the sample quadrate and between different quadrates along the local dominant wind direction by using rangefinder and remote sensing image scales (such as Google Earth scales, etc.), and then the comprehensive geomorphic data of sandy dunes in the re-
gion is obtained. In addition to the geomorphological data of sandy dunes themselves, landscape researchers will also use the sample-quadrate survey method to investigate the ecological parameters of vegetation cover in the selected sampling area. For both geomorphological and ecological surveys, sub-scale sample quadrates will be selected from the upper, middle and lower parts of the windward and leeward slopes of each dune. Three quadrates can be selected from the dune slope in the windward and downwind directions of each dune along the local prevailing wind direction and the size of each quadrate can be designed as $5m \times 5m$ or smaller. In recent years, observation works have been carried out in different parts of the Hexi Corridor to investigate the different landform types of widespread sandy dunes at a geomorphic unit scale in the field (Chang et al., 2016a, 2017; Lang et al., 2017), including the crescent-shaped (barchan) dunes, chains of barchan dunes, pyramid-shaped dunes, parabolic dunes and longitudinal dunes belt. Based on time-series satellite remote sensing image data in different periods, the geomorphological parameters of these dunes are also obtained (Zhang and Dong, 2014; Chang et al., 2016a, 2017; Lang et al., 2017). In this study, the observation data and digital data were collected and sorted out systematically and corrected uniformly. Part of these comprehensive data of geomorphological parameters of sand dunes in the Hexi Corridor are shown in Tables 1 and 2. In addition to the above-mentioned intuitive survey and measurement of geomorphic parameter of sandy dunes, quantifying the structure of wind-blown sand flow and the movement rate of dunes is also the most direct and effective means to explain the dynamic change of dunes and their geomorphological evolution (Dong et al., 1998; Chen and Liu, 2011; He et al., 2012; Dong and Huang, 2013; J. Wang et al., 2013; Hu et al., 2016; Mao et al., 2016). Generally, there are two methods to study the moving velocity of sandy dunes, one is early positioning observation (MDCES, 1975; Dong et al., 1998; He et al., 2012; Shi et al., 2018) and the other is based on remote sensing images (Chen and Liu, 2011; Dong and Huang, 2013; Mao et al., 2016). Research works based on the both methods have been carried out in dune fields of the Hexi Corridor (MDCES, 1975; Chen and Liu, 2011; Chang et al., 2015, 2016a, 2017; Hu et al., 2016; Shi et al., 2018).

On this basis, this study integrates and organizes the different observation data of dune movement measurement in the Hexi Corridor. Parts of these data of dune movement measurement are shown in Table 3. Erodible clastic sediments as the material sources are the fundamental base for the formation of sedimentary landforms (Pettijohn et al., 1972; Taylor and McLennan, 1985). Therefore, identifying the composition, source, transport, and accumulation of wind-induced materials in an arid environment is a prerequisite for understanding the formation of dune landforms (Zhu et al., 1980, 1981a; Yang et al., 2012). Although sediment sections are usually used in many studies to observe the variability of sediment accumulation and sources in one location through time, in this study, the investigation approach of surface aeolian sediment samples at a regional scale is applied. The surface sample approach allows identification of distribution pathways by considering the continuous variety of geomorphological settings (Lehmkuhl, 1997; Kuster et al., 2006; Schettler et al., 2009; Nottebaum et al., 2014, 2015b; Zhu et al., 2014; Zhu and Yu, 2014). Therefore, this method offers an opportunity to analyze the spatial distribution and composition of surface aeolian sediments accumulated under variable conditions and from different perspectives (i.e. geomorphological setting, relief and vegetation). Grain size composition and distribution of aeolian sediment is an important indicator to understand the formation and development of sand dunes, because grain size distribution (GSD) analysis of sediments is an established tool to analyze the composition of sediments and to distinguish different transport, accumulation and potential remobilization processes (Folk and Ward, 1957; Friedman, 1961; Ashley, 1978; Bagnold and Barndorff-Nielsen, 1980; McLaren and Bowles, 1985; D. Sun et al., 2002: Weltje and Prins, 2007; Qiang et al., 2007, 2010; Guan et al., 2013; Vandenberghhe, 2013; Nottebaum et al., 2014; Zhu et al., 2014; Zhu and Yu, 2014). The depositional environment (aeolian, fluvial or lacustrine) and the movement types (creep, saltation or suspension) of sediments in the transportation process can be identified and distinguished by using grain size parameters of sand particles (Folk and Ward, 1957; Friedman 1961; Bagnold and Barndorff-Nielsen, 1980; McLaren and Bowles, 1985; Nottebaum et al., 2014; Zhu et al., 2014). At present,
grain-size analysis of aeolian sediments and relevant detrital sediments, such as al-
luvial and proluvial fans, lacustrine deposits, fluvial deposits, has been widely carried
out in the Hexi Corridor (Nottebaum et al., 2014, 2015b; Zhu and Yu, 2014; Zhu et al.,
2014; Zhang and Dong, 2015; Zhang et al., 2016; Pan et al., 2019; Zhang et al., 2020).
On this basis, this study systematically collects and organizes these granular data and
records, which makes it possible to further conduct a comprehensive and compara-
tive study on the dunes in the Hexi Corridor from a perspective of sedimentology. The
analysis of major and trace elements, including rare earth elements, has become a
reliable technique for detecting the source of desert sediments (Muhs et al., 1995,
1996; Pease et al., 1998; Honda and Shimizu, 1998; Wolfe et al., 2000; Pease and
Tchakerian, 2003; Zimbelman and Williams, 2002; Muhs, 2004; Yang et al., 2007; Zhu
and Yang, 2009; Jiang and Yang, 2019). The reason is that for aeolian sediments, the
differences in compositions and distributions of rare earth elements and other trace
elements in different samples/sub-fractions are largely controlled by the parent-rock
compositions, because these elements only exist in specific minerals and are difficult
to be lost during transportation (Pettijohn et al., 1972; Taylor and McLennan, 1985). In
the Hexi Corridor, preliminary results have been achieved in the case studies of analyz-
ing the elemental compositions of aeolian sediments using major- and trace-element
geochemical methods (e.g., Schettler et al., 2009; X. Wang et al., 2010; Ren et al.,
2014; Pan et al., 2019; Zhang et al., 2020), which provide basic data for this study to
comprehensively identify the material sources of different dunes in the study area. The
continuous data records of different meteorological parameters of local weather sta-
tions in the Hexi Corridor in the past half century, such as temperature, precipitation,
relative humidity, wind speed, strong wind days and sandstorms days, will not only be
the basis for this study to discuss the regional climate change under the background of
global warming, but also the basis for exploring the response of regional landscape to
climate change based on the statistical relationship between geomorphic parameters
and climate parameters on a multi-decade time scale. Therefore, this study collects
and uses the meteorological data of the Hexi Corridor for nearly half a century to ana-
lyze the regional climate change and its relationship with the dynamic changes of dune
landforms.”

[The reviewer’s comment] (3) The movements of sand including desertification pro-
cesses were already mentioned and discussed decades ago, e.g. by Zhu et al. (1988).
There is a long reference list including 32 papers in Chinese – but important inter-
national papers on desertification and sand movement are missing. There are more
international papers focusing on desertification and dune movement worldwide and
in China, which must be considered, e.g. the review on desertification in China from
Wang et al. (2008). In addition, papers focusing on the aeolian sediments in the
Hexi corridor (e.g. Nottebaum et al.) are not considered.. [The author’s replay] Yes, we
agree with the reviewer’s opinion. In recent decades, researches on desertification pro-
cess and related wind-blown sand movement in northern China have been extensively
carried out, and the relevant international literatures have been increasing greatly. In
response to this comment of the reviewer, we have added some relevant and authori-
tative references to the revised manuscript, such as Wang et al., 2005(LDD); Wang et
al., 2006a(GEC); Wang et al., 2008a(ESR); Nottebaum et al., 2014(ESPL); Nottebaum et
al., 2015a(Geomorphology); Nottebaum et al., 2015b(QI), etc, as listed below in smaller
font. The quotations, reviews and discussions about the relevant contents inside these
new international papers are inserted into the context all over the full text of the revised
manuscript. As the text and content are abundant, so we will not repeat and list those
words here. The detailed references newly cited are also not fully listed here because
of the large number of them, but we can easily find them in the References section of
the revised manuscript, because all revision trace are preserved in color. Nottebaum
size variations in aeolian sediments along the transition between Tibetan highlands
and northwestern Chinese deserts – the influence of geomorphological settings on
aeolian transport pathways. Earth Surface Processes and Landforms, 2014, 39,
sand deposition sustained by fluvial reworking and sediment supply in the Hexi Corridor

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The reviewer's comment: (4) The substantial conclusions by the author are: the Hexi Corridor has a potential trend of anti-desertification, which is mainly controlled by climate change but not human activities. For the oasis of the corridor, however, the effective measures to restrict desertification depend on human activities. The first statement is based on the metrological data and not (enough) testified with other data, the second is not very new. [The author's replay] Yes, we agree with the reviewer's opinion, and combined with the reviewer's previous comments (1), we modified this part of the original text. Firstly we deleted the original content and weakened the discussion on the desertification issues in the study area. Secondly we added the discussion about the geological processes contributed to the formation and evolution of aeolian landforms in the study area. Please refer to the discussion section “4.1 to 4.4” of the revised manuscript for the specific revision results. Any traces of revision are preserved.

The reviewer's comment: (5) What is not discussed and also important: The lowering of groundwater table in this region is due to the enlargement of the irrigation around the oasis especially after Second World War. This leads to reduction in river discharge and lowering of groundwater table and resulting in the drying of Gaxun Nur and adjacent lakes at the lower reaches of the Heihe River. This fact is already known and published since decades (e.g. Zhu Zhenda about 30 years ago). In addition, the lowering of discharge of groundwater table increased the salt content in the ground water and reduced areas with populous trees already in the 1980th of the last century. One other main point: It should be considered, why a reduction in groundwater caused dune movements. There is no relation between these two factors – except and maybe with flat sand sheets in the floodplains of larger rivers or close to lakes vegetated with trees. Quite a lot of trees in these areas were mainly destroyed in former times (before 1980) for firewood (see Zhu et al. 1988) and enhanced the desertification processes in sandy regions. However, the main and important factor for dune movement and desertification is grazing and overgrazing. This is not mentioned at all. Several of these aspects were described and discussed in numerous international papers. [The author's replay] Yes, we agree with this opinion of the reviewer. The decline of groundwater is the main reason of regional desertification in the Hexi Corridor, and the decline of groundwater is due to the increase of upstream irrigation. In addition, the decline of groundwater level also leads to the increase of groundwater salinity and the degree of potential salinization risk in the study area. In response to this comment, and in combination with the previous comment (1) of the reviewer, we have revised the original discussion content of desertification issues in the Hexi Corridor. As stated above, we deleted and
weakened the discussion topic on desertification in the study area in the full text, and we added and strengthened the discussion topic about the geological process responsible for the formation and evolution of aeolian landforms in the study area. On the other hand, we actually referred to the relevant literatures and write some opinions of us towards the questions raised by the reviewer in this comment. Since the revised manuscript no longer discusses the topic of desertification, these new opinions of us did not occur in the revised manuscript, but we display this text here for review by the reviewer #1, as followed below in smaller font: "4. 5. Natural factors influencing land desertification in the Hexi Corridor Generally speaking, sandy dunes in the Hexi Corridor are dominated by the mobile dunes. In the early research work, the formation of these mobile dunes was considered to be caused by the destruction of vegetation previously fixed on the shrub dunes in the oasis; or because the gravel Gobi desert and related wind-erosion areas in the Hexi Corridor provided abundant sandy sediments, resulting in a wide aeolian-sand transportation and accumulation to form sandy dunes in the corridor area; or because that in the arid Hexi Corridor, the shallow and intermittent rivers with broad riverbeds changed their courses and the abandoned dried riverbeds were blown up by wind, causing accumulation of the fluvial sediments to form sandy dunes near the river banks (Zhu et al., 1980). The mechanisms above-mentioned for the formation of sandy dunes can explain the characteristics of the sporadic or sheet-like distribution of sandy dunes in the Hexi Corridor, especially the characteristics of sandy dunes intermittently distributed along the dried riverbeds by winding and zigzag patterns, and scattered on the gravel Gobi deserts at the edge of oases. Apart from the above natural causes, it is also believed that the formation of sandy dunes in the Hexi Corridor is not all controlled by the influence of natural factors, some dunes should be formed in historical periods and are the result of human activities (Zhu et al., 1980; Zhu and Chen, 1994; Zhu, 1999; Yang et al., 2004; Chang et al., 2005; Li, 2007). For example, ruins of the Han, Tang, and Ming Dynasties and sites of the Great Wall can also be found in some dune fields, such as the Shouchang ruins in the South Lake area of Dunhuang, the ruins in the dune fields of the Xicheng Post Station to the west of Zhangye, and the sites of the Great Wall of Ming Dynasty in the dune fields in the Minqin area (Zhu et al., 1980; Zhu and Chen, 1994; Zhu, 1999), etc. Regarding the above desertification mechanisms, the wind-eroded fields in Gobi deserts and its deflation products in the Western Hexi Corridor (the upper windward area) are considered to be one of the reasons for the desertification of the middle and eastern Hexi Corridor, because the former may provide the material basis for the latter to form sandy dunes. However, is the existence of these western Gobi and wind erosion areas really the main cause of desertification in the Hexi Corridor? In order to solve this problem, researches have been carried out on the Gobi soils, wind-erosion landforms and the intensity and potential of the wind erosion process in the western Hexi Corridor (e.g., Zhu et al., 1980; Zhang et al., 2004; Qu et al., 2005; Wang et al., 2013; Yin et al., 2014, 2016; Zhang et al., 2016; An et al., 2019; Hu et al., 2020). From the perspective of geomorphology, wind-eroded lands are widely distributed in the western part of the Hexi Corridor. The result of surface wind erosion in these areas is the formation of long strip-shaped aeolian monadnocks and deflation hollows (aeolian depressions), which are roughly parallel to the wind direction. Generally, these wind-eroded landforms are about 1 to 3 meters high, and a few are up to 5 meters (Zhu et al., 1980), which are roughly distributed along the lower reaches of the Shule River and the western part of the Dunhuang Oasis. Studies on the surface properties of gravel desert (Gobi) and its impact on wind erosion and dust emission in the west of the Hexi Corridor have shown that the gravel Gobi is very different from the sandy desert (dune field) because the grain-size composition of the surface sediment and the occurrence state (erodibility) of fine granular material are completely different (Zhang et al., 2004; Yin et al., 2014, 2016; Zhang et al., 2016; Hu et al., 2020). The surface of Gobi desert is composed of the gravel-, sand-, fine-sand- and clay-fraction particles with coarse- and fine-grained materials mixed together, while the surface of dune field is mainly composed of the medium-sand-fraction particles, with no or few coarser-grained gravels and finer-grained silt and clay particles (Zhang et al., 2016; An et al., 2019). A salt crust usually exists on the surface of Gobi desert in the west Hexi Corridor and thus the fine-grained particles are consolidated.
due to the presence of salt cement, leading to a weak wind erodibility in Godi desert, while the surface of dunes is loose, making a strong erodibility in dune field (Zhang et al., 2016). Because the potential sediment availability (controlled by erodibility of surface fine-grained particles), the gravel coverage (surface roughness) and the average particle size of surface sediments are the main factors affecting dust emission (Pye, 1987; Gillette and Stockton, 1989; Raupach et al., 1993), the three factors will determine whether the Gobi desert in the western Hexi corridor has potential contribution to regional desertification in the Hexi Corridor. Based on field surveys and using the ImageJ software to process high-resolution image data, Zhang et al. (2016) estimated the gravel coverage and surface salt crust status in different Gobi areas in the west Hexi Corridor, determined the proportion of total surface sediment weight occupied by gravels (diameter > 2 mm), and analyzed the sedimentological grain-size distribution of different land surface sediments. The results of this work show that: (1) in the west Hexi Corridor, the gravel coverage of Gobi surface is moderate, with the gravel coverage mainly between 40% and 70% (average 52%, SD = ± 17%) (Zhang et al., 2016). The rate of gravel coverage in this level can produce the maximum aerodynamic roughness on the ground surface to prevent dust emission (Lyles and Tatarko, 1988; Wolfe and Nickling, 1996; Dong et al., 2002a, 2002b; Liu and Dong, 2003; Uno et al., 2006; Rostagno and Degorgue, 2011). (2) Most of the Gobi surface lands (75% of the total area) have formed salt crust. Only the areas with high sand-transport potential of wind in the northern Hexi Corridor and the edge of sandy deserts have no surface salt crust. (3) The content of erodible materials (sand, silt and clay) on the Gobi surface has a clear spatial distribution. Sediments of the Gobi surface are mostly the medium-sand and fine-sand particles (52.5% and 25.0%, respectively), while the silt and clay contents range from 9.8% to 40.1%, with most areas (about 73%) ranging from 10% to 30%. (4) In most Gobi regions, the potential transport of sand material is > 200 vector units, but 75% of these areas have solid soil crust on the ground surface (Zhang et al., 2016). Combined the above indexes, i.e. the proportion of fine-grained dust materials, the coverage rate of salt crust, and the potential transport of sand material, it is shown that the high level of gravel coverage and surface crust rate in the west Heixi Corridor can effectively reduce the dust emission from the Gobi surface. Therefore, the Gobi area in the west Hexi Corridor is not the main source area of sandstorms occurred in the middle and east of the Hexi Corridor. The northern part of the Hexi Corridor may be the main source area of dust. Another potential indicator that can indicate the degree of modern desertification in the region comes from the meteorological parameter, such as the number of sandstorm days and strong wind days (Chang et al., 2019). Sandstorm refers to a windy and sandy weather phenomenon with the wind speed ≥ the sand-blowing wind speed and the horizontal visibility < 1,000 m. The Hexi Corridor is considered to be one of the areas with the most-frequent (heavy) sandstorm in northwest China (Zhu et al., 1994; Zhu, 1999). The results of meteorological data analysis from the Hexi Corridor show that since 1956, the number of local sandstorm days in the Hexi Corridor is about 11.20 day/year (Table 4), and the average number of strong wind days (wind speed ≥8 grade/day) is about 18.39 days/year (Table 4), but the number of local sandstorm days in the Hexi Corridor has shown a generally-decreasing trend (Fig. 7a), with a decline rate of 0.677 times/year (Chang et al., 2011). In addition, the frequency of sandstorms throughout northern China is also decreasing during the same period (Zhang and Ren, 2003; Li and Zhang, 2007). However, this is contrary to the situation of global sandstorms, because the number of times of global sandstorms is increasing in recent decades (Houghton et al., 2001; Ding, 2002). This indicates that the sandstorm process in the Hexi Corridor and even in the entire northern China does not respond to the global changes, revealing that the cause of desertification in the Hexi Corridor is different from other parts of the world. As shown in Fig. 7a, the number of sandstorm days in the Minqin area of the Hexi Corridor has shown a decreasing trend as a whole since 1956-2008, and there are three sub-trends during this period, i.e., both the frequency and number of sandstorms decreased rapidly (1956-1969), the frequency was high and stable (1971-1987), and the frequency was low and slowly decreased (1987-2008). However, with global warming, the temperatures in desert areas are generally increasing (Fig. 7b), and the frequency of sandstorms should have
increased, but why has the actual situation reduced? To answer this question, we need to analyze the climate change in arid northern China and the Hexi Corridor since this period. For nearly half a century, global warming has become a worldwide concern. In this context, how does the climate change and respond to global warming in the desert areas of northern China and the Hexi corridor? Researches on this issue have been carried out in arid regions of northern China and the Hexi Corridor (e.g. Ding, 2002; Sha et al., 2002; Chang et al., 2011, 2016b). Here, we briefly summarize the research results of climate change in the Hexi Corridor (the Minqin area) in recent decades. (1) From 1961 to 2008, the rising rate of the annual average temperature in the Minqin area was higher than that of global temperature in the 20th century and that of China in the past 100 years. Among them, the temperature increase in February was the largest, with the monthly average temperature increasing by 3.01 °C (Fig. 7b). (2) From the 1980s to 1990s, the warmest in the world in the 20th century, the extreme maximum temperature in Minqin has increased significantly, while the extreme minimum temperature has decreased intermittently, and the instability of the extreme maximum and minimum temperatures has increased. The detailed results are as follows: the instability of the monthly average temperature in January and April increases; the isothermal date in February is 10.36 days earlier; the instability of the extreme maximum temperature in December and January increases; the variation coefficient of the extreme minimum temperature in May is as high as 287% (Fig. 7C). (3) During the period 1961-2008, the temperature in the Minqin area increased, the precipitation also shown an increasing trend, and the air humidity also increased significantly (Fig. 7d, 7f). (4) In the Minqin area, the instability of precipitation increased in January and the stability of annual precipitation increased (Fig. 7e). In general, as with the large-scale regional climate change in northern China, the problem of temperature instability should be more worthy of attention than the problem of temperature warming (Ding, 2002; Sha et al., 2002; Chang et al., 2011, 2016b). (5) The wind speed in the Minqin area continued to decrease during the period from 1961 to 2008, (Fig. 7g). (6) There is a significant negative correlation between the annual and seasonal distributions of sandstorms and the relative humidity of air (Fig. 7h). It can be seen from the above that although the temperature in the Hexi Corridor has increased (in response to global warming), the precipitation has increased (in response to the enhancement of the Asian Summer Monsoon climate), the relative humidity of the air has increased and the wind speed has decreased. As a result of this environmental change, on the one hand, the dynamic force of dust-release process will be reduced (because of the decrease in wind speed), and on the other hand, the viscosity of the surface sediment particles will increase (because of the increase in humidity), which will reduce the frequency of dust storm events. Therefore, since 1961, the decreasing trend of sandstorm days in the Hexi Corridor is mainly due to the increase in the relative humidity of the air, that is, the warming and humidification of the local climate is one of the main reasons responsible for the reduction of sandstorms in the Hexi Corridor. In other words, the Hexi Corridor has a potential trend of reverse desertification in the past half century. The main influencing factor of desertification in the Hexi Corridor is climate change, that is, controlled by natural factors. 4. 6. Cause of man-made land desertification in the Hexi Corridor In addition to natural factors, some researchers believe that desertification in northern China is mostly driven by human factors. Zhu (1998) believed that in the past half century, excessive grazing (30.1%), excessive farming (26.9%), excessive logging (32.7%), improper use of water resources (9.6%), and inattention to environmental protection in the construction of industrial and mining transportation (0.7%) are the most important driving factors of desertification in the arid and semi-arid areas of northern China. These are all human-control factors under unnatural process, as shown in Table 6. However, some studies have analyzed the changing trends of various indicators about human activities and climate factors in semi-arid areas of northern China (such as the Hunsandake Sandy Land) from the 1950s to the early 21st century (as shown in Fig. 9). These indicators include the area of arable/cultivated land, the number of livestock and the size of the population, as well as precipitation, evaporation, temperature, sandstorm frequency and sand transport potential, etc. It was found that although human activities and population pressures have promoted desertification in the region,
but the effects of two climatic factors (sand transport potential and frequency of sandstorm) are much greater than those of the non-natural indicators (Wang et al., 2006). However, in the arid and extremely arid Hexi Corridor, the above-mentioned factors that cause desertification in the region are mainly water resources utilization (Wang et al., 2008). The evidence from digital image data and simulations shows that in a wide range of northern China, desertification usually occurs in arid and semi-arid areas with annual precipitation <450 mm and spring precipitation < 90 mm (Wang et al., 2008). The spatial pattern of desertification in these areas can be divided into two parts by drawing a line at about 100°C on E: the area east of the line is greatly affected by precipitation, wind activity and other climatic factors; in the area west of the line, desertification is affected by the same factors mentioned above, besides, land water resources (surface water flows and rivers originated from the melting water of glaciers, ice and snow) may play an important role in desertification or reverse desertification. In the Minqin Basin and in the northeast of the Hexi Corridor near the Alashan Plateau, the land degradation processes in these regions are proved to be mainly controlled by surface water resources and wind activities, while the impact of human activities such as reclamation on land degradation appear to have been overestimated in previous studies (Wang et al., 2008; Zhou et al., 2008). At a regional scale, significant declines in water inflows to the Hexi Corridor from 1949 to the present (Table 8) resulted in drying of lakes, decreases in groundwater levels (Tables 8, 9), and the expansion of areas of saline and alkaline lands and mobile sands (Zhang et al., 2008). From the 1970s to the present, most saline and alkaline and sandy lands and wadis were reclaimed in this region (Fig. 10), and irrigation of these lands depended mainly on groundwater because of the absence of surface water. However, the residence time of groundwater in this region is longer than 1,000 years (Shi et al. 1999), thus the groundwater levels will continue to decrease if there is no additional input of water (Zhang et al., 2008). The decreased water inflows into this region directly resulted in rapid desertification. From the mid-1970s to the mid-1990s, there was no significant change in the area of farmland (Fig. 10), but the areas of anchored and semianchored dunes decreased and the area of semi-mobile dunes increased. This suggests that vegetation degradation on these dune surfaces accompanied the decrease in groundwater levels. However, the area of mobile dunes decreased after the mid-1990s as a result of a significant decrease in sand transport; this occurred because the period from the mid-1990s to the present had the lowest wind activity during the past 50 years in arid and semi-arid China (Wang et al. 2006). However, different views have been expressed based on the study of regional groundwater change. Grace-GLDAS data and in situ observations have been used to evaluate groundwater dynamics and sustainability in the Hexi Corridor (e.g. Wang et al., 2020). Limited positive effects of the water management projects were detected on the groundwater system. The results indicated that (1) groundwater in the Hexi Corridor (HC) has experienced a general deterioration (except for a sudden and sharp increase observed around 2002) in both storage and sustainability, from $\Delta GWS = 16.79$ cm/year and $\text{SIGWS} = 0.46$ (1985–1990) to $\Delta GWS = -28.96$ cm/year and $\text{SIGWS} = 0.008$ (2007–2016); (2) the lowest value of groundwater sustainability in the HC appeared in the central and eastern regions ($\text{SIGWS} = 0$); (3) human activity was confirmed to be the dominant factor driving the processes of deterioration in groundwater sustainability in the HC, and during the research period, it is striking that relatively limited “positive” effects of the water management project were detected on the regional groundwater resource; this result indicates that damaged groundwater sustainability cannot be easily reversed unless a long-term management policy is implemented. In general, it can say that that groundwater in the Hexi area experienced a general deterioration in both storage and sustainability, and human activity was confirmed as the dominant factor driving the groundwater deterioration (Wang et al., 2020). For more than half a century, ecological studies also highlight that the ecological and water environment of the Hexi Corridor has changed greatly, such as: (1) The groundwater level in the Hexi Corridor has fallen and the regional water resources have decreased. For example, the 26 motorized wells located in the inner Minqin Oasis indicate that the groundwater level in the central part of the Minqin Oasis fell at a rate of 0.54m/y during the period 1985-2001 (Fig. 10); and the 7 motorized wells located at
the edge of the Minqin Oasis indicates that the groundwater level in the marginal area of the Minqin Oasis also decreased, with a drop rate of 0.56 m/y from 1985 to 2017 (Fig. 10). (2) The area of natural sand-fixing forests in the Hexi Corridor has decreased. Due to the drop of groundwater level, the natural sand-fixing vegetation in the Minqin area has declined on a large scale, and the desert steppe has become sandy field. For example, in 1981, the area of natural sand-fixing forest in the Minqin area was 203,951 hm², but by 2002, the area of natural sand-fix forest had decreased to 197,353 hm² (Table 7); in the early 1980s, there were 373 hm² of Populus euphratica forest in Minqin, but it has now disappeared (Table 7). We have developed generalized frameworks that represent the variations in precipitation, evaporation, sand-driving winds, water inflow, and proxies for human activity (population size and area of farmlands) over the past 50 years to assess their roles in desertification in the Minqin Oasis (Fig. 11). This framework suggest that although the vulnerability to desertification is a function of anthropogenic pressures, geomorphological processes, and climate change, in the Minqin Oasis and the surrounding region, water resource limitations have been more important. The above ecological problems tell us that in the Hexi Corridor, the reason for the continuous decrease of vegetation is not the lack of afforestation, nor the change of regional precipitation or relative humidity (although the climate in the Hexi Corridor has become more humid in the past half century, as illustrated in Fig. 6), but is subject to the changes of "effective water", such as groundwater and soil water (Fig. 10). In other words, effective moisture is the most restrictive factor for ecological sustainability in the desert environment. Therefore, the control of desertification in the hexi Corridor should be based on the principle of “centering on effective water balance”. It is difficult to achieve the control of desertification without the overall direction of water-saving management and research on groundwater. Therefore, it can be said that the change of water environment is one of the leading factors restricting the change of the ecological environment from an oasis landscape toward a desertified landscape in the arid Hexi Corridor. The degradation of ecological environment in the lower reaches of the Shiyanghe River Basin in the Hexi Corridor, once again illustrates this point. From a larger spatial scale, the arid and semi-arid areas in northern China are seriously deficient in water resources. How to combat desertification is the primary problem restricting the sustainable development of the region. Some scholars believe that the transfer of water from the outer basins (such as the Yellow River Basin and the Yangtze River Basin) can appropriately alleviate the serious water shortage and desertification in the inland area of the Hexi Corridor. However, is such a measure feasible? In view of the large-scale allocation of resources in arid areas, on the one hand, the transfer of water from the outer basin is not the fundamental solution to the problem, and it is likely to cause ecological problems in other watersheds, because almost every watershed under arid environment is short of water or potentially short of water. On the other hand, large-scale water transfer is also unrealistic. Due to the constraints of socio-economic conditions and financial resources in the Hexi Corridor, it is not feasible to transfer water from the outer basin at least in the near future. We believe that the fundamental way to solve the problem of water resources of the inland areas of the Hexi Corridor lies in the rational utilization of existing water resources. Furthermore, some studies believe that the inland areas of the Hexi Corridor are not an absolute "resource-based water shortage", but a combination of "resource-based water shortage" and "technics-based water shortage" coexist (Chang and Liu, 2003). It means that the utilization of water resources is unreasonable and the efficiency of utilization is not high. Comprehensive control is the only way to combat desertification in the interior of the Hexi Corridor.ÁÄÁ

[The reviewer’s comment] (6) Figures and language need to be improved (see some comments below). [The author’s replay] Yes, in response to this comment of the reviewer, we have re-edited the original figures, re-checked the English language expression and errors in the full text, and made a thorough revision. Please refer to the main body of the revised draft for the Detailed revision processes and results can be found in the main body of the revised manuscript, in which any traces of our revisions are preserved.
Selected additional references (and references therein):
(1) Zhenda, Zhu, Shu, Liu, Xinmin, Di (1988): Desertification and Rehabilitation in China. The International Centre for Education and Research on Desertification Control, Lanzhou. (2) Wang, X., Chen, F., Hasi, E., Li, J. (2008): Desertification in China: An assessment, Earth-Science Reviews 88: 188-206. (3) Nottebaum, V., Lehmkuhl, F., Stauch, G., Lu, H., Yi, S. (2015): Late Quaternary aeolian sand deposition sustained by fluvial reworking and sediment supply in the Hexi Corridor – an example from northern Chinese drylands. Geomorphology 250: 113-127. [The author's replay] Yes, according to this review opinion, we have added these documents to the revised manuscript. Not only that, we have also consulted and added other relevant documents. Due to the large number of these newly added international documents, they are not listed here. But we can easily find these new documents in the References section of the revised manuscript, as they are all preserved the traces of revision.

Specific comments: Line 76-85: references needed. [The author's replay] Yes, we agree with this opinion of the reviewer. However, in the revised manuscript, this part of the text and content has been modified greatly, so the reference documents originally needed are no longer necessary. But in the revised version, the corresponding references are added to the modified text and content. For details, please refer to the Introduction section “1.” of the revised manuscript, as all traces of versions are preserved.

Line 165-168: Why this climate details at the end? Much better to climate line 143-142. [The author's replay] Yes, according to this opinion of the reviewer, we have made corresponding revision. We adjusted the information about climate to the Introduction of climate background in the revised manuscript. For details, please refer to the Background section “2.1.” of the revised manuscript, as all traces of versions are preserved.

Line 453-466: Heihe, grainsize discussed by Nottebaum et al.? [The author's replay] Yes, according to this opinion, we have referenced this document detailedly in the revised manuscript and cited the results of the study of grain size sedimentology in this paper. For details, please refer to the Results and Discussion sections “3.3” and “4.2” of the revised manuscript.

Line 525-526: closely linked to regional land degradation: : :: Please specify, references? [The author's replay] In response to this comments of the reviewer, we discussed and answered in detail the relationship between the formation of shrub dune landforms and related regional land degradation in the oasis areas of the study area in the revised manuscript. For details, please refer to the Discussion section “4.4” of the revised manuscript.

Line 542-554 Please specify, references? [The author's replay] In response to the comment (1) put forward by the reviewer above, we have deleted this part of the discussion about the process and mechanism of desertification in the study area in the revised manuscript. Therefore, there is no special references needed to the original Line 617-622.

Line 617-622: already stated somewhere above. [The author's replay] In response to the comment (1) put forward by the reviewer above, we have deleted this part of the discussion about the process and mechanism of desertification in the study area in the revised manuscript. Therefore, there is no longer the text involving this issue of the original Line 617-622.

Line 624-625: Question should be in the introduction. [The author's replay] In response to the comment (1) put forward by the reviewer above, we have deleted this part of the discussion about the process and mechanism of desertification in the study area in the revised manuscript. Therefore, there is no longer the text involving this question.

Line 675-680: enlargement of irrigation not mentioned in detail. [The author's replay] On the one hand, in response to the comment (1) put forward by the reviewer above, we have deleted this part of the discussion about the
process and mechanism of desertification in the study area in the revised manuscript, so there is no longer the text involved irrigation and desertification here. But on the other hand, in the revised manuscript, we newly discussed the causes of formation of shrub dunes in oasis areas of the study region, involving the potential impact of irrigation on oasis dunes. We elaborates on this issue clearly. For details, please refer to the Discussion section “4.4” of the revised manuscript.

[The reviewer's comment] Fig. 1: Rivers and locations of the paper have to be mentioned. [The author's replay] Yes, in response to this comment of the reviewer, we introduced the background of the big rivers and other hydrological conditions in the study area in the Background section “2.1” of the revised manuscript, combined with Figs. 1 and 2. Please refer to Section 2.1 of the revised manuscript for details.

[The reviewer's comment] Fig. 2: Please improve, difficult to read. [The author's replay] Yes, in response to this comment of the reviewer, we redrew Fig. 2 to make it clearer. Please refer to Fig. 2 of the revised manuscript for the detailed revision.

[The reviewer's comment] In addition, some of the other figures should be improved. [The author's replay] Yes, in response to this review opinion, we have also conducted a comprehensive inspection to all of figures in the paper and redrew them to make them more clear. Please refer to Figs. 1-8 of the revised manuscript for the detailed revision.

Please also note the supplement to this comment:

Fig. 2. Geomorphological map of the Hexi Corridor (modified after T. Wang, 2003)

Fig. 3. The moving speed and height of sandy dunes in the Hexi Corridor (modified after Chang et al., 2016a). (a) the moving speed of crescent-shaped (barchan) dunes; (b) the moving speed of chains of barchan dunes; (c) the height of barchan dunes; (d) the height of chains of barchan dunes; (e) the height of pyramid dunes.
Fig. 4. The coefficient of variation (CV) plotted against the median grain size of classified surface sediment types in the Hexi Corridor (modified after Nottebaum et al., 2014). The coefficient of variance increases with decreasing median grain size for most sediment types except for reworked sandy loess (RSAL) and reworked silty loess (RSIL). Stillwater silt (SWS) and slope deposits (SD) have relatively low CV values across the range of median grain sizes.

Fig. 5. The probability cumulative distribution curves of grain size of dune sediments in the Hexi Corridor (modified from Zhang and Dong, 2015).
Fig. 6. The UCC-standardized distributions and compositions of major and trace elements of sand dunes in the Minqin Basin and its surrounding areas in the western Hexi Corridor (modified after Ren et al. 2020).

Fig. 7. Compositions of the major and trace elements and their UCC-standardized distributions of sandy dunes in the Jinta-Gaotai area of the middle Hexi Corridor (modified after Zhang et al., 2020).
Fig. 8. Formation and evolution of shrub dunes in the Hexi Corridor and neighboring areas (modified after X Wang et al., 2008b).