	Saeid Sadeghnejad:	Authors' reply:
1.	The paper's Appendix comparing micro- CT, BSE, and EDX should be merged into the main text. Fig A provides a clear insight into the "problem definition" of the paper. The readers should wait until reading this Appendix and see this figure to understand why Illites are not visible in micro-CT images.	As suggested by Mr. Sadeghnejad, we inserted the images into the manuscript text section (Figure 2,5). This improves the comprehensibility of the manuscript, clarifies the motivation, and illustrates why we had to model illites into $\mu$ XCT structures to obtain the true rock permeability.
2.	Authors considered a 2-voxel pore layer next to solid surfaces as pore locations for illites in the primary simulation model. The reason for selecting this value was not discussed in the paper. Also, the methodology used in Eq. 7 requires more detailed explanations.	We updated the description of the decision why we chose a 2-voxel layer of illites and included it into the text. We updated the description of the method in line 213-216. Furthermore, we updated the text from line 221-224. We changed fig. 6 and the figure description to improve the comprehensibility of the method.
3.	However, the discussion of the same effect on the porosity of the system is missing.	With a focus on the permeability impact of clay minerals, this work shows that only a minor alteration of the pore throat morphology can be accompanied by a strong reduction of permeability in tight reservoir rocks. Assuming porous voxels, the porosity does not change significantly by applying the methodology. It is our intention to emphasize that the well- known relationship between porosity and permeability changes with a disconnection of the pore throats (e.g. Leu et al., 2014). The impact on permeability of this effect increases with decreasing permeability of the considered rock.
	Anonymous referee #1:	
1.	Line 40-41, in the Introduction section, the following references (Liuand Mostaghimi 2018; Liu et al. 2020) can't be ignored to discuss the recent advances in the pore-scale studies below µXCT resolution. Liu, M., & Mostaghimi, P. (2018). Reactive transport modelling in dual porosity media. Chemical Engineering Science,190, 436-442. Liu, M., Starchenko, V., Anovitz, L. M., & Stack, A. G. (2020). Grain detachment and transport clogging during mineral dissolution in carbonate rocks with	We appreciate your comment and added the suggested references to line 40-42.

	C1SED Interactive comment Printer- friendly version Discussion paper permeable grain boundaries. Geochimica	
	et Cosmochimica Acta, 280, 202-220.	
2.	Line 87, "The term", this sentence seems have different font style with the context. Please check it through the paper.	We checked for different font styles and corrected it throughout the manuscript.
3.	Line 89, reference is needed for the definition of "nanoporous".	We added a description for the term "nanoporous" from line 88-90 (e.g. Tinet et al. 2020).
4.	Line 208, can the authors provide the values of the parameters used in Equation (1)?	Since line 208 does not contain information about the equation, we think you might have meant line 108. We added a section about the physical parameters which were used for the gas permeameter test (line 244-254). These include values of the applied differential pressure and size of the samples. Furthermore, we added an example for the applied pressures for sample F8 (Table 1).
5.	Scale bar is missing for Figure 2.	In Figure 3 (former Figure 2), there is a scale bar in the bottom left corner of the figure.
6.	Line 175, I think there should be more introduction about the machine learning method used in Figure 3.	<i>Ilastik</i> uses a Random Forest algorithm to provide different trees for the classification of the voxels/pixels. This is used to achieve a segmentation based on not only greyscale values, but shape as well. We added a section about the machine learning method from line 185- 191.
	Anonymous referee #2:	
1.	Line 104, list the brand, type and accuracy of the differential pressure transducer.	We listed the brand, type and accuracy of the equipment we have used from line 108-111.
2.	Line 111, MIP should be replaced by MICP.	We replaced the term "MIP" by "MICP" throughout the text.
3.	Line 128, add more details of the machine learning segmentation used in this study	Following the referees' suggestion, we added a section about the machine learning (line 185-191). We explain how the algorithm classifies pixel/voxel using the random forest classifier.
4.	Line 156, how did the authors calculate the error bound?	The error bound is one of the mandatory settings for the solver. Since every calculated permeability is a result of an iterative differential equation process, the "true" value for permeability can only be approximated. A low error bound value of

6.       The unit of the permeability should be consistent for the whole paper       We may format is this method.	en requires a simulation time of reach the specified stopping . We added a description in the
5.permeability format.permeab6.The unit of the permeability should be consistent for the whole paperWe may format isAdditional ouestion:Is this methodThank ye	ript from line 164-167.
6. consistent for the whole paper format is Additional question: Is this method Thank ye	nanged the format of the bility to mD.
Additional question. Is this method Thank v	de sure that the permeability s consistent in the manuscript.
7. applicable for shale? of shale. sandstom applicable character pore stru porous v role too 0 2016). T method u natural r case, th when the the other content effect on Further knowled modellin our method s structure sentence	ou for asking. Since the structure is is very different compared to hes, the method might be depending on the pore throat ristics. In shales, the dominating ucture can be either fractured or while organic matter may play a (Tiwari et al. 2013, Grathoff et al. That is why an investigation of the using mudrocks might be the most next step to climb. In the porous the method might be applicable e pores are large enough while in r cases the modelled clay mineral might have no or only a minor n the permeability calculations. studies are necessary to gain lge about the effect of clay ing on permeability in shales when hod should be applied. Of course, a very promising topic, and the should be benchmarked on these

	Further changes in the manuscript
1.	We changed the sentence about Darcy's law in line 150 since the meaning was misleading.
2.	We added a sentence about the validity of the flow field variances in line 318-319.
3.	We added new references which emphasize important aspects of the manuscript.

### Authors' reply to the editor:

The authors want to thank the editor for the effort he spent evaluating our manuscript. We addressed the comments and statements of the editor in our manuscript (highlighted in green).

Dear Authors,

I have now had time to evaluate the reviews and read your manuscript in detail, apologies for the slight delay.

This is a very interesting, well-written and well-illustrated paper, and I look forward to seeing it published in SE. Before I will recommend it for publication and in order to ensure the reproducibility of your work, I would ask you to provide the numerical details of your segmentation choices - that is, for the uCT data, the threshold values and the bit depth of your data as well as details on the reconstruction algorithm you used. Given that the ML segmentation hinges on the training of the classifier, I would ask to make the classifier file you used for segmentation available as supplementary material. In order to evaluate the influence of phase contrast on your synchrotron data, it would be important that you provided the sample-detector distance.

Please also have a look at the attached, annotated pdf.

With kind regards,

Florian Fusseis

Non-public comments to the Author:

W/ regards to the references that referee #1 requests, please only include these if they are essential.

Authors'	renly	
Autions	repry.	

	Changes in the manuscript
1.	As suggested by the editor, we corrected line 32, 40, 54, 70-71, 81-82, 219, 220, 288, 322.
2.	We added a section about the synchrotron-based $\mu$ XCT scanning and provided additional information about thresholding values, sample-detector distance and the datatype of the images (127-139). We used one machine learning method for the qualitative comparison with conventional thresholding. We clarified this in the manuscript.
3.	We provided the .ilp file which includes all information about the classifier. This file may be opened with a .h5 compatible program (MATLAB). To gain access with MATLAB, the command would be: hinfo = hdf5info('filename')

	An exemplary .tif file of a slice of the illite meshwork which can be opened along with the .ilp file was attached as well. The slice illustrated in Fig. 4 was
	used for this purpose (Step C to D). We included the references provided by referee #1 since they helped to clarify
4.	our statements about combining FIB-SEM with $\mu$ XCT.

# Simulating permeability reduction by clay mineral nanopores in a tight sandstone by combining µXCT and FIB-SEM imaging

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### Abstract

Computer microtomography ( $\mu$ XCT) represents a powerful tool for investigating the physical properties of porous rocks. While calculated porosities determined by this method typically match experimental measurements, computed permeabilities are often overestimated by more than one order of magnitude. This effect increases towards smaller pore sizes, as shown in

- 15 this study, in which nanostructural features related to clay minerals reduce the permeability of tight reservoir sandstone samples. FIB-SEM tomography was applied to determine the permeability effects of illites at the nanometre scale and Navier-Stokes-equations were applied to calculate the permeability of these domains. With this data, microporous domains (porous voxels) were defined using microtomography images of a tight reservoir sample. The distribution of these domains could be extrapolated by calibration against size distributions measured in FIB-SEM images. For this, we assumed a mean permeability
- 20 for the dominant clay mineral (illite) in the rock and assigned it to the microporous domains within the structure. The results prove the applicability of our novel approach by combining FIB-SEM with X-ray tomographic rock core scans to achieve a good correspondence between measured and simulated permeabilities. This methodology results in a more accurate representation of reservoir rock permeability in comparison to that estimated purely based on µXCT images.

### **1** Introduction

25 Depositional environment and subsequent diagenetic alterations are two key factors that influence the bulk mineralogical composition and the authigenic clay mineral inventory of a reservoir (Wilson and Pittman, 1977; Worden and Morad, 1999), and therefore the fluid flow properties of the porous rock. A well-established technique to image and analyse rapidly the 3D

physical properties of porous rocks is computer X-ray microtomography ( $\mu$ XCT) combined with the concept of Digital Rock Physics (Andrä et al. 2013a, 2013b; Okabe and Blunt, 2004). By applying monochromatic synchrotron radiation, it is possible

- 30 to overcome conventional μXCT artefacts like beam hardening and problems that arise due to limited phase contrast, lack in resolution and edge preservation, as well as low signal-to-noise ratios (Brunke et al. 2008; Kling et al. 2018; Lindquist et al. 2000; Mayo et al. 2015; Spanne et al. 1994). Synchrotron based μXCT scans with image resolutions in the order of 1 μm can provide a sound basis for flow and transport modelling of tight sandstones as suggested by Peng et al. (2014). They found that synchrotron μXCT imaging is necessary for tight sandstones when the connectivity of the pore space is low and pore throats
- 35 cannot be resolved using a conventional μXCT scanner. They further concluded that a high abundance of the smallest resolvable pores falsifies modelled permeabilities due to an overestimation of actual pore sizes. Several studies have shown sub-micrometre pore structures to be a frequent feature of tight reservoir rocks (Jiang, 2012; Shah et al. 2016; Soulaine et al. 2016). Most of these nanostructures are related to different types of clay minerals; most commonly illite, kaolinite, chlorite and smectite (e.g. Wilson and Pittman, 1977, Worden and Morad, 1999, Desbois et al. 2016).
- 40 Although known for years, considering such structural features below μXCT resolution in pore-scale models remains challenging (Alyafei et al. 2015; Guan et al. 2019; Liu & Mostaghimi 2018; Liu et al. 2020; Menke et al. 2019; Peng et al. 2012). Soulaine et al. (2016) systematically analysed the effect of sub-resolution domains with varying permeabilities on the simulated permeabilities of Berea sandstone (20 vol.-% porosity, 2 vol.-% sub-resolution domains) and found that calculated permeabilities can be reduced by up to 50 %, if microporous domain permeabilities converge towards zero. Thus, it is evident
- 45 that neglecting sub-resolution information can lead to a significant overestimation of rock permeability in such simulations (e.g. Saxena et al. 2018, 2017). Menke et al. (2019) utilized a multi-scale Brinkman area approach applying different permeabilities for each microporous domain to simulate flow in mono-mineralic carbonate rock. They showed that Stokes-Brinkman models are in good agreement with experimental data whereas Stokes and/or Navier-Stokes models alone were not able to predict permeability in a conventional flow scenario. They also demonstrated that for pure carbonates, a direct
- 50 correlation can be established between observed density contrasts and specific physical properties, such as porosity and permeability. However, this approach is not applicable in a system with more than one rock forming mineral, such as a tight sandstone, where density contrasts relate to different mineral phases as well as sub-resolution porosities.

The lack of distinct material information for a voxel is often ascribed to as the *partial volume effect* (e.g. Kessler et al. 1984; Ketcham and Carlson, 2001). To overcome the impact of this effect on physical properties of a rock, imaging techniques that

- 55 can resolve the pore structure at different length scales have to be applied. For estimating the permeability of reservoir rocks, the resolution achieved by synchrotron radiation imaging lies within an acceptable range (Saxena et al. 2018). Several studies have demonstrated that, by combining X-ray and scanning electron imaging, the pore space of tight clay-bearing rocks can be spatially resolved from the mm- down to the nm-scale (e.g. Desbois et al. 2016; Hemes et al. 2015; Markussen et al. 2019). In this study, we aim to demonstrate a new approach by combining synchrotron-based µXCT imaging with focussed-ion-beam
- 60 scanning electron microscopy (FIB-SEM) to improve flow simulations in a tight sandstone formation with high clay mineral content. First, we used machine learning-based image segmentation to enhance pore space segmentations of artefact rich FIB-SEM topologies. Then, we conducted Navier-Stokes simulations on FIB-SEM topologies. Finally, we subsequently used these simulations as input data for sub-resolution domains in µXCT based Stokes-Brinkman models (Brinkman, 1949; Neale and Nader, 1974). This novel morphology-based approach for sub-resolution-rich materials results in simulated permeabilities that

65 fit experimental results significantly better than using Navier-Stokes simulations alone.

#### 2 Sample preparation and characterization

In this study, a well-characterized illite-bearing Upper Rotliegend sandstone from Germany was used, which was sampled from the quarry Schwentesius near the Bebertal village (Heidsiek et al. 2020). This well-known location exposes an analogue of the Permian gas reservoir sandstone of the Flechtingen High, which formed part of the North German Basin. Thin section analyses of this reservoir rock have shown that illite is the main clay mineral, which primarily occurs as a coating along detrital grains, illite meshworks grown on coatings, diagenetically altered K-feldspar and illitized lithoclasts (Fischer et al. 2012).



### Figure 1 (A) Upper Rotliegend sandstone block showing four main deposition facies. (B) Sampling locations and sizes of the extracted plugs (green) and the mini plugs (red) extracted.

- 75 Samples were taken from a large sandstone block displaying a variety of different aeolian and fluvial depositional facies (Fig. 1A). We identified several facies denoted A to J from four different types of sedimentary depositional layers. The samples of the different facies were numbered from left to right. Samples were drilled out and extracted from marked locations in the form of plugs with a diameter of 2.5 cm. The plugs were cut into three segments which were used for X-ray diffraction analyses, helium porosimetry measurements and FIB-SEM imaging. Mini plugs were drilled directly beside the plugs with a diameter
- 80 of 3 mm and a length between 10 and 20 mm. The larger plugs were used for mineralogical/geochemical analysis, and to measure permeabilities experimentally. The mini plugs were scanned at the synchrotron radiation-based μXCT imaging beamline P05 at the PETRA of DESY Hamburg (Germany). After the synchrotron measuring campaign, the mini plugs were additionally examined by FIB-SEM and Energy Dispersive X-ray Spectroscopy (EDX) imaging to obtain qualitative and quantitative information about the clay mineral particles found within the rock pore space. Microporous structures in the
- 85 Rotliegend sandstone sample could be resolved by comparing μXCT and FIB-SEM images. The term "microporous" refers to the definition of sub micrometre porosity by Soulaine et al. (2016), who differentiated between void, solid, and microporous

voxels in  $\mu$ XCT images. According to for example Tinet et al. (2020), the term "nanoporous" is used to describe structures with predominant pore sizes in the nanometre range (0.2 – 1000 nm). In this range, the clay minerals often appear as a greyish pore-filling phase in  $\mu$ XCT images.

### 90 3 Analytical procedures

### 3.1 Mineralogical characterization

X-ray diffraction analyses using the Rietveld analysis program Profex 4.0 (Doebelin and Kleeberg, 2015; Ufer et al. 2012) have shown a homogenous mineralogical composition along the layers of the sample block with only slight variations in content (Fig. 2).



### X-ray diffraction analysis (XRD)

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Figure 2 XRD analysis of the main minerals in the plugs based on Rietveld calculations, determined for several samples of facies A to J of the sandstone block.

The main components are quartz (58-69 wt.-%), authigenic and diagenetic feldspars (12-20 wt.-%), calcite (1-18 wt.-%), and illite (10-17 wt.-%). In the <0.2  $\mu$ m size fraction, we observed traces of swelling smectite interpreted as contaminants from

100 surface weathering. Accessory hematite and barite particles also occur with abundances of <1 wt.-%. In the Bebertal tight sandstone, illite is by far the most abundant clay mineral and makes up ~95 wt.-% of the <2  $\mu$ m fraction. Commonly, the nanometre-scale microstructural features of reservoir rocks containing significant amounts of clay minerals are usually not detectable at  $\mu$ XCT resolution due to a low absorption contrast (Ahmad et al. 2018).

### 3.2 Permeability measurements and pore size distribution

- 105 A helium gas-driven permeameter under steady-state conditions was used to experimentally obtain permeability values for the individual plugs (e.g. Filomena et al. 2014). Compressed air was used to apply a pressure of 10 bar to the core samples that were coated by a latex membrane. A Bronkhorst F-111C-RBB-33-V was used as a flowmeter. It was calibrated by polynomial calibration and has a measuring accuracy of ±0.5 % plus ±0.1 % of the full scale. To measure the differential pressure, an Emerson Rosemount 3051S Ultra was used with an accuracy of ±0,045 %. To control the inflow and outflow, two pressure
- 110 sensors (Brooks 5866) with an accuracy of  $\pm 0.5$  % were installed. For permeability measurements, the inflow and outflow pressures of the helium flux were sequentially increased in up to six pressure steps. The differential pressure was kept constant at 200 – 500 mbar depending on the sample properties. The intrinsic sample permeability was derived from the apparent gas permeability,  $K_a$ , determined for each pressure step using Darcy's law (Liu et al. 2017):

$$K_g = \frac{2Qp_2\eta L}{A(p_1^2 - p_2^2)} \tag{1}$$

- 115 where *Q* is the measured gas flow rate,  $\eta$  is the dynamic viscosity of the permeant, *L* is the sample length, *A* is the sample cross-section, and  $p_1$  and  $p_2$  are the inflow and outflow pressures. By plotting  $K_g$  against  $\frac{1}{(p_1+p_2)/2}$ , the data can be fitted by a straight line. The intercept of the best-fit line at the  $K_g$  axis corresponds to the intrinsic sample permeability,  $K_{int}$  (Gao and Li, 2016; Klinkenberg, 1941). Also, MICP measurements were conducted with an Autopore IV Series (Micromeritic Instrument Corp.) to determine the pore size distribution of a dried sub-sample with a weight of ~2.5 g, which was taken from a cross-
- 120 bedded aeolian layer of the sandstone block. Based on the capillary law, MICP enables the analysis of a wide spectrum of pore sizes (3 nm to > 900  $\mu$ m), corresponding to a pressure range of 0 - 414 MPa. As a non-wetting liquid with a high contact angle (130 - 140°), mercury only penetrates a pore when pressure is applied. Under the assumption of cylindrical pores, the applied pressure is directly proportional to the pore throat diameter as described by the Washburn equation (Washburn, 1921):

$$D = \frac{-4\gamma \cos \theta}{p} \tag{2}$$

125 where D is pore throat diameter,  $\gamma$  the surface tension,  $\theta$  the contact angle, and P the applied pressure.

### 3.3 Synchrotron-based µXCT

For synchrotron tomography, a beam energy of 29.87 KeV was used while the sample-detector distance was 1.2 cm. The effective image resolution of the detector equipped with a CCD camera was  $1.22 \,\mu$ m per pixel, while the image size was 3056  $\times$  3056 pixels. We used an advanced reconstruction script described in Moosmann et al (2014) with the MATLAB<sup>®</sup> software

and binned the images by a factor of 2 before reconstruction to increase the signal-to-noise ratio. This decreased the voxel resolution to 2.43 µm and changed the image size to 1528 × 1528 pixels. The number of projections was 1200, with the information of five subsequent images used to calculate an average for every projection image. After reconstruction of the 3D image stacks, the scans were denoised using the non-local means filter of the *GeoDict 2020* software package (Buades et al. 2011). Image segmentation of the mini plugs was realized by conventional greyscale thresholding. The greyscale values for each phase on a 16-bit intensity range were: 0 - 8550 for pores, 8551 - 12880 for grains, 12881 - 25000 for cements and 25001 - 65535 for high density cements and oxides. Since the best threshold values for each phase varied slightly from sample to sample, these values were adjusted for each segmentation within a small range of ± 50. A qualitative comparison with machine

learning segmentation revealed a better pore-to-solid segmentation and resolving of small pore throats by thresholding (Fig.

3). Since the main goal was to achieve the best possible permeability estimation, the differentiation between pore and solid ismore important for permeability estimation than the accurate segmentation into different phases (Khan et al. 2016). Leu et al.

(2014) point out that even a small variation in pore throat morphology can have a large impact on the estimation of permeability.



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Oxides
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Figure 3 (A) Greyscale 2D µXCT image of a mini plug. (B) Segmented phases using multi thresholding. (C) Segmented
 phases using the machine learning image classification module of the software *ilastik* (Version 1.3.3) by Berg et al. (2019).

For permeability simulations, we either used the Fast Fourier Transformation (SIMPLE-FFT) or the Left-Identity-Right (LIR) solvers, both implemented in the *FlowDict* module of the *GeoDict* software package (Linden et al. 2015; Moulinec and Suquet, 1995). While the SIMPLE-FFT solver is fast for calculating low porosity domains, the LIR solver is better suited for high porosity domains and requires less memory. Both iterative finite volume solvers can apply Navier-Stokes and Navier-Stokes-Brinkman equations. Derived from these equations, Darcy's law (Eq. 1) is used to calculate the permeability of a material (Darcy, 1856):

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$$\vec{u} = -\frac{\kappa}{n} (\nabla p - \vec{f}) \tag{3}$$

In Eq. 3,  $\vec{u}$  is the 3-dimensional average fluid-flow velocity, *K* the permeability,  $\eta$  the fluid viscosity, *p* the intrinsic average 155 pressure tensor, and *f* the force density field, which was defined using the Navier–Stokes conservation of momentum equation for all three dimensions (Eq. 4):

$$-\eta \Delta \vec{u} + (\rho \vec{u} \cdot \nabla) \vec{u} + \nabla p = \vec{f}$$
<sup>(4)</sup>

The Brinkman term can be added to the Navier-Stokes equation where porous voxels are required. These voxels include the nanoporous flow resistivity:

$$\eta \Delta \vec{u} + (\rho \vec{u} \cdot \nabla) \vec{u} + \eta K^{-1} \vec{u} + \nabla p = \vec{f}$$
<sup>(5)</sup>

where  $K^{-1}$  is the inverse of the permeability tensor and  $\eta K^{-1}$  the flow resistivity. The applicability and robustness of combining Navier-Stokes equations with the Brinkman term has been validated by Iliev and Laptev (2004).

We calculated the permeability with symmetric boundary conditions in tangential and flow direction with a pressure drop of 20370 Pa. The symmetric boundary conditions are valid for low porous structures with non-periodic pore throat geometries.

165 The differential pressure value was set to be able to compare the results with helium permeation flux measurements where similar values have been used. As a convergence stopping criterion, a low error bound of 0.05 was chosen. The error bound criterion uses the result of previous iteration to predict the final solution by linear and quadratic extrapolation. This stops the iteration when the relative difference regarding the prediction is smaller than 5 %. During this process, the solver recognizes oscillations and local minima or maxima which prevents a premature stopping of the solver during the iteration process.

### 170 3.4 FIB-SEM Measurements

In this study, a Zeiss Auriga crossbeam electron microscope equipped with a Gemini electron column and an Orsay Physics ion beam was used. SEM images were taken at 1 kV with an in-lens secondary electron (SE2) detector, and FIB slicing was executed with a beam current between 0.5 and 2 nA and a voltage of 30 kV. This resulted in a slice thickness of 25 nm. A large FOV of ~20  $\mu$ m could be reached. To derive structural information from the FIB-SEM images, extensive post-processing

175 of the data was required. Following image alignment and cropping, stripes and shadow artefacts were filtered out before image segmentation. The *Slice Alignment* operation of the module *ImportGeo* of the *GeoDict 2020* software package was used to align the images, while the *Curtaining Filter* was used for stripes correction (Fig. 4). In general, the segmentation of pores in

FIB-SEM images was not straight forward since scans of porous polished sections are pseudo-2D and contain information from behind the actual imaging plane (De Boever et al. 2015).



FIB-SEM image processing (workflow)



Figure 4 (A) Raw SEM image of secondary illite growth in a porous feldspar with streaks and shadow artefacts. (B) Images with aligned slices of a cropped region of interest. (C) Filtered greyscale SEM image of the illite meshworks. (D) The binarized result after image segmentation with pixel classification algorithms of the software *ilastik*.

As multi-thresholding and watershed segmentation algorithms have problems with shine through artefacts (Prill et al. 2013),

185 capturing the correct 3D pore space geometry is of crucial importance for the determination of a realistic permeability. Recent

advances have shown that machine learning image segmentation software can successfully be utilized to segment pore space in CT scans (Berg et al. 2018).

The software *ilastik*, an interactive learning and segmentation toolkit by Berg et al. (2019), was used for the interactively supervised machine learning segmentation of the phases in our FIB-SEM images (Fig. 4). The built-in pixel classification module groups the probability of each pixel to be assigned to a phase according to their different imaged features. The software provides a set of different images based on features derived from the original image (filtering and smoothing, edge detection, etc.). Examples of the correct class (phase) provided by the user builds a decision surface in feature space. Based on these features, a Random Forest classifier assigns each pixel/voxel to a certain phase. This method works for both 2D and 3D data. The accuracy of this method increases with the number of user-provided training data. In a manually controlled workflow, it was possible to reach high segmentation accuracies with only minor over- or underestimations of the pore space (Fig. 4C, D).

### 3.5 Defining microporous domains

The need to define microporous domains results from the mismatch of permeability between  $\mu XCT$  simulations and gas-driven permeameter tests. While the simulation of permeability in structures with high permeability and porosity obtained by  $\mu XCT$ 

- scans is precise, the effect of nanoporosity below resolution on permeability increases with decreasing permeability (Pittman and Thomas, 1979; Saxena et al. 2018). When comparing backscattered electron (BSE) images with  $\mu$ XCT images of the same slice, it becomes apparent that the smallest pores in  $\mu$ XCT images simplify the real pore structure (Fig. 5). Furthermore, SEM and EDX images revealed that most of the pores are filled with clay minerals. Since both void and microporous regions share similar greyscale values, it is impossible to correctly differentiate upon segmentation. In this approach, the segmentation of
- 205 the pores includes clay minerals with a low absorption contrast. To determine the distribution of illite in  $\mu$ XCT scans, we used correlative  $\mu$ XCT, SEM and EDX measurements. For this, a 3 mm plug was embedded into epoxy resin and then ground and

polished until the region of interest was reached. Two sites were chosen for EDX mappings (Fig. 5). Comparing EDX Mappings with CT images shows that the distribution of illite agrees with the textural findings of Fischer et al. (2012).



210 Figure 5 (A) µXCT and corresponding (B) BSE image of the polished section including the close-up regions S1 and S2 that were used for EDX mapping to analyse the distribution of illites. (C) Ternary plot of Ca, K and Al content of each

pixel in the mappings. Calcite, illite and K-feldspar plot in 3 distinct regions. By selecting these areas, a phase map overlay was created that visualises the location of these phases in SE images. (D) Region S1 and S2 with phase map overlays. Illite is predominantly found in the vicinity of altered feldspars, as coating along grain boundaries and as authigenic pore filling.

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Furthermore, it becomes apparent that illite enrichments coincide with regions that are usually referred to as void pore space in µXCT images. The mismatch between real pore structure and segmented pore space is highest in small pores and throats. An average size of ~5 µm was measured for illites which cover the surfaces of the quartz and feldspar grains. This corresponds to approximately two voxels in the  $\mu$ XCT images. To refine flow and reduce the influence of overestimated pore sizes in these specific regions, we define all pores with a diameter  $\leq 2$  voxels as microporous domains. The Brinkman term accounts for small pores, where grains are porous themselves (Brinkman, 1949). To extract these regions from the initial pore space segmentation  $F_{p*}$  we calculated Euclidian distance maps as used by Maurer et al. (2003):

$$d = \sqrt{(x_2 - x_1) + (y_2 - y_1) + (z_2 - z_1)}$$
(6)

where d is the distance between two points,  $x_1$ ,  $y_1$  and  $z_1$  the coordinates of the first point, and  $x_2$ ,  $y_2$  and  $z_2$  the coordinates of the second point. By selecting  $d(\leq 2)$  all pore voxels with the nearest distance of  $\leq 2$  voxels to the next solid surface are 225 masked, including the outer rim of larger pores (Fig. 6B). To obtain a mask with pores  $\leq 2$  voxels, this rim layer surplus must be removed. This was realized by performing a morphological dilation operation on d(> 2) using a structure element *SE2* of 2<sup>3</sup> voxels. By subtracting the dilated image from d(> 2), the microporous domain data  $F_{\mu 2}$  (Fig. 6C) can be obtained.

$$F_{\mu 2} = d(\le 2) - \delta_{SE2}(d(>2)) \tag{7}$$



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Solid phase Navier-Stokes-Brinkman domain Navier-Stokes domain

# Figure 6 (A) Segmented µXCT image of the pore space of a mini plug. (B) Image with applied microporous domain using the Euclidian distance map approach (C) Image with removed outer rim layer of larger pores.

In GeoDict the permeabilities of the microporous domains are calculated with the Brinkman term. Based on flow simulations

on 3D FIB-SEM images of illite meshworks, an isotropic permeability was assigned to the microporous domains (Fig. 6).

### 235 Yoon and Dewers (2013) confirmed the validity of the approach of extrapolating structural features of clays measured by FIB-

SEM to the pore scale. The complete workflow for a precise permeability simulation is illustrated in Fig. 7.



Figure 7 Workflow for microporous domain modelling combining FIB-SEM nanoporosity with μXCT scans. Sample E9 of the aeolian layer with well-distributed flow paths was selected for visualisation. Upon the modelling of the
 microporous domains, the total fluid-flow velocity decreases. Displayed simulated permeabilities include the mean values of five samples with the best distribution of percolation paths. Fluid-flow velocities close to zero are transparent.

### 245 4 Results and discussion

### 4.1 Permeability measurements and pore size distribution

Four to six pressure stages were applied to the plug samples to determine their gas permeability. In Table 1, parameters which

were used for the permeameter test are shown exemplary for sample F8. These values yield a Klinkenberg factor of 0.56 and

a quadratic regression coefficient of 0.94

250 Table 1: Parameters of the gas permeameter flux experiment (sample F8), including measured helium gas flow rate, recorded inflow and outflow pressures as well as calculated apparent gas permeability for each pressure stage. For evaluating the intrinsic sample permeability, the sample cross-section (0.025 m) and length (0.05 m) as well as the dynamic viscosity of helium ( $18.4 \times 10^{-6}$  Pas) is also needed.

Pressure stage	Inflow pressure (Pa)	Outflow pressure (Pa)	Flow rate (m <sup>3</sup> /s)	Apparent permeability (mD)
1	129522.3	109151	2.8803e-08	2.38
2	149587.1	129167.9	2.8401e-08	2.38
3	174634.9	154120.4	2.7072e-08	2.28
4	224581.7	204228.1	2.5041e-08	2.16
5	324516.8	304066.2	2.4409e-08	2.13

- 255 Overall, the measured intrinsic permeabilities range between 1.1 mD and 5.4 mD with an average value of 2.9 mD for both aeolian facies (Table 2; Fig. 1, sample series E and F). The quadratic regression coefficient ranges from 0.732 to 0.998 which indicates a high accuracy of the permeameter test. The small variation of the physical rock properties between the main layers is induced by different angles of the grain layering in each plug sample and small-scale variations in grain and pore size distributions. The observed variance is in the typical range of observed permeability fluctuations for tight reservoir sandstones
- 260 (Lis-Śledziona, 2019). Considering the observed permeability, the studied rock samples are at the lower end of the permeability range known for sedimentary reservoir rocks (Gluyas and Swarbrick, 2004).

Table 2: Intrinsic permeabilities and porosities of the measured sandstone plug samples.

	E5	E6	E7	E8	E13	F4	F6	F8	F9	Mean	Standard deviation (±15)
Permeability (mD)	1.1	5.1	5.4	2.2	4.2	2.3	2.3	1.9	1.4	2.9	1.5

265 The pore size distribution of the sub-sample analysed by mercury intrusion porosimetry (section 3.2) was obtained by a semilogarithmic representation of the normalized intrusion volume achieved per pressure interval (Fig. 8). The consumed capillary stem intrusion volume of 27 % was within the acceptable range needed for precise measurements. We discovered a major peak at approximately 1 µm, representing the most common pore throat diameter.



270 Figure 8 Distribution of the pore throat diameters of a sample of the aeolian facies in layer J obtained from mercury intrusion porosimetry (MICP).

In addition to the mercury porosimetry results, calculations of the pore size distribution of FIB-SEM and  $\mu$ XCT mini plug images were conducted using the *GeoDict* module *PoroDict*. This module provides an algorithm that virtually pushes spheres of different sizes into a medium to determine the 3D pore size distribution (Münch and Holzer, 2008). FIB-SEM measurements of the pore size distribution cover the small pores related to the illite nanoporosity, while  $\mu$ XCT porosimetry illustrates the pore size distribution of the larger scale intergranular pore space skeleton.



Pore size distribution (PSD)

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Figure 9 Pore throat diameters from µXCT (left) and FIB-SEM images (right).

The μXCT pore volume analysis shows a constant rise towards the smallest observed pore diameters (2.44 μm, Fig. 9). The steepest slope is observed between 7.3 and 12.2 μm which indicates that the largest volume of pore bodies is observed in that range. The calculated pore size distribution from nanoporous 3D structures of illite meshworks obtained by FIB-SEM imaging shows three distinct peaks in its at 75 nm, 125 nm and 250 nm. This illustrates that the most common inscribed pore diameters are relatively small compared to the actual extent of the pores, which is considered typical for slit-shaped pore systems where the pore axial ratio is high (Desbois et al. 2016). Ultimately, this results from the different thicknesses of clay platelets and heterogeneity in the alignment of the illite nanostructure (Aplin et al. 2006). An apparent gap exists between diameters observed by MICP and 3D imaging with FIB-SEM and  $\mu$ XCT. While MICP peaks at around 1  $\mu$ m, diameters observed by  $\mu$ XCT start at 2.4  $\mu$ m and the largest inscribed diameters observed by FIB-SEM are below 700 nm. However, it must be noted that pressure-controlled MICP generally gives information about the dimension of pore throats whereas pore size distributions provided by imaging techniques give information about pore body volumes (Zhao et al. 2015). Furthermore, pore shielding may cause an underestimation of larger pores for MICP (Abell et al. 1999; Gane et al. 2004). The occurrence of authigenic illites is the likely cause of this effect as they are commonly found in pore throat areas. Since the Washburn equation assumes ideal pore throats of cylindrical shape, the underestimation of larger pores becomes more evident with the increasing complexity of the pore throat system at both the mm and nm scale (Washburn, 1921).

Therefore, a direct comparison between the used methods is unlikely to result in compatible results.

#### 295 **4.2 Permeability simulation**

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A calculated isotropic permeability of  $2.53 \cdot 10^{-3}$  mD was used for the microporous domains based on the Navier-Stokes fluid-flow simulations of permeability of FIB-SEM scans of the illite meshworks. The number of porous voxels resulting from clay mineral modelling ranges between 3.3 vol.-% and 7.1 vol.-% of the total structure volume for all considered  $\mu$ XCT scans. A comparison of the modelled clay minerals in  $\mu$ XCT scans with XRD mass balancing highlights a large difference between the measured mineral abundances (Table 3, Fig. 2).

	C3	D2	D9	E9	F7	G2	G8	Н9	13	19	J3	J7	Mean	Standard deviation (±1σ)
Clay mineral content (vol %)	4.7	3.3	5.0	5.4	7.1	5.0	6.0	6.3	4.1	6.2	6.2	6.1	5.4	1.0

While the mean amount of clay minerals based on XRD measurements was 12.7 wt.-% (about 11.3 vol.-% within a structure with 8 % porosity), an average amount of 5.4 vol.-% was modelled by the distance map algorithm. This is expected since the
illite content inside grains was not modelled since it has no effect on permeability. We simulated permeability of 12 mini plug samples that were scanned by μXCT and compared them with measurements from gas permeameter experiments. As a first

step, we extracted and illustrated the ten and hundred largest open flow paths through the pore space of all mini plug cores before the modelling of the microporous domains (Fig. 10). This yields information concerning the heterogeneity of the flow fields and allows to check the validity of the Navier-Stokes simulations. Structures with flow impingement often cause

310 numerical problems which results in an artificial underestimation of the permeability simulations. Significant underestimations of permeability after clay mineral modelling were also found in areas where the percolation paths in the samples were limited to a few voxels in the structure before modelling.



Figure 10 Comparison of percolation paths in two µXCT reconstructions of the 3D imaging data without microporous domain modelling (A) Well distributed percolation paths in a µXCT reconstruction of mini plug sample E9 without microporous domain modelling. (B) Constricted percolation paths with flow impingement limited to a small region of the structure in mini plug sample I3 without microporous domain modelling. The ten largest percolation paths are coloured in purple, the hundred largest percolation paths are coloured in cyan.

This effect leads to an artificial permeability drop, which renders calculations to be less precise. Since evenly distributed flow

320 paths are necessary to determine the true permeability of a volume of a rock, we considered only permeability calculations of

samples which show no flow impingement for modelling (Bear, 1972; Leu et al. 2014; Zhang et al. 2000). The validity of the simulations was ensured by applying the variance algorithm on the flow fields discussed in Jacob et al. (2019).

The mean value of the experimentally obtained intrinsic gas permeabilities was 2.9 mD (Table 2, Fig. 11A). Samples with no flow impingement had an initial mean permeability of 26.5 mD, whereas the mean permeability simulated on  $\mu$ XCT images was 26.5 (Fig. 11B). With applied microporous domains, a mean permeability of 1.9 mD was calculated (Fig. 11C).



Figure 11 Comparison of measured and simulated permeabilities. The boxes indicate the upper and lower quartile of derived permeability values. Median values are coloured in red, mean values are coloured in black. (A) Measured permeabilities using the gas permeameter test. (B) Simulated permeabilities using µXCT data. (C) Simulated permeabilities using µXCT data with modelled microporous domains.

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The mismatch between measured and simulated permeabilities could be decreased to 1 mD (-34.5 %) compared to 23.6 mD (+813.8 %) prior to the modelling. Hence, our approach significantly improved the match between measured and simulated

- 335 permeabilities and lies within the standard deviation of the permeabilities measured by the gas permeameter (Table 2). Histograms of the simulated fluid-flow velocities indicate a strong decrease in velocities due to the modelling of the microporous domains in sample E9 (Fig. 12). Correlation histograms of the velocities depict differences induced by the modelling. While identical fluid-flow field velocities would plot as a single straight line, the velocities, in this case, show straight lines with varying slopes. This indicates a general decrease of the fluid-flow velocities with a splitting of different
- 340 flow path velocities. Points which plot as a line represent a main fluid-flow path with a direct correlation of the velocities between the original and the modelled structures flow field. Furthermore, a wider spread of the distribution of high velocities results from microporous domain modelling. This indicates narrow pore throats where fluid-flow velocities are locally enhanced compared to the structure before the modelling.



345 Figure 12 Comparison of unmodelled μXCT images and modelled microporous domain images (A). Correlation histogram prior to and after the modelling that shows a decrease of the main fluid-flow velocities, while few velocities after the modelling were increased with a wide spread of distribution (B).

Based on our combined analytical and numerical study, further research may help to increase the accuracy of simulated permeabilities even further. Since isotropic permeabilities of the microporous domains were applied to µXCT images, the

350 accuracy can be improved by taking the anisotropy of clay mineral fabrics and surface topology into account. This can be done by applying anisotropic permeabilities in the calculation of the microporous domains. While this study showed a good match between the experimental and simulated permeability, the need to include heterogeneities of clay mineral layering to improve the simulations was depicted in Villiéras et al. (1997).

The presented approach might also be applicable to other rock types such as mudrocks, carbonates, etc., if the preconditions

355 are met. The examined rock properties (e.g. permeability) should be based on the same scale dependencies as the rock analysed in this work (e.g. Grathoff et al. 2016).

### **5** Conclusions

Overall, the outcome of this study shows that combining µXCT and FIB-SEM imaging with numerical models constitutes a valuable and novel approach for determining physical properties of clay-bearing tight reservoir rocks. Considering the high number of accessible pores in the scans, the phenomenon of flow impingement was mainly attributed to the unresolvable 360 nanoporosity. While permeability, which is one of the most important reservoir properties, is often determined by simulations based on uXCT scans of small samples taken from a field-scale reservoir, we could demonstrate that an accurate estimation for clay-rich and low permeable rocks is only possible if nanoscale porosity is also included. Thus, our simulations using the Euclidian distance map approach resulted in an improved match with stationary gas permeameter measurements in contrast to 365 permeability simulations merely based on unmodified uXCT images. Adopting this multi-method approach, we increased the accuracy of simulated permeabilities of samples measured by µXCT. These results have important implications for improved modelling of reservoirs relevant to gas and water applications. A realistic simulated permeability of a tight reservoir sandstone could only be achieved by appropriate modelling of the nanoporosity related to matrix clay minerals (illite) that occur below the  $\mu$ XCT resolution. The simulated permeability based on combined  $\mu$ XCT and FIB-SEM images and modelled microporous 370 domains showed good agreement with the experimental results. Obtaining an even distribution of the simulated fluid-flow paths through the sample without flow impingement was necessary to obtain an accurate permeability estimation from 3D imaging. Resolving the nanopore structure and distribution of clay mineral-related features by the combined analytical and numerical modelling approach represents a tool for achieving a more accurate understanding of the fluid flow behaviour within tight sandstones, with direct relevance to predicting the injection, storage or extraction of gas or water in a reservoir rock. Our

- 375 multi-method approach can be applied to determine more accurate permeability values and flow paths for reservoir rocks with high clay mineral contents if direct experimental measurements are not successful. Hence, future studies should focus on distinguishing the different morphologies of clay minerals and their related anisotropic effect on rock permeability. While the permeability of the nanoporous structures depends highly on the layering of the clays and their spatial orientation on the grain surfaces and within feldspars. This approach should include a variety of various sedimentological facies also with high porosity
- 380 and permeability investigating whether clay mineral modelling is also a valid tool for such sedimentary rocks.

### Author contribution

Conceptualization, A.J., M.P., S.H., F.E., L.N.W., G.G., P.B. and M.K., Methodology, A.J. and M.P., Software, A.J., M.P., F.E., Validation, A.J., M.P., S.H., F.E., L.N.W., G.G., P.B. and M.K., Formal analysis, A.J. and M.P., Investigation, A.J., M.P., S.H., and O.M., Resources, F.E., L.N.W., G.G., P.B. and M.K., Data curation, A.J., M.P., S.H., F.E., O.M., L.N.W., G.G., P.B., M.K., Writing – Original Draft, A.J., Writing – Review & Editing, A.J., M.P., S.H., F.E., O.M., L.N.W., G.G., P.B., M.K., Visualization, A.J. and M.P., Supervision, F.E., L.N.W., G.G., P.B. and M.K., Project Administration, F.E., L.N.W., G.G., P.B. and M.K., Project Administration, F.E., L.N.W., G.G., P.B. and M.K.

### **390** Competing interests

The authors declare that they have no conflict of interest.

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