



Modelling stress field conditions of the Colima Volcanic Complex (Mexico) integrating FEM simulations and geological data

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Abstract

In the last decades numerical methods have become very popular tools in volcanological studies, since capable of considering many relevant parameters in their calculations, such as the presence of multiple reservoirs, topography, and heterogeneous distribution of the host rock mechanical properties. Although the widespread availability of geodetic data is keep growing, the influence of geological data on the numerical simulations is still poorly considered. In this work a 2D Finite Element Modelling is provided by using the commercial Linear Static Analysis (LISA) software, in order to investigate the stress field conditions occurring around the Colima Volcanic Complex (CVC, Mexico) at increasing the details of geological and geophysical input data. By integrating the published geophysical, volcanological and petrological data, we provide a first-order domain of the CVC feeding system, considering either one or two magma chambers connected to the surface via dykes or isolated (not connected) in the elastic host rocks. We test the methodology by using a gravitational modelling with different geometrical configurations and constraints (i.e. magma chamber dimensions, depth, overpressure). Our results suggest that an appropriate set of geological data is of pivotal importance for improving the mesh generation procedures and the degree of accuracy of numerical outputs, aimed to more reliable physics-based representations of the natural systems.



35 1 Introduction

36 Large-scale deformation of geological systems, characterized by abrupt spatial variations of material
 37 properties, was increasingly investigated in recent years through numerical modelling (Xing et al.,
 38 2003; Simms and Garven 2004; Manconi et al., 2007; Long and Grosfils 2009; Currenti et al., 2010;
 39 Currenti and Williams et al., 2014; Zehner et al., 2015). A large number of semi-analytical and
 40 numerical solutions have been proposed, taking into account the static elastic deformation in a multi-
 41 layered half-space (Dieterich and Decker, 1975; Bonafede et al., 2002; Wang et al., 2003;
 42 Gudmundsson and Brenner, 2004; Zhao et al., 2004; Pritchard and Simons, 2004; Gottsmann et al.,
 43 2006; Geyer and Gottsmann, 2010; Zhong et al., 2019). Following the successful application in
 44 mechanical engineering, the use of Finite Element Method (FEM) has been extensively introduced in
 45 Earth Sciences in order to investigate the effects of topography, lithologic heterogeneities, tectonic
 46 stresses and the gravity field on the Earth's surface deformation (Cailleau et al., 2003; 2005;
 47 Buchmann and Conolly 2007; Manconi et al., 2009; Pepe et al., 2010; Masterlak et al., 2012; Fujita et
 48 al., 2013), including volcanoes (Fujita et al., 2013; Carcho and Sastre, 2014; Bunney 2014; Ronchin
 49 et al., 2015; Hickey et al., 2015; Cabaniss et al., 2019; Rivalta et al., 2019). In FEM-based models,
 50 the geometry of the Earth's subsurface is performed either by a boundary representation or by
 51 discrete cells (Zehner et al., 2015). Boundary representations describe the spatial extent of a geo-
 52 object only by its boundaries (Weiler, 1988; Mallet, 1989; Duvinage et al., 1999; Zehner et al., 2015),
 53 completely confined and partitioned by surfaces without holes and overlaps. These surfaces can be
 54 described as a triangulated surface or by a function like a spline (Mallet, 2002).

55 The use of FEM in volcanic areas has several examples, which vary from the influence of layered
 56 materials on the surface deformation process during volcanic inflation (e.g. Darwin volcano,
 57 Galapagos Islands; Manconi et al., 2007) to processes affecting chamber rupture (e.g. Grosfils 2007;
 58 Long and Grosfils, 2009). The local stress around a volcanic feeding system strongly depends on the
 59 magma chamber geometry and on the mechanical properties of the layered host rock around it (Martì
 60 and Geyer, 2009). For instance, limestones, lava flows, welded pyroclastic units and intrusions can be
 61 very stiff (high Young's modulus), whereas young and non-welded pyroclastic units may be very soft
 62 (low Young's modulus). Consequently, the local stress may change abruptly from one layer to
 63 another (Gudmundsson, 2006). Irrespective of the scope of the numerical investigation, the



64 importance of applying accurate rheological constraints to FEM modelling was discussed in many
65 studies (Folch et al., 2000; Newman et al., 2001; Fernandez et al., 2001; Currenti et al., 2010; Geshi
66 et al., 2012; Masterlack et al., 2013). This implies that geology of the volcanic area needs to be
67 considered as more accurate as possible. However, few investigations have been carried out to assess
68 the influence of the amount and quality of geological data into FEM computations. To bridge this gap,
69 in this work we use the Linear Static Analysis (LISA) software (version 8.0; www.lisafea.com) to
70 study the subsurface stress behaviour in an elastic domain at Colima Volcanic Complex (CVC,
71 Mexico) when improving the description of geological constraints. In other words, we propose
72 different results of the FEM model by using the available published data of the inferred CVC feeding
73 system structure, in order to assess how the addition of more detailed geological and volcanological
74 constraints may and at what extent affect the model outputs.

75 The CVC area is a good candidate for testing the response of FEM software to different geological
76 conditions, being constituted by a large volcanic complex (significant topographic load; Lungarini et
77 al., 2005), a well-defined feeding system inferred from geophysical and petrological data (e.g. Spica
78 et al., 2017; Massaro et al. 2018; 2019), and growth within a tectonic graben (bordered by normal
79 faults) infilled by volcanoclastic material (variability of rock mechanical characteristics; Norini et al.,
80 2010, 2019).

81 It is worth noting that the elastic models clearly cannot replicate the full complexity of deformation
82 and stress behaviour in volcanic areas if approximations in FEM modelling will not be tested and
83 understood (Grosfils, 2007). Thus, the presented study proposes a contribution to a more proper use
84 of FEM models for assessing surface deformation and failure location patterns in volcanic areas.
85 Beside and beyond the evaluation of geological details on FEM outputs we also obtained a picture of
86 the large-scale stress distribution in the CVC subsurface.

87 Considering the limitations of the results, it is a matter of fact that in volcanic regions many factors
88 make the rocks deviate from pure elastic behaviour and may strongly affect the estimate of source
89 overpressure (Currenti and Williams, 2014). However, although arguably limited in their ability to
90 simulate the complexity characteristics of volcanic areas, elastic models are nonetheless widely used
91 to describe the response of magma reservoir pressure variations and to calibrate the development of
92 more advanced models that enhance our simulation capacities (Grosfils, 2007; Trasatti et al., 2008).



93 2 The Colima Volcanic Complex (Mexico)

94 2.1 Geological framework

95 The Pleistocene-Holocene Colima Volcanic Complex (CVC) is one of the most prominent volcanic
 96 edifices within the Trans-Mexican Volcanic Belt (TMVB) (Macías et al., 2006; Capra et al., 2016;
 97 Norini et al., 2019). In this area, the Rivera microplate and the Cocos plate subduct beneath the North
 98 America plate along the Middle American Trench, producing great deformation and fragmentation of
 99 the continental plate (Stock and Lee, 1994), and forming a triple junction that delimits the tectonic
 100 units known as the Jalisco Block (JB) and the Michoacán Block (MB) (Lühr et al., 1985; Allan,
 101 1986; Rosas-Elguera et al., 1996; Rosas-Elguera et al., 1997; Ferrari and Rosas-Elguera, 1999;
 102 Rosas-Elguera et al., 2003; Frey et al., 2007). The three rifts of this system are the Tepic-Zacoalco
 103 (TZR), the Chapala-Tula (CTR), and the Colima Rift (CR) where the CVC is emplaced (Allan 1986;
 104 Escudero and Bandy, 2017). The still active NS trending Colima Rift (CR) was formed during an
 105 extensional phase occurred after the Late Cretaceous–Paleogene compressive and transpressive phase
 106 (Allan, 1986; Serpa et al., 1992; Bandy et al., 1995; Cortés et al., 2010). The rifting phase deformed
 107 Cretaceous marine limestones, Jurassic–Tertiary metamorphosed clastic and volcanoclastic sediments,
 108 Cretaceous–Tertiary intrusive rocks and Tertiary–Quaternary volcanic deposits along sub-vertical
 109 crustal faults. While opening, CR was gradually filled with Pliocene–Quaternary lacustrine sediments,
 110 alluvium and colluvium (e.g. Allan, 1986; Allan et al., 1991; Norini et al., 2010). The geometry,
 111 kinematics and dynamics of the CR have been studied on the basis of field, seismic, and geodetic
 112 data, mainly collected in its northern and central sectors (Fig. 1).

113 The amount of vertical displacement of the northern and central sectors is estimated to be at least 2.5
 114 km by adding the topographic relief of the bounding fault scarps (1.5–1.6 km) to the calculated
 115 sediment depth (Allan, 1985; Serpa et al., 1992). Field data and focal mechanism solutions are
 116 consistent with a direction of opening of the northern and central sectors oriented from E-W to NW-
 117 SE, with a mainly normal and minor right-lateral displacements of the bounding faults (Barrier et al.,
 118 1990; Suárez et al., 1994; Rosas-Elguera et al., 1996; Garduño-Monroy et al., 1998; Norini et al.,
 119 2010; 2019). In contrast to field and seismic evidence of long-term slightly dextral oblique extension,
 120 recent GPS geodetic measurements suggest a possible sinistral oblique extension of the CR (Selvans
 121 et al., 2011). In both cases, the stress regime is mainly extensional, with an approximately E-W



orientation of the minimum horizontal stress in the basement of the CVC (Barrier et al., 1990; Suárez et al., 1994; Rosas-Elguera et al., 1996; Selvans et al., 2011; Norini et al., 2010, 2019). The CVC stands within the central sector of the CR, on top of the Cretaceous limestones, Late Miocene-Pleistocene volcanic rocks, and Pliocene-Holocene lacustrine sediments, alluvium, and colluvium (Allan, 1985, 1986; Allan et al., 1991; Cortes et al., 2005; Norini et al., 2010). The volcanic complex is affected and displaced by the N-S/NNE-SSW-trending recent-active crustal faults of the CR, controlling the geometry and location of the volcano feeding system. Indeed, the CVC was formed by three andesitic stratovolcanoes aligned parallel to the CR bounding faults: the northern inactive Cantaro volcano (2900 m asl), following by the inactive Nevado de Colima (4255 m a.s.l.) and, in the southern part, the youngest and active Volcán de Colima (3763 m a.s.l.) (Norini et al., 2019, and reference therein).

133

2.2 Eruptive activity

The eruptive history of the CVC started in the northeast area with the formation of Cantaro volcano at ca. 1-1.5 Ma. The volcanic activity of the Nevado de Colima started at ca. 0.53 Ma. It is composed of voluminous andesitic lava domes and flows and pyroclastic deposits associated with caldera forming eruptions and numerous partial sector collapses (Robin et al., 1987; Roverato et al., 2011; Roverato and Capra, 2013; Cortès et al., 2019). The youngest Volcán de Colima, now considered one of the most active volcanoes of the world, consists of the Paleofuego edifice that suffered several sector collapses, with the formation of a horseshoe-shaped depression where the new active cone (also known Volcán de Fuego) grew up, through Merapi and Soufrière type dome collapses, extrusion of lava flows, Vulcanian and occasionally sub-Plinian explosive eruptions (Saucedo et al., 2010; Massaro et al., 2018, 2019). The activity of both Nevado and Volcán de Colima volcanoes included several sector collapses, occurred frequently in the Upper Pleistocene and Holocene, repeatedly devastating the floor of the Colima Rift down to the Pacific Ocean (Robin et al., 1987; Luhr and Presteggaard, 1988; Stoops and Sheridan, 1992; Komorowski et al., 1997; Capra and Macias, 2002; Cortes et al., 2005, 2019; Roverato et al., 2011).

149



150 2.3 The CVC plumbing system

151 Spica et al. (2017) indicate a 15 km-deep low velocity body (LVB) as the CVC deep magma
 152 reservoir. Its horizontal extension seems to be delimited by the borders of the CR, suggesting a
 153 structural control of the normal fault system on it (Spica et al., 2014). The LVB has an extent of ca.
 154 55×30 km in the N-S and E-W directions respectively, showing a mean thickness < 8 km. Escudero
 155 and Bandy (2017) obtained a higher resolution tomographic image of the subsurface in the CVC area,
 156 showing that the most active magma generation zone is presently under the Fuego de Colima edifice.
 157 Here, the ambient seismic noise tomographic study proposed by Spica et al. (2014) confirmed the
 158 presence of a shallow magma chamber above ca. 7 km depth, as also demonstrated by petrological
 159 studies (Medina-Martinez et al., 1996; Luhr, 2002; Zobin et al., 2002; López-Loera et al., 2011;
 160 Reubi et al., 2013; 2019; Macías et al., 2017). Cabrera-Gutiérrez and Espíndola (2010) suggested the
 161 shallow active magma storage has a volume of ca. 30 km^3 . The shallow magma chamber is
 162 connected to the surface by a dyke/conduit system, whose path is facilitated by the presence of the
 163 CR fault zone, which provides a natural pathway for fluids (e.g., Allan, 1986; Norini et al., 2010,
 164 2019). The arrangement of dykes and the alignment of volcanic centres of CVC suggest that the
 165 dykes swarm draining the magma chambers developed along the NNE-SSW-trending, steep,
 166 eastward dipping normal fault exposed on the northern CVC flank (Fig. 1) (Norini et al., 2010, 2019).

167 Taking into account the previous information, Massaro et al. (2018) provided a first-order
 168 geometrical reconstruction of the Fuego de Colima feeding system during the 1913 sub-Plinian
 169 eruption, by using volcanological data (Saucedo et al., 2010, 2011; Bonasia et al., 2011) as input and
 170 constraints for numerical simulations. Results showed good matches for a hybrid configuration of the
 171 shallow conduit-feeding system (i.e., dyke developing into a shallower cylindrical conduit). The best-
 172 fit dyke has width in the range between 200 and 2000 m and thickness of ca. 40 m, with the
 173 cylindrical conduit diameter similar to the dyke thickness. The shallow magma chamber top was set
 174 at 6 km of depth, and dyke-cylinder transition at 500 m below the summit, as inferred from
 175 geophysical data (Salzer et al., 2014; Arámula et al., 2018).

176



177 3 Methods

178 In this study, we used the commercial 8.0 version of LISA software (www.lisafea.com). LISA is a
179 general-purpose Finite Element Analysis (FEA) software developed in the '90s based on the
180 formulations proposed by Rao (1989). Since then, formulations from many other sources have been
181 also integrated (Bathe, 1990; Michaeli, 1991; Schwarz, 1991; Babuska et al., 1995). Despite FEA
182 was originally used for structural analysis (Rao, 1989; 2013), it is also able to successfully predict the
183 stress-strain behaviour of rock masses accounting for elastic models, in particular the deformation
184 and failure mechanisms even in layered rock masses (Gabrieli et al., 2015).

185 Simplifying techniques in structural FEA can give valuable insight into local stresses more rapidly
186 and efficiently than a full 3D model. Here we considered a 2D model throughout a complex structure,
187 in order to investigate the stress behaviour induced in the host rocks in response to the increasing
188 detail of geological data used to constrain the model.

189 3.3 Modelling approach

190 Taking into account the works of Norini et al. (2010, 2019), we simulated the deformation of the
191 CVC plumbing system considering an E-W cross section, which is parallel to the extension
192 associated to the active Colima Rift (Norini et al., 2010), shown in Figure 1a (a-a').

193 Since the extensions of the CVC magma chambers in the NNE-SSW direction are typically much
194 longer than the dimensions of the E-W cross section (Spica et al., 2017), 2D solutions of either
195 numerical or analytical models describing E-W elongated magma chambers in the crust can be
196 legitimately adopted (Jaeger et al., 2007; Costa et al., 2011). A topographic profile and 2D plane
197 along the chosen E-W cross-section of the CVC area was obtained in ESRI ArcGIS from a Digital
198 Elevation Model (DEM; resolution 50 m; INEGI website). This cross section was imported into
199 Autodesk Auto-Cad R13 and approximated to a third-degree spline. Finally, the IGES file was
200 imported into LISA, where the mesh discretization was performed. The domain was discretized by
201 three-noded triangular finite elements (Table 1).

202 The volcanic area domain extends 60 km horizontally and 30 km below the surface set in an x - z
203 Cartesian Coordinate System. Zero normal displacements are assigned at the bottom and the lateral
204 boundaries of the domain, while the upper boundary representing the ground surface is stress free.



205 FEM of geological structures requires accurate discretization of the computational domain such that
 206 geological units are represented correctly. Zehner et al. (2015) reported that the unstructured
 207 tetrahedral meshes on a complex geological model has to fulfil the following requirements: i)
 208 sufficient mesh quality: the tetrahedrons should not be too acute-angled, since numerical instabilities
 209 can occur, ii) incorporation of geometry for defining boundary conditions and constraints, iii) local
 210 adaption, which is a refinement of the mesh in the vicinity of physical sources in order to avoid
 211 numerical errors during the simulation. Considering these requirements, in this work we adopt as the
 212 best discretization a mesh with 4660 elements for the E-W cross-section (Table 1). The size of finite
 213 elements was refined in the regions with higher gradients, especially near the contours of the
 214 magmatic feeding systems.

215 In our simulations, the geometry of the geological units is referred to the model in Norini et al. (2010,
 216 2019). Magma chambers and dykes are considered as finite-size bodies in an elastic crustal segment,
 217 acting as fluid-filled holes. This approach has been extensively used in several analytical and
 218 numerical models that treated magma reservoirs as internally pressurized ellipsoidal cavities within
 219 an elastic half space, in order to gain insight into the behaviour of magma plumbing systems (Pinel
 220 and Jaupart, 2004; Gudmundsson, 2006; Grosfils, 2007; Andrew and Gudmundsson, 2008;
 221 Hautmann et al., 2013; Currenti and Williams, 2014; Zhong et al., 2019).

222 The geometrical configuration set for the CVC feeding system (i.e. the shape and dimensions of the
 223 magmatic chambers) derives from literature (Spica et al., 2014, 2017; Alvarez and Yutzis, 2015,
 224 Massaro et al., 2018, 2019). The overpressure in magma chambers may be produced by a variety of
 225 processes, including fractional crystallization, volatile exsolution and magma recharge, leading to
 226 deviatoric stresses in the country rock that may be tens of MPa in magnitude (Jellinek and DePaolo,
 227 2003; Karlstrom et al., 2010).

228 Previously published studies indicate that differences between and problems with elastic models
 229 derive principally from the key role played by gravity. Gravity plays a first order role on bedrock
 230 failure conditions (Gerbault, 2012), on the geometry of magma propagation with respect to an edifice
 231 load (Corbi et al., 2015) and on buoyancy contrasts driving magma upward (Lister and Kerr, 1991;
 232 Watanabe et al., 2002). However, in a wide variety of simulations of natural phenomena the



gravitational effects are often incorporated either incorrectly or incompletely (Grosfils, 2007). Some authors argued on whether it is appropriate or not to account for the gravity body force in numerical models of volcanic inflation (Currenti and Williams, 2014; Grosfils et al., 2015). When the gravitational loading is not included in the model, the volcanic deformation results from a change with respect to a stage previously at equilibrium (Gerbault et al. 2018).

In this work, we carried out simulations considering the effect of the gravitational loading. Gravity in the host rock ($z \leq 0$ m) is implemented via body forces and the application of a lithostatic stress.

4 Geological data

In this work, we used geological information available in literature as input data, in order to estimate the stress variations around the CVC magmatic plumbing system. Here we briefly describe the main geological features taken into account in LISA simulations.

4.1 Stratigraphy

Five units forming the CVC system were defined from the available geological data (Table 2): i) *Basement (Unit B)*: cretaceous limestones and intrusive rocks forming the bed-rock underlying the CVC; ii) *Graben fill deposits (Unit GF)*: Quaternary alluvial, colluvial, and lacustrine deposits filling the graben; iii) *Fuego de Colima deposits (Unit FC)*: andesitic lavas and pyroclastic deposits forming the Paleofuego-Fuego de Colima edifices; and iv) *Volcaniclastic deposits (Unit VD)*: volcaniclastic deposits covering the southern flank of the CVC (e.g. Cortés et al. 2010; Norini et al., 2010, 2019). Being the area interested by FEM extended down to 30 km, it is evident how *Unit B* is dominant with respect to the others, which occupy only few km in the upper part of the simulated domain. We assumed constant mechanical characteristics within each Unit (Table 2). In particular, *Unit B* was considered mechanically homogeneous with elastic properties of a carbonate, due to the lack more detailed information of deeper lithologies (Norini et al., 2019).

Deformation within the brittle upper crust is described by elastic material behaviour. For each Unit we fixed typical rock mass properties, density (ρ), Young's Modulus (E) and Poisson's Ratio (ν) (Table 2). The rock masses are considered dry, in order (eventual) pore pressure to be neglected.



Only for *Unit GF* a higher value for the Poisson's Ratio was used close to the surface in order to mimic high water content in the graben sediments. The maximum thickness of the graben fill (about 1 km) was assumed from the literature (Allan, 1985; Serpa et al., 1992; Norini et al., 2010, 2019). For Units B and GF rock mass proprieties were derived from Hoek and Brown (1997) and Marinos and Hoek (2000), while for volcanic materials (units *FC* and *VD*; Table 2) were estimated according to the approach proposed by Del Potro and Hürlimann (2008). This information allowed Norini et al. (2019) to derive the equivalent Mohr-Coulomb properties for the stress ranges expected in the different sectors of the CVC.

268

4.2 The geometry of the plumbing system

The geometry of the E-W cross-section of the CVC plumbing system was modelled taking into account the previous subsurface information described in Section 4.1. In our 2D model, we assumed the CVC composed of a two magma chambers connected by dykes and to the surface by a conduit. The shape of the magma chambers and dykes are represented by elliptical cross-sections with the major ($2a$) and minor ($2b$) axes over which magmatic overpressure (ΔP) acts.

Generally, the magma chambers have a sill-like shape that is often imaged in seismic studies of volcanoes and rift zones (Macdonald, 1982; Sinton and Detrick, 1992; Mutter et al., 1995; MacLeod and Yaouancq, 2000; Singh et al., 2006; Canales et al., 2009). Most of them are not totally molten but rather a mixture of melt and crystal mush (i.e. Parfitt and Wilson, 2008). Various estimates have been made to infer the actual amount of melt in a magmatic body, showing that it is only ca. 10% of the total chamber volume (Gudmundsson et al., 2012 and reference therein).

Spica et al. (2017) described a 15 km-deep low velocity body (LVB) with its top at ca. 15 km of depth and with an estimated volume of ca. 7000 km^3 , representing the deep magmatic reservoir of

CVC. Assuming the melt as 10%, the deep magma chamber volume would be ca. 700 km^3 .

Simplifying this volume in an elliptical sill-like geometry, the dimensions (i.e. $2a$, $2b$, $2c$ axes) have to be scaled according to those of LVB ($55 \times 30 \times 8 \text{ km}$; Spica et al., 2017). We therefore fixed $2a = 14 \text{ km}$, $2b = 3.6 \text{ km}$, $2c = 26 \text{ km}$ as dimensions of the deep magma chamber, being $2c$ elongated in



287 NW-SE direction.

288 For the shallow part of the feeding system, we have no detailed geophysical constraints. However,
 289 Massaro et al. (2019) reproduced through numerical modelling the nonlinear cyclic eruptive activity
 290 at Fuego de Colima in the last 20 years, using a shallow magma chamber volume in the range of 20-
 291 50 km^3 , also according to the estimation of Cabrera-Gutiérrez and Espindola (2010). Assuming a
 292 volume of 30 km^3 , we fixed $2a = 3.5 \text{ km}$, $2b = 2 \text{ km}$, $2c = 8 \text{ km}$ as dimensions of the shallow magma
 293 chamber.

294 Numerous theoretical and field studies have established that host rock stresses dictate the magma
 295 pathways (e.g. Maccaferri et al., 2011; Gudmundsson 2011). During ascent to the surface, the dykes
 296 align themselves with the most energy-efficient orientation, which is roughly perpendicular to the
 297 least compressive principal stress axis σ_3 (e.g. Gonnermann and Taisne, 2015; Rivalta et al., 2019).
 298 This behaviour, however, can be modulated in the presence of significant variations in fracture
 299 toughness of the surrounding rock due to stratification (Maccaferri et al., 2010) or to old and inactive
 300 fracture systems (Norini et al., 2019). Although for oblate magma chambers the propagation of dykes
 301 is most probable from the tip areas, in our simulations the orientation of dykes is assumed vertical,
 302 because of the preferential pathways represented by the CR fault planes (Spica et al., 2017).

303 Although, for decades, magma conduits were modelled as cylinders, because of easiness of their
 304 mathematical treatment, geophysical data and field observations highlight the importance of dykes in
 305 magma transport and hence the need to adopt more realistic geometries (Costa et al., 2009; Hautmann
 306 et al., 2013; Tibaldi, 2015). It is important to stress that although all cavities/inclusions in a medium
 307 modify the local stress field and concentrate stresses, the induced perturbation depends mainly on the
 308 geometry of the cavity/inclusion (Savin, 1961; Borelli and Sidebottom, 1985; Tan, 1994; Saada,
 309 2009). We set the dimensions of feeder dykes in agreement with Massaro et al. (2018): deep dyke $2ad$
 310 $= 2 \text{ km}$; shallow dyke $2a$ varies from 1 km at bottom to 500 m in the upper part of the volcano; width
 311 of both deep and shallow dyke $2bd = 2b = 100 \text{ m}$.

312 It is worth noting that it is not the aim of this study to provide the conditions for the magma chamber
 313 rupture, being LISA accounting only for the elastic regime. For these reasons, the selected magma
 314 overpressures (ΔP) have to be less than the tensile strength of the rocks. We therefore fixed ΔP at



10 MPa and 20 MPa for the 15 km-deep chamber, and 5 MPa for the 6 km-deep one. For the modelling of the dyke and conduit the magmatic overpressure is fixed at 10 MPa in the deeper dyke and 5 MPa in the shallower dyke, except for the upper 500 m of the shallower dyke where overpressure is set at 0.4 MPa.

5 Results

The first part of this section is focused on sensitivity analysis of Young modulus variation, aimed to quantify the numerical effects of approximation of this important rock property on FEM outputs. The second part of this section describes the model when adding complexity to the input geological/geophysical data.

Considering the E-W cross-section (a-a'; Fig. 1a), we provided six domain configurations with increasing geological complexity: i) “*homogeneous lithology model*” in which the volcanic domain is only composed of andesite rocks; ii) “*not homogeneous lithology model*” where different geological units are considered; iii) “*single magma chamber model*” composed of a not homogeneous lithology and a 15 km-deep magma chamber; iv) “*dual magma chamber model*” composed of a not homogeneous and 6 km- and 15 km-deep magma chambers; v) “*feeding system model*” composed of not homogeneous lithology, 6 km- and 15 km-deep magma chambers connected through a deep-dyke, and a shallow conduit connecting to the surface; vi) “*extensional model*”, in which we added a 5 MPa horizontal extensional stress to configurations ii) – v) (Fig. 1b).

5.1 Sensitivity analysis on selected input parameters

In order to quantify the influence of Young Modulus selection on the model outputs, we performed a sensitivity test using the single magma chamber model as reference case. We evaluated the influence of varying the Young Modulus in each geological Units on the principal stresses σ_1 and σ_3 . Taking into account the material properties used in the simulations (Norini et al., 2010, 2019; Table 2) as reference values, we compared the stress state of the computational domain at changing (\pm) Young



Modulus by an order of magnitude. This variation has been separately applied to each Unit, in order to assess what is the effect of changing material properties on model outputs. This sensitivity analysis, although incomplete, may lead to raise awareness on the selection of input data when running a FEM. The sensitivity analysis was carried out on a reduced simulation domain, in order to diminish the influence of binding effects that are present along domain borders.

We used the Euclidean norm (L2) method for illustrating the results of the sensitivity analysis. The L2 norm applied on a vector space x (having components $i = 1, \dots, n$) is strongly related with the Euclidean distance from its origin, and is equal to:

$$\|x\|_2 = \sqrt{\sum_i^n x_i^2} \quad (1)$$

In our case, the vector space x is composed of all nodes of the computational domain (Table 1). We defined x_{ref} the vector containing the results for the maximum and minimum principal stress when using the selected values of material properties (Table 1) and $x(-)$, $x(+)$ the vectors at varying the Young Modulus of one order of magnitude in each Unit.

We evaluated the global variation of stress in the proposed geometrical configurations of the domain (i.e. not homogeneous lithology, single magma chamber, dual magma chamber, and dual magma chamber with conduits models) calculating the global relative variation in L2 as follow:

$$L2(-) = \frac{\|x_{ref} - x(-)\|_2}{\|x_{ref}\|_2} \quad (2)$$

$$L2(+) = \frac{\|x_{ref} - x(+)\|_2}{\|x_{ref}\|_2} \quad (3)$$

In Figure 2 are reported the global relative variations in L2 of the principal maximum stress σ_1 and principal minimum stress σ_3 caused by the variation of Young Modulus in each Unit. All the geometric configurations show variability less than 15%, with few exceptions within *Unit B* that have variability over 30% (Fig. 2; Table 3). It is worth noting that the spatial distribution of the major variations seems to not significantly affect the final stress distributions, because: i) they are located



near the mesh borders (Fig. 3a, b); and, ii) when not at the mesh borders, the variations are limited to few % (Fig. 3c, d). It means that changing the Young Modulus of one order of magnitude produces variation in FEM outputs distributed over a large domain and the change affecting the single nodes is limited to few %.

5.2 Homogeneous and not homogeneous lithology

We carried out LISA simulations considering the effect of the gravitational loading on the homogeneous and not homogeneous lithology on FEM outputs. In Figure 4 we reported a gravity loading model for E-W cross-section of the CVC system. We first considered the homogeneous rock composition composed by only andesitic lavas (Fig. 4a) and then by carbonates (*Unit B*), alluvional, volcaniclastic and pyroclastic deposits (*Units GF* and *VD*; Fig. 4b). We analysed the principal stresses σ_1 and σ_3 acting on the system, which correspond to the maximum and minimum stress at a point, respectively.

Figure 4 shows the patterns of the minimum principal stress σ_3 (panels i-ii) and of the maximum principal stress σ_1 (panels iii-vi), highlighting very slight differences between the homogeneous and not homogeneous lithology cases. It is very important to stress that the x - z zero displacement assigned at the bottom and the lateral boundaries of the domain created substantial artefacts in the results (i.e. curved patterns of stress). The artefacts are also evident when considering σ_3 (panels i-ii) where the boundary effect on x -axis is amplified by the presence of the upper free surface. For this reason, the only area to be considered as unperturbed is the central part of the entire domain, and it extends ca. 30 km horizontally and ca. 15 km vertically (within the blue contour in Fig. 4).

5.3 Gravitational modelling using the inferred feeding system geometry

We progressively add the elements of the conduit/feeding system of the CVC to FEM under the effect of the gravitational loading. Three cross-section profiles (Figs. 5, 6) show increasing complexity of the feeding system starting from a single magma chamber, passing to two magma chambers and, finally, adding the conduits.

Figure 5a describes the distribution of the minimum principal stress σ_3 (panel i) and the maximum



principal stress σ_1 (panel ii) at magma chamber overpressure of 10 MPa, showing how the insertion of the pressurized magma chamber modifies the lithostatic stress. No significant differences in magnitude and pattern of stresses are visible when having a magma chamber overpressure of 20 MPa (Appendix 1a).

The addition of the shallow magma chamber significantly changes the values and pattern of both σ_3 and σ_1 (Fig. 5b). In particular, σ_3 and σ_1 stresses describe a typical inflation pattern produced by overpressurised magma chamber(s) (Anderson, 1936; Gudmundsson, 2006), producing well-defined stress arches of σ_3 (red dotted lines in Figs. 5bi) and divergent strong gradients of σ_1 , well developed around the larger magma chamber (Fig. 5bii). Stress arch is a common phenomenon occurring in continuous materials as response to applied pressure. It has been proved to have great influences on the self-stabilization of soils or rock masses (Huang and Zhang, 2012), and may influence mechanisms of caldera collapse (Holohan et al., 2015). Very slight differences in magnitude and pattern of stresses appear when using 10 MPa (Fig. 5b) or 20 MPa of deep magma chamber overpressure (Appendix 1b).

Figure 6 shows the addition of two conduits connecting the deep and shallow magma chambers. It is evident how the insertion of the conduits in the feeding system of CVC dramatically changes the stress distribution, with disappearance of the stress arch and an almost constant stress in the computational domain except than on the tips of the deep magma chamber.

415

5.4 Addition of an extensional field stress

In order to explore the influence of the extensional field stress on stress patterns caused by the presence of the CR (Fig. 1a), we run simulations applying 5 MPa of extensional stress to the FEM domain, which is a typical value for rift zones (Turcotte and Schubert, 2002; Moek et al., 2009; Maccaferri et al., 2014; Sulpizio and Massaro, 2017). This should reproduce the effect of the CR on the different feeding system configurations (Fig. 7).

In the case of a single magma chamber (with 10 MPa overpressure), the addition of extensional far field stress reduces the confinement effect due to the no displacement condition imposed along the x -



z directions. The effect of the extensional field stress on double magma chamber configuration (with 10 MPa overpressure in the deep chamber and 5 MPa in the shallower one) produces slight changes in stress magnitude and pattern for both σ_3 and σ_1 (Fig. 7). The same applies also for the complete feeding system configuration, in which the attrition of the far field stress changes slightly the intensity of the stresses and patterns. Using 20 MPa overpressure in the deep magma chamber does not significantly affect the model outputs (Appendix 2).

6 Discussions

6.1 FEM analysis at increasing geological details

The presented FEM model of the CVC highlighted some important characteristics of crustal stress distribution at changing geological constraints used as input conditions (Spica et al. 2014; 2017; Massaro et al., 2018). Although the results have to be considered as a first order approximation, the changes in stress distribution are evident and useful for the understanding of limitations and advantages of finite element modelling.

We used the conditions of gravitational loading, the zero-displacement along x - z directions and overpressured magma chambers and dykes in order to simulate the fluid state conditions. Under these assumptions, the use of homogeneous or not homogeneous lithology for FEM provides negligible effects in stress intensity and pattern (Fig. 4). This is because the upper Units (*Units FC, VD, GF*; Table 2) represent only a limited part of the simulated domain, which in the remaining part results entirely composed of the assumed homogeneous basement (*Unit B*; Table 2). This does not mean that the influence of the upper Units may be still negligible using smaller scales of the simulated domain.

Analysing the FEM outputs with the single magma chamber, it emerges how ΔP only limited the effects of gravitational loading. The use of a dual magma chamber geometry better describes the inflation induced by overpressure within magma chambers, with the formation of the stress arch in the minimum compressive stress σ_3 plot.

It is important to highlight that for both single and dual magma chamber models, the change of internal overpressure from 10 to 20 MPa slightly changes the magnitude of the stress but not their



452 general patterns (Appendix 1-2).

453 The presence of conduits in the magma feeding system dramatically change the σ_3 and σ_1 patterns
454 (Fig. 6). Indeed, they become quite homogeneous throughout the computational domain, with the
455 only exception of sidewall effects induced by the zero displacement conditions, already discussed in
456 Figure 4.

457 The addition of extensional field stress of 5 MPa reduces the sidewall effects and produces an almost
458 homogeneous stress distribution in the upper part of the FEM domain, above the top of the deep
459 magma chamber. This describes a close to equilibrium volcanic system, in which volcanic
460 overpressure and lithostatic stress almost equilibrate each other (Sulpizio et al., 2016).

461

462 *6.2 Some implication of the stress state of the CVC inferred from FEM*

463 The results obtained with the insertion of the full feeding system and far field stress on the FEM
464 highlight an almost homogeneous stress distribution in the CVC area. This means that the shape of
465 the dual magma chamber model plus conduits and far field stress provides a stable geometry, which
466 limits the stress changes to few MPa. All the large stress variations are located at the tips of the
467 magma chambers, as expected for pressurized or under-pressurized cavities in the lithosphere (Martì
468 and Geyer, 2009). This means that the whole feeding system is in a quasi-equilibrium state, and, as
469 an example, any overpressure created by input of new magma is adjusted by increasing the magma
470 chamber volume or erupting at the surface. Even if we consider the scenario of complete emptying
471 the upper conduit and part of the shallow magma chamber, as occasionally occurred in the past
472 originating sub-Plinian and Plinian eruptions (Luhr et al., 2002; Saucedo et al., 2010; Massaro et al.,
473 2018), this would result in the restoration of the stress arch, which is still a stable stress configuration.
474 Even the complete emptying of the shallow magma chamber probably would be ineffective for
475 triggering a large collapse (caldera forming) of the feeding system. This latter event would be
476 possible only if a large depressurization of the deeper magma chamber would occur, but it implies the
477 eruption of tens to hundreds of km³ of magma, which seems not very likely provided the current
478 stress distribution in CVC.

479 Beside and beyond the inaccuracies due to the first order approximation of the FEM analysis, other
480 sources of uncertainties in the discussion about present and future stress state of the CVC come from



481 the not consideration of gravity-driven processes as volcano spreading triggered by plastic
482 deformation of the GF Unit (Norini et al., 2010, 2019) or pressurization of the upper conduit
483 (Massaro et al., 2018), and detailed regional tectonics (Norini et al., 2010, 2019). Two main fault
484 systems have been described in the CVC and surrounding area: the N-S trending Colima Rift and a
485 set of E-W-trending faults (Fig. 1; Garduño-Monroy et al. 1998; Norini et al., 2010, 2019). The effect
486 on stress distribution of these regional fault systems are not included in the presented analysis, and
487 may alter significantly the stress patterns at a local scale, favouring intrusion of dykes or acting as
488 trigger of depressurization of the feeding system promoting eruptions or causing catastrophic
489 collapse of the upper edifice (e.g. Roverato et al., 2011).

490

491 7 Conclusions

492 The increasing detail of geological and geophysical data to FEM simulation at Colima Volcanic
493 Complex (Mexico) showed the importance of using the most accurate input data in order to have
494 reliable outputs. In particular, the data here presented highlighted how the use of simplified models
495 produces unreliable outputs of the stress state of the volcano subsurface.

496 Beside and beyond the results obtained by analysing the influence of detailing geological and
497 geophysical data, the FEM of CVC confirms the close to equilibrium state of the volcano, which is
498 the expected stress distribution induced by a feeding system directly connected to the surface.

499 This means that any overpressure created by input of new magma is adjusted within the feeding
500 system, sometimes triggering eruptions. The complete emptying the upper conduit and part of the
501 shallow magma chamber, as occasionally occurred in the past originating sub-Plinian and Plinian
502 eruptions would result in the restoration of the stress arch, which is still a stable stress configuration.
503 Descends that large magnitude, caldera forming eruptions are possible only if the deeper magma
504 chamber is involved and significantly emptied during an eruption.

505

506

507



508 **Appendices**

509

510 **Appendix 1**

511 E-W gravitational modelling of the CVC domain (stratified lithology) for all configurations
512 investigated. The magnitude and pattern of the principal stress account for a) single magma chamber
513 model; b) dual magma chamber model; c) dual magma chamber with conduits model (deep magma
514 chamber, $2a = 14$ km and $2b = 3.6$ km at 15 km of depth; shallow magma chamber, $2a = 3.5$ km and
515 $2b = 2$ km at 6 km. The magmatic overpressure is 20 MPa for the deep chamber, and 5 MPa for the
516 shallower.

517 **Appendix 2**

518 E-W gravitational modelling of the CVC domain (stratified lithology) considering a far extensional
519 stress field of 5 MPa for all configurations investigated. The magnitude and pattern of the principal
520 stress account for a) single magma chamber model; b) dual magma chamber model; c) dual magma
521 chamber with conduits model (deep magma chamber, $2a = 14$ km and $2b = 3.6$ km at 15 km of depth;
522 shallow magma chamber, $2a = 3.5$ km and $2b = 2$ km at 6 km. The magmatic overpressure is 20 MPa
523 for the deep chamber, and 5 MPa for the shallower.

524 **Code/Data Availability**

525 The LISA code is available at <https://lisafea.com/>.

526

527 **Author's contribution**

528 SM and RS wrote the manuscript with the input of all the co-authors. SM and GL compiled the
529 numerical simulations and formulated the adopted methodology. MP and SM carried out the
530 sensitivity analysis. RS, AC, SM, GN, GG, LC, GL, MP and AG worked on the interpretation of the
531 results.

532

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950 **Table 1** - Input parameters used in finite-element model.

951

Element types used in LISA analysis.

<i>E-W cross-section (a-a')</i>		Element Type	Quantity
FC	Fuego de Colima	quad4	596
VD	Volcanic Deposits	quad4	235
GF	Graben Fill	quad4	434
B	Basament	quad4	3395
Total Elements: 4660			

952 **Table 2** - Rock mass and mechanical properties used in the finite-element model (from Norini et al.
 953 2010; 2019).

954

Acronym	Model Unit	Rock Type	Density (kg/m ³)	Young's Modulus (MPa)	Poisson's ratio ν
<i>FC</i>	Fuego de Colima	Andesitic lavas and pyroclastic deposits forming the Paleofuego-Fuego de Colima volcano	2242	1.4×10^3	0.30
<i>VD</i>	Volcaniclastic deposits	Pyroclastic and epiclastic deposits covering the southern flank of the CVC	1539	1.7×10^3	0.32
<i>GF</i>	Graben Fill	Quaternary alluvial, colluvial, lacustrine deposits filling the graben	1834	1.5×10^3	0.35
<i>B</i>	Basement	Cretaceous limestones and intrusive rocks forming the bed-rock underlying the CVC	2650	3.6×10^4	0.30

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958 Figures Captions

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960 **Fig. 1** (a) Morphotectonic map of the Colima Volcanic Complex (NC=Nevado de Colima volcano;
 961 FC=Fuego de Colima volcano) and Colima Rift with the main tectonic and volcano-tectonic
 962 structures (NCG =Northern Colima Graben; CCG= Central Colima Graben, from Norini et al., 2019).
 963 In the inset, the location of the Colima Volcanic Complex (CVC) within the Trans-Mexican Volcanic
 964 Belt (TMVB) is shown in the frame of the subduction-type geodynamic setting of Central America
 965 (from Davila et al., 2019); (b) general sketch of the geometrical configurations used in FE modelling
 966 for the E-W cross-section.

967

968 **Fig. 2** Sensitivity analysis of the Young's Modulus variations within the investigated domain
 969 configurations (stratified substratum model, single magma chamber model, dual magma chamber
 970 model, and dual magma chamber with conduits model). For each Units (*B*, *FC*, *GF*, *VD*), the *relative*
 971 *global variation in L2* is provided for σ_1 and σ_3 . The $x(-)$ and $x(+)$ vectors indicate the Young
 972 Modulus variation by an order of magnitude with respect to x_{ref} vector, containing the stress values
 973 calculated by using the values of material's properties indicated in Table 2.

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975 **Fig. 3** Spatial variation of the L_2 norm's components at varying Young Modulus in Units *B* for the
 976 stratified substratum (a), the single (b) and dual (c) magma chamber models, and in Units *VD* for the
 977 dual magma chamber with conduits model (d).

978

979 **Fig. 4** E-W gravitational modelling of the CVC domain. The scale of the mesh is expressed in Unit
 980 of Design (1 UD = 1 km). The domain extends 60 km along the x -axis, and 30 km along the z -axis.
 981 The magnitude and pattern of the principal stresses (dotted black lines) are reported for a
 982 homogeneous lithology (HL: Unit *FC* =andesitic lavas and pyroclastic deposits) and for a stratified
 983 lithology (SL: Unit *B*= Cretaceous limestones and intrusive rocks forming the bed-rock underlying
 984 the CVC; Unit *GF*=Quaternary alluvial, colluvial, and lacustrine deposits filling the graben; Unit *FC*;
 985 Unit *VD*= volcanoclastic deposits covering the southern flank of the CVC). The blue contour defines
 986 the unperturbed part of the domain, which extends ca. 30 km horizontally and ca. 15 km vertically.

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988

989 **Fig. 5** E-W gravitational modelling of the CVC domain (stratified lithology). The magnitude and
 990 pattern of the principal stresses are reported for (a) the single magma chamber model represented by
 991 a deep magma chamber ($2a = 14$ km and $2b = 3.6$ km) at 15 km of depth, and for (b) the dual magma
 992 chamber model composed of a 15-km deep ($2a = 14$ km and $2b = 3.6$ km) and 6-km deep ($2a = 3.5$



993 km and $2b = 2$ km) magma chambers. These chambers are not connected via dykes. The magmatic
 994 overpressure is 10 MPa for the deep magma chambers (a,b) and 5 MPa for the shallow one (b).

995 **Fig. 6** E-W gravitational modelling of the CVC domain (stratified lithology). The magnitude and
 996 pattern of the principal stresses account for a dual magma chamber system connected by dykes via
 997 surface (deep magma chamber, $2a = 14$ km and $2b = 3.6$ km at 15 km of depth; shallow magma
 998 chamber, $2a = 3.5$ km and $2b = 2$ km at 6 km). The magmatic overpressure is 10 MPa for the deep
 999 chamber, 5 MPa for the shallow one.

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.001 **Fig. 7** E-W gravitational modelling of the CVC domain (stratified lithology) when considering an
 .002 extensional stress field of 5 MPa. The magnitude and pattern of the principal stresses are shown for i-
 .003 ii) the single magma chamber model, iii-vi) the dual magma chamber model, and v-vi) the dual
 .004 magma chamber with conduits model. The magmatic overpressure is set of 10 MPa for the deep
 .005 chamber, 5 MPa for the shallower one.

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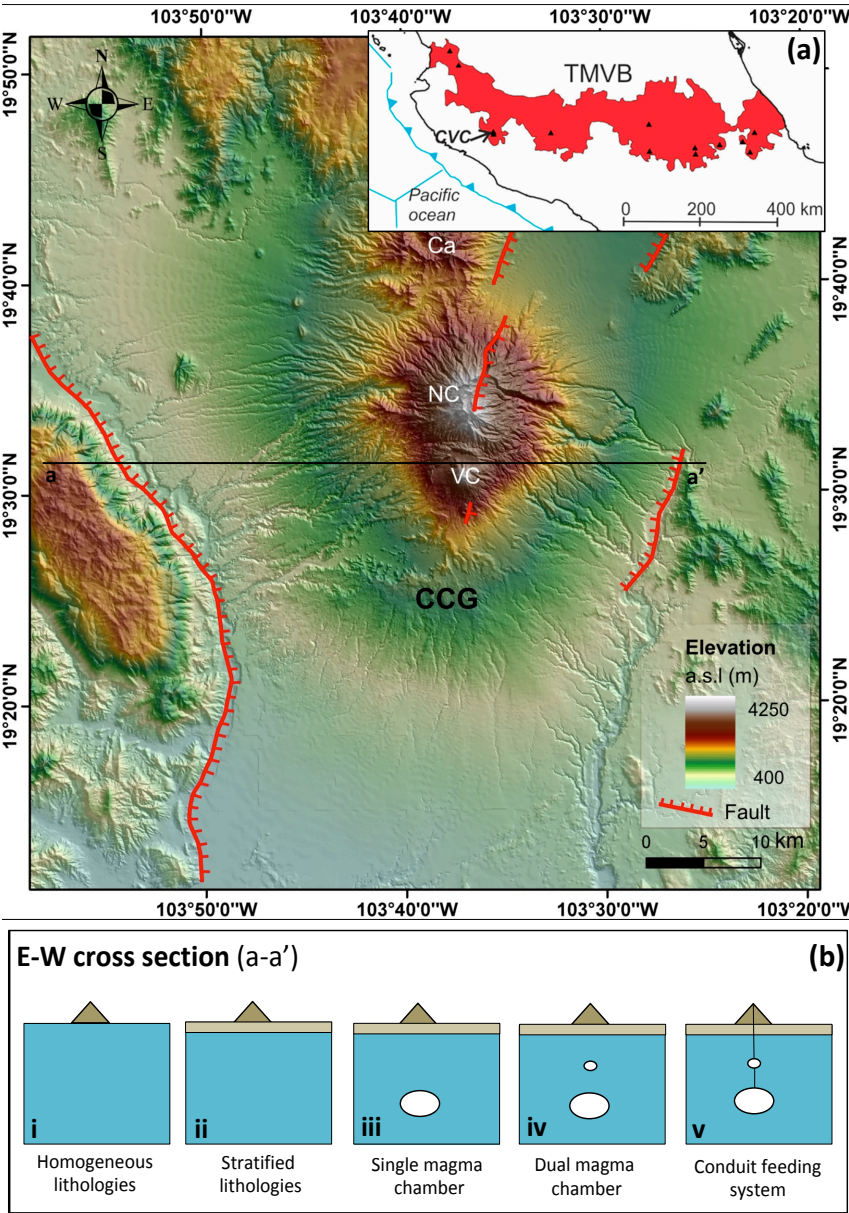
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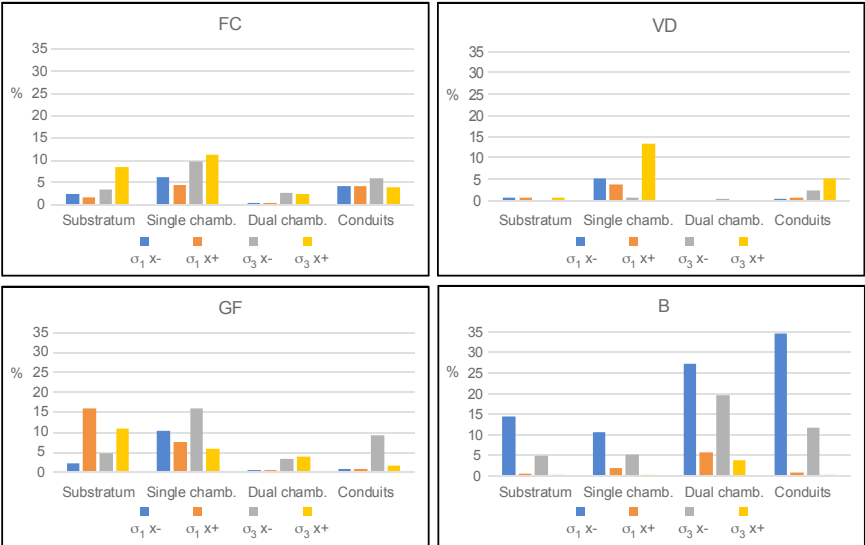
Fig. 1





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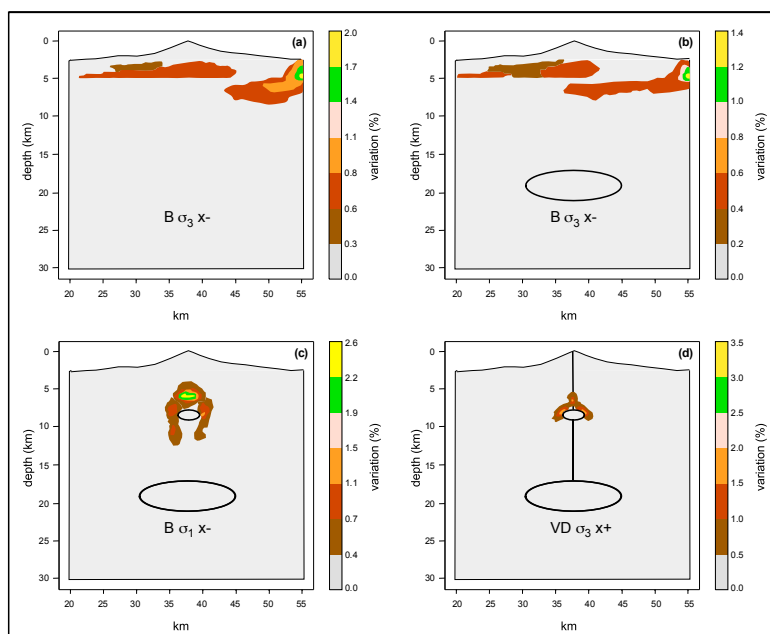
.027 **Fig. 2**



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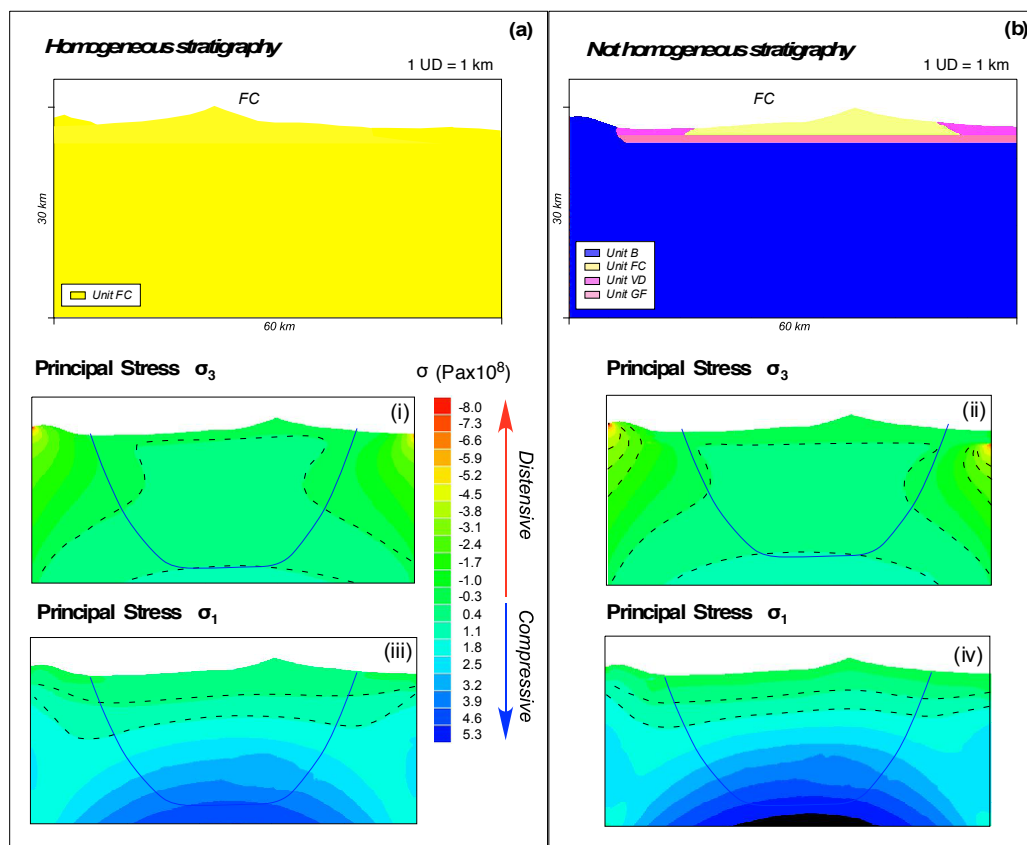
.030 **Fig. 3**



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.033 **Fig. 4**



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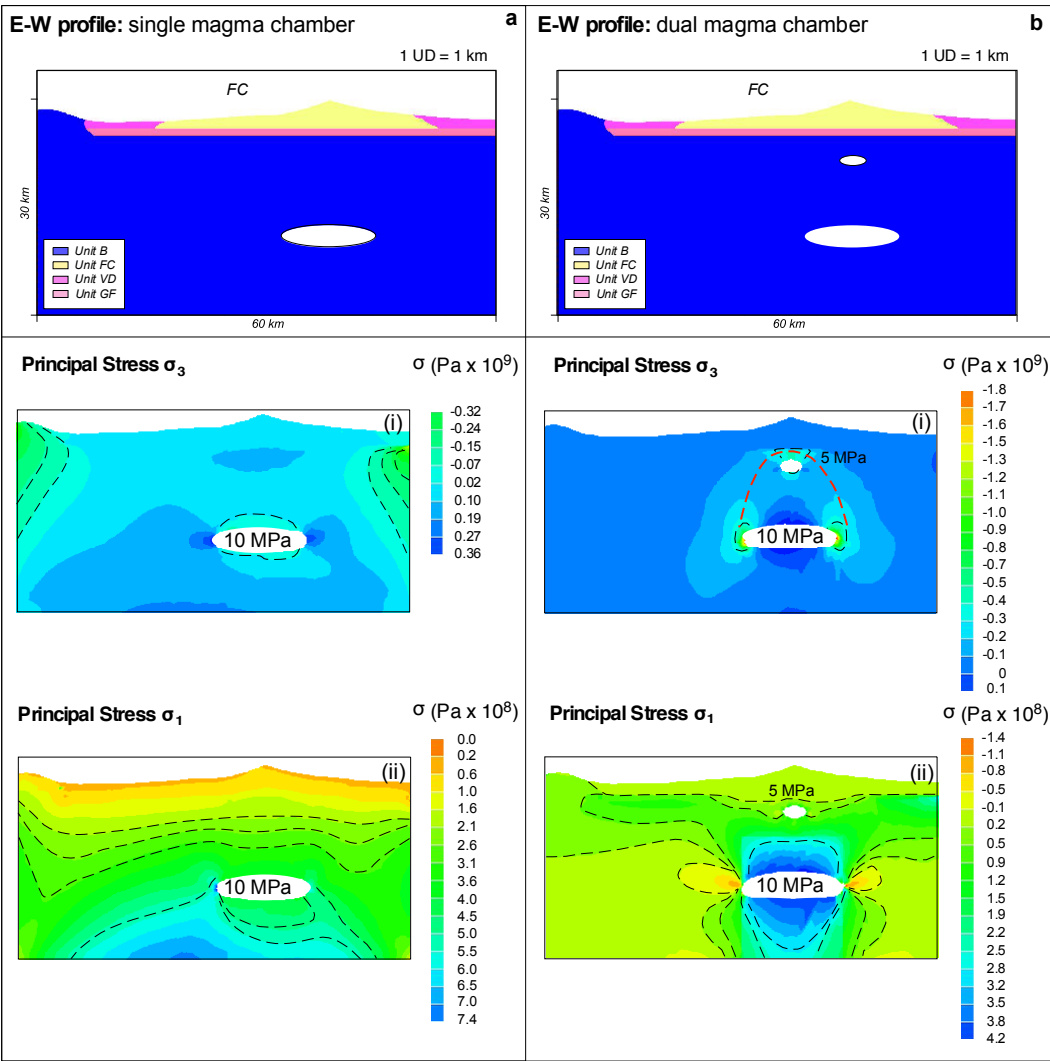
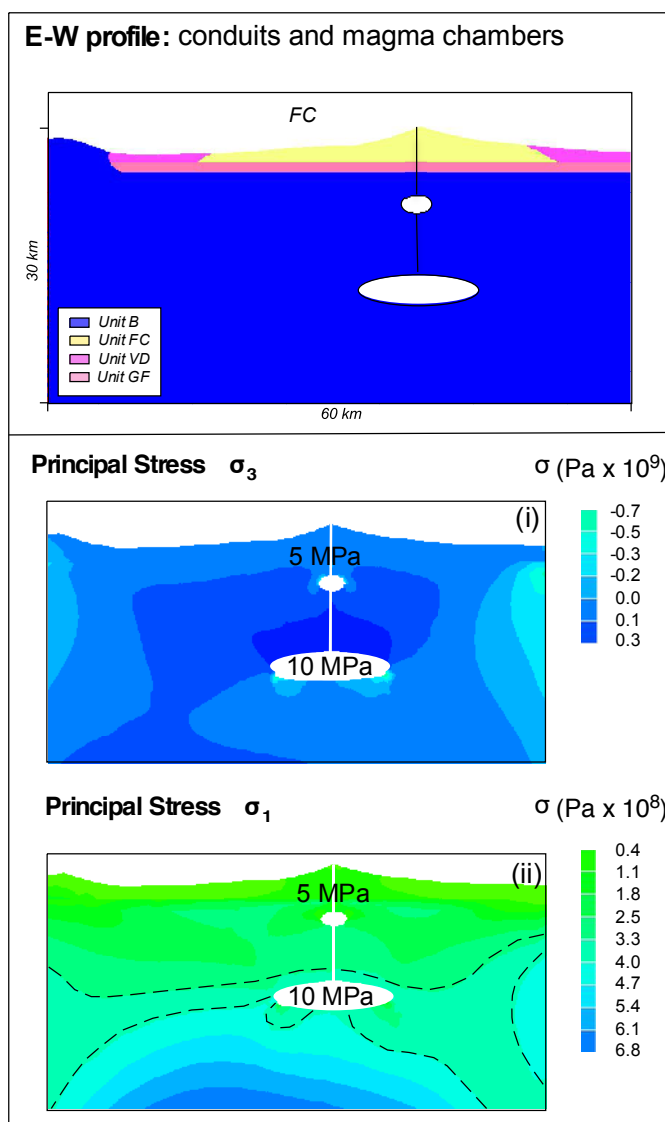


Fig. 6



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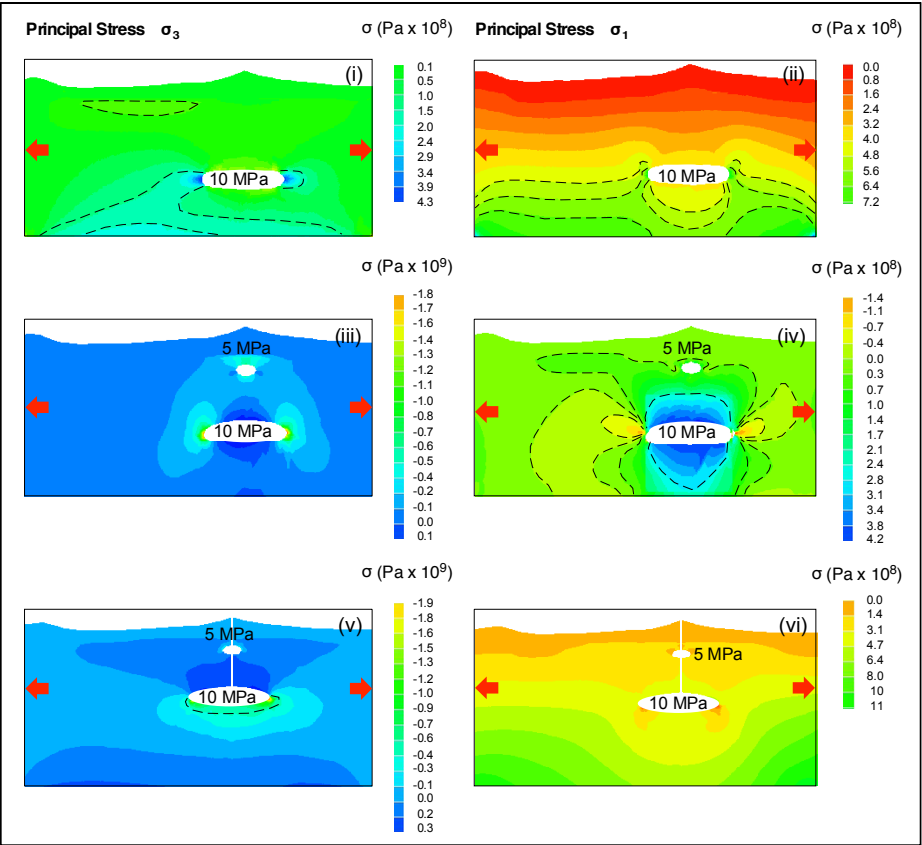
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.058 **Fig. 7**



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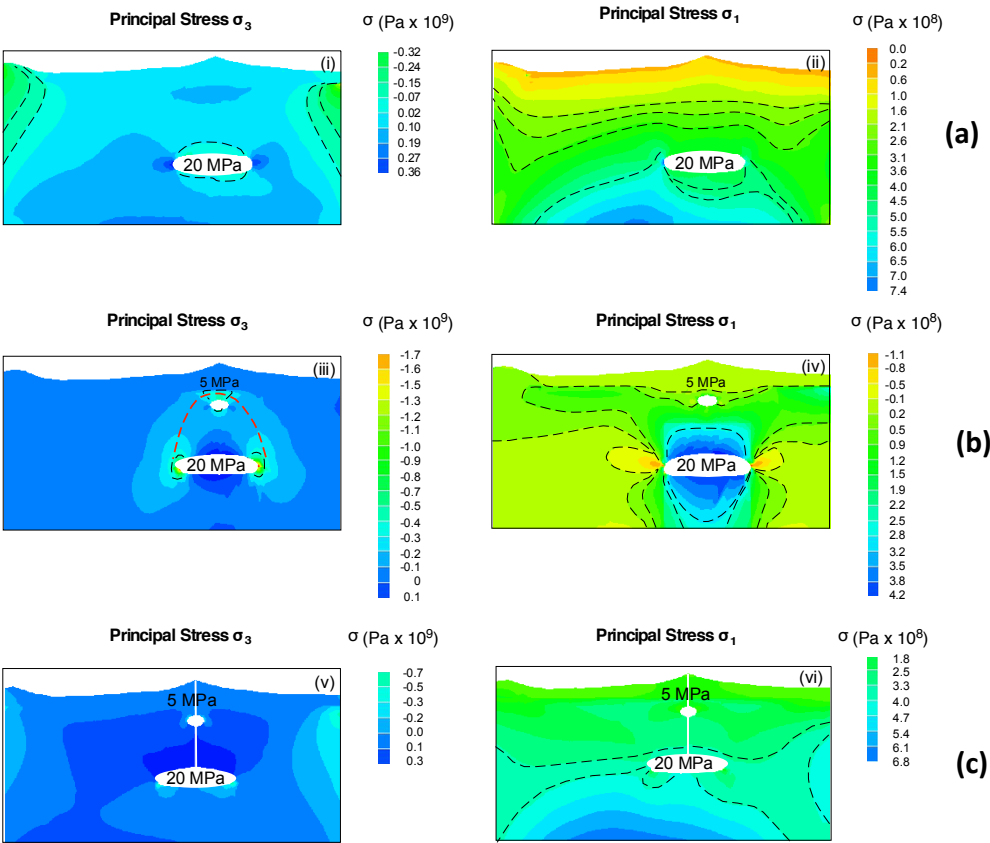
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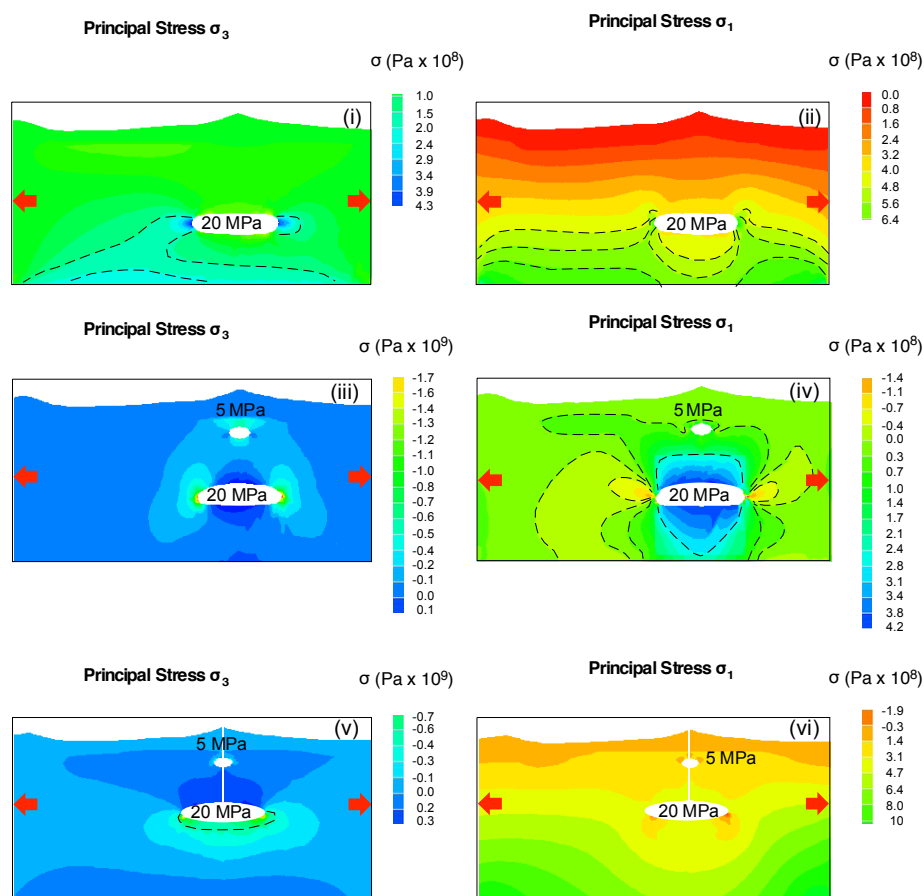
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