



# 1 **Micro- and nano-porosity of the active Alpine Fault zone, New** 2 **Zealand**

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## 16 **Abstract**

17 Porosity reduction in rocks from a fault core can cause fluid overpressure, and consequently influence the recurrence  
18 time of earthquakes. We investigated the porosity distribution in the New Zealand's Alpine Fault core in samples  
19 recovered during the first phase of the Deep Fault Drilling Project (DFDP-1B) by using two-dimensional nanoscale  
20 and three-dimensional microscale imaging. Synchrotron X-ray microtomography-derived analyses of open pore  
21 spaces show total microscale porosities in the range of 0.1 to 0.24%. These pores have mainly non-spherical,  
22 elongated, flat shapes and show subtle bipolar orientation. Transmission electron microscopy reveals that nanoscale  
23 pores ornament grain boundaries of the gouge material, especially clay minerals. Our data implies that: (i) the  
24 distribution of clay minerals controls the shape and orientation of the associated pores; (ii) porosity was reduced due  
25 to pressure solution processes; and (iii) mineral precipitation in fluid-filled pores can affect the mechanical  
26 behaviour of the Alpine Fault by decreasing the already critically low total porosity of the fault core, causing fluid  
27 overpressure, and/or introducing weak mineral phases, and thus lowering the overall fault frictional strength. We  
28 conclude that the current state of porosity in the Alpine Fault core is likely to play a key role in the initiation of the  
29 next fault rupture.



## 30 1. Introduction

31 Fault mechanics, fault structure and fluid flow properties of damaged fault rocks are intimately related (Gratier and  
32 Gueydan, 2007; Faulkner et al., 2010). Fault rupture is associated with intense brittle fracturing that enhances  
33 porosity, and thus permeability, and therefore also possible rates and directions of fluid propagation within fault  
34 zones (Girault et al., 2018). Conversely, post seismic recovery mechanisms (gouge compaction and pressure  
35 solution processes) result in porosity, permeability and fluid flow propagation reductions (Renard et al, 2000;  
36 Faulkner et al., 2010; Sutherland et al., 2012). These processes may cause elevated pore fluid pressures within fault  
37 cores, and trigger frictional failure (Sibson, 1990; Gratier et al., 2003). Therefore, the state of porosity within rocks  
38 from fault cores can play a key role in fault slip.

39 The Alpine Fault of New Zealand is late in its seismic cycle (Cochran et al., 2017), so studying it allows us to  
40 investigate pre-earthquake conditions that may influence earthquake nucleation and rupture processes. Recently,  
41 drilling operations were undertaken in this fault zone to investigate the *in situ* conditions (Sutherland et al, 2012,  
42 2017). Slug tests in the DFDP-1B borehole (Sutherland et al., 2012) and laboratory permeability measurements of  
43 core samples (Carpenter et al., 2014) indicate permeability decreases by six orders of magnitude with increasing  
44 proximity to the fault. Furthermore, Sutherland et al. (2012) documented a 0.53 MPa fluid pressure difference across  
45 the principal slip zone (PSZ) of the fault, which suggests that the fault core has significantly lower permeability than  
46 the surrounding cataclasite units. It is therefore interpreted to act as a fault seal that limits fluid circulation within its  
47 hanging wall (Sutherland et al., 2012). Permeability variations like this are closely associated with the porosity  
48 evolution of fault cores, and thus are likely to affect the fault strength and seismic properties (Sibson, 1990; Renard  
49 et al., 2000; Gratier and Gueydan, 2007).

50 In this study, we investigate the porosity distribution in rocks from the Alpine Fault core and consider the potential  
51 effects of this porosity on fault strength. We have measured open pore spaces in these rocks from X-ray computed  
52 tomography (XCT) datasets and examined pore morphology by implementing quantitative shape analyses and using  
53 transmission electron microscopy (TEM).

## 54 2. Geological setting

55 New Zealand's Alpine Fault (Fig. 1a) is a major active crustal-scale structure that ruptures in a large earthquake  
56 every  $291 \pm 23$  years, the last one of which occurred in 1717 (Cochran et al., 2017). The fault is the main constituent  
57 of the oblique transform boundary between the Australian Plate and the Pacific Plate, accommodating around 75%  
58 of the relative plate motion. Ongoing dextral strike-slip at  $27 \pm 5$  mm yr<sup>-1</sup> along the fault has resulted in a total  
59 strike-separation of ~ 480 km over the last 25 Ma (Norris and Cooper, 1995, 2001; Norris and Toy, 2014). In  
60 Neogene time, a dip-slip component added to the fault motion has resulted in more than 20 km of vertical uplift of  
61 the hanging wall (Norris and Cooper, 1995, 2001; Norris and Toy, 2014). Consequently, rocks comprising the  
62 hanging wall of the fault have been exposed in various outcrops, where they can be studied in detail. The



63 amphibolite facies Alpine Schist is the metamorphic protolith of a ~ 1 km thick mylonite zone, which has been  
64 exhumed from depth and now structurally overlies an up to 50 m thick zone of brittlely deformed cataclasites and  
65 gouges (e.g. Norris and Cooper, 1995, 2001; Norris and Toy, 2014). These rocks have been investigated in outcrops  
66 and from samples collected in three boreholes during the two phases of the Deep Fault Drilling Project (DFDP-1A,  
67 DFDP-1B and DFDP-2B; Fig. 1a) along the Alpine Fault (Sutherland et al., 2012; Toy et al., 2015; Toy et al., 2017).

68 Most of the brittle shear displacement along the fault has been accommodated within the fault core, which includes  
69 Principal Slip Zone (PSZ) gouges and cataclasite-series rocks (Toy et al., 2015). Both in surface outcrops and drill  
70 core samples, the Alpine Fault manifests as a thin (5 to 20 cm thick) gouge zone with predominantly random fabric  
71 of clay-rich material (Toy et al., 2015; Schuck et al., 2020). This cohesive but uncemented layer has significantly  
72 finer grain size than the surrounding cataclasite units, which shows that the material was reworked only within this  
73 layer, most probably as a result of ultracomminution due to multiple shear events under brittle conditions (Boulton  
74 et al., 2012; Toy et al., 2015). The local presence of authigenic smectite clays (Schleicher et al., 2015) and calcite  
75 and/or chlorite mineralization within sealed fractures and in the gouge matrix (Williams et al., 2017) indicate that  
76 mineral reactions are restricted to an alteration zone within the fault core (Sutherland et al., 2012; Schuck et al.,  
77 2020). The Alpine Fault core has been interpreted to have formed during a cyclical history of mineralization, shear,  
78 and fragmentation (Toy et al., 2015). In addition, in the DFDP-1B borehole (Fig. 1b, Sutherland et al., 2012) fault  
79 gouges occur at two distinct depths: 128.1 m (PSZ-1) and 143.85 m (PSZ-2), which shows that the slip was not  
80 localized within a single gouge layer (Toy et al., 2015).

### 81 **3. Sample description and analytical methods**

#### 82 **3.1 Samples**

83 Porosity analyses were performed on four samples representing PSZ gouges and cataclasites of the Alpine Fault  
84 core, which were recovered from the DFDP-1B borehole (Fig. 1b, c; Sutherland et al., 2012). These are DFDP-1B  
85 58\_1.9, DFDP-1B 69\_2.48, DFDP-1B 69\_2.54 and DFDP-1B 69\_2.57. Sample nomenclature includes drill core run  
86 number, section number, and centimeters measured from the top of each section. These samples were recovered  
87 from drilled depth of 126.94 m, 143.82 m, 143.88 m and 143.91 m, respectively.

88 Detailed lithological and microstructural description of the DFDP-1B drill core were carried out simultaneously  
89 with, and after the drilling operations by the DFDP-1 Science Team, and these data were later summarized by Toy et  
90 al. (2015). Samples DFDP-1B 58\_1.9 and DFDP-1B 69\_2.48 belong to the upper foliated cataclasite units (Fig. 1b,  
91 c; Toy et al., 2015). These were described as ultracataclasites with gouge-filled shears located above PSZ-1 and  
92 PSZ-2 respectively. Sample DFDP-1B 69\_2.54 represents the gouge layer that defines PSZ-2, whereas sample  
93 DFDP-1B 69\_2.57 is composed of brown ultracataclasites that belong to the lower cataclasite unit (Fig. 1b, c; Toy et  
94 al., 2015).



### 95 3.2 X-ray computed tomography (XCT)

96 We imaged the samples using absorption tomography, where the signal intensity depends on how electron density  
97 and bulk density attenuate a monochromatic X-ray along its path through the material (e.g. Fusses et al. 2014). We  
98 acquired the X-ray microtomography data for this study at the 2-BM beamline of the Advanced Photon Source,  
99 Argonne National Laboratories USA in December 2012. The non-cylindrical samples of ~7 mm height and ~4 mm  
100 diameter were mounted on a rotary stage and imaged with a beam energy of 20 keV. A charge-couple device camera  
101 collected images at 0.25° rotation steps over 180°. The voxel size was 1.3 μm. We have reconstructed the datasets  
102 with a filtered back-projection parallel beam reconstruction into 32-bit gray level volumes consisting of 2083 \* 2083  
103 \* 2083 voxels using X-TRACT (Gureyev et al., 2011).

### 104 3.3 Analyses of XCT datasets

105 Data analyses and image processing were performed using the commercial software package Avizo 9.1 (Fig. 2).  
106 Initially, the datasets were rescaled to 8-bit grey scale volumes for enhanced computer performance. In addition,  
107 small volumes of interest were cropped from the whole volume before a non-local means filter was applied to  
108 reduce noise (Buades et al., 2005). On the filtered gray-scale images, porosity was identified as the darkest phase  
109 (Fig. 2a). The corresponding gray-scale values were thresholded, and the datasets were converted into binary form.  
110 However, this threshold range also captured cracks within a sample, which are likely to result from depressurization  
111 during core recovery (Fig. 2b). To omit the cracks, thresholded components with volumes larger than the volume of  
112 200 connected voxels (439.4 μm<sup>3</sup>) were excluded from the binary label images by using the morphological  
113 operation ‘connected components’ built in software Avizo 9.1. Clusters of connected components were then created  
114 to visualize 3D volumes of segmented pore spaces (Fig. 2c).

115 Unfortunately, this methodology results in either loss of larger pores or inclusion of small cracks depending on the  
116 implemented limit of connected components, and thus calculating total porosities includes significant bias. Instead,  
117 the volumes of segmented materials (including cracks) were exported from Avizo software in numerical format, and  
118 volume distributions within a sample were plotted on a logarithmic scale in Matlab (Fig. 3). Data up to a specific  
119 volume size were fit to a polynomial curve, and then the curve was extrapolated to the X intercept, which is the  
120 expected maximum pore size (Fig. 3). Total porosities were then estimated by integrating the curve, which excludes  
121 all volumes on the right side of the curve. Total porosities are presented as a percentage of the whole sample volume  
122 (Fig. 3). The implemented equations are presented in Supplementary material 1.

123 Pore shapes were analyzed on bivariate histograms. Only pore volumes between 21.97 μm<sup>3</sup> (10 voxels) and 878.8  
124 μm<sup>3</sup> (400 voxels) were included to avoid bias in the data due to insufficient voxel count and presence of cracks,  
125 respectively. For each pore, the covariance matrix of the volume was calculated, and the three eigenvalues of this  
126 covariance matrix were extracted. These three values correspond to the three main orthogonal directions in each  
127 pore (i.e. the longest, medium and shortest axes) and we use them as proxies to describe pore geometry. Thus, their  
128 amplitudes provide information on the spatial extension of the pore and its shape. The ratio between the medium and



129 largest eigenvalues of each pore defines its elongation (Fig. 4), the ratio between the smallest to the largest – its  
130 sphericity (Fig. 5), and the ratio of the smallest to the medium – its flatness (Fig. 6).

131 The angles  $\theta$  and  $\varphi$  that describe the orientation of the longest axis of each pore with respect to the main axis of the  
132 3D scan were calculated. These angles were translated into trend and plunge and then plotted on a lower hemisphere  
133 equal area stereographic projection with a probability density contour to display the distribution of pore unit  
134 orientations (Fig. 7).

### 135 **3.4 Transmission electron microscopy (TEM)**

136 High resolution TEM images were collected on a FEI Tecnai G2 F20 X-Twin transmission electron microscope,  
137 located at the German Research Centre for Geosciences (GFZ), Potsdam, Germany (Fig. 8). The instrument is  
138 equipped with field-emission gun (FEG) electron source and high-angle annular dark-field (HAADF) Detector.  
139 Images were collected from samples placed on a Gatan double-tilt holder at 200kV. TEM sample preparation was  
140 performed with focused ion beam (FIB) milling at GFZ Potsdam using a HELIOS system operated at 30 kV.

## 141 **4. Results**

### 142 **4.1 XCT-derived characteristics of porosity**

143 All samples contain low total porosities, ranging from 0.1% to 0.24% (Fig. 3). However, it should be noted that the  
144 lower cataclasite sample (DFDP-1B 69\_2.57) has twice as much pore space (Fig. 3d) as any of the other samples.  
145 The characterized pore size distributions range over almost three orders of magnitude for all samples (Fig. 3).  
146 Furthermore, the expected maximum pore size volume was estimated to be largest in the PSZ-2 sample (DFDP-1B  
147 69\_2.54), reaching  $862 \mu\text{m}^3$  (Fig. 3c).

148 In all samples, shape analyses of pores with volumes between  $21.97 \mu\text{m}^3$  (10 voxels) and  $878.8 \mu\text{m}^3$  (400 voxels)  
149 demonstrate predominantly elongated (Fig. 4), non-spherical (Fig. 5) and flat pore shapes (Fig. 6). This is  
150 particularly pronounced for the smaller pore volumes. The number of elongated pores per sample is increasing in the  
151 upper foliated cataclasites (Fig. 4a and b) with increasing proximity to PSZ-2, where most elongated pores occur  
152 (Fig. 4c). Conversely, the lower cataclasite sample demonstrates proportionally fewer elongated pores within the  
153 sample (Fig. 4d). The degree of sphericity is uniform for all samples, and pores appear as mainly non-spherical (Fig.  
154 5). Few isolated spherical pores are manifested only by small pore volumes (Fig. 5). A trend of increasing the  
155 number of flat pores is observed with increasing sample depth (Fig. 6), and most flat pores are detected in the lower  
156 cataclasite (Fig. 6d).

157 The orientations of the individual pore units show two distinctive peaks with opposite vergence, defining bipolar  
158 distributions of pore orientations (Fig. 7). The observed bipolarity is subtle in samples DFDP-1B 58\_1.9 (Fig. 7a)



159 and DFDP-1B 69\_2.48 (Fig. 7b), and more obvious in samples DFDP-1B 69\_2.54 (Fig. 7c) and DFDP-1B 69\_2.57  
160 (Fig. 7d).

#### 161 **4.2 Microstructural characteristics of porosity**

162 TEM characterization of the gouge material from PSZ-2 (sample DFDP-1B 69\_2.54) reveals that the Alpine Fault  
163 gouges have composition, comprising angular quartz and/or feldspar fragments (~200 nm in size), wrapped by  
164 smaller phyllosilicates (< 100 nm long). This random fabric is ornamented by nanoscale pores (< 50 nm), distributed  
165 along all grain and phase boundaries, especially abundant along clay minerals (Fig. 8a).

166 The gouge material also demonstrates phyllosilicate-rich areas, defined by an increase in the clay/clast ratio. In these  
167 zones, fine (< 100 nm long) and coarser (few  $\mu\text{m}$  long) clay grains coexist and are aligned in wavy fabric that  
168 surrounds sporadic protolith fragments (Fig. 8b). Pore spaces are again distributed along the boundaries of the  
169 constituent mineral grains but some of them are larger (~0.5  $\mu\text{m}$ ) and ellipsoidal or elongated shape (Fig. 8b, c).  
170 These pores are commonly associated with inter-clay layer porosity. Large size pores are also observed as cracks  
171 along boundaries of quartz and/or feldspar grains (i.e. fracture porosity; Fig. 8d).

### 172 **5. Discussion**

#### 173 **5.1 Characteristics of porosity within the Alpine Fault core**

174 Porosity analyses of samples from, or in close proximity to the two PSZs encountered in the DFDP-1B drill core  
175 reveal total pore volumes between ~ 0.1 and 0.24% (Fig. 3). These values are significantly lower than the porosity  
176 estimates from other active faults in the world, such as: 0.2 to 5.7% total porosity in the core of the Nojima Fault,  
177 Japan (Surma et al., 2003) and 0 to 18% in the San Andreas Fault core (Blackburn et al., 2009). The Alpine Fault  
178 core contains total pore space volumes, comparable only with the lower porosities in these previous studies. It  
179 should be noted that the smallest pore spaces captured in the XCT datasets are 1.3  $\mu\text{m}$  in size due to resolution  
180 constrains, whereas nanoscale porosity was identified on the TEM images. Therefore, the estimated total porosities  
181 represent only minimum values of the open pore spaces in the Alpine Fault core. However, the addition of nanoscale  
182 porosity volumes is unlikely to dramatically affect the final total porosity of these rocks because they comprise a  
183 very small total volume.

184 TEM images presented here mainly focus on nano-scale materials (Fig. 8a, c, d) but were also used to describe the  
185 distribution of micro-porosity in these rocks (Figure 8b). On figure 8b pores have sizes comparable to the small  
186 range of pores segmented on XCT images (> 1.3  $\mu\text{m}$  in diameter), and thus we conclude that both nano- and micro-  
187 pores within the Alpine Fault core are distributed on grain and phase boundaries, especially of clay minerals (Fig. 8).  
188 In addition, both quantitative micro-porosity shape analyses (Fig. 4, 5 and 6) and nano-pores identified on TEM  
189 images (Fig. 8) reveal that a significant population of pores are predominantly non-spherical with elongated, flat



190 shapes. We attribute this observation to the tendency of these pores to ornament clay minerals where pores are  
191 attained and elongated along their (001) planes (Fig. 8b, c and d).

192 Foliation in the upper cataclasites is defined by clay-sized phyllosilicates, that become more abundant with  
193 proximity to the PSZ (Toy et al., 2015), where weak clay fabric is developed (Schleicher et al., 2015). This gradual  
194 enrichment in clay minerals coincides with the subtle development of bipolar distributions of pore orientations with  
195 increasing sample depth (Fig. 7). This observation and the fact that pores are mainly attained along grain boundaries  
196 of clays (Fig. 8) suggest that the distribution of clay minerals also controls pore orientations within the Alpine Fault  
197 core. Previously, the phyllosilicate foliation in the Alpine Fault cataclasites has been used to define shear direction  
198 (Toy et al., 2015). Thus, we speculate that pore orientations in these rocks are also systematically related to the  
199 kinematic framework of the shear zone. If these pores represent remnants of fluid channels, their spatial orientation  
200 is likely to reflect the fluid flow directions during deformation. To address this possibility more data for systematic  
201 analyses of pore orientations are needed.

## 202 **5.2 Porosity reduction within the Alpine Fault core**

203 Porosity of the fault core is considered to evolve during the seismic cycle when fault rupture can cause porosity  
204 increase up to 10% (Marone et al., 1990), and the consequent healing mechanisms lead to porosity decrease over  
205 time due to mechanical compaction of the fault gouge and/or elimination of pore spaces within the fault core due to  
206 pressure solution processes (Sibson, 1990; Renard et al., 2000; Faulkner et al., 2010). TEM data presented here  
207 show abundance of newly precipitated authigenic clays, wrapped around coarser clay minerals (Fig. 8b).  
208 Furthermore, delicate clay minerals form fringe structures (Fig. 8a), and strain shadows (Fig. 8c) around larger  
209 quartz-feldspar grains. These microstructural observations demonstrate that pressure solution processes operated  
210 within these rocks.

211 Evidence for pressure solution processes has been previously documented in all units, comprising the Alpine Fault  
212 core (Toy et al., 2015). Abundant precipitation of alteration minerals (Sutherland et al., 2012), calcite filled  
213 intragranular and cross-cutting veins (Williams et al., 2017), and the occurrence of newly formed smectite clays  
214 (Schleicher et al., 2015) indicate extensive fluid-rock reactions. In addition, anastomosing networks of opaque  
215 minerals (such as graphite; Kirilova et al., 2017), which define foliation in the upper cataclasites (Toy et al., 2015),  
216 have been interpreted to be concentrated by pressure solution processes during aseismic creep (Toy et al., 2015;  
217 Gratier et al., 2011). The petrological characteristics of the Alpine Fault core lithologies identify solution transfer  
218 mechanisms likely were the dominant mechanism for pore closure within these rocks.

219 Post-rupture porosity reduction is known to operate three to four times faster within fine-grained fault gouges than in  
220 coarser-grained cataclasites (Walder and Nur, 1984; Sleep and Blanpied, 1992; Renard et al., 2000), which may  
221 explain the differences in total porosity between the gouge-containing samples and the footwall ultracataclasite –  
222 DFDP-1B 69-2.57 (Fig. 3). Furthermore, previous studies documented less carbonate and phyllosilicate filling of



223 cracks in the Alpine Fault footwall cataclasites as compared to the hanging wall cataclasites (Sutherland et al., 2012;  
224 Toy et al., 2015), suggesting more reactive fluids are present and isolated within the hanging wall of the Alpine  
225 Fault. Thus, more intense dissolution-precipitation processes took place in the fault's hanging wall, which very  
226 likely resulted in more efficient porosity reduction, as demonstrated by our porosity estimates (Fig. 3).

227 As aforementioned, porosity reduction is known to increase with time after an earthquake event due to post-rupture  
228 healing mechanisms (Sibson, 1990; Renard et al., 2000; Faulkner et al., 2010). Thus, the comparatively lower  
229 porosity estimates of the Alpine Fault core than other active faults (e.g. the Nojima Fault, Surma et al., 2003, and the  
230 San Andreas Fault, Blackburn et al., 2009) can be attributed to the fact that the Alpine Fault is late in its seismic  
231 cycle (Cochran et al., 2017).

### 232 **5.3 Effects of porosity on the Alpine Fault strength**

233 The extremely low porosity estimates presented here (Fig. 3) are consistent with the low permeabilities of  $10^{-18}$  m<sup>2</sup>  
234 measured experimentally in clay-rich cataclasites and gouges from the Alpine Fault zone (Carpenter et al., 2014). In  
235 addition, the documented difference of total porosities between the hanging wall and footwall samples (Fig. 3)  
236 implies different intensity of pressure solution processes, and thus compartmented fluid propagation. Our data thus  
237 provide independent verification of the permeability measurements in that study (Carpenter et al., 2014) and  
238 increased confidence in their interpretation of a permeability gradient with distance from the PSZ, which itself acts  
239 as a hydraulic seal (Sutherland, et al., 2012). The existence of such a barrier to flow is characteristic for faults  
240 undergoing creep and locked faults (Rice, 1992; Labaume et al., 1997; Wiersberg and Erzinger, 2008). However,  
241 much higher permeabilities in the surrounding damaged rocks (Carpenter et al., 2014) allow fast propagation of  
242 fluids within them and can cause localization of high fluid pressures on one side or the other of a hydraulic seal  
243 (Sibson, 1990). Such fluid pressures can enhance gouge compaction and pressure solution processes within the fault  
244 core, which will eventually introduce zones of weakness and thus may trigger fault slip (Faulkner et al., 2010).

245 Previous studies and the observations presented here show that fluids were present in the Alpine Fault rocks. Fluid-  
246 filled pores represent a favorable environment for mineral precipitation, which can affect the fault strength in two  
247 ways: (i) very small decrease of these critically low total porosities due to mineral precipitation would cause fluid  
248 pressurization, a well-known fault weakening mechanism (Byerlee, 1990; Sibson, 1990); (ii) deposition of  
249 frictionally weak phases (such as clay minerals and graphite), especially if they decorate grain contacts and/or form  
250 interlinked weak layers, would lower the overall frictional strength (Rutter et al., 1976; Niemeijer et al., 2010).

251 Precipitation of authigenic clay minerals was identified on our TEM data (Fig. 8) and also documented by previous  
252 studies (Schleicher et al., 2015). As well as having low frictional strengths (Moore and Lockner, 2004), clay  
253 minerals may also contribute to the formation of an impermeable seal if they form an aligned fabric, and thus can  
254 enhance the likelihood of fluid-pressurization in the fault rocks (Rice, 1992; Faulkner et al., 2010). In addition,  
255 graphite may effectively weaken the fault due to mechanical smearing (Rutter et al., 2013) and/or localized





256 precipitation within strained areas (Upton and Craw, 2008). Such graphite precipitation within shear surfaces was  
257 previously documented by Kirilova et al. (2017).

258 In summary, the presence of trapped fluids in the low porosity rocks of the Alpine Fault core possibly controls the  
259 mechanical behavior of the fault and could be responsible for future rupture initiation due to fluid pressurization  
260 and/or precipitation of weak mineral phases. This hypothesis is further supported by an experimental study showing  
261 that the DFDP-1 gouges are frictionally strong in the absence of elevated fluid pressure (Boulton et al., 2014).

## 262 **6. Conclusions**

263 Analyses of XCT-datasets and TEM images of borehole samples from the core of the Alpine Fault reveal micro- and  
264 nanoscale pores, distributed along grain boundaries of the constituent mineral phases, especially clay minerals. The  
265 tendency of these pores to ornament clays defines their predominantly non-spherical, elongated, flat shapes and the  
266 bipolar distribution of pore orientations. The documented extremely low total porosities (from 0.1 to 0.24 %) in  
267 these rocks suggest effective porosity reduction. Microstructural observations presented here and documented in  
268 previous studies indicate that pressure solution processes were the dominant healing mechanism, and that fluids  
269 were present in these rocks. Therefore, fluid-filled pores may be places where fluid overpressures develop, due to  
270 further mineral precipitation that decreases the already critically low total porosities. Alternatively, they may also  
271 facilitate deposition of weak mineral phases (such as clay minerals and graphite) that may very effectively weaken  
272 the fault. We conclude that the current state of the fault core porosity is possibly a controlling factor on the  
273 mechanical behaviour of the Alpine Fault and will likely play a key role in the initiation of the next fault rupture.

## 274 **Data availability.**

275 Matlab code and numerical data of pore volumes can be found in Supplementary material 1.

## 276 **Authors contribution**

277 Kirilova reconstructed, processed, and analysed the XCT datasets presented here, interpreted the TEM data and  
278 prepared the manuscript. Most of this work was performed during Kirilova's PhD under the academic guidance of  
279 Toy. Toy and Gessner collected the XCT data with technical support by Xiao. Renard and Sauer contributed with  
280 valuable discussion about XCT data analyses. Wirth enabled TEM data acquisition and provided his expertise on  
281 TEM data interpretation. The final version of this manuscript benefits from collective intellectual input.

## 282 **Competing interests**

283 The authors declare that they have no conflict of interest.



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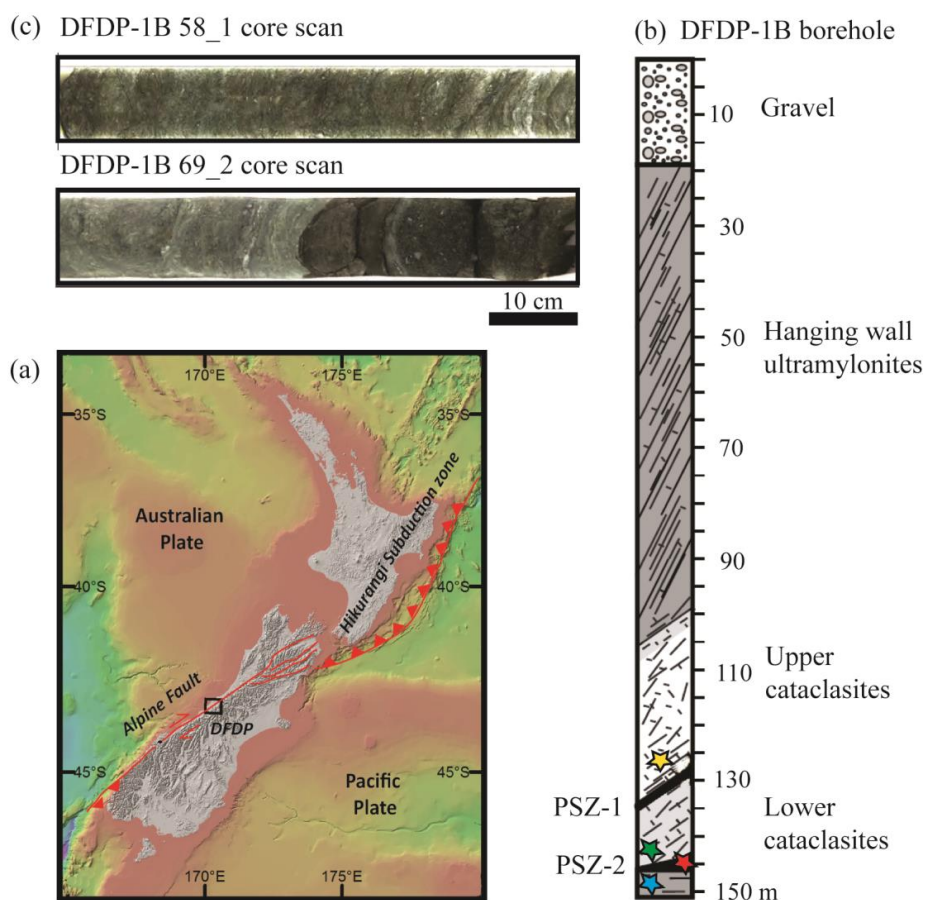
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401 **Figures**



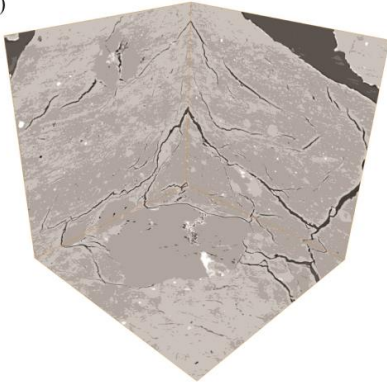
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403 **Figure 1.** (a) Location map of DFDP drill sites (a bathymetric map compiled by NIWA). (b) Schematic diagram of  
404 the sampled lithologies in DFDP-1B borehole (modified after Sutherland et al., 2012). (c) Scans of DFDP-1B drill  
405 core. Samples were collected from the locations indicated with stars: yellow – DFDP-1B 58\_1.9; green – DFDP-1B  
406 69\_2.48; red – DFDP-1B 69\_2.54; blue – DFDP-1B 69\_2.57.

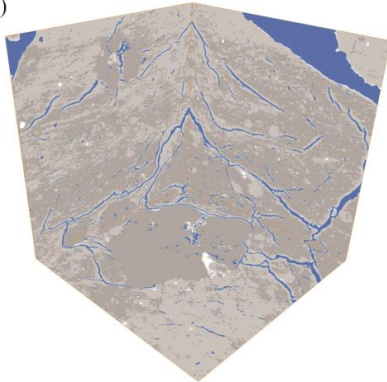


DFDP - 1B 69-2.57

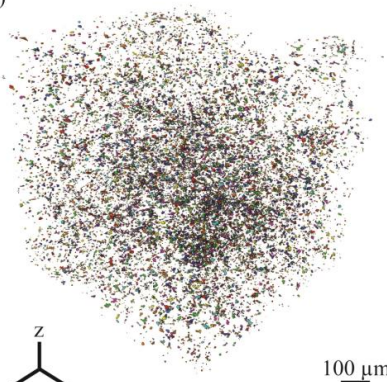
(a)



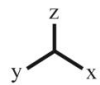
(b)



(c)



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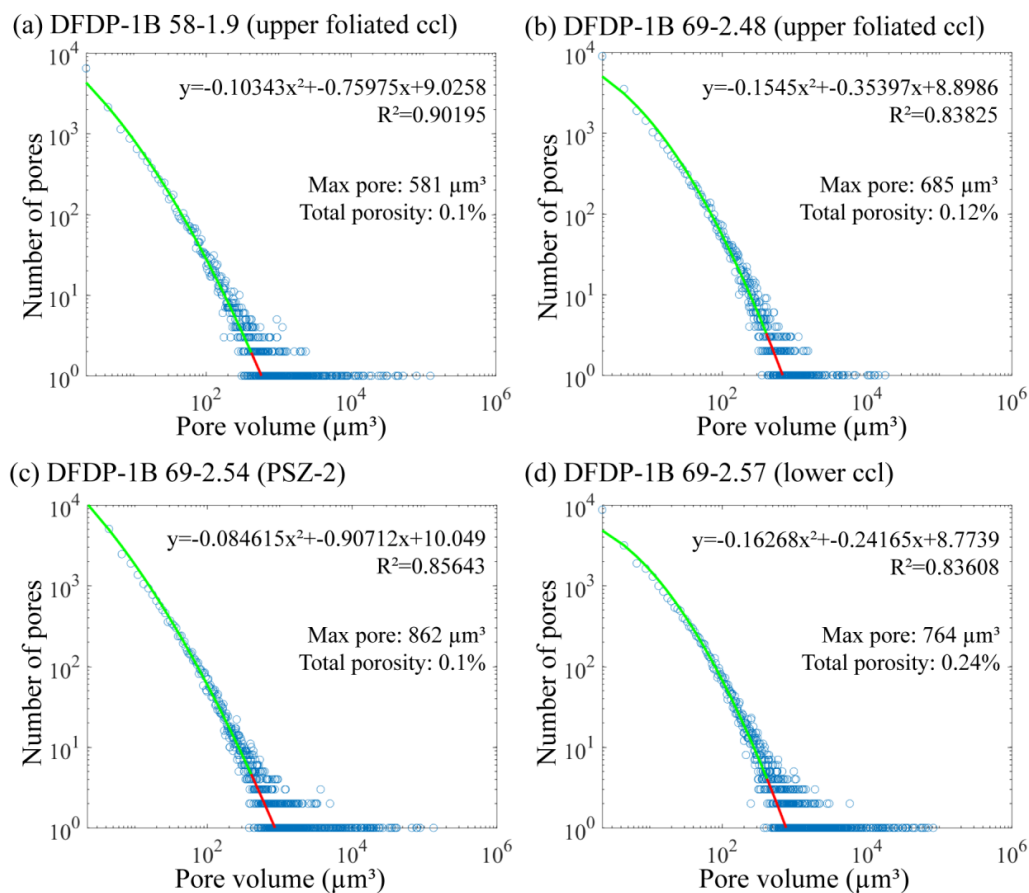
100  $\mu\text{m}$

408 **Figure 2.** X-ray tomography data processing workflow. (a) Gray scale images in xy, xz and yz directions (b)

409 Threshold of the darkest gray scale phase in each sample, corresponding to voids (pores and fractures); (c) 3D



410 volume of the segmented pore spaces after the fractures due to sample decompaction and coring damaging effects  
411 were removed.



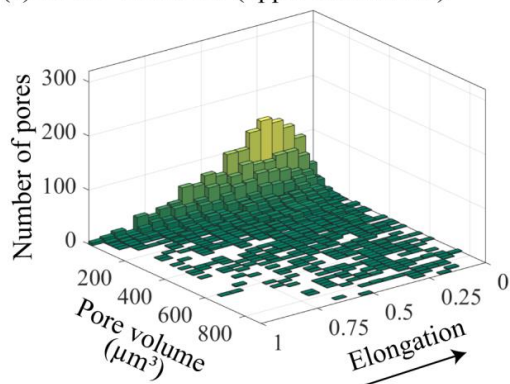
412

413 **Figure 3.** Plots of pore volume versus number of pores for each sample. Estimates of total porosity and size of the  
414 maximum expected pore are also shown, as well as the curve fitting function for each dataset.

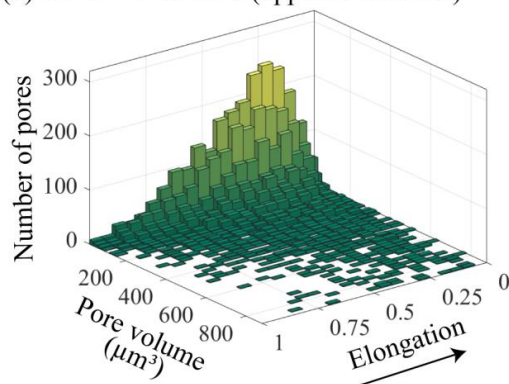




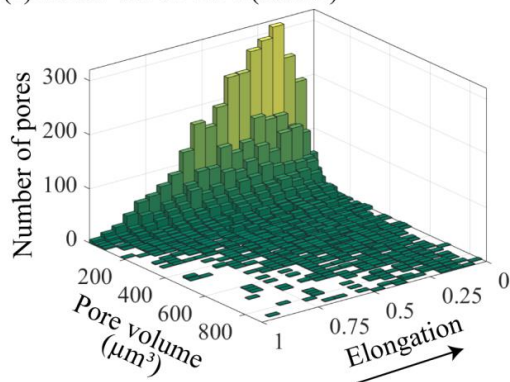
(a) DFDP-1B 58-1.9 (upper foliated ccl)



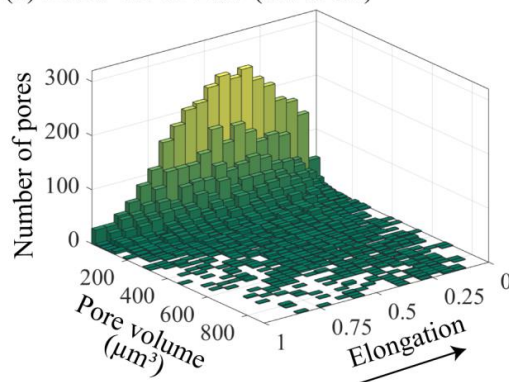
(b) DFDP-1B 69-2.48 (upper foliated ccl)



(c) DFDP-1B 69-2.54 (PSZ-2)



(d) DFDP-1B 69-2.57 (lower ccl)



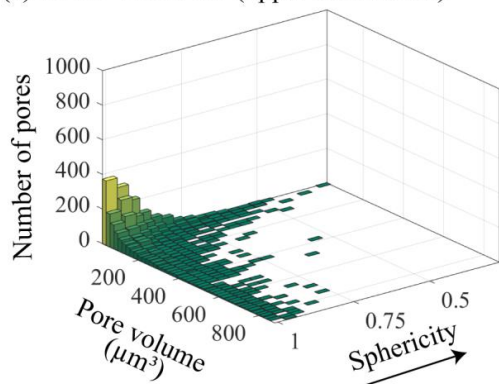
415

416 **Figure 4.** Bivariate histograms showing elongation versus pore volume ( $\mu\text{m}^3$ ) and number of pores for each sample.

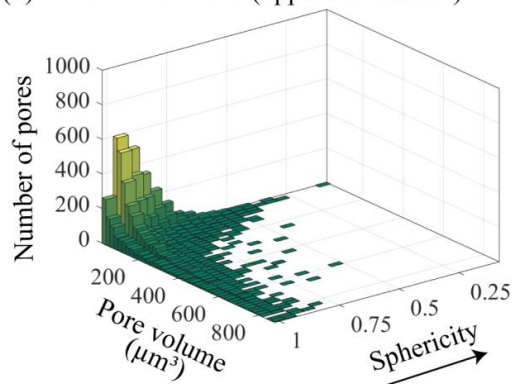
417 The arrow indicates the direction of increasing elongation.



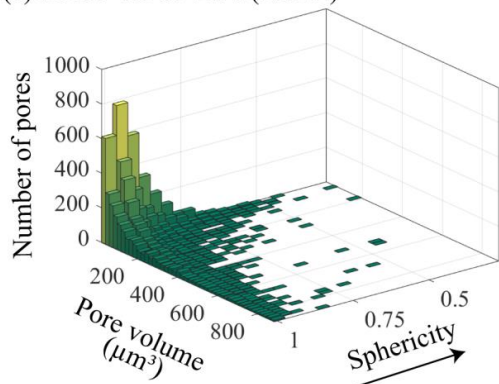
(a) DFDP-1B 58-1.9 (upper foliated ccl)



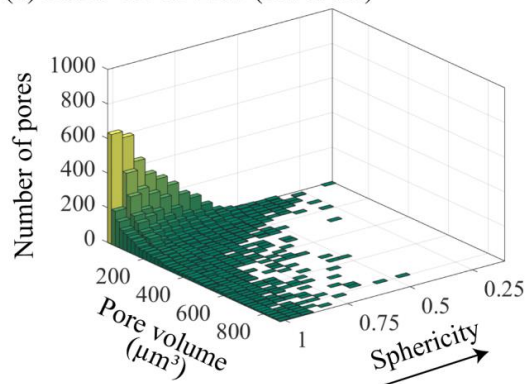
(b) DFDP-1B 69-2.48 (upper foliated ccl)



(c) DFDP-1B 69-2.54 (PSZ-2)



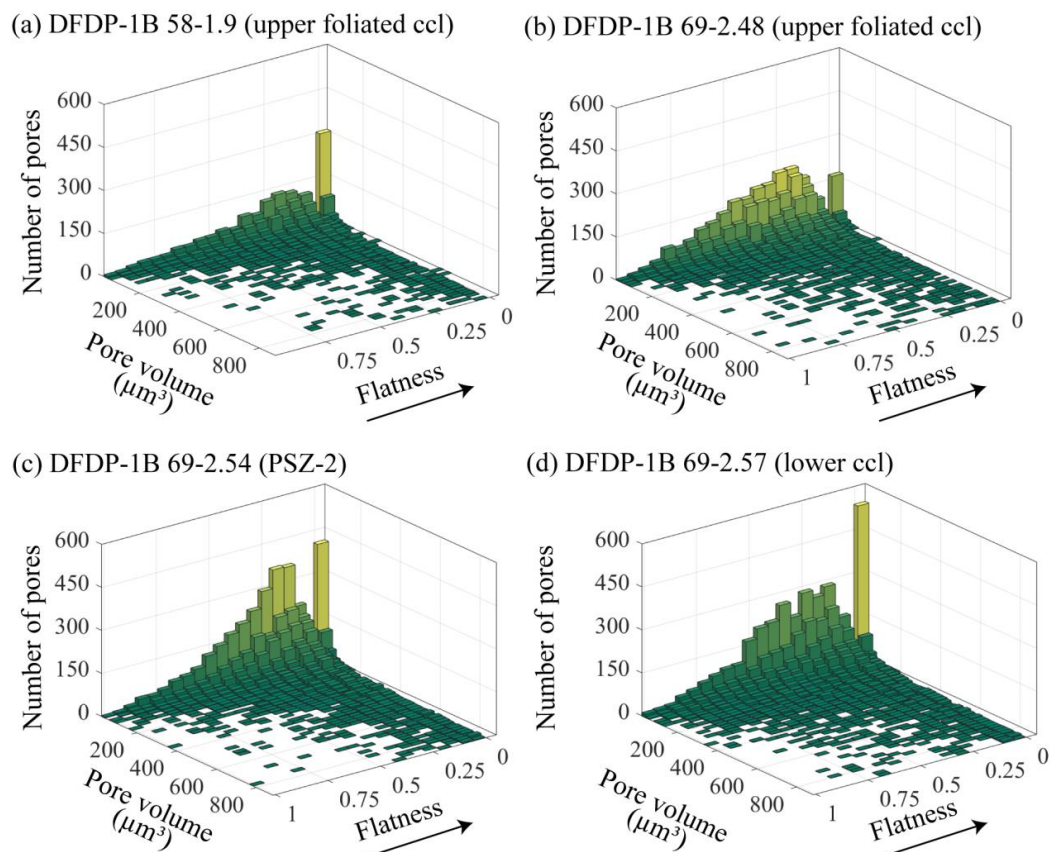
(d) DFDP-1B 69-2.57 (lower ccl)



418

419 **Figure 5.** Bivariate histograms showing sphericity versus pore volume ( $\mu\text{m}^3$ ) and number of pores for each sample.

420 The arrow indicates the direction of increasing sphericity.



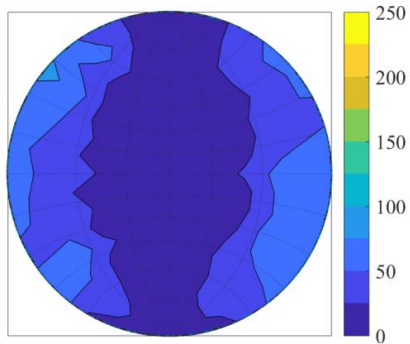
421

422 **Figure 6.** Bivariate histograms showing flatness versus pore volume ( $\mu\text{m}^3$ ) and number of pores for each sample.

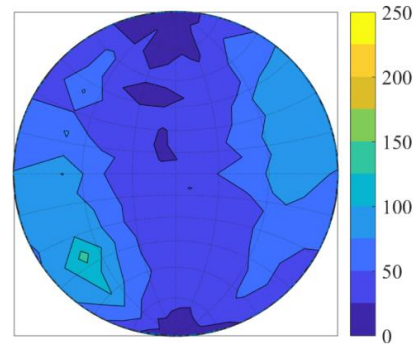
423 The arrow indicates the direction of increasing flatness.



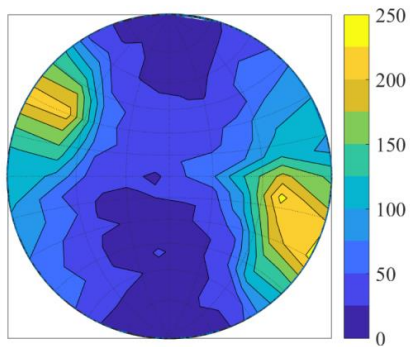
(a) DFDP-1B 58-1.9 (upper foliated ccl)



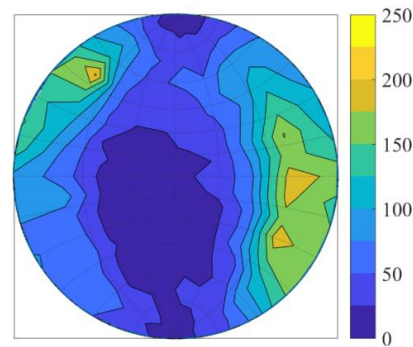
(b) DFDP-1B 69-2.48 (upper foliated ccl)



(c) DFDP-1B 69-2.54 (PSZ-2)



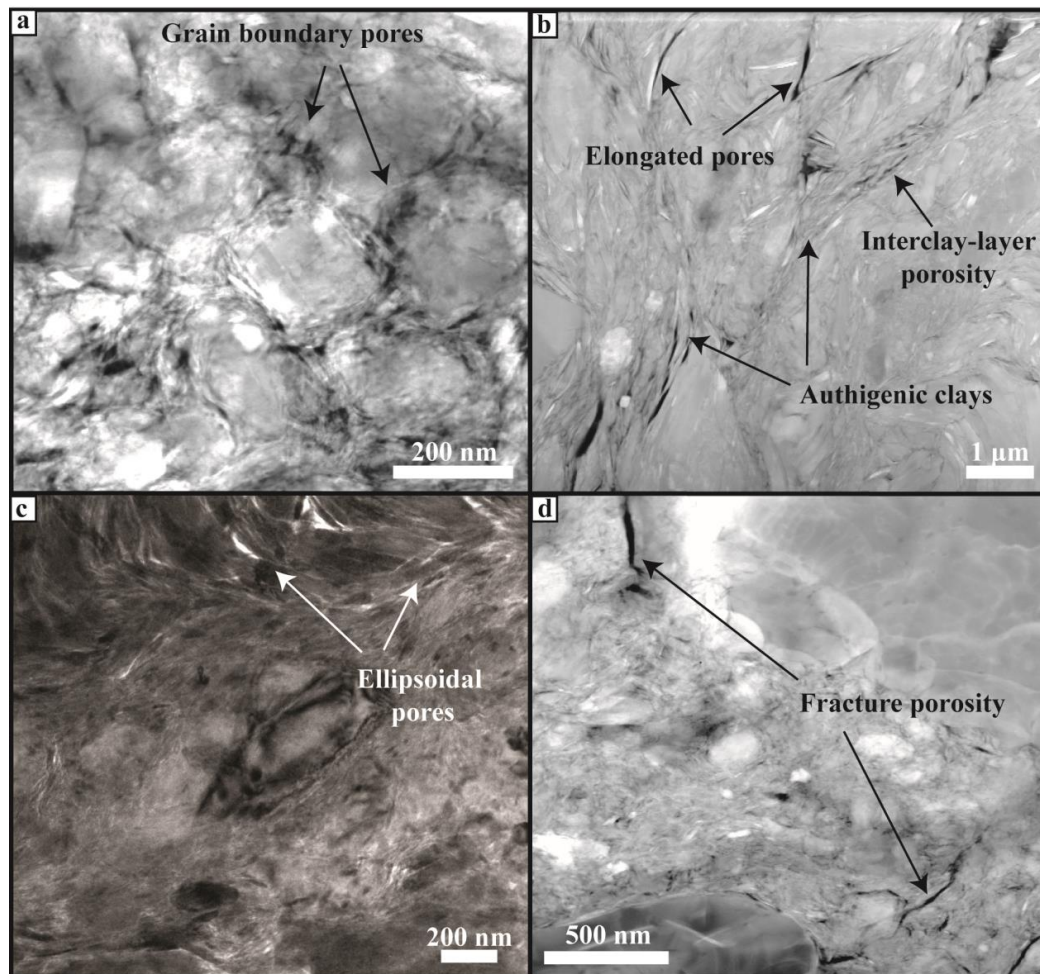
(d) DFDP-1B 69-2.57 (lower ccl)



424

425 **Figure 7.** Distribution of pore unit orientations plotted on a lower hemisphere equal area stereographic projection

426 with a probability density contour.



427

428 **Figure 8.** Transmission electron microscopy images collected from the gouge sample DFDP-1B 69\_2.54 (PSZ-2).  
429 (a) TEM bright-field image of homogeneous fault gouge area. Quartz/feldspar grains, wrapped by fine authigenic  
430 clays, displaying fringe structures. Pores with sub-angular shape distributed along grain boundaries. (b) HAADF  
431 image of phyllosilicate-rich gouge area. Co-existence of fine authigenic clays with coarser clay mineral grains.  
432 Elongated pores and interlayer porosity. (c) TEM bright-field image of ellipsoidal pores in phyllosilicate-rich areas.  
433 Examples of strain shadows along quartz/feldspar grains. (d) HAADF image of fracture porosity along grain  
434 boundaries of quartz/feldspar grains.