

1 **Multi-disciplinary characterizations of the Bedretto Lab**
2 **- a unique-new underground geoscience research facility**

3 Xiaodong Ma¹, Marian Hertrich¹, Florian Amann², Kai Bröker¹, Nima Gholizadeh Doonechaly¹, Valentin
4 Gischig³, Rebecca Hochreutener¹, Philipp Kästli¹, Hannes Krietsch², Michèle Marti¹, Barbara Nägeli¹,
5 Morteza Nejati¹, Anne Obermann¹, Katrin Plenkers¹, Antonio P. Rinaldi¹, Alexis Shakas¹, Linus Villiger¹,
6 Quinn Wenning¹, Alba Zappone¹, Falko Bethmann⁴, Raymi Castilla⁴, Francisco Seberto⁴, Peter Meier⁴,
7 Thomas Driesner¹, Simon Löw¹, Hansruedi Maurer¹, Martin O. Saar¹, Stefan Wiemer¹, Domenico
8 Giardini¹

9
10 ¹Department of Earth Sciences, ETH Zürich, Zürich, 8092, Switzerland

11 ²Engineering Geology and Hydrogeology, RWTH Aachen, Aachen, 52062, Germany

12 ³CSD Ingenieure AG, Liebefeld, 3097, Switzerland

13 ⁴Geo-Energie Suisse AG, Zürich, 8004, Switzerland

14 *Correspondence to:* Xiaodong Ma (xiaodong.ma@erdw.ethz.ch)

15

16 **Abstract**

17 The increased interest in subsurface development (e.g., unconventional hydrocarbon, engineered geothermal systems (EGS),
18 waste disposal) and the associated (triggered or induced) seismicity calls for a better understanding of the hydro-seismo-
19 mechanical coupling in fractured rock masses. Being able to bridge the knowledge gap between laboratory and reservoir scales,
20 controllable meso-scale in situ experiments are deemed indispensable. In an effort to access and instrument rock masses of
21 hectometer size, the Bedretto Underground Laboratory for Geosciences and Geoenergies ('Bedretto Lab') was established in
22 2018 in the existing Bedretto Tunnel (Ticino, Switzerland), with an average overburden of 1000 m. In this paper, we introduce
23 the Bedretto Lab, its general setting and current status. Combined geological, geomechanical and geophysical methods were
24 employed in a hectometer-scale rock mass explored by several boreholes to characterize the in situ conditions and internal
25 structures of the rock volume. The rock volume features three distinct units, with the middle fault zone sandwiched by two
26 relatively intact units. The middle fault zone unit appears to be a representative feature of the site, as similar structures repeat
27 every several hundreds of meters along the tunnel. The lithological variations across the characterization boreholes manifest
28 the complexity and heterogeneity of the rock volume, and are accompanied by compartmentalized hydrostructures and
29 significant stress rotations. With this complexity, the characterized rock volume is considered characteristic of the
30 heterogeneity that is typically encountered in subsurface exploration and development. The Bedretto Lab can adequately serve
31 as a test-bed that allows for in-depth study of the hydro-seismo-mechanical response of fractured crystalline rock masses.

32

33

34 1 Introduction

35 The coupled hydro-seismo-mechanical characteristics of crystalline basement rock masses have traditionally been of broad
36 scientific and engineering interest. Fluid migration and circulation therein concerns rock mass permeability and transport, fault
37 instability and seismicity, and ultimately crustal strength and deformability (Achtziger-Zupančič et al., 2017; Clauser, 1992;
38 Ingebritsen & Manning, 2010; Manga et al., 2012; Townend & Zoback, 2000; Zoback & Townend, 2001). For subsurface
39 engineering development, fluid flow and the associated seismo-mechanical response need to be controllable (NRC, 1996). For
40 example, in the context of engineered geothermal systems (EGS) (Tester et al., 2006), the enhancement of fluid flow typically
41 results from fracture reactivation and seismicity. Conversely, the latter needs to be minimized concerning certain underground
42 facilities (e.g., CO₂ storage, nuclear waste disposal, tunnels), in which fluid flow should be regulated or even prevented
43 (Zoback & Gorelick, 2012).

44

45 There exists a plethora of literature dedicated to the hydro-seismo-mechanical processes taking place in single fractures
46 (Goodman, 1989; Jaeger et al., 2007; ~~(Ye & Ghassemi, 2018); and references therein~~). Its fundamental mechanism has been
47 understood as the interplay between stress, permeability and seismicity. Primarily, shear and normal stress acting on the
48 fracture and the fracture's frictional property dictate its stability and seismicity, which consequently affect its hydraulic
49 aperture and permeability. Crystalline basement rock masses can often be conveniently considered as fractured systems of
50 low-porosity, lower-permeability matrix intersected by fractures of various scales with respect to permeability and
51 connectivity. However, it remains challenging to understand the hydro-seismo-mechanical processes in fractured rock masses
52 (Amann et al., 2018; and references therein), because the variability and complexity therein prevent simple upscaling from
53 single fractures.

54

55 The need to better understand the hydro-seismo-mechanical coupling in fractured rock masses becomes even more crucial in
56 the recent context of unconventional oil and gas and deep engineered geothermal systems (EGS) and the associated (triggered
57 or induced) seismicity (Cornet, 2015; Ellsworth, 2013; Ellsworth et al., 2016; Giardini, 2009). At full-size reservoir scales,
58 studies on the hydro-seismo-mechanical processes have to be inferred from observations at a sparse spatial resolution (e.g.,
59 Basel, [Switzerland](#); Cooper Basin, [Australia](#); Cornwall, [UK](#); Fenton Hill, [USA](#); Helsinki, [Finland](#); Pohang, [South Korea](#);
60 Soultz, [France](#)). The experiments at such scales are often constrained by insufficient resolution in order to yield fundamental
61 understanding and wide application. Laboratory-scale experiments, although instrumental in revealing the fundamental
62 mechanisms, are hardly representative of the heterogeneity and complexity of natural systems such as fractured rock masses.
63 Numerical simulations, which can model the processes at various scales, offer a great opportunity to conceptually understand
64 hydro-seismo-mechanical processes but need to be calibrated against high resolution field observations.

65

66 The knowledge gap between laboratory and reservoir scales can be bridged through controllable meso-scale in situ experiments
67 (Amann et al., 2018). A handful of underground research infrastructures have been either adapted from existing mines and
68 tunnels or newly excavated (e.g., Äspö ~~HRL~~, ~~Sweden~~; ~~Canadian~~-URL, ~~Canada~~; Grimsel, ~~Switzerland~~; Jinping, ~~China~~,
69 Kamaishi, ~~Japan~~; KURT, ~~South Korea~~; Mont Terri, ~~Switzerland~~; Reiche Zeche, ~~Germany~~; SURF, ~~USA~~) (Ingraham, 2021; Ma,
70 2021). The exposure of the subsurface environment offers direct access to the rock masses at depth. This allows for
71 sophisticated, multi-disciplinary characterization, instrumentation and experimentation at higher spatial resolutions and more
72 controllable scales, which otherwise would not be materialized from the surface or through downhole instruments. Depending
73 on site-specific conditions, various scales (from decameter to hectometer) of rock volume can be made available for different
74 experimental purposes, offering ~~tailored~~-~~desired~~ heterogeneity and complexity.

75
76 While underground laboratories offer unique opportunities to access the rock masses in situ, unwanted effects are incurred.
77 The excavation inevitably perturbs the surroundings, altering the pristine rock masses and physical conditions (i.e., stress
78 changes in the near-field, pore pressure depletion, temperature perturbations) (Perras & Diederichs, 2016; Siren et al., 2015;
79 Tsang et al., 2005). Thus, the boundary conditions have to be understood and incorporated in the analysis. Acknowledging
80 such challenges, in situ experiments in underground laboratories remain indispensable. The efforts to approach representative
81 in situ conditions are still limited by the available rock mass scale, complexity and the burial depth.

82
83 A handful of in situ field experiments have been conducted in recent years (Fu et al., 2021; Hertrich et al., 2021; Ingraham,
84 2021; Krietsch et al., 2020; Ma, 2021; Schoenball et al., 2020), which have significantly advanced our understanding of the
85 hydro-seismo-mechanical processes at decameter scales; however, to what extent such experiments are representative of the
86 realistic in situ heterogeneous rock mass remains an open question. As an effort to step up the scale towards hectometer rock
87 masses (Gischig et al., 2020), the Bedretto Underground Laboratory for Geosciences and Geoenergies ('Bedretto Lab'
88 hereafter) was established by ETH Zürich in 2018. The existing Bedretto Tunnel (Ticino, Switzerland) has been transformed
89 into a ~~state-of-the-art~~new underground research facility, the Bedretto Lab. Various scales of experiments will be hosted here,
90 which are pertinent to the complex geoscience and engineering issues outlined earlier. In this paper, we formally introduce the
91 Bedretto Lab, its general setting and current status. The results of a first suite of multi-disciplinary characterizations are
92 outlined, focusing on identifying a representative rock volume. Combining the characterization efforts to date, we evaluate the
93 suitability of the Bedretto Lab rock volume as a test-bed to host upcoming experiments, and offer an outlook on the challenges
94 and opportunities to advance the understanding of hydro-seismo-mechanical processes taking place in fractured crystalline
95 rock masses.

96 **2 Bedretto Lab Description**

97 The Bedretto Lab is located in the Bedretto Tunnel in the Swiss Central Alps, near the Gotthard pass region (Figure 1a). The
98 Bedretto Tunnel is 5218 m long and connects the Furka Base Tunnel in the northwest with the Bedretto Valley in the southeast
99 (Keller & Schneider, 1982). The tunnel axis runs approximately $N43N317^{\circ}EW$, with a gentle slope of $\sim 0.5\%$ dipping towards
00 its south portal. The Bedretto Tunnel was excavated as part of the construction logistics of the Furka Base Tunnel to transport
01 the muck. The elevation at Bedretto Tunnel's south portal (Tunnel Meter, TM0) and its junction with the Furka Base Tunnel
02 is 1479.5 m and 1505.2 m a.s.l., respectively. Along the tunnel alignment, the rock overburden gradually rises to a maximum
03 of ~ 1632 m (corresponding to an elevation of 3124 m a.s.l.) at $\sim TM3140$, then slightly decreases to ~ 1300 m further northwest.
04 At the location of the current Bedretto Lab (TM2000-2100), the overburden is approximately 1000 m.

05 The horseshoe-shaped Bedretto Tunnel was excavated by drill-and-blast with a cross-section of approximately 3 m by 3 m
06 to host rails for mucking. In some sections, the tunnel was enlarged to allow mucking trains to pass-by. Between TM2000-
07 2100, the tunnel widens into a 6 m by 3 m (width by height) niche, which was selected to host the main part of the Bedretto
08 Lab and the first suite of multi-disciplinary rock mass characterizations.

09 Since its completion in 1982, the Bedretto Tunnel remained largely unlined and unpaved, and was primarily used to facilitate
10 ventilation and drainage of the Furka Base Tunnel. Therefore, the rock mass structural and hydrological conditions can be
11 directly characterized, and the rock mass is accessible through relatively short boreholes. Detailed investigations carried out
12 previously focused on groundwater flow systems (Lützenkirchen, 2002; Ofterdinger, 2001), brittle fault zone structures
13 (Lützenkirchen, 2002), localized ductile deformation and geochronology (Rast, 2020), excavation-related rock mass failure
14 (Alcaíno Olivares, 2017; Ganye et al., 2020; Huber, 2004; Meier, 2017), and landslide structures (Vlasek, 2018). Since 2018,
15 the Bedretto Tunnel has been made available by its owner, Matterhorn Gotthard Bahn (MGB), to ETH Zürich for long-term
16 research, which prompted the establishment of the Bedretto Lab.

17 **2.1 Geologic and tectonic setting**

18 From its south portal, the Bedretto Tunnel consecutively penetrates metamorphic terrains of the Helvetic domain, and in
19 particular the Tremola series until TM434, the Prato series until TM1138, and the Rotondo granite until reaching its northwest
20 terminus at the Furka Base Tunnel (Keller & Schneider, 1982) (Figure 1c). The Tremola series is part of the Sasso zone, which
21 is characterized by the predominance of chlorite-mica schists and gneisses (Steiger, 1962). The Prato series is characterized
22 by amphibolites and layered biotite/quartz-feldspar gneisses in the southeast and mica/biotite gneisses and migmatites from
23 TM635 towards the northwest (Rast, 2020). The granite body that hosts the majority of the Bedretto Tunnel is referred to as
24 the Rotondo granite. The bulk composition of the Rotondo granite is primarily quartz (25-35% by volume), alkali feldspar
25 (microcline) (20-40%), plagioclase (albite and oligoclase) (10-25%) and biotite (3-8%) (Hafner, 1958; Labhart, 2005). At

26 some locations, trace amounts of mica, chlorite and garnet are encountered. The Rotondo granite is one of several magmatic
27 bodies of the Gotthard massif (Rotondo, Gamsboden, Fibbia, Cristallina and Medelser). The intrusion of the Rotondo granite
28 took place around 294 ± 1.1 Ma (Sergeev et al., 1995) in the late stages of the Variscan orogeny, and is slightly younger than
29 the Fibbia granite (299.4 ± 1.2 Ma) that intruded the Gotthard massif to the northeast (Keller et al., 1987; Schaltegger & Corfu,
30 1992). Ductile deformation with gneissic foliation within the Rotondo granite is generally concentrated around a few shear
31 zones (Schneider, 1985). In some sections, a weak foliation is encountered (Lützenkirchen & Loew, 2011). The foliation is
32 less pervasive than in the Fibbia granite (Schneider, 1985), where ductile shear zones are interpreted to have developed as a
33 result of progressive Alpine deformation (Marquer, 1990). However, other studies suggest that the foliation in the older Fibbia
34 granite developed during a late short-lived Variscan deformation phase that did not affect the younger Rotondo granite
35 (Mercolli et al., 1994; Steiger & Guerrot, 1991).

36 The regional stress field near the Swiss Alps is not uniform and mainly affected by Alpine orogeny. The maximum horizontal
37 stress (S_{Hmax}) azimuth is generally within the SE-NW quadrant (Heidbach et al., 2018; Kastrup et al., 2004). Based on focal
38 mechanism solutions of more than 100 earthquakes within the region between 1960 and 2000, Kastrup et al. resolved a
39 variation of the contemporary stress regime from a slight predominance of strike-slip in the Alpine foreland to a strong
40 predominance of normal faulting in the high-altitude parts of the Alps. Based on the regional S_{Hmax} orientation pattern, a ~
41 NW-SE-NW trending-azimuth of S_{Hmax} is expected around the Bedretto area, which would be sub-parallel to the Bedretto
42 Tunnel. The predominance of a strike-slip stress regime and the transition towards normal faulting in high-altitude parts of the
43 Alps implies that reverse faulting is unlikely in the study area.

44 **2.2 Structural mapping**

45 Medium to large-scale fracture and fault zones (thickness ranging between sub-meters to tens of meters) are frequently visible
46 on the tunnel walls. Fabrics and mineral assemblages of brittle-ductile fault zones in the northern section of the Bedretto Tunnel
47 (between TM3500 and TM5218) have been previously mapped and analyzed in detail (Lützenkirchen, 2002; Lützenkirchen
48 & Loew, 2011). A complementary structural mapping has recently been conducted between ~TM1140 (near the Rotondo
49 granite contact) and TM2800 (Jordan, 2019). Overall, fractures and fault zones within the Rotondo granite are mostly dipping
50 steeper than 50° and an absence of structures dipping to the east and south has been noted. Figure 2 inset shows that NE-SW
51 (tunnel-perpendicular) and N-S striking structures dominate on stereonet. In addition, E-W and ~~NW-SE~~ (tunnel-parallel) sets
52 are mapped. The tunnel-perpendicular and E-W striking sets are typically more prominent and associated with a higher degree
53 of shearing, evidenced from core and outcrop observations. Structural mapping orientation results from the tunnel are
54 consistent with those from surface scanline mapping in outcrops directly above the tunnel and on aerial orthophotos (Jordan,
55 2019). It is worth noting that the tunnel-parallel sets might be significantly undersampled (see Section 4.2 for expanded
56 discussion).

57

58 The water inflow has also been ~~semi-quantitatively~~ qualitatively assessed for the fractures and fault zones between ~TM1140
59 and TM2800 (Jordan, 2019). The water inflows into the Bedretto Tunnel are primarily associated with fractures (i.e., no visible
60 matrix porous media flow) and fault zones. ~~A~~ We observed that a few highly conductive fault zones are responsible for the
61 majority of the bulk water inflow in the tunnel. In general, the tunnel-perpendicular and E-W striking sets are associated with
62 higher inflows. These structures often contain fault cores with gouge and cataclasites. As we will outline later, these two sets
63 of structures can potentially be active or be activated in a strike-slip/normal-faulting stress environment, with S_{Hmax} trending
64 between E-W and SE-NW.

65 **2.3 Preliminary in situ stress characterization**

66 Along the Bedretto Tunnel, stress-induced rock failures (e.g., spalling and kinking) frequently occur on the sidewalls, primarily
67 in tunnel sections where pre-existing fractures are hardly present. These spalling fractures do not appear to be directly induced
68 by tunnel excavation damage due to blasting. The appearance of these stress induced failures at the sidewalls suggests that the
69 horizontal stress component perpendicular to the tunnel is smaller than the vertical stress. Therefore, local reverse faulting
70 stress regime (i.e., the minor principal stress $S_3 = S_v$) is unlikely. Accordingly, S_{Hmax} corresponds to either the intermediate
71 principal stress S_2 (i.e., normal faulting, $S_1 = S_v$), or the major principal stress S_1 (i.e., strike slip, $S_2 = S_v$). Nonetheless, rotation
72 of the stress tensor is possible due to local topography (Liu & Zoback, 1992; Meier, 2017). Given strong variations of the
73 overburden above the Bedretto Tunnel (Figure 1c), the topographic effect is in competition with the tectonics-controlled stress
74 pattern to result in significant local stress variations along the tunnel. A previous study showed that the topographic effect is
75 strong at low overburden but diminishes significantly under larger overburden (Meier, 2017).

76
77 Small scale hydraulic fracturing tests, or mini-fracs (Haimson & Cornet, 2003), were conducted between December 2018 and
78 July 2019 to obtain an estimation of the in situ stress field of the rock volume between TM1750 and TM2250 (Ma, Gholizadeh
79 Doonechaly, et al., 2020). The tests were performed in six short (30 - 40 m long) SB boreholes ('SB' denoting stress
80 measurement borehole), avoiding major fault zones (Figure 2). On borehole televiewer logs, the observed hydraulic fractures
81 are steeply-dipping, which generally agrees with the assumption that the overburden stress is larger than the horizontal stresses
82 and approximates a principal stress direction. The inferred average direction of the maximum horizontal stress (S_{Hmax}) is
83 approximately N100-110°E. The magnitude of the overburden or vertical stress (S_v) is estimated by integrating the granite
84 density of the overburden (≈ 1030 m), which is approximately 26.5 MPa. The measured S_{hmin} magnitude is 14.6 ± 1.4 MPa,
85 and the estimated S_{Hmax} is ~~25.424-6~~ \pm ~~2.32-6~~ MPa (Bröker, 2019; Bröker & Ma, 2021). Acknowledging measurement
86 uncertainty and local stress heterogeneity, the mini-frac tests indicate that the stress state in the vicinity of the Bedretto Lab is
87 transitional between normal and strike-slip faulting conditions ($S_v \geq S_{Hmax} > S_{hmin}$). This is generally consistent with the
88 expected regional stress state (Heidbach et al., 2018; Kastrop et al., 2004), although the stress ratio at the Bedretto Lab differs
89 significantly from that inverted from deep earthquakes in the region.

90

91 From overnight pressure decay tests (the shut-in phase after the mini-frac re-opening cycle that typically lasting lasts 13 - 15
92 hours) in the SB borehole mini-frac intervals, the pore pressure (P_p) was measured and ranges between 2.0-5.6 MPa,
93 considerably below the expected hydrostatic pressure (7-9 MPa, (Vlasek, 2018)). This reflects the impact of tunnel drainage
94 and pressure drawdown that has been ongoing since the tunnel's excavation. Similar underpressured conditions have also been
95 observed at distances of 60 m (4 MPa) and 90 m (5 MPa) from previous research boreholes located near the Bedretto Tunnel's
96 NW terminus (Evans, K., personal communication). Although such effects diminish further away from the tunnel, studies of
97 similar underground laboratory settings suggest that cooling and drainage associated stress perturbations can still be present
98 beyond 100 m from the tunnel wall (Fu et al., 2018). (Note: the ambient temperature inside the Bedretto Tunnel is $\sim 18^\circ\text{C}$ year-
99 round.) The coupled effects of excavation damage zone, cooling and drainage certainly warrant cautious interpretation of the
00 near-tunnel stress measurements (Evans et al., 2003).

01 **3 Rock volume characterizations**

02 In late 2019, three boreholes (CB1,2,3; 'CB' denotes characterization borehole) have been drilled between TM2000-2100 to
03 enable a comprehensive characterization of the Bedretto Lab rock mass volume. The lengths of sub-parallel boreholes range
04 from ~ 200 to 300 m, and penetrate the rock mass at the tunnel's southwest side wall. Figures 2 and 3 present the three-
05 dimensional view of the layout of these boreholes, in relation to the tunnel and the short SB boreholes. CB1,2,3 boreholes
06 were fully cored (with nominal borehole diameter of 97 mm and core size of approx. 63 mm). Technical details of these
07 boreholes are compiled in Table 1. The cores facilitated a geological interpretation of the rock volume (Section 3.1). A suite
08 of geophysical logging runs were conducted soon after the boreholes were drilled. Figure 4 presents a composite log of CB1
09 as an example. Geomechanical interpretation along the penetrated rock volume is complemented by discrete hydraulic
10 fracturing stress measurements at selected depth intervals in CB1 (Section 3.2). Geophysical imaging was made available
11 through ground-penetrating radar (GPR) in CB1,2,3 boreholes to illuminate the complex geological structures (Section 3.3).
12 A multi-packer system was installed in CB2 for hydraulic characterization within the complex structures (Section 3.4). We
13 also present some of the laboratory testing results conducted on samples of the host Rotondo granite to date (Section 3.5).

14 **3.1 Geological characterization**

15 The geologic characterization of the CB rock volume relies on the combination of core logging and acoustic/optical televiewer
16 logs (ATV/OTV) to identify key structures. The characterized rock volume is composed of weakly deformed Rotondo granite
17 protolith (i.e., weakly foliated), intersected by less frequently distributed, highly foliated ductile shear zones. The mylonitic
18 ductile shear zones are quartz- and biotite-rich and their contact with the protolith can be abrupt or gradual. The boreholes
19 intersect a variety of structures, such as open fractures, filled fractures, the aforementioned mylonitic ductile shear zones, dikes

20 and veins, and compositional foliation within the granite. The compilation of core description, structure typology and fault
21 zone identification are shown in Figure 5.

22 Open fractures are clearly visible as traces in both ATV and OTV. In the cores, open fractures are identified by mineral
23 precipitation on the fracture surfaces and in several instances vuggy porosity develops, likely due to hydrothermal alteration.
24 The filled fractures are generally dark in color, commonly filled with biotite and/or quartz, and are discrete features less than
25 1-2 mm thick. Ductile shear zones occur in varying degrees of intensity. Some mylonite to ultra-mylonites have sub-millimeter
26 foliations spanning a couple of meters in thickness or can occur as an abrupt strain localization feature of a couple to tens of
27 centimeters in thickness. The quartz (commonly smoky grey) or aplitic dikes and veins thickness ranges from less than 1 cm
28 to about 20 cm. While compositional foliation in the granite protolith is generally not visible in cores or logs, in some cases
29 the grains do align to form a weakly foliated texture at the core scale.

30 It appears that three distinct lithological units are present in the characterized rock volume, as revealed by the compiled core
31 descriptions in CB1-3 (Figure 5). Depending on the specific borehole, the first unit reaches to the measured depth (MD) of
32 about 60 to 120 m. This unit is characterized by dikes (mostly aplitic) and isolated shear fractures. This shallow unit of the
33 rock volume appears rather intact with less fractured and less deformed features. Deformation is significantly more intense in
34 the middle unit, between 120 and 200 m MD, where the majority of fault zones are located. These fault zones are composed
35 of multiple branches of anastomosing individual fault cores. After about 100 m of this highly fractured and highly deformed
36 unit, or below ~200 m MD, deformation seems to diminish as fault zones are fewer and thinner than in the middle unit above.
37 The fractures in this third unit are more discrete and singular as opposed to forming in swarms.

38 Fault zones were identified in the recovered cores as the combination of several features that indicate a considerable
39 concentration of deformation. Figure 6 shows an example from the middle fault zone unit intersected by CB1. Crackle breccias
40 and mylonites, following the fault rock classification by Woodcock & Mort (2008), are commonly identified. Fine-grained
41 brittle fault rocks (e.g., fault gouges and cataclasites) are most probably present in the rock volume, but their poor consolidation
42 precludes an efficient core recovery. Our observation shows fault zones composed of multiple fault core branches with
43 overlapping damage zones and internal lenses of rock with little deformation. This configuration is close to the conceptual
44 model of fault zones proposed by Faulkner et al. (2003) and differs from the single fault core model of Chester et al. (1993).

45 Figure 7 shows the orientations of each structure type. The most important structures in terms of cumulated deformation (lower
46 row) trend almost exclusively NE-SW. The same pattern is shown when comparing structure orientations around fault zones
47 with structures in between fault zones (Figure 5). Near the fault zones, the distribution of orientations tends to be unimodal
48 around the NE-SW direction (tunnel-perpendicular) while the rock volume in between fault zones also includes structures
49 oriented N-S and NW-SE (tunnel-parallel).

50 3.2 Geomechanical characterization

51 A few dedicated stress measurements were conducted at selected depth intervals in borehole CB1 via mini-frac tests. Mini-
52 frac tests could not be conducted within the borehole measured depth (MD) of 150-250 m, as high fracture density and borehole
53 enlargement/washouts prevented reliable packer seating and intact interval selection. The instantaneous shut-in pressures
54 (ISIPs) of the mini-frac cycles lead to reliable estimates of the least principal stress (or presumably S_{hmin}) (Figure 8a). These
55 S_{hmin} values are mostly around the frictional limit imposed by a frictional coefficient of $\mu = 0.6$ and a hydrostatic pore pressure
56 gradient. A frictional coefficient $\mu = 0.6$ is considered to be representative for granites at depth (Byerlee, 1978). Within a few
57 borehole intervals (e.g., MD = 53, 113, 133 m), the S_{hmin} magnitudes are noticeably higher than the rest. It is worth noting that
58 the measured in situ pore pressure is substantially below hydrostatic; therefore, the theoretically permitted lower bound of S_{hmin}
59 in this instance should be much below the expected values under the hydrostatic conditions.

60
61 The S_{hmin} values estimated from mini-fracs in CB1 are generally consistent with those obtained from the SB boreholes (Bröker,
62 2019; Bröker & Ma, 2021). The average S_{hmin} values of SB borehole measurements are also depicted in Figure 8a, mostly near
63 the lower bounds of the measured values in CB1 borehole. The actual stress and pore pressure gradients based on the CB1 and
64 SB boreholes measurements facilitate the estimation of the slip tendency ($T_s = \tau/\sigma_n$) and dilation tendency ($T_d = (S_1 - \sigma_n)/(S_1 -$
65 $S_3)$) (Morris et al., 1996), where τ and σ_n are the shear stress and normal stress on the fracture/fault surface. The slip tendency
66 T_s values associated with the CB1 fractures do not exceed 0.4, which is generally not considered critical under the typical
67 crustal stress state.

68
69 A notable stress indicator is the occurrence of breakouts in all three CB boreholes (van Limborgh, 2020). These breakouts
70 primarily developed within the broadly defined middle fault zone unit. Only a few breakouts were observed outside this unit.
71 The depths and widths of the breakouts in CB1 are summarized in Figure 8d,e. Looking downhole, the diametrically-opposite
72 breakout pairs are systematically located around both sides of the borehole, suggesting relative strength isotropy despite weak
73 foliations. Within the middle fault zone unit, breakouts vary in width, depth and azimuth or disappear in some sections. The
74 breakouts' azimuthal rotations immediately near individual fractures are likely associated with stress perturbation due to shear
75 dislocation of fossil or active fractures/faults (Shamir & Zoback, 1992); the longer wavelength rotations spanning the entire
76 fault zone unit plausibly reflect systematic stress variation associated with the fault zone. The breakouts rotate counter-
77 clockwise (looking downhole with the top of the borehole referenced as north) by $\sim 50^\circ$ between ~ 145 m and 175 m MD, i.e.,
78 the beginning to the middle of the fault zone unit, then rotate backwards by approximately the same extent until they terminate
79 at the end of the fault zone unit (at ~ 220 m MD).

80 3.3 Geophysical imaging

81 Geophysical imaging of the Bedretto Lab rock volume consists of ground-penetrating radar (GPR) in both single-hole and
82 cross-hole configurations. The sensitivity of electromagnetic waves is affected by different rock properties, namely the
83 dielectric impedances between the host rock and faults/fractures. GPR surveys have been conducted in all three CB boreholes
84 with antenna systems of various center frequencies (20, 100, 250, 500 and 1000 MHz) and varying spacings.

85 The premise of GPR single-hole reflection imaging is to delineate structures that provide a contrast in dielectric
86 properties in the medium. In the Bedretto Lab rock volume, this is primarily a contrast between fractures (filled by clayey
87 minerals and/or water) and the granitic host rock. Laboratory measurements on borehole cores reveal that the Rotondo granite
88 has little to no variability in dielectric properties, and is largely isotropic. The loss-tangent (phase angle between the resistive
89 and reactive components) of the dielectric constant is small, which facilitates large penetration depths. The relative dielectric
90 permittivity of the host rock ($\epsilon_r = 5.5$) does not vary significantly over the applied frequency range. As a result, Ssingle-hole
91 reflection imaging, where both transmitter and receiver antennas are in the same borehole, provides clean and repetitive data
92 that can be used to extract geometric information about the major fault zones present in the characterized rock volume. For the
93 detailed processing steps analysis procedure that we performed on the raw data, we refer to Shakas et al. (2020).

94 The electrically resistive granitic rock of the Bedretto Lab is a pristine setting for GPR reflection imaging. Figure 9 shows the
95 100 MHz reflection survey for boreholes CB1, 2 and 3. Clear reflections arising from nearby boreholes, as well as from several
96 (potentially) water-filled fractures and faults were identified. The first major fault intersects the borehole CB1 at approximately
97 145 m MD, which is consistent with the ATV/OTV logging observations. This fault provides a strong reflector that is traced
98 over several hundreds of meters. The observed thickness of the reflected structures on the GPR image correlates with their
99 areas and hydraulic apertures. A more detailed study that combines GPR reflections and televiewer observations to delineate
00 the geometry of the observed major fault, can be found in Shakas et al. (2021). By comparing televiewer observations to near-
01 borehole GPR effects, the latter study also suggests that the observed reflections are primarily due to water-filled (open)
02 structures (faults and fractures) and not to mineral-filled (closed) structures. We further notice that the GPR reflections match
03 well the assumed geometry of the major fault and can further introduce constraints on the fault geometry further away from
04 the boreholes.

05 The chevron type (V-shaped) pattern that the reflector (Figure 9) exhibits is a known ambiguity of borehole GPR surveys. This
06 artifact is introduced by projecting the fault/fracture plane that intersects the borehole in 3D onto 2D space (Olsson et al.,
07 1985). To overcome this issue, Hediger (2020) performed the correlation between the structures inferred from GPR reflections
08 and ATV/OTV data, in an effort to delineate the major fault zones and fractures. Furthermore, several diffractions can be seen
09 in the upper volume. These are most probably due to water-filled fractures/faults that are sub-perpendicular to the borehole
10 trajectory (Grasmueck et al., 2010). The consistency between both data sets further constrained the geometry of those features,

11 ~~minimizing the potential bias introduced by individual datasets (Molron et al., 2020). Grasmueck, M., Coll, M., Eberli, G.P.~~
12 ~~and Pomar, K., (2010)~~

14 3.4 Hydraulic characterization

15 Hydraulic tests were carried out in borehole CB1,2,3 to characterize their transmissivity ~~and connectivity~~ (Münger, 2020). ~~The~~
16 ~~connectivity between different intervals/boreholes was also identified based on the measurable pressure response in one~~
17 ~~interval/borehole due to injection/production in another.~~ Borehole CB1 and CB3 were closed at the borehole mouth (~~open-~~
18 ~~hole~~). In CB2, a ~~system of~~ multi-packers (~~each 1 m long~~) ~~system~~ was used to effectively isolate six intervals (see Fig 3b). The
19 CB2 intervals were chosen based on the observed fracture/fault clusters from the core and logging observations (Table 2):
20 individual fractures in intervals 1 and 2, frequent occurrence of fractures in intervals 3 and 4, and fault zones/fracture zones in
21 intervals 5&6 and 7. For hydraulic characterization, constant flow rate tests were carried out in CB1 and CB3 as well as in all
22 six intervals in CB2. ~~Before the main flow test in each interval, a short pulse test was carried out in the corresponding interval~~
23 ~~to have an initial estimate of the transmissivity of that interval, based on which, the flow rate for the main test was calculated~~
24 ~~based on the infinitely acting radial flow assumption in such a way to have (ideally) a maximum of 10-bar1 MPa pressure~~
25 ~~change during the injection/production to avoid minimize geomechanical effects influencing the transmissivity results~~
26 ~~(Rutqvist, 1996). The duration of the flow test or recovery period was set as long as so that the infinitely acting radial flow is~~
27 ~~observed for 1.5 log cycle following the wellbore storage effect. The analysis of all the transient pressure curves was carried~~
28 ~~out with the 'hytool' MATLAB Toolbox (Renard, 2017) with two models, Theis (1935) and Generalized Radial Flow (GRF)~~
29 ~~(Barker, 1988). The utilized toolbox uses analytical tools to fit the pressure transient profile with the selected radial flow~~
30 ~~models. Depending on the pressure transient curve profile and the diagnostic plots, either Theis (Theis 1935) or GRF (Barker~~
31 ~~1988) models were used to estimate the transmissivity and storativity magnitudes of the tested interval/borehole.~~ Repeated
32 tests were performed in intervals 4 and 7 in CB2. All test results, including the repeated measurements, are summarized in
33 Table 2.

34
35 The estimated transmissivities for different intervals/boreholes differ by several orders of magnitude. CB1 and CB3, each
36 characterized along its full length, show the highest transmissivities ($\sim 2.1 \times 10^{-6} \text{ m}^2/\text{s}$ and $\sim 4.5 \times 10^{-7} \text{ m}^2/\text{s}$, respectively).
37 ~~The isolated intervals in CB2 as well as and the open boreholes (CB1, and CB3) are assumed to be at under steady-state pore~~
38 ~~pressure before the start of the flow tests. However, This assumption and therefore the—the estimated transmissivities of~~
39 individual boreholes have to be treated with caution, since these long intervals, ~~in particular the open holes,~~ include several
40 conductive structures with non-uniform pressure heads, ~~head~~ which might cause some cross flow between different structures
41 ~~within the same test interval.~~ ~~a condition not consistent with the analytical analysis method applied.~~ The isolated intervals in
42 CB2 can be classified into three different groups based on their increasing transmissivities: a) intervals 1 and 2, b) intervals 3

43 and 4, and c) intervals 5&6 and 7. The estimated transmissivities in CB2 intervals are consistent with the geological
44 observations. Since all three boreholes are sub-parallel, CB1 and CB3 are expected to encompass the majority of the
45 fractures/faults included in intervals 1 to 7 in CB2. ~~Therefore, it is~~ expected, ~~that~~ the transmissivity values of CB1 and CB3
46 are at least as high as the largest transmissivity observed within the intervals of CB2, ~~which is indeed the case.~~

47
48 In order to identify major hydraulic flow pathways within the characterized rock volume, individual constant flow rate tests
49 (drawdown/buildup) were conducted in CB1 and CB3 boreholes. The pressure response was monitored in the other borehole
50 and in all CB2 intervals. The pressure response time is defined as the first notable pattern change in the pressure signal in the
51 monitoring intervals and boreholes since the drawdown/buildup. The drawdown tests were executed with a constant extraction
52 flow rate of 120 Liter/hour in CB1, and 90 Liter/hour in CB3, which resulted in a maximum pressure change of 2 bar 0.2 and
53 0.4 MPa at the end of the flow period, respectively. Each drawdown test was followed by a buildup test (Note: the
54 characterization radius during the buildup test can be limited by the accuracy of the pressure gauge and the duration of prior
55 drawdown interval and flow rate (Bourdarot, 1999)). Based on the pressure decline curves, the characteristic response time
56 between different boreholes/intervals during the drawdown tests are estimated (Table 3). ~~The flow periods were long enough~~
57 ~~to observe infinitely acting flow regimes (for at least 1.5 log cycles after the wellbore storage effect subsides, as a rule of~~
58 ~~thumb).~~ The flow test in CB1 did not show any boundary effect at the end of the flow period, whereas CB3 showed signs of
59 an infinite linear constant head boundary at the end of the flow period.

60
61 As shown in Figures 10, during the drawdown test in CB1, all six CB2 intervals and the CB3 were hydraulically connected to
62 CB1. However, during the drawdown test in CB3, the pressure response was only observed in CB2 intervals 3, 4, 5&6 and 7
63 and in CB1. The results also show significant heterogeneity within the test volume. For example, interval 7 in CB2 shows
64 strong hydraulic connectivity to CB3, with a response time of approximately 7 min, which contrasts the pressure response time
65 of about 1 hour during CB1 drawdown. Interval 5&6, which is located immediately below interval 7 in CB2, shows a very
66 rapid hydraulic response to CB1 drawdown (less than 2 min), but a significantly delayed response to CB3 drawdown (~50
67 min). Intervals 1 and 2 in CB2 are hydraulically connected with CB1, with a response time of approximately 100 min, however
68 no hydraulic response was observed after ~180 min of drawdown in CB3. Given these observations, none of the intervals in
69 CB2 seems to exhibit comparable hydraulic connectivity with CB1 and CB3, and a systematic pattern was not identified. Based
70 on the results of the GPR surveys (Figure 9), ~~which are presented in Fig. 9~~ although the presence of a major cross-cutting
71 structure that intersects all three boreholes (CB1-2-3) is evident from the survey, it is not fully comparable with the result from
72 hydraulic tests. For example, the observed major structure from Figure 9b Fig. 9-B intersects borehole CB2 at Interval 7,
73 whereas the results from hydraulic tests show strong hydraulic connection only between Intervals 5&6 in CB2 with borehole
74 CB1, but not with CB3. This can be mainly attributed to the strong heterogeneities in the reservoir volume, which causes
75 strong anomalies in terms of hydraulic properties within such a short distances.

76

77 3.5 Laboratory petrophysical and mechanical characterization

78 Based on visual inspection, the majority of the Rotondo granite exposed at the tunnel wall appears to be homogeneous and
79 isotropic. In the deeper parts of the CB boreholes, ductile shearing is apparent, suggesting physical anisotropy. Current
80 laboratory benchtop characterizations (on various petrophysical and mechanical properties) were mostly focusing on the
81 visually homogeneous core samples. The results suggest a low to moderate elastic anisotropy combined with considerable
82 non-linearity of the elastic response. Table 4 gives a list of the physical and mechanical properties of the Rotondo granite in
83 dry and water-saturated conditions. The details for these measurements are documented in (David et al., 2020).

84
85 Despite its isotropic appearance and the absence of apparent fabric orientation, ultrasonic-wave velocity measurements indicate
86 that the Rotondo granite is moderately anisotropic, with the P -wave anisotropy factors of about 6% and 20% for dry and water-
87 saturated samples, respectively. Considerable surge in the ultrasonic-wave velocity by saturation (more than 50%), significant
88 non-linearity in the stress-strain relationship, high permeability and considerably low P -wave quality-factor of 4.9 (i.e., high
89 attenuation level), all suggest a highly micro-cracked structure of the Rotondo granite.

90
91 The Rotondo granite features higher permeability when unconfined, as compared to other types of known granites. The
92 permeability of Rotondo granite in the characterized Bedretto rock mass is roughly 10 times higher than that of Grimsel granite,
93 and 100 times larger than that of Westerly granite (Brace et al., 1968; David et al., 2020; Wenning et al., 2018). The P -wave
94 velocity is considerably dependent on the confinement pressure, suggesting a highly micro-cracked structure (David et al.,
95 2020). If the high micro-crack density is characteristic of the pristine Rotondo granite in situ, significant poroelastic response
96 is expected given elevated pore pressures.

97 **4 Interdisciplinary interpretations of the rock volume**

98 The multi-disciplinary characterization of the Bedretto Lab conducted so far identified a rock volume that is both scientifically
99 interesting and practically representative. The fractures and fault zones intersected by the CB boreholes inform us of the strong
00 structural complexity and spatial heterogeneity at multiple scales. This is evidenced by the individual observations within and
01 between several boreholes and different borehole intervals. Below we strive to provide an interdisciplinary interpretation of
02 the characterization results, particularly in the context of the suitability of the rock mass as a test-bed to better understand the
03 hydro-seismo-mechanical response of realistic crystalline basement rock reservoirs.

04 **4.1 Heterogeneous rock mass, representative test volume**

05 The characterized rock mass volume encompasses a multitude of features. One of the most prominent features is the middle
06 unit composed of major fault zones and sandwiched by two comparatively more intact units. Although this middle fault zone

07 unit is composed of several fault branches, it is found that these branches are generally sub-parallel to each other and form a
08 cluster (Figures 5 and 7). The whole cluster potentially traces back to the tunnel wall and coincides with the major fault zone
09 observed between TM 1950-1993 (Castilla et al., 2020). Major fault zones of this scale seem to be repeatedly present along
10 the Bedretto Tunnel for every few hundreds of meters (Schneider, 1985), and they are generally trending perpendicular to the
11 tunnel (NE-SW) and/or E-W. Therefore, the presence of the middle fault zone unit, along with the sandwiching units,
12 reasonably characterizes the rock mass that could be encountered within the Bedretto Lab.

13

14 The sandwiching units above and below the middle fault zone unit are also considered to be characteristic of the Rotondo
15 granite protolith. The two sandwiching units seem relatively homogeneous and share similar appearance, mineralogy (inferred
16 from spectral gamma logs) and physical properties (e.g., wave velocities). Their properties are also consistent with those of
17 the rock volume characterized by the SB boreholes scattered along the Bedretto Tunnel (Caspari et al., 2019; Greenwood et
18 al., 2019). For example, the velocity profile along borehole CB1 (Figure 4) shows gradual increase of V_P and V_S with depth
19 (from ~ 5250 m/s and up, comparable to ~ 5400 m/s from the SB borehole logs and the laboratory core measurements), despite
20 the anomalies associated with the intersection of the major fault zones.

21

22 What accompanies the lithological unit variations is the stress variations along the CB boreholes. Although a more complete
23 stress profiling is yet to be conducted, the azimuthal rotation of the breakouts across the middle fault zone unit informs us of
24 the changes in stress orientations and magnitudes. The study to quantify why the breakouts only develop within the major fault
25 zone but not in other parts of the CB boreholes nor any SB boreholes is currently ongoing. Plausibly, low rock strength in the
26 fault zone (substantially lower than the intact rock core) can promote breakout development. According to our scoping analysis,
27 the breakout azimuth at ~ 145 m and 220 m MD in CB1 corresponds to a far-field S_{Hmax} azimuth between E-W and SE-NW
28 (Zhang & Ma, 2021)(Zhang & Ma, 2021), which is generally consistent with the average value of $\sim N110^\circ E$ measured from
29 several SB borehole mini-fracs. The breakout rotation towards the middle of the major fault zone reaches $\sim 50^\circ$, which requires
30 substantial stress rotation and reduction in relative stress difference (or stress ratio ϕ). This could only be accommodated by
31 the gradual changes in fault zone lithology and the associated rheological variations (Casey, 1980; Faulkner et al., 2003, 2010).

32

33 The stress orientation reversal towards the end of the middle fault zone unit indicates that it is likely to revert to the expected
34 far-field stress condition that has been characterized. The local and global rotations of the breakouts suggest various scales of
35 stress perturbations, which warrant further modeling. The stress variations simply manifest the heterogeneity and complexity
36 of the rock volume. Such convoluted lithological and stress heterogeneity are characteristic of realistic fractured rock masses,
37 and should be considered when designing and conducting hydro-seismo-mechanical experiments therein.

38 4.2 Prevailing structures, hydraulically-conductive features

39 The major structure sets in the Bedretto Lab rock mass are all present in the characterized rock volume. There are four
40 prevailing sets of fractures/faults identified along the Bedretto Tunnel (azimuth ~~N43~~N317°EW). All four sets of structures
41 have been intersected by characterization boreholes CB1,2,3 (azimuth ~~N133~~N227°EW). We are cognizant of potential
42 undersampling of certain structures in each mapping campaign. For example, the tunnel-parallel sets might be under-mapped
43 along the tunnel, and similarly for the NE-SW striking sets along the CB boreholes. However, this does not seem to be the
44 case for the CB boreholes (inset of Figure 2), as there are abundant structures striking ~NE-SW ($\pm 15^\circ$), sub-parallel to or at
45 acute angles with the borehole azimuth. This is attributed to the $\sim 45^\circ$ inclination of these boreholes so that the undersampling
46 of these steeply-dipping structures is remedied to some extent. It ~~suffices to appear~~ state that the prevailing sets of
47 fractures/faults in the Bedretto Lab are reasonably represented in the characterized rock mass volume, but a more conclusive
48 characterization is certainly warranted potentially through drilling of boreholes oriented differently from the existing CB
49 boreholes.

50
51 As alluded to earlier, the NE-SW and E-W striking sets of fractures and fault zones appear to be the primary structures that are
52 hydraulically-conductive in the Bedretto Lab rock volume. These structures have been identified from the tunnel walls,
53 contributing to relatively higher inflow rates among other sets. This qualitative correlation is confirmed by several independent
54 lines of evidence noted in the CB borehole characterization. Coinciding with these fracture/fault sets, appreciable anomalies
55 have been identified along the thermal and electrical conductivity logging profiles (Figure 4b,c); the core samples exhibit
56 significantly higher degree of shearing; strong reflections are shown on GPR images, indicating relatively wider hydraulic
57 apertures and/or higher dielectric property. These observations all suggest that the NE-SW and E-W striking sets are the main
58 hydraulically-conductive conduits in the Bedretto Lab rock volume.

59
60 It is worth noting that the NE-SW and E-W striking sets are more favorably-oriented in the prevailing normal and/or strike-
61 slip faulting regime. Taking the measured average of $N100^\circ E S_{Hmax}$ azimuth, steeply-inclined structures forming acute angles
62 with respect to S_{Hmax} are generally more susceptible to slip. Quantitatively, the calculated slip tendency shown in Figure 8
63 indicates that the NE-SW and E-W striking sets are indeed associated with higher slip tendency. Although the absolute values
64 of slip tendency (< 0.4) are below the empirical frictional limits (~ 0.6) (Byerlee, 1978), the relative criticality between different
65 structure sets seems to support the first-order control of the in situ stress.

66
67 It has been generally regarded that critically-stressed fractures and faults are associated with hydraulic conductivity (Barton et
68 al., 1995; Townend & Zoback, 2000), because the naturally-occurring hydro-shearing processes enhance and maintain fracture
69 permeability. The critically-stressed fracture concept can plausibly explain the NE-SW and E-W striking sets being more
70 hydraulically-conductive, applicable to both the tunnel-mapping and CB borehole structures. Previous field observations

71 supporting the critically-stressed fracture concept (Barton et al., 1995; Rogers, 2003) were mainly conducted at scales of
72 several kilometers long full-size boreholes so that this first-order relationship is not heavily affected by local stress variabilities
73 that occur at ~~shorter wavelengths~~smaller scales. While this might be the case for the tunnel-mapping structures, it is perhaps
74 tenuous to justify in the case of the CB structures. As already shown, strong stress variations are evident along the CB
75 boreholes, particularly around the fault zones. The local stress variations inevitably affect the slip tendency of individual fault
76 branches. Given that the fault-perturbed in situ stress state becomes less anisotropic, the slip tendency is expected to decrease,
77 weakening the critically-stressed fracture concept. The associated stress changes around the fault zone further complicate the
78 correlation between the stress criticality and fracture conductivity for individual fractures/faults. Nevertheless, it is important
79 to take into account the corresponding scale when the stress variability is concerned (Ma, Saar, et al., 2020). The applicability
80 of the critically-stressed fracture concept to the particular case here certainly warrants further study.

81
82 Alternatively, stress-controlled hydraulic-conductivity can be evaluated based on the dilation tendency (Morris et al., 1996).
83 This concept was introduced for crustal rock masses at relatively shallow depths (e.g., <1 km) (Mattila & Follin, 2019), for
84 which variations of the normal stress on the fracture/fault exert significant control on its hydraulic aperture, and consequently,
85 conductivity. The calculated dilation tendency profile along borehole CB1 (Figure 8c) shows that the main conductive
86 structures are subject to high normal stress, i.e., low dilation tendency, ~~which seems to weaken~~which makes it difficult to evaluate
87 the applicability of the dilation concept. It is ambiguous to quantify the dilation tendency of tunnel-mapping structures, as the
88 exact stress condition is unknown and subject to significant topographic variations.

89
90 Correlating stress with hydraulic conductivity assumes that the present stress state dominates. However, the high-conductivity
91 feature of certain structure sets might have already developed under the paleo-stress condition. Although the stress condition
92 has evolved, the high conductivity could still sustain until present days. If that is the case, distinguishing them from those
93 structures naturally reactivated and hydraulically-enhanced in geologically recent time would be challenging.

94 95 **4.3 Complex, compartmentalized hydro-structures**

96 Along the Bedretto Tunnel, recurring major fault zones serve as the main hydraulic conduits, channelizing fluid circulation in
97 the rock mass. Since these fault zones are generally sub-parallel, it is unknown to what extent these main conduits are
98 hydraulically connected. Preliminary hydrological and geochemical analysis indicates that water composition changes between
99 these conduits (Brixel, B., personal communication), which suggests certain degrees of hydraulic compartmentalization of the
00 whole rock mass along and across major structures. Such hydraulic compartmentalization also exists within the rock volume
01 characterized by the CB boreholes. During the drilling phase, it was reported that abrupt increases of formation pore pressure
02 and flow rate were associated with the penetration of the middle fault zone unit and branches therein (Meier, 2020).

03

04 ~~Apparently~~According to our interdisciplinary observations, those fault zones in the Bedretto Lab rock volume simultaneously
05 act as the main hydraulic conduits along the fault planes and as impermeable layers across the fault planes. This is consistent
06 with the general understanding of the fault structure in that the fault core is surrounded by damage zones (Chester et al., 1993;
07 Faulkner et al., 2003). The fault core can be relatively impermeable for cross-flow but is able to maintain overpressure and
08 appreciable flow therein (Faulkner et al., 2010). There was significant core loss and borehole enlargement when those fault
09 zones were penetrated, so only a qualitative understanding of the fault structure was possible from examining cores and
10 borehole televiewer logs (Figures 5 and 6). On the other hand, GPR profiles allowed us to infer the physical contrast between
11 the protolith and the fault zone rocks (Figure 9). Strong reflections of the fault zones due to distinct water-bearing capacity
12 clearly set themselves apart from the Rotondo granite, although recognizing the exact fault trace is challenging, which is due
13 to the inherent ambiguity of the GPR interpretation and the complexity of the intersecting fault (zone) branches.

14

15 The complexity of the major fault zones results in compartmentalized hydro-structures. Hydraulic characterization in the CB
16 rock volume revealed significant heterogeneity of hydraulic transmissivity (Table 2). Such heterogeneity is present both along
17 individual boreholes and between boreholes, depicting a complicated ~~dominant hydraulic backbone flow paths of within~~ the
18 rock volume. The hydraulic transmissivities differ by several orders of magnitude along multiple packed intervals of borehole
19 CB2. This reflects the significant discrepancy of hydraulic property between several permeable fractures/fault zones segmented
20 by the multi-packer system. An interesting observation is the asymmetric hydraulic response between both sides of CB2, i.e.,
21 a diametrically-opposite behavior between the CB1-CB2 and CB3-CB2 connectivity. As suggested earlier, correlation of cores
22 between the CB boreholes suggests that the major fault zone varies in thickness and features multiple laterally-inconsistent
23 branches (Figures 5 and 6). This could explain the irregularity of spatial hydraulic compartmentalization and asymmetric
24 hydraulic response within the rock volume. The local irregularity of structure geometry and the stress perturbation associated
25 with the fault zones may also exert additional influence. A better understanding of the hydro-structures and the hydro-
26 mechanical response within the rock volume requires carefully planned tracer tests and geophysical imaging, which is beyond
27 the scope of this paper.

28 **5 Concluding remarks**

29 The Bedretto Lab has recently been established in the Swiss Central Alps on the basis of the existing Bedretto Tunnel. It serves
30 as an underground geoscience research laboratory and geoen지니어ing test-bed. The Bedretto Lab represents ~~a new initiative~~
31 ~~the state-of-the-art~~ for conducting meso-scale experiments on the crystalline rock masses and offers opportunities for
32 international collaborations ~~(e.g., site availability and data sharing)~~. The Bedretto Lab is now fully operational and its main
33 granitic rock mass volume has been extensively characterized via multi-disciplinary approaches. Combined geological,
34 geomechanical, hydrogeological and geophysical methods were employed in several hectometer-scale boreholes to probe the

35 in situ conditions and internal structures of the rock volume. A scientifically interesting and practically representative rock
36 volume has been identified.

37

38 The characterized rock volume is approximately 100 m by 300 m by 100 m in size, off the southwest sidewall of the Bedretto
39 Tunnel between TM2000-2100. The rock overburden there exceeds 1000 m, and the stress environment is dominated by normal
40 and/or strike-slip faulting. The rock volume features three distinct units, with the middle fault zone sandwiched by two
41 relatively intact units. The major fault zone appears to be a representative feature of the site, as similar structures repeat every
42 several hundreds of meters along the Bedretto Tunnel. The fault zones are visible both on extracted cores and borehole imaging
43 tools. The lithological variations across the fault zone manifests the complexity and heterogeneity of the rock volume.
44 Significant variations of the hydrological and mechanical properties at various scales are evident. Pronounced stress rotations
45 across the fault zone are observed. Compartmentalized hydrostructures have been identified, which seem to be segmented by
46 the major fault zone and branches therein.

47

48 The characterized rock volume encompasses a multitude of complex features, and it approximates the representative scale and
49 heterogeneity typically encountered in subsurface exploration and development of basement rocks. The rock volume will be
50 further characterized and densely instrumented with tailored sensors. It will allow for in-depth studies of the hydro-seismo-
51 mechanical response of fractured rock masses. The characterized rock volume will host a series of customized hydraulic
52 stimulation experiments, serving as a test-bed for EGS reservoirs (referred to as the Bedretto Reservoir Project, BRP). Another
53 rock volume further down the Bedretto Tunnel will be subsequently characterized and made available, enabling sophisticated
54 fault reactivation experiments to study induced seismicity (referred to as the Bedretto Earthquake Project, BEP). These
55 upcoming experiments are full of challenges and opportunities, with the hope to bridge the current knowledge gap and offer
56 new insights.

57

58 **Code/Data availability**

59 For all data used in this study, it is available through the Bedretto Lab website (<http://www.bedrettolab.ethz.ch>) under
60 'Publications' then 'Research Data'. Since this data set is of large quantity and interdisciplinary nature, specific data requests
61 can be made to the corresponding author and the project data manager, Rebecca Hochreutener
62 (rebecca.hochreutener@erdw.ethz.ch).

63 **Author contribution**

64 All authors of this paper collectively contribute as a team of the Bedretto Underground Laboratory for Geosciences and
65 Geoenergy. The role of each team member is described here on the Bedretto Lab website. Please see through the following
66 link. <http://www.bedrettolab.ethz.ch/about/team/>

67 **Competing interests**

68 The authors declare that they have no conflict of interest.

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89 **Tables**

90

91 Table 1. List of characterization boreholes and measurements conducted therein.

Borehole #	Location (TM)	Diameter (mm)	Length (m)	Inclination (degree)	Logging performed	Additional tests
CB1	2050	97	303	45	ATV, OTV, GPR, CAL, Cond., DEV, FWS, SGAM, Temp.	Mini-frac
CB2	2043	97	220	40	ATV, OTV, GPR, CAL, Cond., SGAM, Temp.	Pressure monitoring
CB3	2037	97	192	50	ATV, OTV, GPR, CAL, Cond., SGAM, Temp.	

92 Note:

93 1. All borehole azimuths are oriented N133°W. The nominal borehole diameter is based on the 97 mm coring bit; the actual
94 borehole diameters slightly exceed 97 mm, and vary with the coring scheme.95 2. ATV/OTV: Acoustic/Optical televiewer; GPR: Ground-penetrating radar; CAL: Caliper; Cond.: Electrical conductivity;
96 DEV: deviation tool; FWS: Full-waveform sonic; SGAM: Spectral Gamma; Temp.: Temperature.

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Table 2. Single-hole Hydraulic Test Results

Interval / Borehole #	Interval Depth (MD) (m)	Interval / Borehole Length (m)	Test Date (in 2020) (mm.dd)	Transmissivity (m ² /s)				Initial Pressure (MPa)
				<i>(Theis, 1935)</i>		<i>GRF (Barker, 1988)</i>		
				<i>Drawdown</i>	<i>Buildup</i>	<i>Drawdown</i>	<i>Buildup</i>	
CB1	-	303	03.14	1.5·10 ⁻⁶	1.4·10 ⁻⁶	2.8·10 ⁻⁶	2.2·10 ⁻⁶	4.02
CB3	-	192	03.13	4.1·10 ⁻⁷	4.0·10 ⁻⁷	5.7·10 ⁻⁷	3.7·10 ⁻⁷	4.05
CB2	intervals							
1	199.8-221.8	22.2	03.07	1.4·10 ⁻¹⁰	1.4·10 ⁻¹⁰	8.7·10 ⁻¹¹	8.3·10 ⁻¹¹	4.04
2	196.8-198.3	2.0	03.12	4.1·10 ⁻¹¹	4.4·10 ⁻¹¹	1.4·10 ⁻¹¹	1.2·10 ⁻¹¹	4.06
3	177.2-195.2	18.5	03.05	1.1·10 ⁻⁸	8.4·10 ⁻⁹	8.6·10 ⁻⁹	6.9·10 ⁻⁹	3.90
4	167.7-175.7	9.5	03.09	1.4·10 ⁻⁸	1.5·10 ⁻⁸	9.5·10 ⁻⁹	4.9·10 ⁻⁹	3.94
4	-	9.5	03.11	1.7·10 ⁻⁸	1.3·10 ⁻⁸	2.0·10 ⁻⁹	5.4·10 ⁻⁹	3.97
4	-	9.5	03.11	1.2·10 ⁻⁸	1.2·10 ⁻⁸	4.8·10 ⁻⁹	3.4·10 ⁻⁹	3.98
5&6	141.7-165.2	24.1	03.11	8.4·10 ⁻⁸	8.5·10 ⁻⁸	1.3·10 ⁻⁷	4.1·10 ⁻⁸	3.99
7	125.1-140.1	15.5	03.03	2.1·10 ⁻⁷	1.8·10 ⁻⁷	5.4·10 ⁻⁸	4.6·10 ⁻⁸	3.62
7	-	15.5	03.06	1.2·10 ⁻⁷	2.0·10 ⁻⁷	2.7·10 ⁻⁸	4.2·10 ⁻⁸	3.67

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Note:

1. The packer between intervals 5 and 6 did not provide proper sealing, resulting in a direct hydraulic connection between the two intervals. Thus, the interconnected intervals 5 and 6 are considered a single interval, i.e., ‘interval 5&6’.
2. Pressure measurements were conducted at the tunnel floor. Thus, the hydrostatic heads at the (center of the) interval depth are subtracted from the reported pressure values.
3. The analysis of the transient pressure curves was carried out with the MATLAB Toolbox ‘hytool’ (Renard, 2017). The tests were analyzed with two models, Theis (1935) and Generalized Radial Flow (GRF) (Barker, 1988).
4. The initial pressures of the boreholes/intervals were also determined with Horner (1951) plots and linear fitting.

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15 Table 3. Characteristic pressure response time in the monitored boreholes/intervals during the drawdowns

Interval/Borehole #	Response time (hh:mm:ss) during the drawdown in	
	CB1	CB3
CB1	-	00:44:54
CB3	00:56:42	-
CB2 intervals		
1	01:41:42	-
2	01:14:48	-
3	00:26:47	02:08:24
4	00:07:02	00:50:54
5&6	00:01:55	00:51:54
7	05:16:42	00:06:38

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21 Table 4. Selected physical properties of the Rotondo granite (measured under no confining stress)

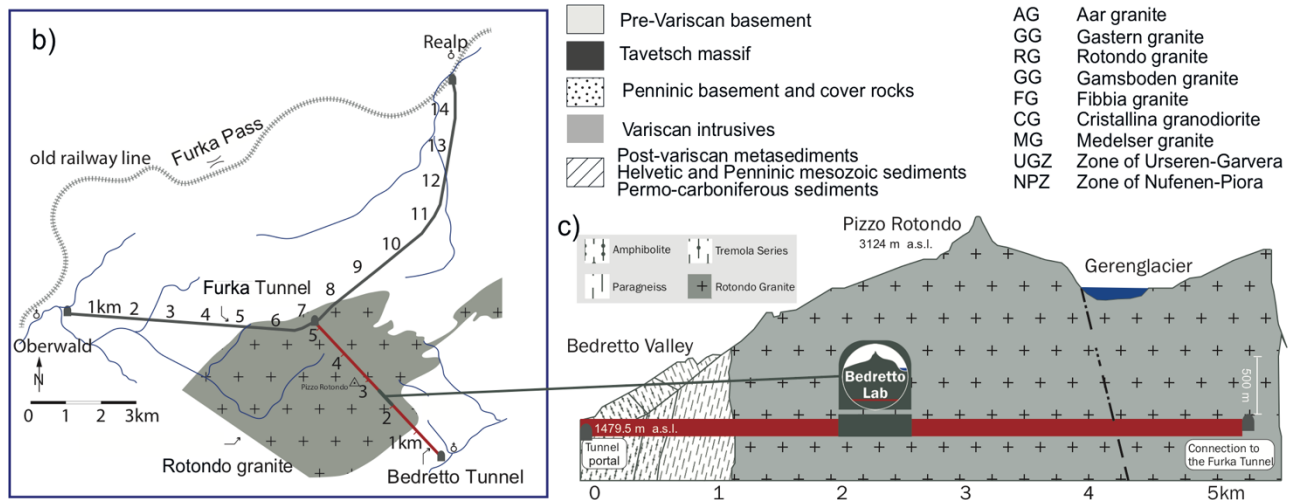
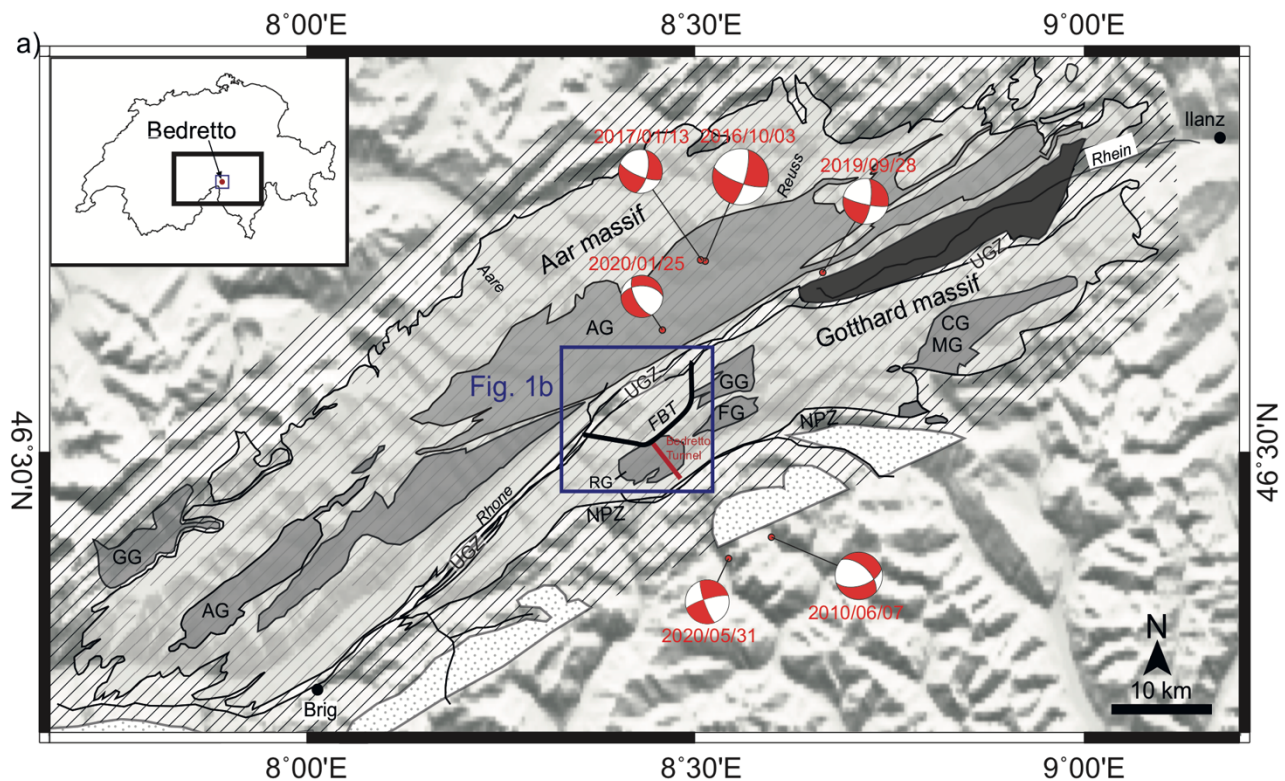
Property	Specification (unit)	Dry	Water-saturated
Porosity	connected (%)	1.36	-
	total (%)	1.75	-
Density	grain (kg/m ³)	2653	-
	bulk (kg/m ³)	2606	2620
Ultrasonic velocity	<i>P</i> -wave, V_P (km/s)	3510	5434
	<i>S</i> -wave, V_S (km/s)	1785	2526
Elastic modulus (dynamic)	Young's modulus (GPa)	22.6	45.9
	bulk modulus (GPa)	27.3	60.5
	shear modulus (GPa)	8.3	16.8
	Poisson's ratio	0.36	0.37
Permeability	(μ D)	-	4.35
Tensile strength	Brazilian Test (MPa)	8	-
Compressive strength	Uniaxial (MPa)	172	-
Fracture toughness	Mode I (tensile) (MPa·m ^{1/2})	1.3	-
	Mode II (shear) (MPa·m ^{1/2})	4	-

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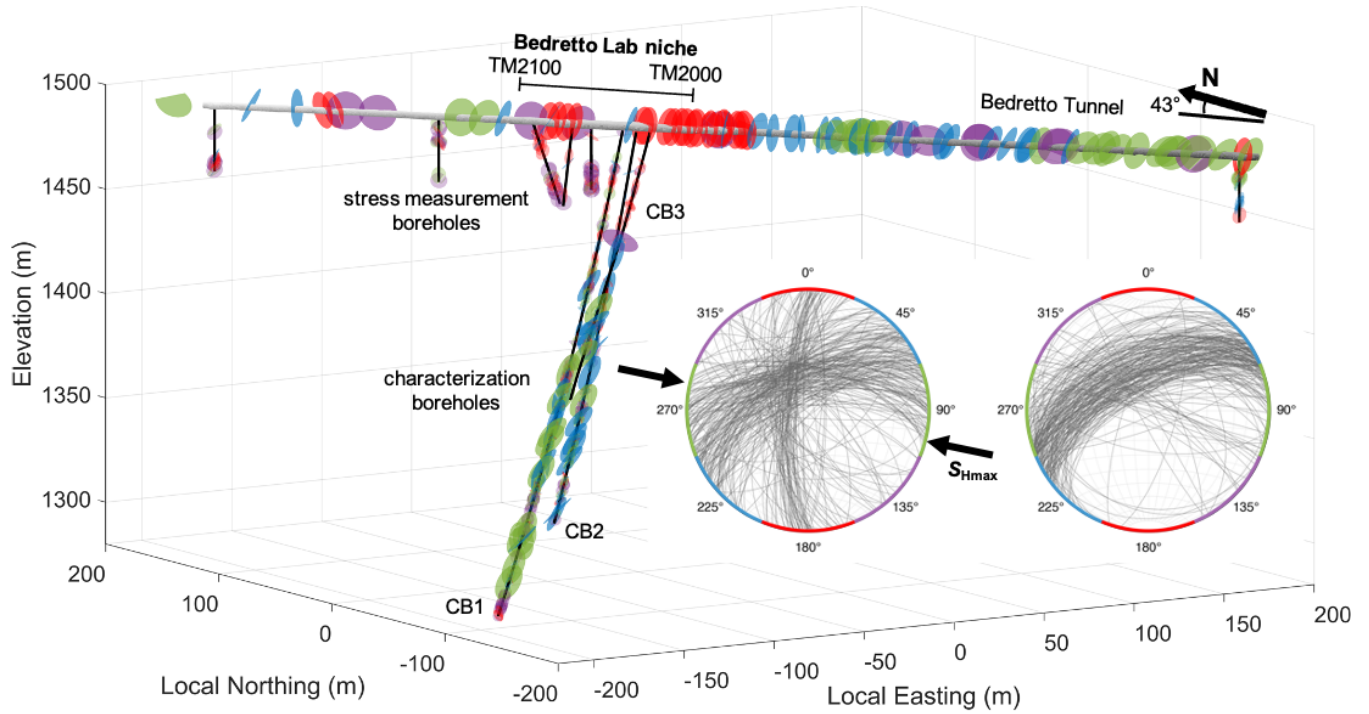
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27 **Figure 1: a) Integrated geological, topographical and seismological information near the Aar and Gotthard massif surrounding the**
 28 **Bedretto Lab (Keller and Schneider, 1982; adapted from Lützenkirchen and Loew, 2011, and Gischig et al., 2020). b) Map view of**
 29 **the Bedretto Tunnel and the hosting Rotondo granite. c) Cross-sectional view of the Bedretto Lab with respect to the tunnel.**

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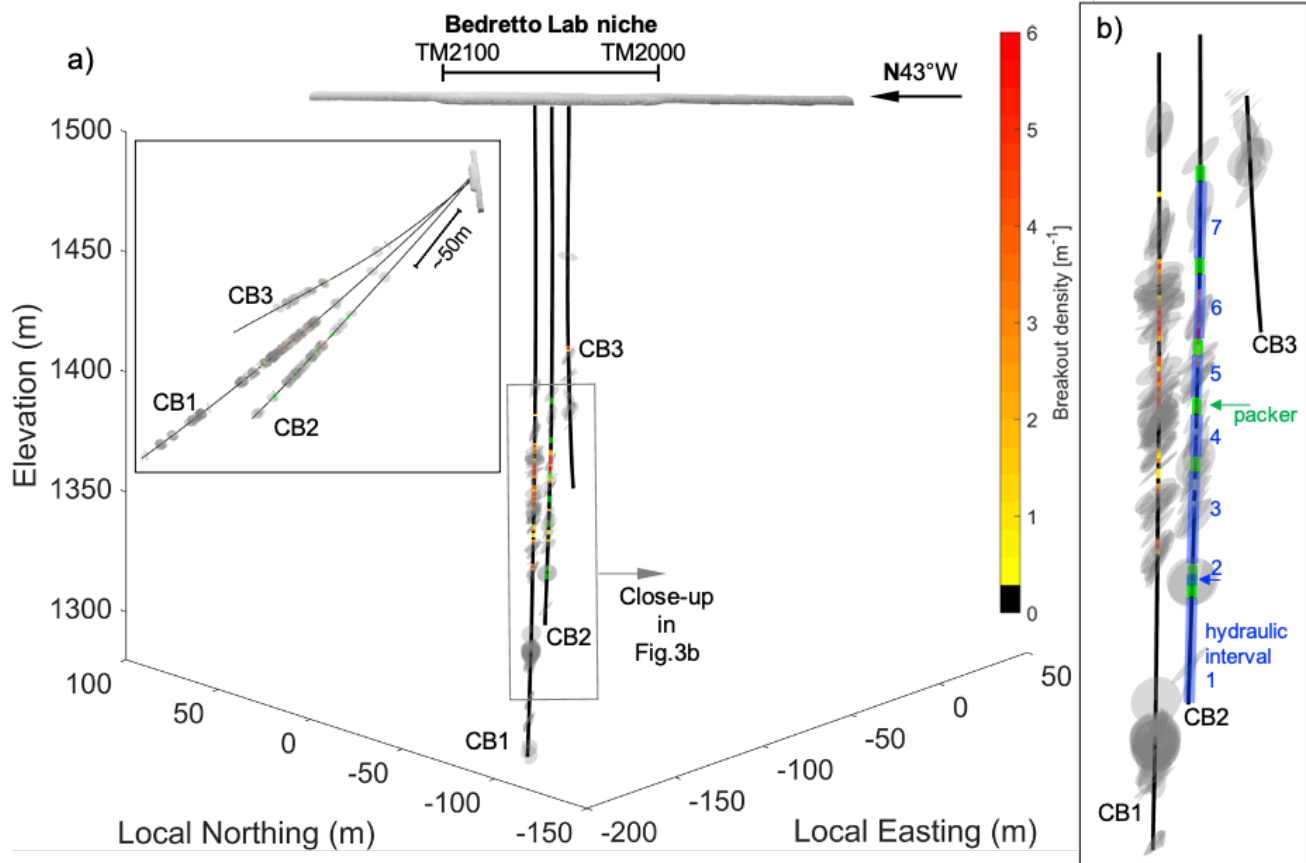
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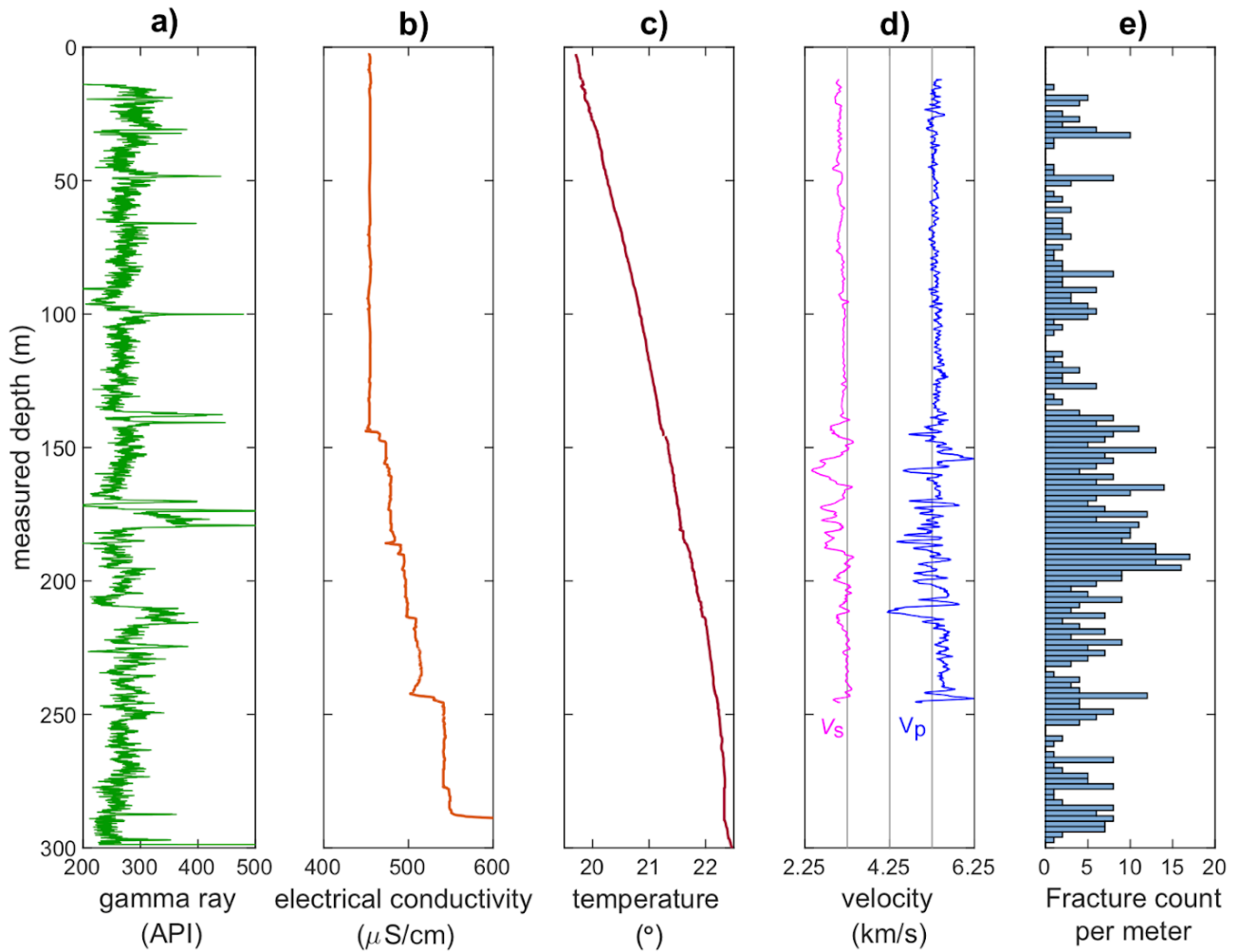
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Figure 2: Configuration of the CB1,2,3 boreholes with respect to the Bedretto Tunnel. Fractures and fault zones, mapped along the tunnel and the boreholes, are colored with respect to their strikes. Stress measurement boreholes (SB) are also shown. Inset: Stereonet of fractures and fault zones mapped along the tunnel (left) and the CB1,2,3 boreholes (right) (only structures represented in the lower row of Figure 67), respectively. The four fracture/fault sets are colored distinctly according to their strikes, which is also marked on the circumference of the stereonets.



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Figure 3: Configuration of boreholes CB1,2,3 and the mapped major fractures/fault zones therein. a) Looking down approximately normal to the three boreholes. Inset shows the side view of the boreholes. b) Close-up of the major fault zone interval. Note the breakout density along the CB borehole major fault zone and the multi-packer system (and the divided hydraulic intervals) installed in CB2 (see Table 2 for details).

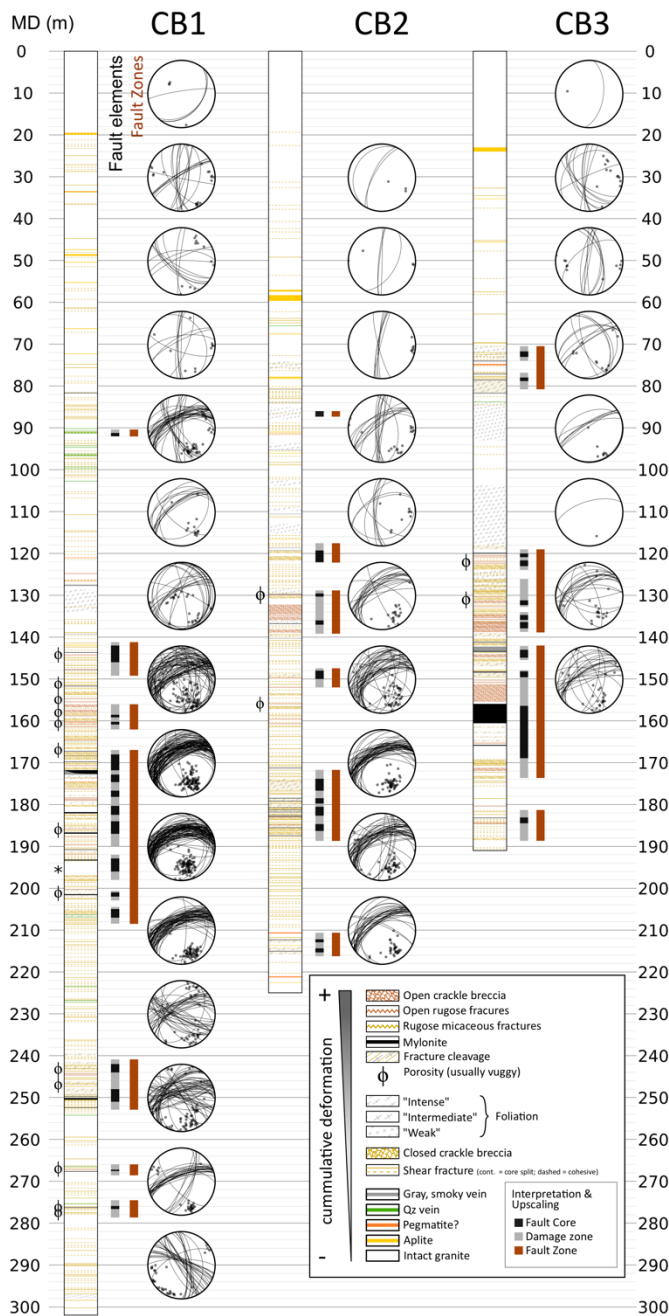


51 **Figure 4: Integrated geophysical logs of borehole CB1: a) gamma ray; b) electrical conductivity; c) temperature; d) sonic**
 52 **compressional and shear wave velocities (V_p and V_s); e) density of mapped fractures from televiewer logs.**

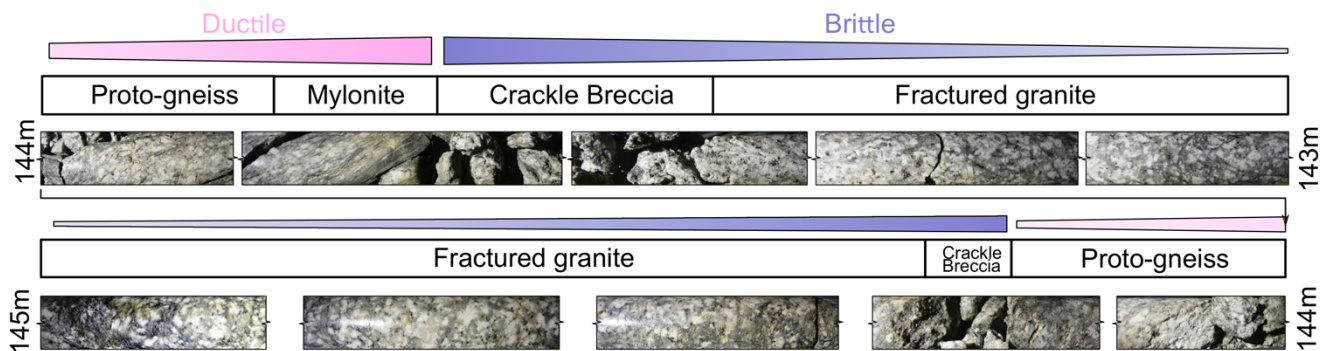
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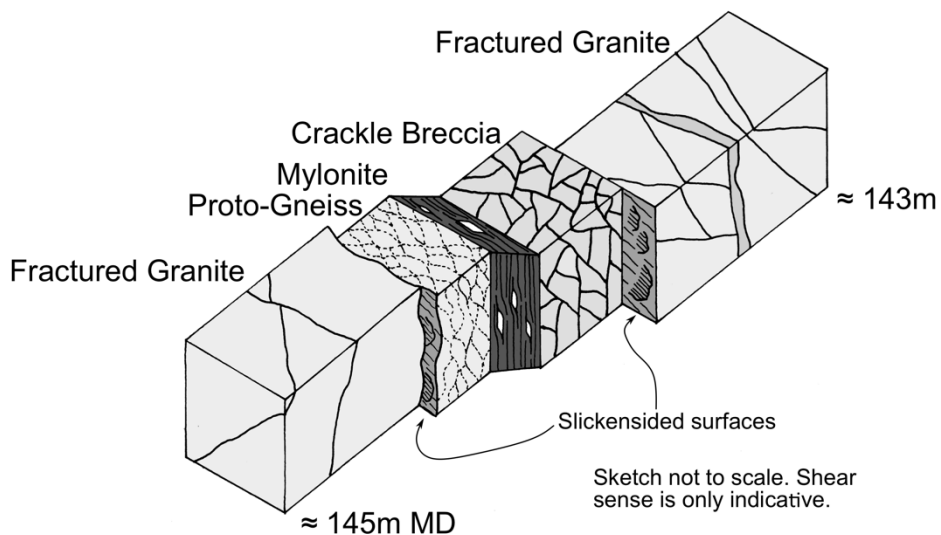
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57 **Figure 5: Core description showing the geological designations and structural orientations (measured by the acoustic and optical**
 58 **televiwers, i.e., ATV/OTV).**



a)



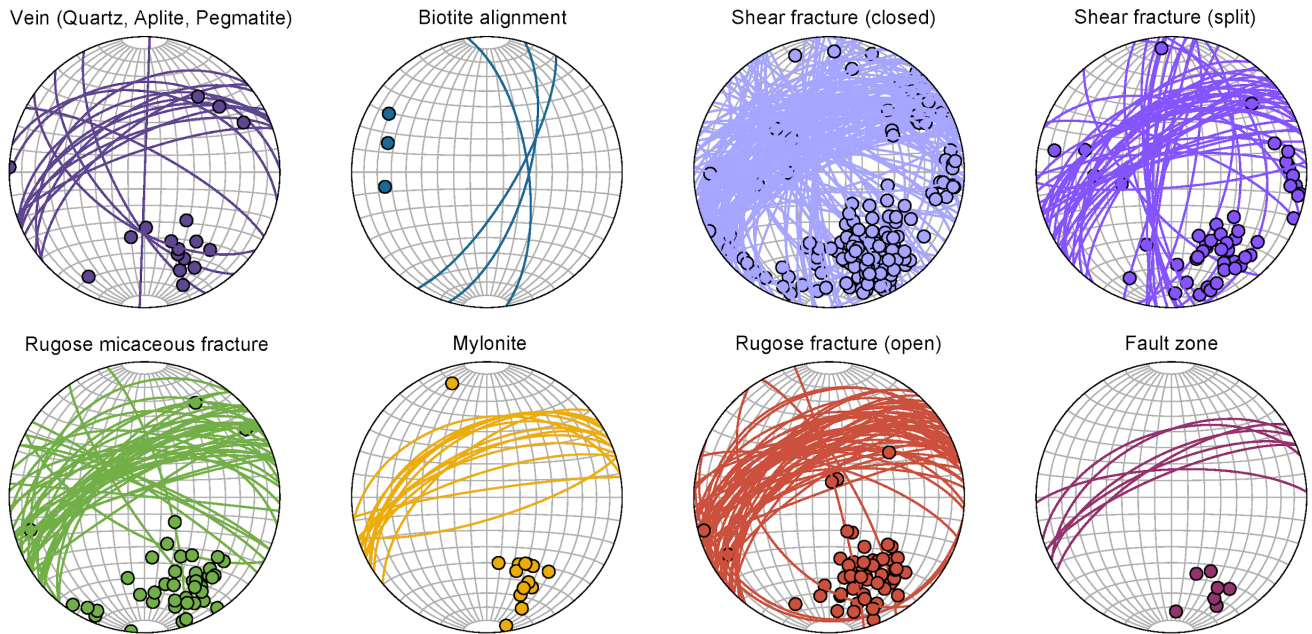
b)

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61 **Figure 6: a) Core images, compositions and the structure of the major fault zone encountered in borehole CB1 (between 143-145 m**
 62 **measured depth). Ductile and brittle structures can be seen in close proximity to each other. Brittle structures are located at the**
 63 **boundaries of ductile features; b) Schematics of the fault zone structure.**

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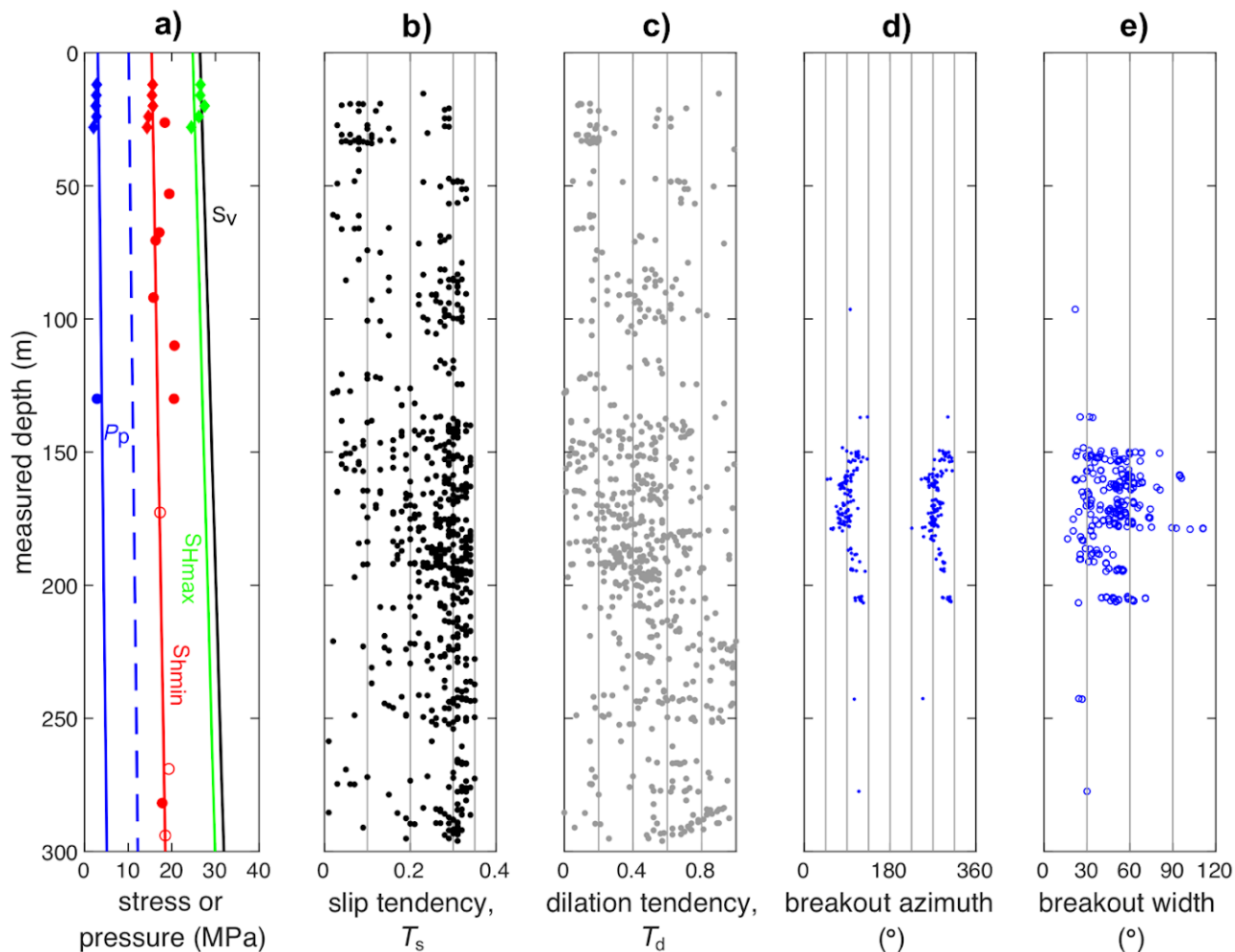


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68 **Figure 7: Mapped geological structures by type. The upper row shows the orientation of structures that are mainly ‘closed’ (based**
 69 **on visual examination of the cores). The lower row shows the orientations of structures with higher shear strain and can be perceived**
 70 **as ‘open’. Note the structures shown in the lower row are almost exclusively oriented NE-SW.**

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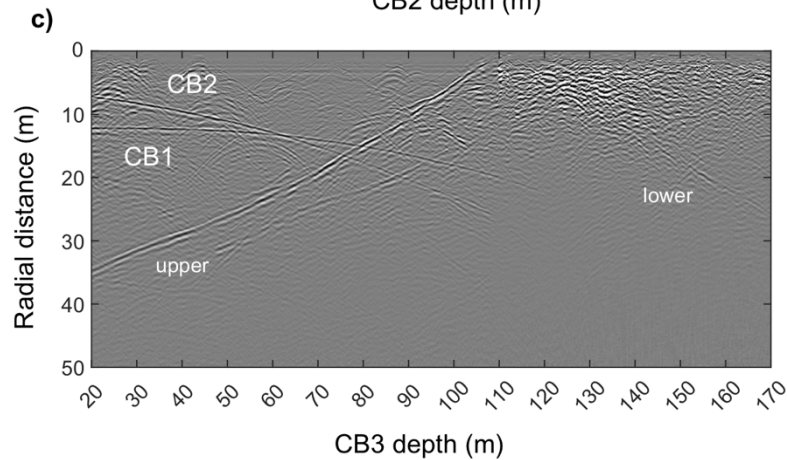
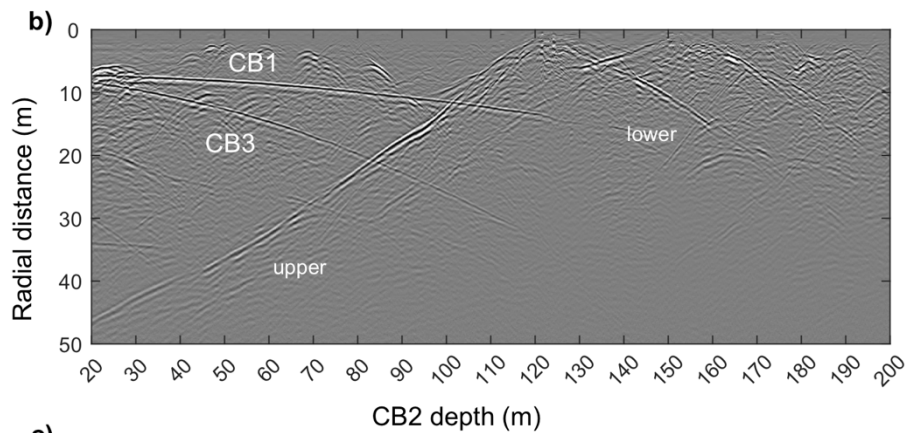
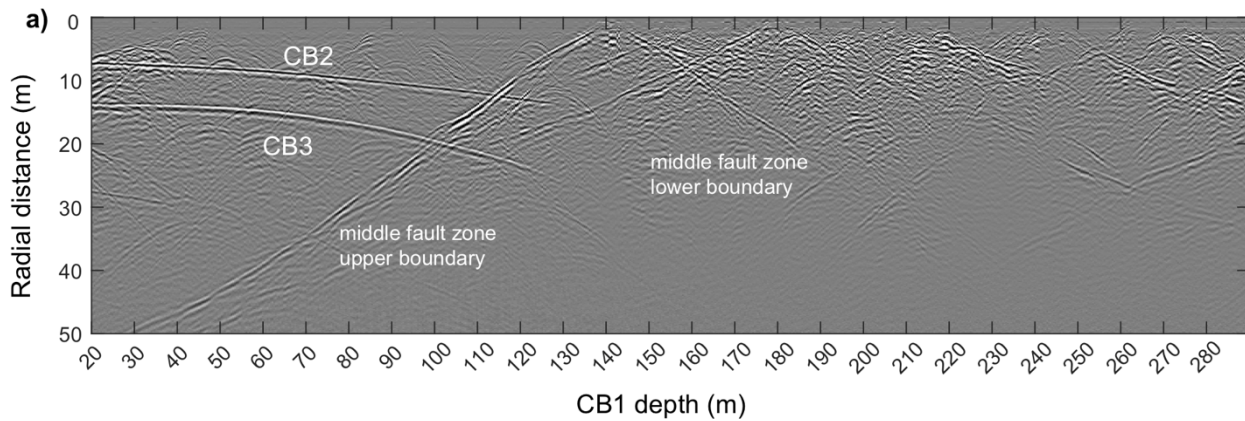
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74 **Figure 8: Integrated geomechanical information of borehole CB1: a) stress and pore pressure profiles (Diamonds are from SB**
 75 **borehole data; circles are from CB1, while open circles are of uncertainty; dashed blue gradient represents hydrostatic pore**
 76 **pressure.); b) slip tendency (T_s) and c) dilation tendency (T_d) of all mapped structures (shown in Figure 5); d) breakout azimuths**
 77 **and e) widths (0° refers to the high-side of the borehole).**

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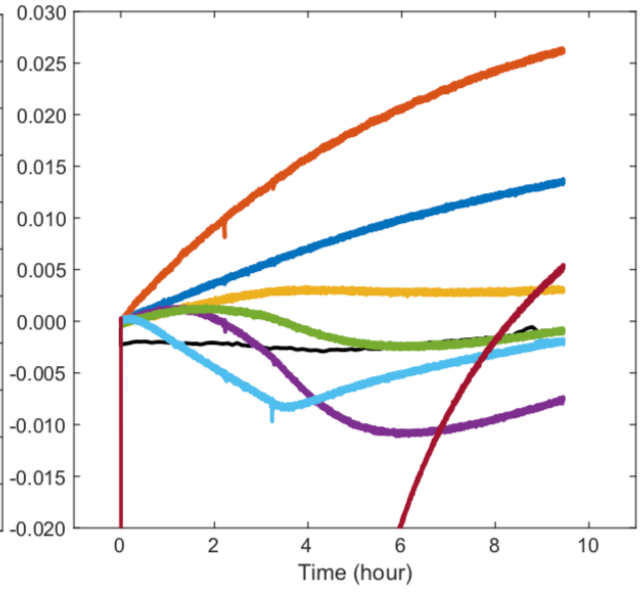
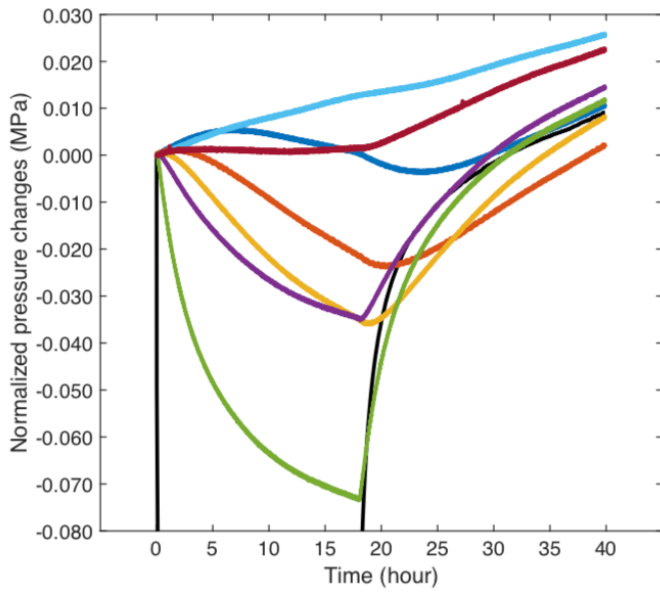
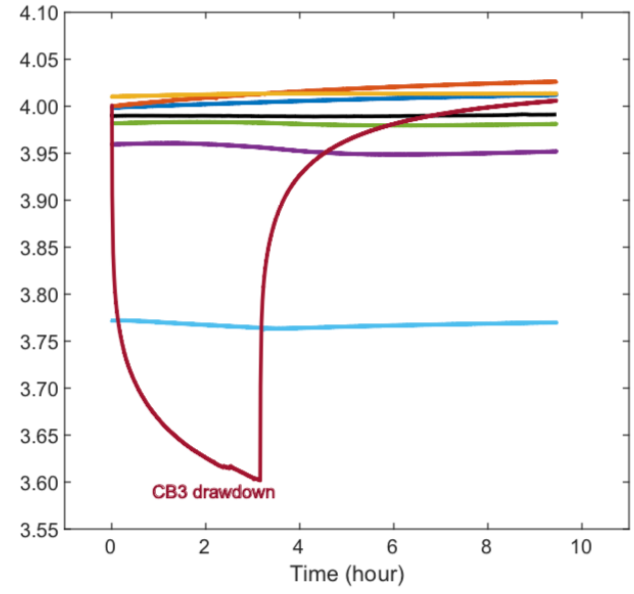
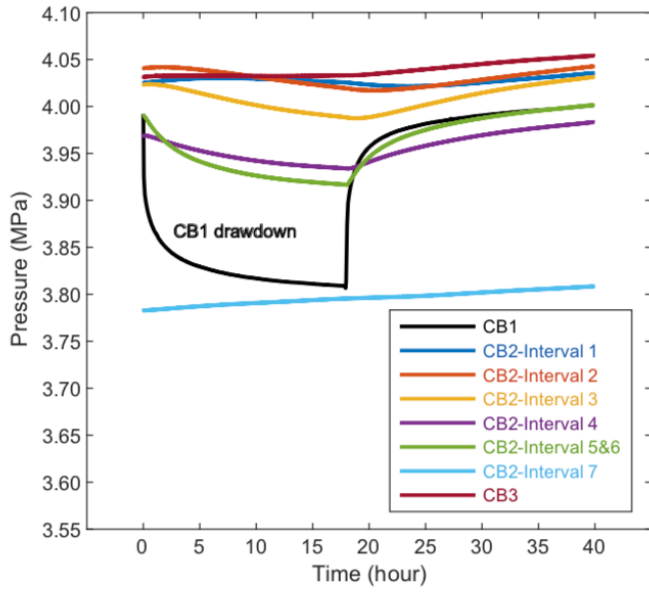
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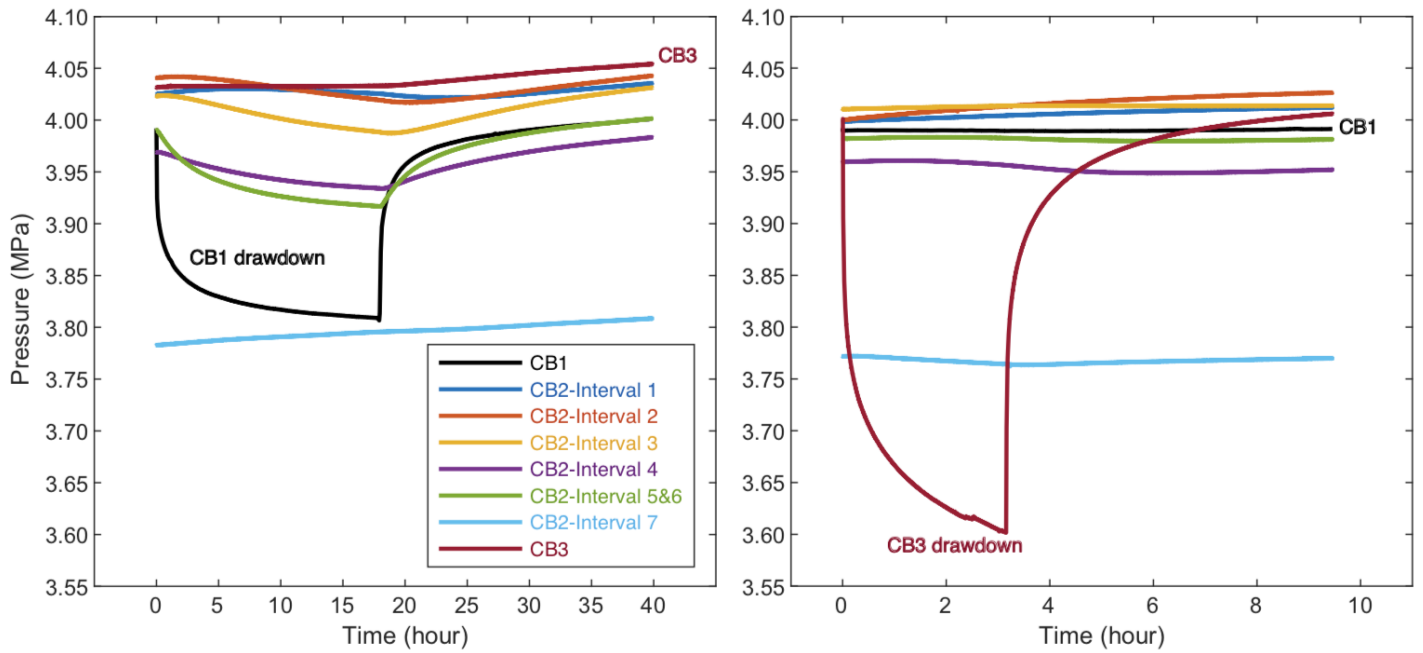


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82 **Figure 9: Single-hole GPR reflection profiles (100 MHz) along: a) CB1, b) CB2, and c) CB3. From each borehole, the nearby**
 83 **boreholes are identified (and labelled) as prominent reflectors. The middle unit of the first major fault zones is are clearly dominant**
 84 **in the figure-visible. The fractured rock below this fault zone is characterized by higher reflectivity. Above the fault zone, some**
 85 **parabolic reflectors are seen that are likely attributed to borehole-perpendicular fractures.**

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Figure 10: The pressure response of boreholes/intervals to the drawdown in CB1 (left) and CB3 (right). The upper and lower row present the absolute and relative pressure (changes), respectively.

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