

# **Reviewer 1**

First of all, we would like to thank the reviewer for his comments and suggestions. Below we list our detailed answers. Please note that the mentioned line and figure numbers refer to the original submission.

## **GENERAL COMMENTS**

The article presents a study using seismic data for a mining prospect/site in Norway. The study focuses on applying 3D full waveform inversion and migration to the dataset. To that goal they use a traveltimes inversion software and then produce different models and migration images. They conclude that RTM images using FWI models are better than those resulting from constant velocity models or tomographic models. They then proceed to interpret their findings and relate them to prior knowledge of the study area. The main result is a good fit between the mineralisation horizon and a reflector present in the images.

The article is overall well written and the methodologies are clearly explained. The bibliography is sufficient and figures show good quality for publication.

## **SPECIFIC COMMENTS**

This is an ambitious exercise that aims at using state-of-the-art imaging algorithms to an onshore dataset. In particular, using FWI for onshore data is difficult and typically results in a lot of trial and error in order to obtain good convergence. The paper is rather clear in explaining the choices made and which ideas resulted in worst results. In any case, several concepts are a bit obscure and may need clarification by the authors. Here follows a list of them.

1. The first obvious question is the choice of algorithms. FWI is an expensive imaging tool. Its use should be justified only if other methodologies fail or are not available. In the manuscript this is not clear. As it is written, the method is a given of the manuscript, but as no novel methodological approaches are presented or benchmarked, some more discussion on the choice of the method would be welcome.

**Reply:** We have tried to explain the motivation behind our work in the introduction part [L50-L58], tackling the specific requirement for a velocity model building tool in the hardrock seismic exploration. We are not bringing FWI in our imaging workflow just for its novelty, but it is a real necessity in this case as standard reflection-based methods (such as migration-velocity analysis or reflection tomography) fail as there are no coherent events to drive the velocity model updates. First-arrival tomography (FAT) was successfully used in this context, but it has limited depth penetration in the hardrock environment, as the velocity gradients are typically very low. In our paper, we are exploring to what extent, FWI (in the acoustic version as a first attempt) can improve velocity model required for depth imaging – both in resolution and updates at greater depths.

As a natural consequence of using FWI as a model building tool, we use RTM for the subsequent validation of the velocity models by depth imaging (to rely on the wavefield-based methods, not ray-based methods). In this way, we evaluate a constant velocity model, FAT-derived and FWI-derived velocity models. At this stage, we decided not to make a comprehensive comparison with the time imaging approach of Malehmir et al. (2021, this special issue) or the ray-based depth imaging of Hloušek et al., 2021 (in review for this special issue) (see L499-504).

2. Furthermore, the authors choose to use acoustic, constant-density FWI for onshore data. This is rather hard to understand, in particular given the lack of long-offset fit that could justify relying on direct P-arrivals and hence benefiting from acoustic FWI. As the results seem to confirm, the inversions mostly affect near-offset structures and might be driven by reflections. In this case, the acoustic

approximation fails at reproducing the amplitudes and AVO of data. Some in-depth justification is due in this regard.

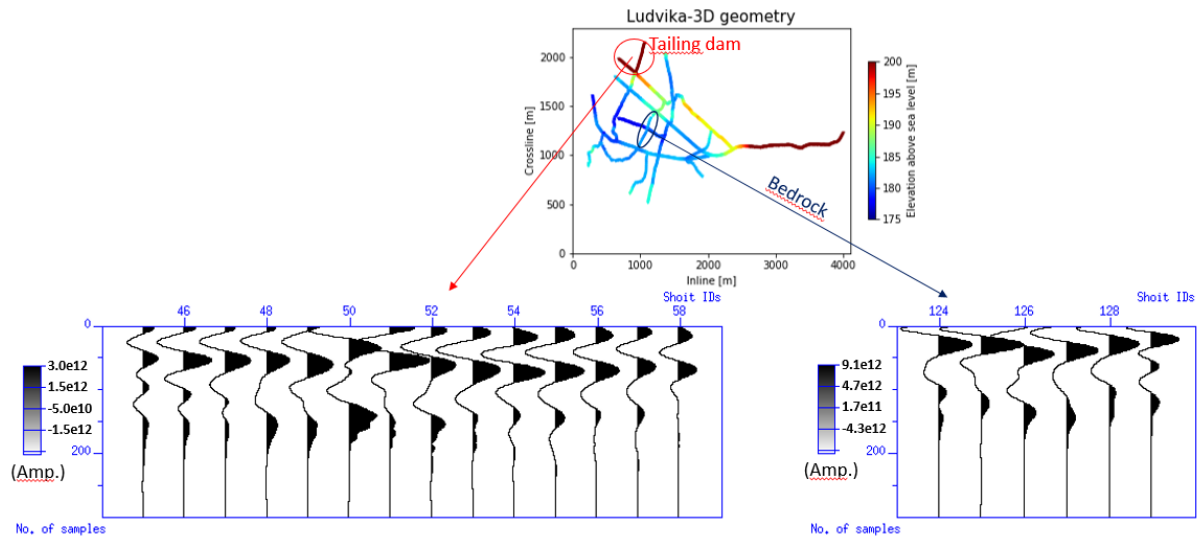
**Reply:** It is rightly been pointed out that under such conditions, acoustic FWI may not produce the optimum results. Elastic FWI using both diving and reflection modes seems to be the natural choice as we pointed out [L519-L522]. In our case, restrictions such as high-computational costs of modelling and lack of information of physical properties such as S-wave velocity in the study area limited our approach to elastic FWI.

Best to our knowledge, there had been no earlier attempts of building a velocity model using FWI in hardrock environment from surface-seismic data. Therefore, evaluation of the acoustic FWI can be considered as a first-step towards adoption of FWI as a velocity model building tool in crystalline rocks. We used standard techniques used by many researchers to mitigate the elastic effects and AVO trend in the data preprocessing flow [section 3.1.2]. We designed an external mute function to restrict the direct and shear waves, and used data weighting of the misfit function to drive the model updates using far offsets. We treated the data and model as much possible as we can to fulfill the acoustic approximation [L254-L258].

**3. Yet another topic not fully covered in depth in this study is the shallow model. In onshore data, local heterogeneities can be large at the first meters. No static corrections seem to be applied in the present case, which seems like a good idea, but one would expect special attention being paid to very near offsets in order to get an approximation of the small-scale shallow model. Ideally, one would invert for surface waves (elastically, that is) or produce an initial model based upon very short offsets. My impression is that the authors ignore these effects and these are “collected” in the wavelet inversion. Such wavelets have quite noticeable amplitude and delay differences with each other. This solution thus potentially averages local effects from both sources and receivers.**

**Reply:** Thank you for this comment. As you mentioned, the influence of the near-surface heterogeneity on the FWI results / wavelet estimation is obvious. Yet, it seems that there is no universal solution adopted by the researchers investigating FWI on land data on how to handle the near-surface. We followed the most-commonly used approach in which no statics is applied to the data. We will explicitly mention this information in the data preprocessing part, section 3.1.2.

The weathered layer in the hardrock environment is typically 10 to 20 m thick, which can be only approximately resolved using 5-m grid in FAT & 10-m grid in FWI and using only refracted arrivals. The idea of using surface-waves / elastic inversion is great in this regard, but please also note that methods utilizing surface wave information to resolve shallow heterogeneity in crystalline rocks are still not fully-established, even though some efforts had been recently made e.g., by V. Socco group at Politecnico di Torino. We are aware that these effects can be “collected” in the source inversion, as our accounting for the weathered layer is approximate. We will mention it explicitly in the revised manuscript. Figure 1 below is comparing source wavelets estimated over the tailing dam and the bedrock, illustrating the effect of the near-surface heterogeneity. We will also mark wavelets estimated from the shots located at the “soft” material in Figure 8. Please also note that the source-to-source and receiver coupling effects were accounted for using surface-consistent amplitude scaling [L217-L218].



**Figure 1:** Estimated source wavelet comparison for shots located in the vicinity of tailing dam (bottom left) and bedrock (bottom right). The location of source points for the tailing dam is marked by red circle and the bedrock by blue ellipse. Source wavelets at bedrock characterizes a minimum phase equivalent of the used Vibroseis source while the source signatures at tailing dam are between mixed to zero phase equivalent. Also, the amplitude response is stronger for the bedrock in comparison to tailing dam. The comparison showcases the effect of variable near-surface conditions which are being accumulated in the source wavelet estimation.

**4.** Something noticeable from Figure 2a is that several anomalies correlate with the acquisition geometry. This might be actual or an artifact of the inversion. Most probably of the regularization used in the FAT. Perhaps the authors could give details in this aspect.

**Reply:** Yes, these are the artefacts introduced due to irregular non-standard 3D acquisition [L195-L196]. These shallow anomalies are restricted to a very shallow part of the velocity model and that cannot be removed completely with change of parameterization during the FAT inversion. In order to minimise its effect, we obtained a smoothed version of this model [Figure 2a] after applying a Gaussian filtering both in inline-crossline direction as well as in depth [L200-L204, Figure 5a]. In the revised manuscript, we will modify Figure 2 with the incorporation of few depth slices showcasing the change in velocities in the shallow part of the model.

**5.** It is unclear throughout the manuscript which norm or cost function is used. Probably it is the L2 norm, the most common in FWI, but this should be clearly stated in the document, together with any specifics used in this respect (e.g. windowing or amplitude normalization).

**Reply:** Yes, it is L2 norm, we will rectify this information in the revised manuscript. Yes, we applied windowing and trace normalisation [L220-L222, Table 1].

**6.** Given the irregular acquisition geometry of the data, I believe that a resolution test would help in determining which parts of the models can we expect to resolve in optimal conditions. It seems to me that some of the deepest parts of the model obtain are being overinterpreted. As we are missing a resolution test, all parts of the obtained model are considered equally resolved and this is misleading.

**Reply:** Thank you for pointing this out. However, we prefer not to perform any classical resolution tests like checkerboard tests, which, in our opinion, have limited applicability to FWI. We are aware of the possible artifacts present in the FWI model, yet there seems to be features that are being resolved despite

different subsets of shots used in the inversion (as illustrated in Figure 6). This test is also providing us estimate on the parts of the model, which are less reliable. We used different QC method in order to check the reliability of the obtained velocity model i.e. wavelet estimation, data fitting, cost function drop etc. (section 3.1.5). However, we have to stress, that we treat the FWI-derived velocity model in an instrumental way: i.e. the model is used for depth imaging (RTM) and we are making our interpretation based on the RTM images (section 3.2.3). They are confronted with the available geological information [L455-L459]. Of course, our evaluation of different velocity models and corresponding seismic images may seem very subjective and qualitative, but thanks to your suggestion, we are now including common-image gathers from RTM to illustrate improvements in gather flatness.

7. Another aspect that seems lacking is QC prior to the inversion. Several traces seem prone to cycle skip (e.g. Figs 7 b-d), and a few times throughout the text we are told that long-offset traces cannot be correctly matched. Nevertheless, such traces seem to be part of FWI, which seems like bad practice. Such data cannot help convergence and as such should be removed from FWI. The traces could be used for posterior QC (as in your RMSE visualization) but should be removed from the inversion.

**Reply:** Yes, we agree that we could not fully mitigate the local cycle-skipping. But such localized events are prone to areas with poor illumination due to irregular non-standard data acquisition and being in acoustic approximation in an elastic earth. The non-fitting of the far-offset traces (SE end) are attributed to one-way wave propagation (i.e., lack of shots from SE) and FAT's failure to provide kinematically good fit to first arrivals. Although, best to our observation, we obtained a fairly good fit to the first cycle of the waveform for some shots [L341-L349, see Figure 7d for bin centred at 1500 m]. The RMSE maps shows fitting of traces on a numerical scale using the whole waveform. If we compare the two shot gathers in Figure 9, we removed far offsets traces with low S/R on a shot-by-shot basis. Please note that traces with zero value are not used during FWI inversion but are shown in the map. For more clarity, figures are revised by removing all the non-used traces for better visualization.

8. Regarding the long offsets, it seems like a lot of computational effort is used in keeping full offsets (i.e. shotgathers are large in the lateral dimensions) for FWI shots but no benefit is obtained from such traces (see Fig 9 for example). In fact, for elastic FWI there is previous work suggesting that removing such offsets can result in better convergence, both in data and model space (see Kormann et al 2017, Comp. Geosciences, for an example). Figure 9 seems to suggest that long offsets do not contribute at all.

**Reply:** Yes, it is fair to say that the contribution of far offsets is minimal as compared to short or mid offsets but not zero. As the aim of the study is focused on deeper part of the model and we wanted to take advantage of far offset to drive the updates in the deeper part of the model, hence we kept the receivers from the SE part for more azimuthal coverage and deeper illumination.

9. Regarding RTM I have just few concerns. The first is that you seem to keep direct waves in the migration process, which cannot be migrated. You later filter the images to remove the artefacts, but it would be better practice to remove those direct waves from the very beginning.

**Reply:** The direct wave / refractions were indeed removed prior to the RTM imaging. We used the same pre-processed dataset as Hloušek et al. (2021) which was used for an advanced-Kirchhoff migration [L395].

Furthermore, in migration we would expect to see some QC in terms of common image gathers or other gathers that can help discern whether the inversion process is correct. This in fact is a QC for the inversion process as well, one of the few QC that can be applied throughout the domain. We expect gathers from FWI to be flatter than those obtained from FAT or a homogenous model. Some effort in this direction would strongly help improve the confidence of the reader with the results.

**Reply:** Yes, you are right that preferably CIG's will be useful in evaluating the quality of the velocity model. On the other hand, there is a significant computational and storage overhead for calculating them. Therefore, we adopted a pragmatic approach in which we validate velocity models by comparing quality of the respective migrated volumes. Anyway, we followed your suggestion and produce RTM CIGs (surface-offset gathers) using subset of the data (20 shots) for illustration purposes. They are included now as the new Figure 8.

**10. Last but not least, and given the simplicity of the models used, RTM is hard to justify with respect to other cheaper migration techniques, either pre- or post-stack.**

**Reply:** Recent experience in working with hardrock seismic data (see e.g., references cited in the introduction) is clearly showing the advantage of the pre-stack depth imaging workflows (especially those applied in the shot-gather domain, such as Fresnel Volume Migration, [L45-L49]) over conventional time-domain imaging approach. The choice of the RTM as the imaging "engine" is twofold: first of all, as stated in our reply to point 1, it is directly compatible with the wave-equation based velocity model building offered by FWI. Usually, FWI-derived velocity models need to be smoothed to some degree to be used in ray-based methods. The other issue is that the RTM accounts for all types of waves, including e.g., prism waves, that cannot be easily handled by the ray-based methods. Based on our experience, it looks like RTM is offering certain advantages in imaging, but we don't have enough evidence to claim it is superior to the ray-based pre-stack depth migration algorithms at this stage.

## SUGGESTIONS / COMMENTS

My comments mostly go in the direction that, perhaps, with other decisions in the parameterization and data selection, other results could be obtained, maybe better ones. This does not demerit the results presented, which are interesting and worth reporting, but leaves me wondering if more could be obtained from the data. In the discussion there are some ideas which are interesting, but for the manuscript to feel more complete, some extra effort would be welcome in addressing some of the issues presented above.

My only strong suggestion is a better analysis of the results. Judging the inversion and migration as successful just based on partially better coherence in some reflectors and fit between model and image or image and a single prior structure seems insufficient, given the effort made in producing those models and images. I suggest CIG or alternative methods to compare coherence of the image with respect to offsets or angles at a wide range of locations and depths.

**Reply:** We are very much thankful for the in-depth analysis and valuable comments. What we present in our manuscript is already a result of many tests tackling different aspects of FWI, including wavelet inversion, gradient preconditioning, choice of optimization algorithm (L-BFGS vs Steepest Descent), different weighting tests of the misfit function etc. While it may leave an impression that the whole workflow is very subjective, we believe we reached the limits of what the (visco)acoustic FWI can deliver for this kind of data. Because of the aforementioned computational aspects, the CIG's analysis we added in our revised manuscript is limited, but it is showing the improvements in focusing of the image and gather flatness when using FWI-derived velocity model.