# Aegean-style extensional deformation in the contractional southern Dinarides: Incipient normal fault scarps in Montenegro

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- 10 Abstract. We describe two-previously unreported, 5-7 km long normal fault scarps (NFS) occurring atop fault-related anticlines in the coastal ranges of the Dinarides fold-and-thrust belt in southern Montenegro, a region under predominant contraction. Both NFS show well-exposed, 6-9 m high, striated and locally polished fault surfaces, cutting shallowly-uniformly <u>NE</u>-dipping limestone beds at high angles and documenting active faulting-during the Holocener. Sharply delimited ribbons on free rock faces show different colorcolour, varying karstification and lichen growth and suggest stepwise footwall
- 15 exhumation, typical of repeated normal faulting <u>during</u> earthquake events. Displacements, surface rupture lengths and geometries of the outcropping fault planes imply paleoearthquakes with  $M_w \approx 6 \pm \pm 0.5$  and slip rates of at least  $\simeq 0.3 + 0.5 - 1.5$ mm/yr since the Last Glacial Maximum. Absolute (and This is well in line with (more reliable, higher-resolution) slip rates based on cosmogenic <sup>36</sup>Cl data from the scarps are significantly higher: where modelling suggests  $1.5 \pm 0.1$  mm/yr and 6-15 cm slip every 35-100 yrs, commencing, or resuming, during the last ~6 kyr-ago. The total throw on both NFS – although poorly
- 20 constrained is estimated to max.\_\_200-400-\_m, and offsets the basal thrust of a regionally important tectonic unit. The NFS are incipient extensional structures that postdate growth of the fault related anticlines on top of which they occur.cutting (and postdating emplacement of) the uppermost Dinaric thrust stacks down to an unknown depth. To explain their existence in a region apparently under purely contractional influence, we consider two explanation approaches possiblepossibilities: (i) synconvergent NFS development or less likely (ii) an hitherto unnoticed undocumented propagation of actual extensional
- 25 tectonics from the hinterland. Interestingly, the position of the extensional features <u>documented here</u> agrees with <u>recent</u> geodetic data, suggesting that our study area is located <u>exactlybroadly</u> at the transition from NE-SW-directed shortening in the northwest to NE-SW-directed extension to the southeast. While the contraction reflects ongoing Adria-Europe convergence taken up along the frontal portions of the Dinarides, the incipient extensional structures might be induced by rollback of the Hellenic slab in the SE, whose effects on the upper plate appear to be migrating along-strike the Hellenides towards the
- 30 northwest. The<u>In that sense, the</u> newly found NFS possibly provide evidence for a kinematic change of a thrust belt segment over time. <u>Alternatively, the NFS mightHowever, with a significantly higher probability, they can</u> be regarded as second-order features accommodating geometrical changes in <u>dip of</u> the underlying first-order thrust faults to which they are tied genetically.

#### **1** Introduction

Active normal faults in the Mediterranean frequently develop bedrock normal fault scarps (NFS). Their suitability as tools for

- 35 paleoseismic analyses has been proven by many authors (see following passages for differentiated references). We introducedescribe two-previously-unreported, 5-7 km long NFS along the southwestern slopes of the Rumija mountains in the coastal ranges of the Dinarides fold-and-thrust belt in southern Montenegro on the western Balkan Peninsula (Figs. 1 4; Figs. S1 & S2; Table S3). According to their positions between the eponymous towns, we refer to them as *Bar* (BFS) and *Katërkolle* (KFS) *fault scarps*. The primary aim of this paper is a first-time description and interpretation of the mentioned structures,
- 40 including (i) verification that BFS and KFS are active NFS at all, (ii) basic studies on the timing of NFS exhumation and slip rates, as well as (iii) a discussion on how these active NFS may be embedded in the contractional geodynamic setting of the southern External Dinarides. Our work is based on a thorough, multifaceted in situ mapping campaign, involving both ground truthing and remote sensing, followed by a low spatial resolution <sup>36</sup>Cl cosmogenic dating of NFS free-face samples. Both, paleoseismic interpretations based on overall NFS geometries and visual indicators (e.g., Armijo et al., 1992; McCalpin, 1996;
- 45 Giraudi and Frezzotti, 1995; Roberts and Michetti, 2004; Papanikolaou et al., 2005, 2013; Papanikolaou and Roberts, 2007; Faure Walker et al., 2009; Grützner et al., 2013, 2016; Bubeck et al., 2015; Mason et al., 2016, 2017; Mechernich et al., 2018, 2022) as well as <sup>36</sup>Cl cosmogenic dating (e.g., Benedetti et al., 2002, 2013; Palumbo et al., 2004; Schlagenhauf et al., 2010, 2011; Roberts et al., 2014; Tesson et al., 2016; Cowie et al., 2017; Mechernich et al., 2018, 2022; Goodall et al., 2021; Iezzi et al., 2021) have previously been successfully applied for NFS in the Mediterranean region, delivering valuable instructions
- 50 and benchmarks for our study. BFS and KFS closely resemble the abundant and well-studied NFS in the Central Apennines (Italy; e.g., those ruptured during the 2016/2017 earthquake series) as well as the Greek part of the Hellenides in terms of geomorphology, structural setup and length, showing evidence of segmentation and repeated co-seismic footwall exhumation during earthquakes (e.g., Papanikolaou et al., 2005, 2013, Grützner et al., 2016; Mason et al., 2016, 2017; Civico et al., 2018; Mechernich et al., 2018, 2022). While the formation of large-scale NFS in the extensional geodynamic settings of Italy and
- 55 Greece is barely surprising, and the NFS correlate with strong extensional earthquakes, it is puzzling that all known major instrumentally recorded earthquakes between southern Croatia and Albania (including e.g., *Montenegro 1979*, M<sub>w</sub>≈7.1, or *Durrës/Albania 2019*, M<sub>w</sub>≈6.4; e.g., Benetatos and Kiratzi, 2006; Papadopoulos et al., 2020) were exclusively contractional (Fig. 1). Two different explanations are discussed: (i) The NFS are formed syn-convergent, a phenomenon that has been frequently observed in other locations (e.g., Philip and Meghraoui, 1983; Nábělek, 1985; Avouac et al., 1992; Bennett et al.,
- 60 2012, Hicks and Rietbrock, 2015; Corrado et al., 2019; Riesner et al., 2021), or (ii) the NFS indicate a possible temporal transition in the kinematic behaviour of a mountain range a phenomenon rarely documented in detail. The hitherto inchoate understanding of the newly discovered Montenegrin NFS and the facts that (i) similar NFS in Italy and Greece are associated with major earthquakes that caused many casualties and severe economic losses from destroyed medieval villages and modern infrastructure (e.g., Chiaraluce et al., 2017, Table S4) and (ii) comparably small fault systems are generally underestimated in
- 65 terms of their seismic hazard (Grützner et al., 2013), underline the urgent need to further analyse such structures. In the

following, we present the methods and results of NFS mapping (structural and surface exposure indicators), cosmogenic <sup>36</sup>Cl sample collection, preparation and AMS dating, modelling of the <sup>36</sup>Cl data, and the resulting tectonic interpretations.

# 2 Geological setting

The BFS and KFS are located in the extreme south of the Dinarides, slightly north of the Dinarides-Hellenides transition. The 70 latter is marked by the ca. NE-SW-striking normal-transverse Shkodra-Peja fault zone (SPFZ, e.g., Handy et al., 2019, and references therein). Driver for the seismicity along the coast of Montenegro and Albania is the northward motion of the Adriatic microplate that bidirectionally subducts below the Balkan and Apennine peninsulas, creating almost mirror-imaged tectonic settings on both sides of the Adriatic Sea (e.g., Nocquet and Calais, 2004; Battaglia et al., 2004; Faccenna et al., 2014; Le Breton et al., 2017; Király et al., 2018). Both, the Dinarides-Hellenides and Apennine fold-and-thrust belts are characterized 75 by orogen-parallel, NW-SE-striking tectonic units, NE-SW-directed contraction along the deformation fronts is replaced by extensional domains in the hinterland (Fig. 1; e.g., D'Agostino et al., 2008; Nocquet, 2012) that are attributed to subductionrollback, gradually migrating towards Adria in both cases (e.g., Cavinato and de Celles, 1999; Dumurdzanov et al., 2005; Carminati and Doglioni, 2012; Handy et al., 2019). The restriction has to be made that the latter statements are not fully valid for the Southern Apennines, where continental subduction recently stopped (Patacca and Scandone, 2007) to replace frontal 80 thrust belt motion by strike slip faults involving the lower and upper plate (Di Bucci et al., 2006). Despite all similarities between Dinarides-Hellenides and Apennines, seismotectonic characteristics reveal major differences. In Italy, the most destructive earthquakes are commonly normal faulting events, creating distinct large-scale NFS in a pronounced, continuous hinterland extensional domain (e.g., Chiaraluce et al., 2017; Galadini and Galli, 2000). On the Balkan peninsula, the greatest risk emanates from contractional earthquakes with epicentres close to the Montenegrin/Albanian coast (e.g., Pondrelli et al., 85 2006; Copley et al., 2009; Chiaraluce et al., 2017: Papadopoulos et al., 2020; Vittori et al., 2020; Fig. 1). Known extensional tectonics and related earthquakes are restricted to the internal Hellenides south of SPFZ, resulting from a clockwise rotation of the Hellenides segment with respect to the Dinarides (e.g., Jouanne et al., 2012; Facenna Faccenna et al., 2014; Handy et al., 2015). NFS are poorly developed in these regions of Albania (Handy et al., 2019) while they were hitherto entirely unknown in Montenegro. The discovery existence of BFS and KFS (Figs. 2 - 4; Figs. S1 & S2; Table S3) is therefore striking in two 90 respects: (i) They are a rare example of well-developed NFS in the Dinarides-Hellenides north of Greece. (ii) They are – surprisingly – not located in the hinterland, where extensional focal mechanisms are well documented, but as close as 4 km from the coast, in a fold-and-thrust belt segment solely characterized by horizontal contraction, evidenced both in the geological structures and in the predominance of reverse faulting focal mechanisms (Fig. 1). Structurally, the BFS and KFS

95 <u>Kruja-Dalmatian Units</u> at high angles. The Budva-Cukali Unit <u>– hosting the major proportion –</u> is a regionally important tectonic nappe in the Dinarides-Hellenides consisting mainly of Mesozoic pelagic sediments topped by Paleogene synorogenic

are cutting the -30°-uniformly NE-dipping limestone beds of the Budva-Cukali-Unit, High Karst, and (to a very small extent)

deposits (Fig. 4). Its emplacement onto the underlying Kruja-Dalmatian Unit is dated to between the Late Eocene and Middle Miocene (e.g., Schmitz et al., 2020).

In the study area, along the Rumija mountain front, only the uppermost part of its stratigraphic section appears as a -50 m≤5 km wide corridor between the structurally underlying Kruja-Dalmatian and the overlying High Karst tectonic units. Furthermore, the Budva-Cukali Unit appears in remnants at the base of the High-\_Karst Unit in two isolated nappe outliers (Fig. 4). For more detailed information on the regional geology of the area, the reader is referred to, e.g., Biermanns et al., 2019; Handy et al., 2019; Schmid et al., 2020; and Schmitz et al., 2020. Current horizontal shortening rates for the region lie in the range of 3-5 mm/yr (Kotzev et al., 2008; Jouanne et al., 2012; Devoti et al., 2017), while vertical uplift rates were estimated to around 1- mm/yr (Biermanns et al., 2019 *and references therein*).

#### 3 Methods

## 3.1 Fieldwork

# 3.1.1 Structural and morphological mapping, site selection and fault scarp profiling

- The full extent of BFS and KFS was geologically and structurally mapped to gain details of fault morphology and orientation,
  fault striae, surface roughness and lichen growth (Fig. 4; Figs. S5 S8). Particular attention was directed to the identification and mapping of striation-perpendicular, ca. slope—parallel horizons ("ribbons") on the fault planes, interpreted to display coseismic displacements per earthquake event (Figs. 3 B and S6; more detailly described in Section 4.1) Based on the mapped fault lengths (Table S9) and an evaluation of the mentioned horizons, we estimated earthquake magnitudes after Wells and Coppersmith (1994). Furthermore, four representative sites (BFS<sub>N</sub>, BFS<sub>S1</sub>, BFS<sub>S2</sub> and KFS; Figs. 4 & S1; Table S3) were selected (i) to collect samples for <sup>36</sup>Cl dating (site BFS<sub>N</sub> only; see following Section 3.1.2) and (ii) to construct topographic profiles across the fault scarps as a basis to estimate low-resolution long-term (post-Last Glacial Maximum, LGM) slip rates based on topographic profiles across the fault scarps for comparison (Fig. 5, following examples of e.g., Papanikolaou et al., 2005; Mason et al., 2016; see also Section 5.3). For this technique, it is assumed that the preservation of NFS initiated ~15 ± 3 kyr ago, following the demise of the LGM in the Mediterranean region (e.g., Benedetti et al., 2002; Papanikolaou et al., 2005; Giraudi & Frezzotti, 1995). Until then, periglacial conditions allowed slope degrading processes to exceed fault throw
- rates. Post-LGM warming, waning freeze-thaw cycles and slope stabilization by vegetation allowed fault throw to outpace slope degradation, thus forming pronounced NFS (e.g., Papanikolaou et al., 2005). The four sites fulfil all essential requirements like minimum erosion and deposition, flat scarp base, intact scarp surface and representative striations (e.g., Bubeck et al., 2015; Cowie et al., 2017; Mechernich et al., 2018). The fault planes were cleared from vegetation, followed by
- 125 a thorough structural survey including the immediate surroundings. Profiles were measured by broomstick and clinometer parallel to striations in 1-m steps, ~50 m upslope and downslope the NFS. The entire NFS height consists of two sections: (i) height of the distinct free-face and (ii) degraded NFS height, interpolated from hanging wall and footwall slope (Fig. 5). Based

on these, we calculated two sets Both portions are incorporated in the calculation of a generalized post-LGM ( $\frac{1815}{15} \pm 3$  kyr, e.g., ) slip rate (Table S10Papanikolaou et al., 2005; for discussion of LGM timing and interpretation of the degraded fault

- 130 scarp, see Section 5.3) slip rates for each site: (i) A conservative one, only considering slip on the visible free face and (ii) a progressive one, incorporating the degraded NFS in prolongation of the free-face (Table S10). Since the calculation principle integrates the NFS heightsheight over a full post-LGM time period, the method only yields hypothetical constant slip rates but does not resolve e.g. slip clustering or disclose possibleactual phases of fault activity (e.g., with slip clusters) or quiescence. Despite these weaknesses and the availability of an absolute <sup>36</sup>Cl dating for site BFS<sub>N</sub> (see Section 3.1.2), we still consider the
- 135 comparison of topography-based slip rate estimations a reasoned benchmark in the frame of our study: (i) The mentioned Those sites not dated by the <sup>36</sup>Cl method are considered. (ii) The degraded scarp at site BFS<sub>N</sub> (which is not included in <sup>36</sup>Cl dating) can be incorporated in considerations and related with the <sup>36</sup>Cl-dated free-face. (iii) The four sites are distributed across different sections of BFS and KFS (Fig. 4) of which each has a distinct setup (e.g., structurally and in terms of exposition), (ii), allowing for a comparison and rating of these sites. (iv) The qualitative calculation normalised to a full post-LGM time
- 140 frame sets an absolutea lower limit in terms of slip rates and earthquake recurrence intervals.

# 3.1.2 <sup>36</sup>Cl dating: sampling procedure

Samples for <sup>36</sup>Cl dating were taken at site BFS<sub>N</sub> (introduced in Section 3.1.1; Figs. 3 E, 6 & S2; Table S3). For extraction of datable rock samples, a trace across the highest part of the outcrop wall was defined parallel to the visible striations (Fig. 6 C & F;-q.v. Mechernich et al., 2018). To achieve an adequate resolution for the reconstruction of long-term slip rates and seismic
events, we chose a sample spacing of ~50 cm parallel to slip direction whilst avoiding confounding factors (e.g., joints, Fig. 6 F). This sample spacing is rather large compared to previous studies of cosmogenic fault scarp dating and hence it is not possible to identify the stepwise <sup>36</sup>Cl concentration pattern (so-called cusps, e.g. Schlagenhauf et al., 2010). In our study, this is not a disadvantage, since the capable offsets of the small faults are lower than the ~2 m coseismic offset required for the generation of a stepwise <sup>36</sup>Cl concentration pattern. We take the low sample density into account in the modelling approach.

- As published, such resulting modelled slip rates are comparable to slip rates derived from dense (continuous) sampling (Beck et al. 2018; Iezzi et al., 2021). Generally, the multiparametric approach of our study compensates the low sample amount, as conclusions and interpretations are not solely contingent upon  $^{36}$ Cl dating-based input data. The lowermost samples were collected ~1 m below the scarp base by manual excavation of a trench (Fig. 6 C). These buried samples are essential to characterize pre-exposure conditions (e.g., Cowie et al., 2017). The previously marked 15 x 5 cm sample blocks were carefully
- 155 extracted with the help of an angle grinder, hammer and chisel (Fig. 6 D F). Subsequently they were marked according to their distance from the scarp base and packed for shipping. To quantify the risk of insolation weathering at the sampling sites, exposure angles were determined in  $10^{\circ}$  steps with the help of a clinometer.

#### 3.2 <sup>36</sup>Cl dating: sample preparation and data modelling

#### 3.2.1 Sample preparation

- 160 Based on a spacing of 100-200 cm (striation-parallel distance on the fault plane), six sample blocks from sampling site BFS<sub>N</sub> were prepared at the Institute of Geology and Mineralogy of the University of Cologne. Weathered parts and pore surroundings were carefully removed with a rotary tool before crushing and sieving. The following chemical treatment and the measurement at the CologneAMS facility were performed as described in Mechernich et al. (2018). Resulting <sup>36</sup>Cl/<sup>35</sup>Cl, <sup>36</sup>Cl/<sup>37</sup>Cl, and <sup>35</sup>Cl/<sup>37</sup>Cl ratios were used to calculate the concentrations of <sup>36</sup>Cl and natural chlorine (Cl<sub>nal</sub>). Their reliability is confirmed by
- 165 the simultaneous preparation of CoCal-N <sup>36</sup>Cl standard material (Mechernich et al., 2019) and one blank in the respective batch. The blank subtractions were 0.8–1.7 % (Table S11). The calculated <sup>36</sup>Cl concentrations of the 6 analysed samples range from ~7 × 10<sup>4</sup> at/g rock at 0.55 m below the scarp base to ~2 × 10<sup>5</sup> at/g rock at a height of 5.8 m above the scarp base. In general, the concentrations are continuously increasing with fault scarp height (Fig. 7). The Cl<sub>nat</sub> concentrations are very low, from 6 to 17 µg/g (Table S11). One replicate sample was prepared and measured in Cologne (Table S11). An aliquot of each
- 170 dissolved sample was analysed by in-house ICP-OES at the University of Cologne to determine the concentrations of the principal <sup>36</sup>Cl target elements, Ca, K, Ti, and Fe. The ICP-OES Ca concentrations of the BFS<sub>N</sub>-samples range from 38.9% to 40.0%, indicating local variabilities (Table S13) with a minor impact on the <sup>36</sup>Cl production rate. We used one non-treated free-face sample from the BFS<sub>N</sub> site as a reference for the assumed thermal and epithermal neutron flux and thus constrain production of <sup>36</sup>Cl on <sup>35</sup>Cl. Equally, trace element analyses on this sample were used for the <sup>36</sup>Cl production estimate (Table
- 175 S13). Both analyses were performed by Actlabs (Canada). For the hanging wall composition, we used the soil composition of the colluvium.

# 3.2.2 <sup>36</sup>Cl scarp modelling method and parameters

To determine earthquake ages and slip rates from the <sup>36</sup>Cl concentrations, we used the Matlab® code of Schlagenhauf et al. (2010) that models synthetic <sup>36</sup>Cl concentrations while accounting for all influencing factors, i.e., the time-dependent variability of the fault scarp geometry, the chemical composition and the respective amount and timing of progressive exhumation. The code was adapted to the large sampling spacing and <u>furthermore</u>, the mapped ribbon heights were added to the input parameters. All input parameters are described in the following passages and in Tables S11 – S13. Several parameters have an influence on the production of cosmogenic <sup>36</sup>Cl, which typically extends from several meters to tens of meters below the surface. In addition to the chemical composition and density of the bedrock scarp and the colluvial wedge (Table S12), the

<sup>36</sup>Cl production rate depends strongly upon the rate at which the scarp is exhumed. Continuous accumulation of <sup>36</sup>Cl in the footwall rock occurs both in the shallow sub-surface (inherited or pre-exposure component) and to the largest part after sub-aerial exposure and growth of the fault scarp (e.g., Schlagenhauf et al., 2010; Mechernich et al., 2018). This typically leads to increasing <sup>36</sup>Cl concentrations with fault scarp height, although this is somewhat complicated by erosion of the scarp free-face, whereby <sup>36</sup>Cl in the rock is reduced by weathering. Furthermore, production rates have to be scaled appropriately to the local

- and distant shielding of the site from cosmic rays and to changes of production through time due to geomagnetic field effects. The average density of the limestone samples was determined using the sample weight and their volume by suppression in water yielding 2.55 g/cm<sup>3</sup>. The density of the colluvium was estimated at ~1.5 g/cm<sup>3</sup>; more specific measurements were not undertaken due to local variabilities in the clast occurrence and humidity impact. We used a <sup>36</sup>Cl production rate of 48.8 ± 3.4 at/g/yr from Ca-spallation (Stone et al., 1996) as it is derived from a similar latitude (39°N), a rather comparable altitude (1445
- 195 m a.s.l.) and integrates over a time-span of 17.3 kyr, which is appropriate for our postglacial focus. All further production rates used are given in Table S13. Scaling with respect to latitude and elevation was performed using the Stone (2000) scaling scheme assuming a constant geomagnetic field intensity. The geometry of the fault as derived from the topographic profile (Fig. 5) is used to calculate shielding factors for the time-dependent self-shielding during the progressive exhumation of the fault plane. Thereby, 33° was used for the dip of the hanging wall, 56° as dip of the fault plane, 35° as dip of the footwall and
- 200 22.2 m as the total displacement of the hillslope- (Fig. 5). An additional topographic shielding does not occur since the mountains in sight occur just insignificantly above the horizon. There is a significant local variation in the amount of weathering of the exposed fault plane, ranging from zero at locations with preserved slickensides (0-3 m of the free-face height) to ~3 cm at the solution flutes. While the fault plane at the scarp base is smooth at a mm-scale, rock surface relief at 8.0-8.8 m height is 2-8 mm around the sampling line. Assuming this as the minimum amount of erosion and using a preliminary
  - 205 calculated <sup>36</sup>Cl-age estimation of ~15 kyr at 8.8 m height, we estimate anthis would correspond to a minimum erosion rate of ~1-0.13 to 0.53 mm/kyr at our samplesampling locations. If the whole fault scarp would be of postglacial age (~15 kyr at 22.2 m height, i.e. ~6 kyr at 8.8 m height), the erosion rates would be 0.33 to 1.3 mm/kyr. Such a low rate was also observed on other fault planes in carbonates (Goldberg et al., 2016; Mechernich et al., 2018). The parameters used in, 2022). In our further analysis, we focussed on the likely value of 1 mm/kyr erosion. For the numerical modelling of the <sup>36</sup>Cl concentration-with, we
  - 210 <u>applied</u> the Matlab® code *modelscarp.m* presented in Schlagenhauf et al. (2010<del>)</del>). <u>All parameters used</u> are displayed in Table S14. The code was used by iteratively modelling constant slip rates of the <u>Bar faultBFS</u>, which fit the measured <sup>36</sup>Cl concentrations best. The modelling of "constant" arbitrary slip rates is done by using simple scenarios of a stick-slip behaviour with 6-15 cm coseismic offsets (as suggested by the mapped ribbons; approach is described in detail in the Supplementary information of Mechernich et al., 2018). The uncertainty of the constant slip rate is mainly based on the <del>coverage of the</del>
- 215 measured <sup>36</sup>Cl concentrations-and some minor points like, the amount of coseismic offset, and additionally on external effects which are not incorporated in the given slip-\_rate uncertainty (e.g. deviations of production rate, shielding). We applied the criteria that all scenarios covering the 68% uncertainties of at least 3 of the 5 <sup>36</sup>Cl samples are considered, so that a 1σ in <sup>36</sup>Cl internal uncertainty of this constant slip rate is derived. To approach the lowest possible slip rates on the Bar faultBFS, we additionally applied a "sliding scenario" for the fault scarp part above the sampled part of the free-face, even though we did
- 220 not find any indicators of such a "sliding event". Here as well we used the code *modelscarp.m* and iteratively tested which amount of sliding at which time results in the lowest slip rate for the sampled part of the free-face. The slide itselfA hypothetical rockslide was modelled using a large offset within a small amount of time (i.e., 1 yr). To calculate the ages of the last earthquake events, we used the resulting slip rate on the Bar fault together with the amount of coseismic offset based on the ribbon

<u>locations. An uncertainty propagation was included by considering the uncertainties of the slip rate and the amount of coseismic</u>
 offset.

#### 4 Results

# 4.1 Structure and morphology of the fault scarps

Both, the BFS and KFS crosscut the ~30°-45° northeast-dipping beds of the Budva-Cukali, High Karst and Kruja-Dalmatian tectonic zonezones at high angles (Figs. 54 & S5). Bedrock limestone in the footwall is juxtaposed against carbonatic, partly 230 cemented colluvium and slope scree in the hanging wall, consisting of cm- to m-sized clasts (Figs. 3 B & C). The fault zone is marked by a cataclastic fault breccia of  $\geq 1$  m width (Fig. 3 D). Fault dip directions, pervasive slickenside striations, slickenfibres, Riedel shears, well-developed triangular facets and wine-glass-shaped valleys (Fig. 3 A & C; e.g., Dramis and Blumetti, 2005) prove active normal faulting. The total throw of the NFS is estimated to max. ~200 400 m. The 200 m frame is based on the offset of stratigraphic markers across KFS (see cross sections in Fig. 4-C). The 400 m frame is based on an analysis of topographic cross sections across BFS, where a clearly perceptible knickpoint (~850 m a.s.l.) marks the NFS ~400 235 m below the overlying highest parts of the Rumija ridge (~ 1250 m a.s.l.). Free faces are 1-9 m high in domains with negligible erosion (Figs. 3 EFree-faces are 1-9 m high in domains with negligible erosion (Figs. 3 E, 5 & S2 C), and up to 40 m in domains of strong hanging wall erosion, e.g., where gullies occur (Fig. 3 A & C). Fault planes frequently exhibit ~5–50 cm wide, horizontal and sharply bound ribbons of distinct colour and roughness, increasingly better preserved toward the scarp 240 base (Figs. 3 B & S6). Boundaries between the ribbons are ca. perpendicular to local fault plane striations and therefore mostly slope-parallel. Their distance to the present-day scarp base is nearly <u>but not perfectly</u> constant. Across these boundaries, widths of karstic solution flutes, surface roughness, lichen growth and micro-karstification decrease stepwise toward the scarp base (Fig. S6). While the lower ribbons are partly correlatable over longer distances, the higher-up ones are often hardly distinguishable and defaced local occurrences. Individual ribbons can be correlated across several locations in terms of their 245 width and habitus (Fig. S7). However, they are rather isolated occurrences that do not enable a gapless tracing along the fault planes. The highest density of perceptible single horizons was encountered on BFS<sub>5</sub>. The ribbons are interpreted as single exhumation events (e.g., Mechernich et al., 2018, 2022 and references therein; for further discussions see Section 5.1) and therefore used as an input parameter for <sup>36</sup>Cl dating (see Sections 3.2.2 and 4.2.2). The BFS dissects the slopes of Mt. Lisinj (Fig. 2). Approximately midway along its ~5-km-extent, it changes its mean fault plane orientation from moderately steep 250  $(\sim 55^{\circ})$  ca. NNW-SSE striking in the north, to steep  $(\sim 70^{\circ})$  ca. east-west striking in the south (Figs. 4, S5 and S7 A & B). The transitional area between the northern ( $BFS_N$ ) and southern ( $BFS_S$ ) section of BFS presents itself rather complex, with an apparently diffuse array of multiple fault planes (Figs.4, S1 & S8; Table S3). Despite fewer conclusive outcrops, the pervasiveness of faults in bare rockbedrock and relays at the transition between major branches of BFS<sub>N</sub> and BFS<sub>S</sub> (mapped

by means of remote sensing) suggest a likely prove an interconnection of both BFS sections (Fig. S15)... The formation of

255 distinct NFS outcrops (like in most other locations) is likely prevented by lower offset as a result of slip distribution among

multiple fault branches. Ribbon abundance and widths are comparable along the full length of BFS (Fig. S7 A & B). KFS follows the southern slopes of the Rumija mountains for >7 km and crosscuts thrusts at the base and top of the Budva-Cukali

- 290 zone (Fig. 4). A connection between BFSs and KFS is conceivable, as suggested by (i) a similar mean fault plane orientation and (ii) an interjacent penetrative step in terrain steepness (Fig. S8). However, a lack of intermittent outcrops for ~3 km along-strike and less abundant ribbons (Fig. S7 C) render such correlation less certain. Along all NFS sections, fault planes reveal systematic undulations and corrugations with wavelengths up to several meters (Fig. 3 C). The trends of striations follow the mean fault plane orientation, indicating dominant dip-slip kinematics. A tendency to increasing strike-slip components away
- 295 from the section centres creates patterns of radially outward-diverging striations (Fig. S5). At several locations along BFS<sub>N</sub> and KFS, transfer faults were mapped (Fig. 4 A). These faults branch away obliquely from the main fault plane, sometimes at high angles. The free—faces formed by these faults are commonly less high (~1 4 m) as compared with the main NFS, but otherwise show the same characteristics (slickenside striations, undulations etc.). The western end of KFS is marked by a large-scale (40stens of meters high; cleared by an adjacent stream) fault plane that deviates northward from the main KFS trend by
- 300 almost 90° (Fig. 4 A) and features slickensides indicative of strike-slip movement. Geometric considerations of the thickness of the Budva-Cukali Unit and the degree of folding of its tectonic contacts yield a maximum throw of ~200 m across KFS: Fig. 4 B illustrates an hypothetical offset of 0 m, assuming that the outcropping width of the Budva-Cukali Unit at the front of the Rumija mountain range goes back to an unfaulted (~600 m thick) state of the unit. Fig. 4 C shows a lower thickness of the Budva-Cukali Unit (~380 m), which can only achieve the required outcropping width along the mountain front by artificially
- 305 thickening the unit by faulting with an offset of ~200 m, which represents the maximum geometrically conceivable throw. With a larger offset and constant thickness, the contact between the Kruja-Dalmatian and Budva-Cukali Units would no longer be congruent with the geological map. The opposite (southwestward) dipping nappe contacts between the Kruja-Dalmatian, Budva-Cukali and High Karst Units at the Mt. Vladimir nappe outlier prove the existence of an eroded anticlinal hinge, which supports the scenario shown in Fig. 4 C. Thus, an offset close to 200 m is likely. Obtained higher slip rates (Table S9) and topographic cross sections across BFS<sub>N</sub>, where clearly perceptible knickpoints (~850 m a.s.l.) mark the NFS ~400 m below the overlying highest parts of the Rumija ridge (~1250 m a.s.l) suggest that the offset across this NFS section may be slightly.

#### **4.2 Proxies for fault scarp exhumation**

#### 4.2.1 Slip rate and magnitude estimates derived from fault scarp profiling, surface rupture lengths and ribbons

higher. However, a lack of convenient markers (such as those presented for KFS) prevent sufficiently reliable estimations.

For all four NFS sampling sites (i.e., also those that were not dated by means of the <sup>36</sup>Cl method), sets of conservative and progressive minimum slip rates were we calculated post-LGM slip rates according to the simplistic procedures described in Section 3.1.1. The obtained conservative rates range between  $0.3451 \pm 0.0712$  (site BFS<sub>s2</sub>) and  $1.46 \pm 0.49 \pm 0.1037$  (site BFS<sub>N</sub>) mm/yr. The progressive rates vary between  $0.41 \pm 0.08$  (site BFS<sub>s2</sub>) and  $1.23 \pm 0.25$  (site BFS<sub>N</sub>) mm/yr (Table S10). Measurements of earthquake-related ribbons (see also Section 4.1) in a total of 48 sites (Fig. S7) revealed up to five horizons

- 320 per location, with 15 cm average and 5-50 cm individual ribbon width. An average displacement of 15 cm/event on aat the studied representative free face (e.g., at site BFS<sub>S1</sub>,  $\approx$ 6.5 m high)NFS sites yields an approximate average recurrence intervalintervals of  $\sim$ 100-400 yrs. The lower three horizons at site BFS<sub>N</sub> (from bottom to top: 15 ± 1 cm; 11 ± 1 cm; 5.5 ± 1 cm) were used as input parameters for (the more reliable)-<sup>36</sup>Cl dating-derived earthquake ages with significantly different results (see following Section 4.2.2). Rough magnitude calculations after Wells and Coppersmith (1994) were based on the
- 325 input parameters (ribbon widths and fault lengths) presented in Table S9. As particularly the connection between different NFS sections and NFS genesis are not trivial, we use different presumptions and calculation methods. Derived magnitudes range from  $M_w \approx 5.3$  to 6.5.

# 4.2.2 Slip rates and earthquakeEarthquake ages obtained from ribbons and <sup>36</sup>Cl dating

The modelling of the  ${}^{36}$ Cl concentrations on the BFS<sub>N</sub> free-face highlights that the measured  ${}^{36}$ Cl pattern can be generated by 330 a constant slip rate of  $1.5 \pm 0.1$  mm/yr (Fig. 7 A). Since all five samples are aligned pretty well to fit this synthetical slip rate of ~1.5 mm/yr, we feel that it is robust. Tests of using different amounts of coseismic offsets did not reveal significant deviations. The given uncertainty does not include external factors like the ~10% production rate uncertainty which affects all <sup>36</sup>Cl samples in the same way. However, it covers the 68% confidence interval for at least 3 of the 5 <sup>36</sup>Cl samples (Fig. 7 A) and can hence be considered as  $1\sigma$  internal reliability. Based on this uncertainty we did the further calculations of free-face 335 and fault scarp ages as well as earthquake ages. The retrieved slip rate suggests that the 8.8 m-high free-face was most likely exhumed within the last  $5.9 \pm 0.4$  kyr (Fig. 7 B) and the according fault scarp age is presumably  $14.8 \pm 1.0$  kyr (Fig. 7 B). The earthquake ages were estimated by injecting the mapped coseismic slips of the ribbons around site BFS<sub>N</sub> ( $15 \pm 1$  cm for EQ1,  $11 \pm 1$  cm for EQ2 and 5.5  $\pm 1$  cm for EQ3) in the <sup>36</sup>Cl-model (this method is described in detail in Mechernich et al., 2018). The resulting earthquake ages are  $100 \pm 14$  yr (EQ1),  $173 \pm 24$  yr (EQ2) and  $210 \pm 29$  yr (EQ3; Fig. 8). These ages also 340 consider the uncertatinties of the <sup>36</sup>Cl production rates. Hence, the earthquake recurrence interval appears to be around 35-100 yrs. Since the slip rate of  $1.5 \pm 0.1$  mm/yr is very highligher than expected, we tested how to receive the lowest possible slip rate explaining the <sup>36</sup>Cl data. An erosion of the fault plane itself can be ruled out, since slickensides are visible at least within the lower ~3 m of the free-face, and also previous studies report that such faults indicate very low erosion rates (e.g. Goldberg et al., 2016). To be as open-minded as possible, we used any hypothetical scenario, without correlation to the local mapping. 345 The lowest slip rate on the samples sampled free-face is achieved when minimizing its inherited component of <sup>36</sup>Cl which is generated in the subsurface. The minimum amount in inheritance is caused by a very fast exhumation of the upper scarp, e.g. by a sliding event, which exhumes 14.5 m in a very fast time (1 yr in the modelling code; Fig. 7 C & D). Before this hypothetical sliding event, the hillslope was exposed for an arbitrary 200 yrs (from  $6.7 \pm 0.6$  kyr to  $6.5 \pm 0.6$  kyr; Fig. 7 D). After the hypothetical sliding event, the stick-slip modelling as described above revealed the best fitting for a slip rate of 350  $1.15 \pm 0.10$  mm/yr during the last 6.5  $\pm$  0.6 kyr (Fig. 7 C). Hence, we highlight that the slip rate of the Bar faultBFS during the last ~6 kyr was surely higher than ~1.15 mm/yr and presumably around  $1.5 \pm 0.1$  mm/yr. <u>Also, alternative interpretations of</u> the few-<sup>36</sup>Cl data points is conceivable, but they are significantly more complicated, not underlined by field findings and hence considered less likely. The reliability and uncertainties are evaluated in the discussion Section 5.2.

#### **5** Discussion

#### 5.1 Interpretation of ribbons, surface rupture lengths and earthquake magnitude estimation

The described ribbons on fault planes (see Section 4.1) are often correlatable across several locations based on their habitus and constant widths, while commonly showing sharp boundaries (Figs. 3 B, S6 & S7 A-C). We interpret these characteristics to exclude any kind of gradual or localized exhumation by erosion or gravitational processes (see also Section 5.4). For example, erosion from human or animal activity (despite being very unlikely in such remote, steep and overgrown terrain) 360 would neither yield such constant ribbon widths over long distances, nor would it account for repeated, cm-scale exhumation in relatively large time steps (as suggested by the different states of surficial weathering, see Section 4.1). Weather/climate-(i.e., precipitation-) related exhumation would show more gradual transitions between individual ribbons and/or create inconstant ribbon widths due to (topography-related) non-uniform surface runoff. Snow – as the only conceivable weatherrelated factor – is ruled out, since it is an extremely short-lived phenomenon in this coastal climatic setting at low elevation. 365 Aside from the local snow's non-existent capability of leaving distinctly visible marks, it would possibly never create such uniform ribbon widths, as snowdrifts would certainly yield variable thicknesses of the snow blanket. Gravitational sliding of material at the scarp base may occasionally occur. However, we regard this as a phenomenon restricted to particularly susceptible (<u>'exposed'</u>, ('exposed'', steep) locations along the fault scarp. Therefore, such processes would equally not create uniform ribbon widths over long distances, but rather deface existing ones. Based on this argumentation, the attribution of the 370 observed ribbons to individual seismic events is highly ascertained. This underlines the interpretation of the ribbons as earthquake horizons (e.g., Mechernich et al., 2018) and technically qualifies the ribbonsthem as input parameters for magnitude calculations after Wells and Coppersmith (1994). Minor error sources are misinterpretations of displacement per event, as ribbons may be defaced and overseen. When using fault lengths as input data for the Wells and Coppersmith (1994) method, incorrect recognition of the actual fault lengths constitutes a similar minor error source (see also Section 4.2.1; Table S9). The 375 most severe error source, however, is the application of the empirical approach itself. For our setting with short fault lengths and relatively low magnitudes (i.e.,  $M_w < 6$ ), Wells and Coppersmith (1994) only present limited data so that the adequacy of this statistical method is questionable. Depending on the interpretation of our NFS in a per-se contractional setting (see Section 5.4), they may portray magnitudes ranging  $\sim 1$  M below the actual magnitude evoked by the rupture of first order thrust faults - a phenomenon that can, e.g., be observed on Crete, where  $M_w \approx 8$  (or higher) uplifted the western part, whereas onshore

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range of the Montenegro 1979 earthquake, which are likelier to produce crustal ruptures of such scale (e.g., McCalpin, 1996).

#### 5.2. Reliability of slip rates and ages from <sup>36</sup>Cl dating (site BFS<sub>N</sub>)

The applied forward modelling method accounts only for the analytical <sup>36</sup>Cl uncertainties and not for the uncertainties of the

- 385 parameters introduced in Section 3.2.2 and Table S13. Changes of these input parameters would shift the modelled earthquake ages to older or younger values, without changing the relative recurrence interval (e.g., Mechernich et al., 2018). The largest effect of such a parameter change is related to the <sup>36</sup>Cl production rates from Ca-spallation or muon capture. A change of these two rates in the frame of published uncertainties would systematically shift all ages and slip rates within ~10%. This shift is included in the age calculations but not in the slip rate calculations. Furthermore, the estimated parameters for the density of
- 390 the colluvium, the erosion rate, and the apparent pre-exposure duration can cause similar shifts of the calculated ages. Changes in the erosion rate, e.g., using the minimum erosion rate of 0 mm/kyr would result in 3% younger ages at the top of the freeface compared to the used 1 mm/kyr which was chosen based on the 2-8 mm of relief at the top of the free-face. Due to the large degraded part of the fault scarp, the choice of the apparent pre-exposure duration has no impact on the restored slip history of the free-face. According to the mapping results of the ribbons, we suppose a stick-slip behaviour of the NFS as the
- 395 most likely scenario for the interpretation of the <sup>36</sup>Cl data. The few data points itself would indeed leave a margin for other scenarios, e.g., a landslide/rockfall that exhumed the degraded part of the scarp ~6.5 kyr ago, followed by free-face exhumation (Fig. 7 C & D). However, this is ruled out by the fact that no indicators of landsliding were found in the hanging wall at all. Several more complicated scenarios are possible but they would require a larger amount of samples and could be to topic of future studies. This study aimed to estimate the slip rate of the free-face, which is likely to be 1.5 ± 0.1 mm/yr, owing to the
- 400 clearly increasing <sup>36</sup>Cl concentrations with scarp height and the mapping of the ribbons and surrounding geology. Also the very young past earthquake ages of  $100 \pm 14$  yr (EQ1),  $173 \pm 24$  yr (EQ2) and  $210 \pm 29$  yr (EQ3) are quite robust according to the combination of <sup>36</sup>Cl data with the mapping of exposure duration. A comparison of the obtained ages with records of (historical) strong earthquakes yields well-fitting and reasonable matches for the two younger proposed earthquakes: EQ 1 ( $100 \pm 14$  yr) may either correspond to the *Shkodra 1905* ( $M_w \approx 6.6$ ; e.g., Kociaj and Sulstarova, 1980; Aliaj et al., 2010) or the
- 405 <u>Durrës 1926 (Mw</u> $\approx$ 6.2; e.g., Sulstarova et al., 1980; Aliaj et al., 2010) event. EQ 2 (173 ± 24 yr) is likely related with the 1855 earthquakes near Shkodra (up to 8 degrees on the MSK scale; Aliaj et al., 2010), or alternatively the 1869 (Mw $\approx$ 6.2)/1870 (Mw $\approx$ 6.7) events near Durrës (Papazachos and Papazachou, 2003). Concerning the upper part of the fault scarp, we have a lack of data for clear. An extrapolation of the ~1.5 mm/yr of slip on the free-face would result in a fault scarp age of 14.8 ± 1.0 kyr. This is a reasonable age also found for several fault scarps in the high altitude of the Apennines (e.g. Cowie et al., 2017; Beck
- 410 et al., 2018): and corroborated by our LGM-normalized calculations (see following Section 5.3). The estimated ~200-400 m offset presented in Section 4.1 indicate incipient activity of the Barintroduced normal faultfaults. Extrapolation of the calculated slip rate of ~1.5 mm/yr and using thea maximum offset of 400200 m results in a potential initiation time ~270135 kyr ago. It is stressed that this estimation does not take possible quiescence intervals into account in the long term. As an absolute maximum age for fault initiation, we define the Early Oligocene (~30 myr). By that time, the Budva-Cukali Unit was
- 415 conclusively emplaced on top of the Kruja-Dalmatian Unit a milestone that certainly pre-dates NFS initiation, as BFS and

# 450 5.3 Reliability of slip rates obtained from fault scarp profiling

- As described in previous chapters,  ${}^{36}$ Cl dating was conducted for one site (BFS<sub>N</sub>) only. To enable a comparison of the different (structurally and exposure-related distinct) sections of the fault scarps nonetheless – and to provide at least one benchmark for the obtained  ${}^{36}$ Cl dating results – we invoke the rather simplistic technique of fault scarp profiling (see also Sections 3.1.1 and 4.2.1) for slip rate derivation. For this technique, it is assumed that the preservation of NFS initiated around the LGM in the Mediterranean region (e.g., The applied slip rate estimation relating free-face/NFS heights with a proposed post-LGM period 455 of ~15 ± 3 kyr, holds three main error sources: (iBenedetti et al., 2002; Papanikolaou et al., 2005; Giraudi & Frezzotti, 1995). Until then, periglacial conditions allowed slope degrading processes to exceed fault throw rates. Post-LGM warming, waning freeze-thaw eyeles and slope stabilization by vegetation allowed fault throw to outpace slope degradation, thus forming pronounced NFS (e.g., Papanikolaou et al., 2005). 460 The applied slip rate estimation using free face/NFS heights holds three main error sources: (i) The local impact of LGM elimate on erosion at all, (ii) the exact timing of initiating fault scarp preservation – in case it was effectively impeded during glaciation, (ii) the local impact of LGM climate on erosion at all – and (iii) the interpretation of NFS geometry. Being aware that the exact timing of the (local) LGM is a matter of debate, we synthesized  $\frac{1815 \pm 3}{5}$  kyr as an adequate time frame for our location (based on available data and literature from surrounding areas in the Balkans, Greece and Italy; e.g., Giraudi, 1995; 465 Giraudi and Frezzotti, 1995, 1997; Allen et al., 1999; Kuhlemann et al., 2009; Papanikolaou et al., 2005, 2013). However, the The large variety of ages presented in literature (for comparison, e.g., Pope et al., 2017; Pavlopoulos et al., 2018) still eause causes us to admit a rather large given degree of uncertainty concerning this value. The estimation of errors connected to (iii) and (iii) is no less complexequally non-trivial and related to both tectonic and climatic/erosional impacts. Even if the above specified LGM-timing is accurate for a broader region, small-scale local variations may exist, e.g., as a result of a 470 location's elevation, exposition and microclimate. When interpreting the NFS geometries at BFS and KFS, the transient zone between the characteristic free-face and regional hill slope – the so called "degraded scarp" – is striking. It forms part of the full NFS height and is constructed by an interpolation of free-face and regional hill slope (Fig. 5). The full NFS height is typically used as an input value to calculate generalized post-LGM slip rates (e.g. Papanikolaou et al., 2005, it has to be kept in mind that unknown proportions of the presently degraded fault scarps were formed both pre/syn-and post\_LGM. The effect 475 of ongoing (but reduced) erosion after the LGM may highly vary for different segments. The KFS and BFSs are south (i.e.,
- not sea ) facing and better protected by vegetation. Especially for BFS<sub>s</sub>, dissection by erosional gullies is minimal. Free faces are steep and moderately high, degraded scarps less developed and earthquake ribbons well-visible and abundant (). Our studies based on <sup>36</sup>Cl dating suggest that the highest part of the BFS<sub>N</sub> free-face was exhumed ~6 kyr ago, yielding slip rates of ~1.5 ± 0.1 mm/yr. For the same site, our LGM-normalized/profile-based calculation yields an annual slip of 1.46 ± 0.4 mm

Fo Enc along the full NFS height (Table S 10) of 22.2 m. Since these values are strikingly well-fitting, we are confident that the hypothesis of a post-LGM formation of both, the free-face and degraded scarp, but also the assumed timing of initiated fault scarp formation (i.e., ~15 kyr) are appropriate. Based on our results from the only <sup>36</sup>Cl-dated site BFS<sub>N</sub>, we are largely
 515 convinced that our profiling-derived slip rates for BFS<sub>S</sub> and KFS (Section 4.2.1; Table S10) are equally reliable. Since the general setup of these close-by NFS is similar (in terms of ribbon widths, general NFS heights and morphologies), all necessary

- preconditions are given. When eventually looking at the obtained results from KFS and particularly BFS<sub>s</sub>, it is remarkable that slip rates are significantly lower and degraded scarps are generally less developed. Both observations are likely connected with the BFS<sub>s</sub> and KFS sections' orientation which significantly differs from that of BFS<sub>N</sub>: (i) The orientation of BFS<sub>s</sub> and KFS
- 520 with respect to underlying first-order structures ("Dinaric strike") could lead to a different (slower) slip behavior. While  $BFS_N$ best represents the overall Dinaric strike,  $BFS_S$  and KFS reproduce the strike of the majority of neighbouring geological/geomorphic features (e.g., the southerly adjacent anticlines). (ii) The KFS and  $BFS_S$  are south- (i.e., not immediately sea-) facing and better protected by vegetation which likely leads to a better preservation of intact free-faces (and lessdeveloped degraded scarps). This hypothesis is substantiated by the fact that especially for  $BFS_S$ , the dissection by erosional
- 525 gullies is minimal. Free-faces within this section are steep and moderately high, with well-visible and abundant earthquake ribbons (Fig. Fig. S7 B). By contrast, BFS<sub>N</sub> is more exposed to weathering (i.e., sea-facing, surrounded by less vegetation) and dissected by numerous gullies (Figs. 3 & S1). Here, more degraded scarps, high, shallowly dipping free-faces and fewer earthquake ribbons are observed (Fig S7 A). We hence consider profiling sites BFS<sub>S1</sub>- and BFS<sub>S2</sub>- to provideIn a similar approach, the most reliable results when using this particular method. Assuming low amounts of local post LGM-erosion at
- 530 all selected sites, we favour purely free face based rates. A comparison with calculations including the<u>transition from well-defined free-faces into</u> degraded NFS (Table S10) shows that our conservative rates are, if at all, probably only exceededscarps could possibly be explained by minimal amounts, changing climatic conditions favouring free-face preservation staring ~6 kyr ago (e.g., Lambeck et al., 2011; Boulton and Stewart, 2015). Provided that the introduced quantitative NFS profiling method ismay be rather error-prone (see discussion above) and low-resolution, we again stress that it was used as an auxiliary tool
- 535 complementing the <sup>36</sup>Cl dating method (see Sections 3.1.2, 3.2.1, 3.2.2, 4.2.2 and 5.2). The obtained <sup>36</sup>Cl ages confirm the assumed post LGM formation of BFS and KFS but suggest an initial exhumation of the present BFS<sub>N</sub> free face only ~6 kyr ago. We stress that the obtained ~6 ka likely mark the first post LGM fault movement, initiating the development of a pronounced surficial expression toward the present NFS. However, we do not generally exclude pre LGM activity on the faults, followed by a long period of quiescence (i.e., slip clustering). A predecessor (pre LGM) fault scarp may have existed
- 540 before falling victim to erosion. Due to the fact that ribbon widths, general NFS heights and morphologies as well as profilingderived slip rates (Section 4.2.1; Table S10) are similar across BFS<sub>N</sub>, BFS<sub>S</sub> and KFS — and considering that all segments are in close proximity to each other — we expect the <sup>36</sup>Cl derived exhumation history and slip rates of BFS<sub>N</sub> to be valid for the full extent of BFS and KFS.

#### 5.4. Formation mechanisms of the BFS and KFS normal fault scarps

- 545 The position of the BFS and KFS in the hinge of thrust-related anticlines within the nappe stackstacks of the Kruja Dalmatian UnitBudva-Cukali and High Karst Units suggests their possible origin along pre-existing planes of weakness (fold-related longitudinal fractures, e.g., Ramsay and Huber, 1983; Tavani et al., 2015 and references therein). Two hypotheses are invoked to explain their formation in an area governed by horizontal shortening: (i) The activationSeveral indicators strongly suggest that the NFS were formed within the framework of normal faultsregional contractional tectonics and repeatedly ruptured as
- 550 second-order structures during rupture of subjacentearthquakes released along first\_order active basal thrust faults (e.g., Hieks and Rietbrock, 2015), where strain is partitioned in the upper plate or hanging wall. (ii) The (e.g., Hicks and Rietbrock, 2015). In this context, a possible explanation for initial NFS formation could be the underlying setup of ramp and flat geometries, e.g. leading to fold crest collapse as a result of material slipping beyond the upper end of a ramp segment of the detachment (comparable to the El Asnam 1980 earthquake; e.g., Avouac et al., 1992). A significantly less probable explanation is NFS
- 555 <u>formation as a direct effect of</u> northwestward <u>migratingmigrated hinterland extensional tectonics</u>. The boundary between foreland contraction and hinterland extension<del>, which</del> has <u>increasingly migratedevidently propagated</u> westward since the Late Eocene (e.g., Dumurdzanov et al., 2005; Reicherter et al., 2011; Handy et al., 2019). Recent geodetic studies show that the working area lies in the frontalmost part of the deformation zone, right at the tip of a <u>north westwards</u> <u>propagatingnorthwestward shifting line separating hinterland extension from foreland contraction (Figs. 1 & 9 B; D'Agostino</u>
- 560 et al., 2021). FurthermoreIn the hypothesis of a migrated extensional hinterland domain, the existence of the described NFS haswould have implications on observed GPS-derived convergence rates: The fault slip rate of 1.5 mm/yr along the normal fault plane with a dip of 60° results in a horizontal extension of 0.75 mm/yr. In order to achieve the geodetically observed convergence of 3-4 mm/yr, the actual convergence must therefore be 3.75 4.75 mm/yr (Fig. 9 D). The accommodation of the total convergence in the coastal area (Fig. 9 C) and the lack of instrumentally recorded extensional earthquakes are strongly.
- 565 supportive of scenario (i). This be 3.75-4.75 mm/yr (Fig. 9 D). Despite the proven proximity of a hinterland extensional domain, the number of arguments in favour of a still predominantly contractional regime is significant: (i) The local seismicity is characterised by exclusive occurrence of instrumentally recorded contractional earthquakes and entire lack of extensional ones. In combination with geodetic data, this rather excludes the advance of hinterland extension into the debated domain. Such scenario would require ceased activity of the basal orogenic thrust owed to dissection by the obliquely oriented normal faults,
- 570 which is rather unlikely. Although the downdip depths of BFS and KFS cannot be precisely quantified, it is rather unlikely that they cross-cut the active basal thrust at depth. (ii) The accommodation of total convergence in the coastal area (Fig. 9 C) is further substantiated by the existence of other recent geomorphological features in the study area, such as dry valleys and deflected river channels, which indicate a still predominantly contractional regime (Fig. 10; Biermanns et al., 2019; Schmitz et al., 2020). (iii) A full migration of hinterland extension into the study area would likelier or at least additionally create
- 575 inland-facing fault scarps. Although graben-like characteristics have been recognised for close-by areas (such as the Shkodra Lake basin, Šasko Lake valley, Zadrima or Balldreni plain; e.g., Sulstarova et al., 1980; Aliaj et al., 2001; Aliaj 2006; Uncu,

2012; Biermanns et al., 2019 and references therein), their geomorphic expression does not involve prominent fault scarps or other unequivocal evidence of large-scale normal faulting. The formation of the NFSBFS and KFS in consequence of gravitational collapse, i.e., landsliding, can be ruled out. (i) To our knowledge, it has never been reported or proven that

- 580 landslides create a comparable geomorphic landscape with large-scale surface ruptures/fault planes in a similarly complex array (i.e., largely consistent overall characteristics of the rupture, despite changing orientation, bedrock and several apparent <sup>5</sup>gaps<sup>2</sup>outcrop gaps). Instead, exactly these characteristics apply to other verified examples of seismogenic NFS (e.g., Mason et al. 2016, 2017, a study that is probably best-comparable in terms of bedrock, fault scarp and slope morphology). (ii) The same is valid for the slickenside striations on  $\sim 10$  m high(!) free-faces that – although slightly diverging outward – are almost 585 consistent with respect to the undulating but otherwise planar fault planes. (iii) No convincing (geomorphic) features typical of landsliding have been identified in the surroundings of the NFS (for comparison, e.g. Highland and Bobrowski, 2008). Large portions of the hanging wall, particularly along the eastern ends of BFS<sub>s</sub> and KFS are clearly lacking any potential landslide deposits (i.e., quaternary/colluviumHolocene colluvial deposits; Fig. 4a4 A). (iv) Neither the described earthquakerelated ribbons, nor the mapped transfer faults or the western termination of KFS (indicative of strike-slip motion; see end of 590 Section 4.1) are compatible with a landslidinglandslide-related origin. (v) The described transition of  $BFS_N$  into  $BFS_S$  features
- fault traces in bare rock forming relays. (vi) The presented setting is assessed to be scarcely landslide-prone with its barely water-saturated massive limestone bedrock and unhampered runoff (as particularly underpinned by the pronounced gullies across the NFS).

#### 6. Conclusion

- 595 We report two previously unknown, active normal faults with well-preserved bedrock NFS along the contractional front of the southern Dinarides fold and thrust-belt. We propose a tectonic, co-seismic origin of these structures. Relations between fault orientation, striations, earthquake ribbons and surrounding structures suggest that the normal faults are either the result of rollback-induced westward migrating extensional tectonics or more likely second-order features linked to subjacent, higherorder thrusts, capable of triggering earthquakes up to  $M_w \approx 7 \pm 0.5$ . <sup>36</sup>Cl cosmogenic dating for one sampling site on the NFS
- 600 suggests a post LGM formation age with significant that the corresponding ~9-m high free--face development starting (or resuming) around 6 kyr ago. It is stressed that the provided date marks was exhumed within the onset of fault scarp formation (i.e., surficial manifestation toward the present state) but not necessarily the initial onset of activity on the fault(s). last  $\sim 6$  kyr. An annual slip rate of  $1.5 \pm 0.1$  mm is released in 6 - 15 cm steps during earthquakes with recurrence intervals estimated to 35-100 yrs. To name an absolute lower limit in terms incorporate the exhumation history of slip rates the degraded part of the 605 same fault scarp in our model, and to allow statements about three additional NFS sites that were not dated by means of the <sup>36</sup>Cl method, we calculated sets of qualitative slip-rates based on fault scarp profiles-at four selected locations. Normalised to a full post-LGM period of  $\frac{1815}{\pm} \pm 3$  kyr-(e.g., Papanikolaou et al., 2005), this method yields minimum slip rates of  $0.345 \pm 10^{-10}$ 0.1 to  $1.5 \pm 0.49 \pm 0.14$  mm/yr and recurrence intervals for major earthquakes in the range of  $\leq 100-400$  yrs. Although these –

values theoretically quantify the overall fault activity, the faults are better characterised as structures where slip clustering

610 leads to alternating high activity and quiescence phases that compare well with the <sup>36</sup>Cl-derived ones. Altogether, all of the presented values appear realistic against the backdrop of available GPS rates and common earthquake magnitudes in the region. The normal faults are exactly located above the "blind" subsurface thrust fault and epicentre that was responsible for the  $M_w$ 7.1 Montenegro 1979 earthquake, and hence suggest a relation. In any case, we regard the NFS as a manifestation of repeated earthquake activity in the study area.

#### 615 **Author contributions**

Peter Biermanns: Field work, data processing, methodology, original draft preparation, figure visualisation, coordination of writing and editing process, investigation.

Benjamin Schmitz: Field work, data processing, methodology, review and editing, figure visualisation, investigation. Silke Mechernich: Field work, laboratory analyses, age modelling, writing, review and editing.

620 Christopher Weismüller: Field work, data processing, figure visualization.

Kujtim Onuzi: Resources, supervision.

Kamil Ustaszewski: Conceptualisation, supervision, project administration, funding acquisition, review and editing. Klaus Reicherter: Conceptualisation, supervision, project administration, funding acquisition, review and editing.

#### **Competing interests**

625 The authors declare that they have no conflict of interest.

# Data availability

All essential data that this research article is based on are displayed in the according text, figures and supplementary material. Further raw data is available from the corresponding author on reasonable request.

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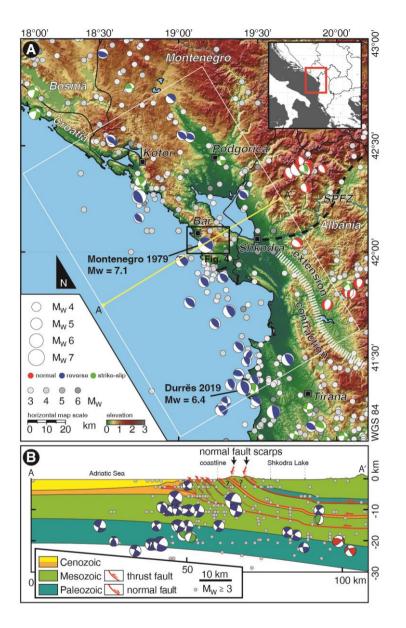
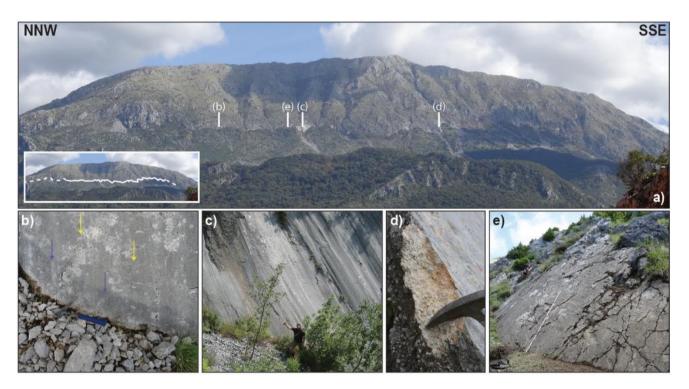


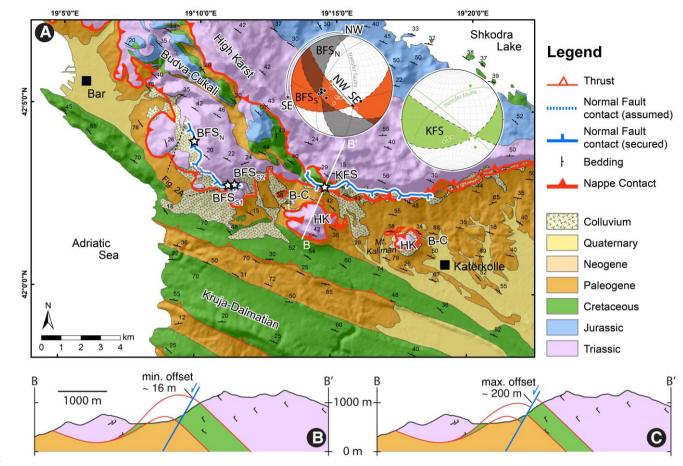
Figure 1: (a) Earthquakes in the study area: Where available, fault plane solutions (FPS) are scaled and color-coded according to magnitude and stress regime. All other earthquakes are color-coded by grey scales according to magnitude. SPFZ=Shkodra-Peja Fault Zone. (b) FPS projected onto Profile A-A' within the range of white box (Fig. 1A). FPS from EMSC, EMRCMT and Harvard catalogues, Pondrelli et al. (2006) and Grünthal et al. (2013).

Rumija, 1595 m	_ Lisinj, 1393 m _ Ri	ange of Fig. 3a	and the set	
High Karst Zone	BFSNIIII	Late Eocene siliciclastics	Možura, 610 m	Cretaceous platform carbonates
The My	Budva-Cukali Zone	Kruja-Dalma	tianZone	
City of Bar		Town of Dobra Voda	Town of Utjeha-Buša	t

- Figure 2: Panoramic view from aboard Meteor research vessel cruise No. 86, leg 3 SE towards the Montenegrin coast, including BESy. Basel thrusts sengrating the large scale testanic units and a choice of other landmarks are sketched
- 855 BFS<sub>N</sub>. Basal thrusts separating the large-scale tectonic units and a choice of other landmarks are sketched.



860 Figure 3: Photographs of the northern section of the Bar fault scarp (BFS<sub>N</sub>): (A) Panoramic view, fault trace shown in inset. (B) Repeated earthquake ribbons; hammer for scale. Arrows: Blue=young; yellow=older. (C) Fault plane outcrop with characteristic corrugations and oblique-slip lineations; person for scale. (D) Cataclastic fault breccia in the footwall of fault plane; hammer for scale. (E) Sampling site BFS<sub>N</sub>, 2-m ruler for scale; for localization see Fig. 4.



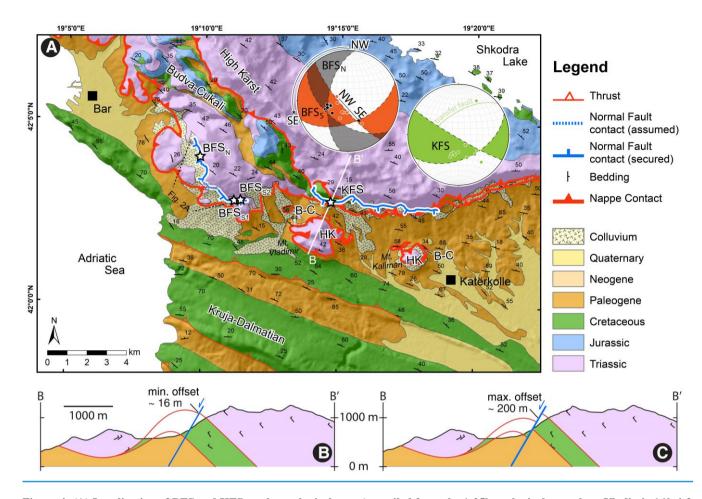


Figure 4: (A) Localization of BFS and KFS on the geological map (compiled from the 1:25k geological map sheet Vladimir 160-4-3 and 1:50k geological map sheet Podgorica 3; Geological survey of Montenegro, 2009). Stars indicate the sites of NFS profiles (Fig. 5). Stereoplots show orientations of the fault planes and striations for BFS<sub>N</sub> (grey), BFS<sub>S</sub> (orange) and KFS (green); semi-transparent colouring: range of main fault plane orientations; dots: mean orientations of the striation. Single great circles represent (i) smaller transfer faults that connect segments of the main fault plane and (ii) the fault branch at the western end of KFS. HK = High Karst, B-C = Budva-Cukali. (B) Cross section showing the hypothetical minimum fault slip derived from the reconstructed visible fault scarp height at sampling site KFS (Fig. 5, bottom). (C) Cross section showing the proposed maximum fault slip, derived from the maximum offset of the Budva-Cukali marker between the outcrops at the Vladimir nappe outlier and the Rumija range.

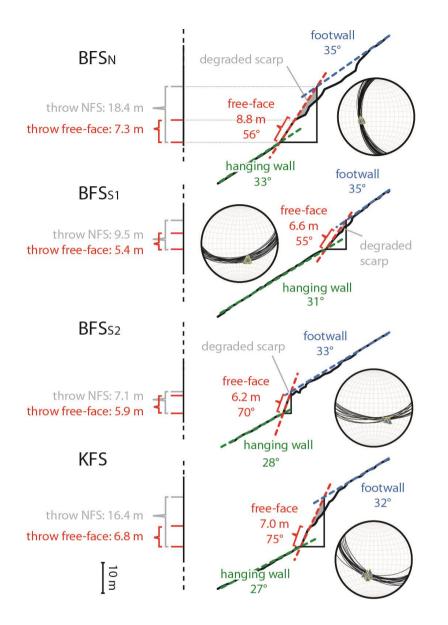


Figure 5: Profiles across the fault scarps at four selected sites (see Fig. 4 for locations). Slip rates are derived from the here presented free-face heights and heights including the degraded scarp (compare Table S10, two right columns). Stereoplots show fault plane orientations (great circles) and striations (triangles) within ± 5 m of the study site. Sites are indicated in Fig. 4; sites BFS<sub>N</sub> and BFS<sub>S</sub> are shown in Figs. 6 A – C & S2 C.



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Figure 6: (A) <u>Sampling location</u>  $BFS_{S1}$  as an example where the criterion of a perfectly flat scarp base is met. (B) Difference between overgrown (top) and cleaned (bottom) fault plane at sampling location  $BFN_N$ . (C) Full view of the cleaned sampling location  $BFS_N$  including a trench below the scarp base. (D-F) Work in progress: Sample blocks are marked and extracted with the help of an angle grinder, hammer and chisel at sampling location  $BFS_N$ . Image F shows the trace of extracted sample blocks parallel to striations but avoiding disturbing factors such as joints.

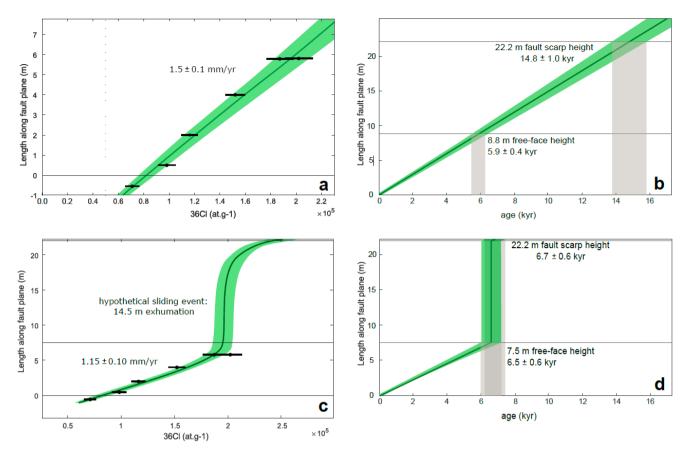


Figure 7: <sup>36</sup>Cl concentrations (1 $\sigma$  deviations) as a function of the height up the scarp (distance measured on the free-face). (A) Modelled <sup>36</sup>Cl concentrations for constant stick-slip rates (1.5 ± 0.1 mm/yr) using the code of Schlagenhauf et al. (2010), with the highest likelihood. (B) The resulting correlation of age and scarp height of (A). (C) Modelled 36Cl concentration for the <u>"most likely"likely"</u> landslide/rockfall scenario. (D) The resulting correlation of age and scarp height of (B).

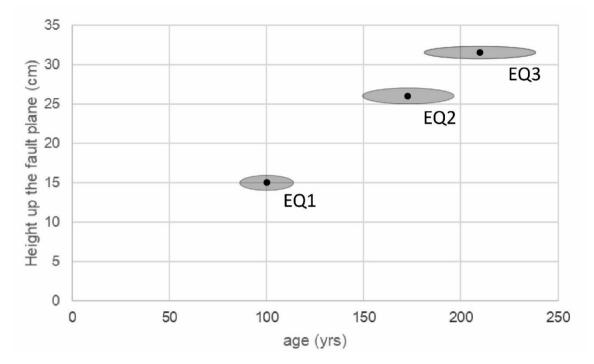


Figure 8: The exhumation history of the free-face at site BFS<sub>N</sub> based on the modeling results (see Fig. 7 A & B). The slip rate of  $1.7 \pm 0.1$  mm/yr together with the coseismic amount of offset based on the mapped earthquake horizons results in earthquake ages of  $100 \pm 14$  yrs (EQ1),  $173 \pm 24$  yrs (EQ2) and  $210 \pm 29$  yrs (EQ3). All values are given within 68% (1 sigma) confidence interval.

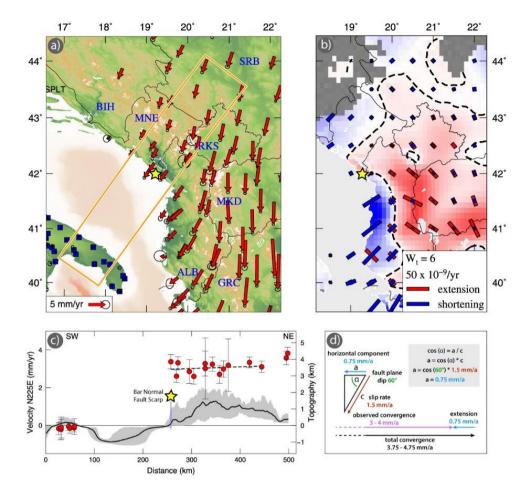


Figure 9: (a) Apulia referenced GPS velocity field and (b) interpolated strain rate with a Gaussian/Voronoi cell weighting of a net reweighting threshold of W<sub>t</sub> = 6 in the southwestern Balkans. (c) Swath topographic section with GPS velocity information through the working area. Figs. (a)-(c) modified after and reprinted with permission from D'Agostino et al., 2020, see their work for details. (d) Normal faulting related horizontal velocity component and its accelerating role in cross-regional convergence.

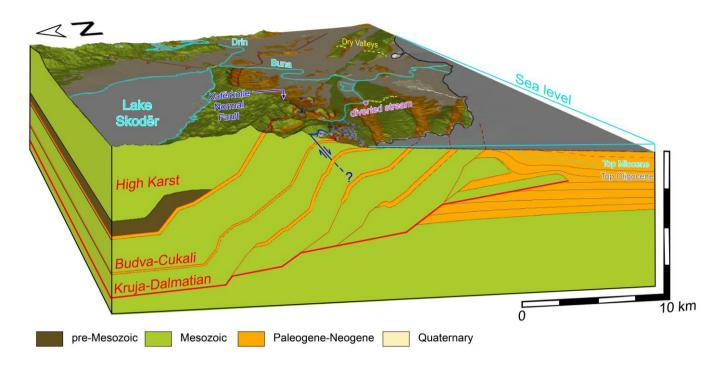


Figure 10: 3D block diagram of the tectono-morphological features of the Montenegro-Albanian coastal border region. Forward modelled structural cross section through the external Dalmatian nappe stack, modified after Schmitz et al. (2020). The spatial proximity of the extensional NFS and contractional dry valleys as well as a supposedly tectonically deviated stream (Biermanns et al. 2019, Schmitz et al. 2020) supports the conclusion of highly interactive tectonic regimes.