- 1 Mechanical compaction mechanisms in the input sediments of the
- 2 Sumatra Subduction Complex- insights from microstructural analysis of
- 3 cores from IODP Expedition- 362
- 4 Sivaji Lahiri<sup>1</sup>\*, Kitty L. Milliken<sup>2</sup>, Peter Vrolijk<sup>3</sup>, Guillaume Desbois<sup>1</sup>, Janos L. Urai<sup>1</sup>
- <sup>1</sup>Institute of Tectonics and Geodynamics, RWTH Aachen University, Germany, Lochnerstrasse 4–20, 52056,
- 6 Aachen, Germany
- <sup>2</sup>Bureau of Economic Geology, The University of Texas at Austin, Austin, TX, 10611, USA
- 8 <sup>3</sup>Department of Earth and Environmental Science, New Mexico Institute of Mining and Technology, 801 Leroy
- 9 Place, Socorro, NM 87801, USA
- 10 \* Corresponding author: sivaji.lahiri2@gmail.com

12 Abstract

- 13 The input sediments of the North Sumatra subduction zone margin, drilled during IODP Expedition 362, exhibit
- 14 remarkable uniformity in composition and grain size over the entire thickness of the rapidly deposited Nicobar
- 15 Fan succession (seafloor to 1500 mbsf), providing a unique opportunity to study the micromechanisms of
- 16 compaction. Samples were prepared from dried core samples from sites (U1480 and U1481) by both Ar-ion cross-
- section polishing and broad-ion beam cutting and imaged with a field-emission Scanning Electron Microscope
- 18 (SEM). The shallowest samples (seafloor to 28mbsf) display a sharp reduction in porosity from 80% to 52% due
- 19 to collapse of large clay-domain/matrix pores associated with rotation and realignment of clay-platelets parallel to
- 20 the bedding plane. The deeper succession (28mbsf to 1500mbsf) exhibits less rapid reduction in porosity from
- 21 52% to 30% by the progressive collapse of silt-adjacent larger pores through bending and subsequent
- 22 sliding/fracturing of clay particles. In addition, there is a correlated loss of porosity in the pores too small to be
- resolved by SEM.
- 24 Clastic particles show no evidence of deformation or fracturing with increasing compaction. In the phyllosilicates,
- 25 there is no evidence for pressure solution or recrystallization: thus, compaction proceeds by micromechanical
- 26 processes. Increase in effective stress up to 18 MPa (~1500mbsf) causes the development of a weakly aligned
- 27 phyllosilicate fabric mainly defined by illite clay particles and mica grains, while the roundness of interparticle
- pores decreases as the pores become more elongated. We propose that bending of the phyllosilicates by intra-
- 29 particle slip may be the rate-controlling mechanism.

Pore size distributions show that all pores within the compactional force chain deform, irrespective of size, with increasing compactional strain. This arises because the force chain driving pore collapse is localized primarily within the volumetrically dominant and weaker clay-rich domains; pores associated with packing around isolated silt particles enter into the force chain asynchronously and do not contribute preferentially to pore loss over the depth range studied.

# Introduction

35

44

45

46

47

48

49

50

51

52

5354

55

56

57

58 59

60

61

62

63

64

- Muds are fine-grained sediments (>50% of particles <63µm diameter) comprising platy detrital clay minerals and equidimensional detrital grains such as quartz, feldspar, calcite, etc. (Nakano, 1967; Hesse, R., 1975; Sintubin, 1994). Understanding the mechanical, chemical, and microstructural properties of mud and mudstone is of great interest for rock property prediction in basic earth science, in exploration, subsurface integrity studies and geotechnical engineering (Yagiz, S., 2001; Aplin and Macquaker, 2011; Lazar et al., 2015). The chemical and physical behavior of marine muds plays a critical role in defining the geometry of accretionary prisms, locating the décollement for fault rupture (Vrolijk, 1990; Chester et al., 2013) and understanding subduction zone
- earthquakes and tsunamis (Dean et al., 2010; Chester et al., 2013; Hüpers et al., 2017).
  - Marine mud is deposited with a highly porous isotropic fabric (Bowles, 1969; Bennett et al. 1981; 1991); depositional porosity in mud is about twice as high as in sand (e.g., Velde, 1996, Lundegard, 1992). In contrast, mudstones have low porosities, modal pore sizes measured in nm, and an absence of textural controls on porosity (e.g. Aplin et al., 2006; Milliken et al., 2012; 2013). The processes in this dramatic evolution of porosity have similarities to compaction of sand to sandstone, comprising a combination of compaction and cementation (Milliken and Day-Stirrat, 2013), although the much smaller, elongated phyllosilicate grains increase the role of clay-bound water in the process (Karaborni et al., 1996). Whereas a refined and somewhat predictive understanding exists for porosity evolution in sand and sandstones (e.g., Lander and Walderhaug, 1999; Paxton et al., 2002; Lander et al., 2008; Ajdukiewicz and Lander, 2010, Desbois et al., 2011), such a model is at best preliminary for muds and mudstones (Pommer and Milliken, 2015; Milliken and Olson, 2017). It seems clear that the composition of the grain assemblage importantly sets the stage for porosity evolution in muds (Milliken, 2014), cementation being the greatest in muds with abundant biogenic debris. In contrast to sandstones, however, cementation is far less common globally in mudstones (Milliken, 2019), leading to the notion that mechanical compaction may be far more important in muds. In addition, depositional environment also strongly controls porosity evolution, compaction and diagenesis in mudrocks (e.g. Burland, 1990; Baruch et al., 2015; Delle Piane et al., 2015) as the initial clay and rigid grain compositions significantly affect both compaction (as this manuscript shows) and subsequent diagenetic alteration due to variations in composition. Establishing the expected compaction behavior for muds in a setting of well-constrained mud properties is an essential contribution that our study hopes to serve.
  - Investigations of mud and mudstone compaction are usually based on proxy data, such as velocity or density, rather than direct measurements of porosity (e.g., references in Mondol et al., 2007). Direct measurement of porosity is broadly classified into two categories: 1) experimental compaction in the laboratory: (e.g., Mitchell, 1956; Bennett et al. 1981; Griffiths and Joshi, 1989; 1990; Vasseur et al. 1995; Mondol et al. 2007; Fawad et al.

2010; Emmanuel and Day-Stirrat, 2012), and 2) studies on natural samples those are compacted in situ with depth (e.g., Meade, 1964; Ho et al., 1999; Aplin et al. 2003; 2006; Day-Stirrat et al., 2008; 2010; 2012; Milliken et al, 2012; 2013). A common shortcoming of the studies on the naturally compacted samples is the assumption that the bulk porosity is a direct measure of compaction although porosity loss has contributions of both compaction and cementation (Ehrenberg, 1989; Lundegard, 1992; Paxton et al., 2002), and this can only be accomplished by petrographic inspection (Milliken and Curtis, 2016). Experimental studies generally avoid this shortcoming as the sample sets generally do not undergo any chemical change during the investigation. Studies of shallowly buried units (like the present study) are the ones most likely to avoid the complication of cementation, especially if temperatures are low and bulk grain assemblages are siliciclastic (Milliken, 2008, 2014).

Previous studies report contrasting ideas about the mechanisms of mechanical compaction of mud. Some studies conclude that rotation is the dominant particle scale mechanism for mechanical compaction (Bowles et al., 1969; Oertel and Curtis, 1972; Vasseur et al., 1995), although other particle scale-deformation mechanisms were not investigated by these authors. A few studies state that burial compaction significantly increases the alignment of phyllosilicate (clay and mica) parallel to the bedding planes (Bowles et al., 1969; Oertel and Curtis, 1972; Vasseur et al., 1995) (a detailed review of the previous studies on mechanical compaction is given in Document S1 in Supplementary data). Other studies suggest that intense mechanical compaction (i.e. effective stress) has a limited impact on the development of phyllosilicate fabric in mud (Ho et al., 1999; Aplin et al., 2006; Day-Stirrat et al., 2008; 2011). In addition, earlier authors concluded that an increase in effective stress causes preferential loss of larger pores, and as a result, the mean porosity of the samples decreases (Delage and Lefebvre, 1984; Griffiths and Joshi, 1989; 1990; Emmanuel and Day-Stirrat, 2012). With increasing consolidation stress, a bimodal pore size distribution curve shifts toward smaller pore sizes as larger pores rapidly collapse (Griffiths and Joshi, 1989; 1990; 1991). These studies investigated the changes in particle alignment and reduction in porosity (Ho et al., 1999; Aplin et al., 2006; Day-Stirrat et al., 2008; 2011) but without imaging the evolution of pore morphology with increasing compactional strain. Moreover, in previous studies, the authors mainly performed laboratory consolidation experiments on lab produced particle packs, and used conventional techniques, such as mercury intrusion porosimetry and high-resolution X-Ray pole figure goniometry (HRXTG) to understand the evolution of pore size distribution with consolidation stress (Ho et al., 1999; Aplin et al., 2006). Studies on naturally compacted samples are less common.

We received 55 mud samples from drill cores collected during IODP Expedition 362 west of the North Sumatra subduction zone margin and investigated the evolution of petrographic microstructure and pore morphology as a function of compactional strain. Apart from general implications for global mudrocks, we hope this investigation will also contribute to studies that seek to predict rock properties in the deeper subsurface at the Sumatra subduction front.

# Geological background and drilling

101

102 The Sumatra subduction zone extends 5000km from the Andaman-Nicobar Islands in the northwest to the Java-Banda arc in the Southeast (Fig.1a and b) (Prawirodirdjo et al., 1997; Hippchen and Hyndman, 2008). The trench 103 104 of the Sumatra subduction zone (Fig.1a) developed on the subducting Indo-Australian Plate at a convergence rate 105 of 5.5 cm/yr in the north and 7.23 cm/yr in the South (Ghosal et al., 2014; Moeremans, and Singh, 2015). 106 On 26th December 2004, the west coast of Northern Sumatra recorded one of the largest earthquakes (Mw-9.3) in 107 the 21st century, generating a devastating sunami in the Indian Ocean (Ammon et al., 2005; Lay et al., 2005). 108 Understanding the mechanism(s) behind this unprecedented event was the central idea behind IODP Expedition 109 362 (Fig.1). The main objective of the expedition was to collect core and log data from the incoming sedimentary 110 succession of the Indo-Australian oceanic plate to understand the seismogenic process related to the margin (Dugan 111 et al., 2017; McNeill et al., 2017a). During the expedition in 2016, drilling was performed on two sites: U1480 112 (Holes E, F, G and H) and U1481 (Hole A) located on the oceanic plate west of the North Sumatra subduction margin and east of the Ninety East Ridge (Fig.1a, b) (Dugan et al., 2017). The drilling sites recovered a complete, 113 114 1.5 km thick sedimentary section from late Cretaceous to Pleistocene down to the basement of basaltic crust (Dugan et al., 2017; McNeill et al., 2017a). 115 The input sedimentary section of the Sumatra subduction zone comprises the distal part of the trench wedge, 116 117 Nicobar fan sequence, and pre-fan pelagic section on the basaltic crust at the bottom (Dugan et al., 2017; McNeill 118 et al., 2017a). At Site U1480, the entire recovered section was categorized into six lithological entities, Units I to 119 VI (Fig. 1c) (McNeill et al., 2017a). Unit I (0 to 26.72 mbsf) consist of unconsolidated calcareous clay, silty clay 120 with alternating fine sand (McNeill et al., 2017a). Unit II from 26.72 to 1250 mbsf consists of three subunits (IIA, 121 IIB and IIC) and mainly exhibits alternating fine-grained sand and silty clay to silt (McNeill et al., 2017a). Unit 122 III (1250 ~ 1327 mbsf) is divided into two subunits: Unit IIIA and IIIB (McNeill et al., 2017a). Unit IIIA consist 123 of thin to medium-bedded, gray-green or brown mudstone and intercalated siltstone, and Unit IIIB is composed of 124 reddish-brown tuffaceous silty claystone with fragmented sponge spicules and radiolaria (McNeill et al., 2017a). 125 The boundary between Units IIIA and IIIB (1310 mbsf) at this site marks the base of the Nicobar Fan and the beginning of the thin pre-fan succession (Pickering et al., 2020). Units IV, V, and VI include volcanoclastic rocks 126 127 with tuffaceous sandstone, conglomerates, and basaltic oceanic crust, respectively. At Site U1481, the pre-fan 128 succession was not encountered and a Unit III, a thicker equivalent of Subunit IIIA at Site U1480, represents the 129 material of the lower Nicobar Fan (see Figure F15, in Site U1481 report; McNeil et al., 2017a). This study is 130 restricted to the thin, distal trench wedge (Unit I) and Nicobar fan sequence (Units II and IIIA, which is equivalent 131 to Unit-III at U1481). 132 X-ray diffraction (XRD) of bulk samples and clay fractions at Site U1480 show a clay mineral assemblage dominated by illite with lesser amounts of smectite and chlorite (Rosenberger et al., 2020) (Fig.2a, b, c, and d; 133 Table S1 and Figure S1 in Supplementary data). Clay mineral data arise from three separate analyses: (1) bulk 134 sample analyses of air-dried samples (random orientation) onboard ship used to define the proportion of all clay 135 minerals in a sediment sample (McNeill et al., 2017a), (2) air-dried clay-sized samples (sedimented and oriented) 136 137 analyzed in New Mexico that define the types and abundances of clay minerals (Rosenberger et al., 2020; note

that abundances limited to clay minerals used in the reference mixtures, which include discrete illite and discrete smectite), and (3) glycol-saturated clay-sized samples (sedimented and oriented) analyzed in New Mexico that determine the amount of illite in mixed-layer I/S, defined as smectite in #2 (Rosenberger et al., 2020). Note that the amount of illite in mixed-layer I/S is only accounted for in the expandability value and never in the discrete illite value.

Siliciclastic samples consist of 50-70% clay minerals (McNeill et al., 2017a). Smectite fraction, determined from the air-dried, clay-sized samples (as described in Underwood et al., 2020) is more abundant in Unit I than Unit II, where smectite abundance ranges from 5-30% with rare samples containing as much as 45% smectite. In Unit II, smectite fraction ranges from 10-30% with local discreet enrichments as great as 40-45%. Smectite again increases in Unit III, reaching a value as high as 68% in the samples attributed to the Nicobar Fan section. There is a weak increase in the expandability of mixed-layer I/S with depth (determined from glycol-saturated, clay-sized samples; Rosenberger et al., 2020; Underwood et al., 2020; Figure-S2 in Supplementary data), suggesting a shift in clay mieral provenance rather than smectite-illite diagenesis. Montmorillonite is interpreted as the smectite mineral (Rosenberger et al., 2020). Chlorite + kaolinite abundance is similar in Units I and II, ranging from 8 to 20% with rare occurrences as high as 24%; Unit III is almost devoid of chlorite + kaolinite. Heating experiments on select samples indicate that chlorite makes up 66-100% of this mineral category (Rosenberger et al., 2020). Illite comprises the remainder of the clay mineral assemblage, ranging from 50-79% in Unit II while Unit I is correspondingly less illite-rich (42-70%). In the Unit III Nicobar Fan section, illite makes up only 18-36% of the clay fraction. Again, it should be noted that, the shipboard methodology used for XRD analysis is semiquantitative and has limitations in identifying bulk mineralogy of the samples; the abundance of mixed-layer clays and the expandability of mixed-layer I/S is only inferred qualitatively (McNeil et al. 2017a; Underwood et al., 2020).

The Nicobar fan sequence exhibits almost compositionally homogeneous (silt/clay ratio; mostly 'silty-clay') subunits with uniform grain size (McNeill et al., 2017a), and a history of rapid deposition (125-290 m/my; Backman et al., 2019). The sedimentary sequence exhibits no evidence of uplift and currently occurs at maximum burial depth. The drilling sites are 255 km away from the deformation front; thus, the samples are undisturbed by tectonic faulting associated with subduction (Fig.1b). In addition, owing to the scarcity of biogenic grains and the low temperatures encountered (<68°C), cementation is only observed as highly localized concretions (Red colored symbols in Fig.2e, f, g, and h) (McNeil et al., 2017b; Torres et al., 2022). Such a homogeneous sedimentary succession extending across 1.5 km depth is rare in sedimentary basins. Hence, these samples provide us with a unique opportunity to study depth-wise variation in microstructure as a function of vertical effective stress with few complications from multiple causes of porosity loss.

# Sampling and Methods

138139

140

141142

143

144

145146

147

148

149

150

151152

153

154

155156

157

158

159

160161

162163

164

165166

167

168

169

170

171

172

173174

This study is based on two sample sets that were obtained from Sites 1480 (Holes E, F, G, and H) and 1481 (Hole A) independently and analyzed by slightly different methods. Samples have been prepared using Ar-ion cross-section polishing and analyzed using a scanning electron microscope equipped with a field emission gun (BIB-SE technique). The first sample set (33 mud samples; depth 1.24 to 1300 mbsf) was prepared and analyzed at RWTH Aachen University, Germany. The second sample set (22 samples; depths 6.25 to 1493.30 mbsf) was prepared and

studied at the Bureau of Economic Geology (BEG) at the University of Texas at Austin. Respective core

description of these 55 mud samples and their bulk mineralogy data are tabulated in Table-1.

# BIB-SEM technique (First set of samples, Aachen University)

## Sample preparation for BIB-SEM and imaging

177

178

- After drilling, the samples were stored at Kochi drill core repository (IODP), Japan for four years (2016 ~ 2020)
- in refrigerated storage areas, maintaining a temperature of ca. 4°C and 80% humidity (http://www.kochi-
- core.jp/en/iodp-curation/curation-sop\_2.html). We received a total of 33 freeze-dried mud samples (SN-1 to SN-
- 182 33 in Table-1) for analysis at Aachen. The samples were collected using a tube inserted perpendicular to the cut
- face of the drill core in such a way that the notch of the tube identified the top of the sample so the orientation of
- bedding planes for each sample was known. In Fig. 1d, a tube sample received from the IODP repository is shown,
- where the red line on the top of the tube identifies the notch. Subsamples (10 x 5 x 2 mm<sup>3</sup>) were cut from the
- individual freeze-dried samples using a razor blade. These subsamples were pre-polished using silicon carbide
- 187 (SiC) paper to reduce the roughness of the surface down to  $10~\mu m$ . Further, Broad Ion Beam (BIB) polishing was
- carried out using a JEOL SM-09010 cross-section polisher for 10 hours at 6 kV and 150 μA. BIB reduces surface
- damage by removing a 100 µm thick layer to generate a high-quality polished cross-section of 1-2 mm<sup>2</sup> with a
- topography less than 5 nm (Desbois et al., 2009).
- After polishing, the BIB cross-sections were coated with tungsten and imaged with a Zeiss Supra 55 SEM with
- 192 SE2, BSE, and EDX detector (Figure S3 in Supplementary data). SE2 images were used to image porosity and
- 193 BSE images are combined with an EDX map as well as EDX point analysis for identifying mineral phases. For
- each cross-section, we made mosaics of hundreds of SE2 and BSE images at a magnification of 20,000x (~14.3
- nm pixel value) and 10,000x respectively, with an overlap of 20% to 30%, (Klaver et al., 2012; 2015; 2016; Hemes
- et al., 2013; 2015; 2016; Laurich et al., 2014). The mosaics are stitched together using Aztec software preserving
- the original pixel resolution. Finally, these stitched images are used for the segmentation of pore spaces, minerals,
- and other respective analyses.

199

#### Image segmentation and pore analysis

- 200 For quantifying porosity and pore morphology, individual SE2 image mosaics were segmented using a 'seed and
- grow' algorithm (Adams and Bischof, 1994) implemented with a MatLab code (Jiang et al., 2015; Schmatz et al.,
- 202 2017) (Figure S3 in Supplementary data). The 'seed and grow' algorithm works based on the difference in intensity
- of greyscale value in an image (bright = minerals, dark= pores). After automatic segmentation, individual pores in
- SE2 images are manually corrected if required.
- 205 Similarly, using ImageJ software (threshold toolbox and machine learning algorithm), segmentation of the
- 206 individual mineral phases was carried out combining BSE images and EDX elemental maps. While quartz, calcite,
- pyrite, mica minerals are efficiently segmented using these tools, feldspars are found difficult to segment because
- of similar composition as clay (Figure S4, S5 and S6 in Supplementary data). Finally, corrected pore segmented

SE2 mosaics are overlaid on the phase maps using the 'georeference' tool of QGIS (http://qgis.osgeo.org), (Figure

210 S4, S5 and S6 in Supplementary data).

## Pore detection resolution (PPR) and representative area analysis (REA)

212 'Practical pore detection resolution' (PPR) indicates the pore sizes above which one can assume to detect 100% of

the pores present in the SE2 mosaic (Klaver et al., 2012). In agreement with earlier results using this instrument

(Klaver et al., 2012; 2015; 2016; Hemes et al., 2013; 2015; 2016; Laurich et al., 2014), we found PPR of ~2000

nm<sup>2</sup> and ~8500 nm<sup>2</sup> for the magnification of 20,000x and 10,000x images, respectively, corresponding to 10 pixels.

After segmenting all minerals, representative elementary area analysis (REA) was performed using the box

counting technique on mineralogical phase maps (Kameda et al., 2006; Klaver et al., 2012). Similar steps are also

followed for determining a representative elementary area for SE2 images. The estimated REA values using SE2

and BSE mosaics for the analyzed 33 mudstone samples are documented in Table S2 in Supplementary data.

Porosity, pore morphology, pore size, and the statistical distribution of pores were obtained using image analysis techniques on 2D images collected using BIB-SEM technique. Because pores are non-spherical 3D objects that are cut perpendicular to the bedding plane to acquire a 2D image dataset, there may be random and systematic errors when comparing 2D and 3D results. We plotted shipboard measured MAD (moisture and density) porosity vs depth (Fig.2e) and also BIB-SEM porosity vs depth for the analyzed samples (Fig.3a), where MAD porosity documents bulk porosity for the sample, and BIB-SEM porosity represents 2D cuts of the non-spherical 3D pores/porosity. As there is a first-order correspondence between the two porosity measurements, we deduce that porosity and pore size distributions obtained from 2D image analysis reflect the bulk rock porosity and 3D pore size distribution of the samples. In addition, the estimated REA appears appropriate for minimizing systematic errors in the bulk pore characteristics of the sample.

## Ion polishing and SEM technique (second set of samples; BEG, UT Austin).

22 Samples (SN-34 to SN-55 in Table 1) were taken shipboard from the sample half of the still-wet core in small plastic tubes (similar to the ones used for the sample set at Aachen) inserted into the core by manual pressure. The tubes were removed from the core and sealed in plastic bags. In the laboratory at the BEG, sample bags were opened, and the muds were allowed to dry slowly in the tubes over several weeks. No discernible shrinkage was observed as the dried core pieces still fully filled the tubes. The tubes were carefully removed, and a small cube (approximately 0.5 to 1 cm³) was cut using a sharp knife and small hand saws; an orientation mark was placed on the cube to indicate the bedding direction. Bed-perpendicular surfaces were prepared by Ar-ion cross-section polishing, using the Leica EM TIC020 triple ion beam miller and coated with Ir for imaging. Manual placement of the cut cubes into the ion mill is not precise so the ion-polished surfaces have slight variation from perpendicular to bedding. Pore imaging was performed on the FEI Nova NanoSEM 430 using the in-lens SE detector, a 30 µm aperture, 15 KeV accelerating current, a working distance of around 5–6 mm, and an intermediate-range sample current (spot size = 3 mm, mid-range for the instrument). Randomly selected views (typically 3-6) of all samples

were collected at 6000x machine magnification; additional views illustrating pore types and pore/grain relationships were made at 10,000x to 30,000x (machine magnification).

Results

244

245

246

247

248

#### Compaction strain derived from MAD-porosity data

- During Expedition 362 mass and volume of mud samples were measured in both wet and dry states using a high
- precision electronic mass balance and helium pycnometer (http://www-odp.tamu.edu/publications/tnotes/tn37/
- 251 tn37\_8.htm). Using the obtained mass and volume dataset for wet and dry conditions, bulk MAD (moisture and
- density) porosities were calculated. Porosity values reported by McNeill et al. (2017b) and downloaded from IODP
- databases serve as the basis for strain calculations.
- Shipboard MAD porosity for mud samples exhibits a sharp reduction from 80% to 52% from the seafloor to 28
- 255 mbsf (Fig.2e). Deeper samples display a comparatively smaller reduction in porosity of approximately from 52%
- to 30% over a depth range of 28 to 1500 mbsf (Fig.2e and f).
- We calculated compaction strain following a method proposed by Nollet et al. (2005) and subsequently used by
- Neagu et al. (2010) (Fig.2g and h), assuming 1D consolidation and no change in solid volume. The compaction
- 259 strain ( $\varepsilon_c$ ) is then computed as:

$$\epsilon_{\rm c} = \frac{1 - \phi_0}{1 - \phi_1} \tag{Eqn-1}$$

- Here  $\phi_0$  = initial porosity, and  $\phi_1$  = final porosity. Samples from sites U1480 and U1481 show no evidence of
- tectonic faults (McNeill et al., 2017a), supporting an assumption of 1D strain. We considered the initial porosity
- $\phi_0$  as the MAD porosity at 0.6 mbsf depth ( $\phi_0 = 80\%$ ). Compaction strain following Eqn-1 (Table S2 in
- Supplementary data), is plotted against depth in Fig.2g and h. Compaction strain increases from 1 to 2.05 from the
- 265 seafloor to 28 mbsf (i.e. Unit I), and from 2.00 to 3.05 from 28mbsf to 1500 mbsf (Fig. 2g and h).
- Another common measure of compaction is the intergranular volume (IGV; Paxton et al., 2002), which
- 267 corresponds to the sum of intergranular porosity and intergranular cement. In some mudstones, it may be necessary
- 268 to calculate IGV differently because of the presence of abundant primary intragranular pores and pore-filling
- bitumen (Milliken and Olson, 2017). In our sample set, cement is absent, and IGV is taken to equal the bulk
- porosity from shipboard MAD measurements.
- 271 Compactional porosity loss (COPL), referenced against the original sediment volume, is calculated from the initial
- primary intergranular porosity (Pi; 80% in this case) and the IGV as follows (Ehrenberg, 1989, Lundegard, 1992;):

273 
$$COPL = Pi-(((100-Pi) \times IGV)/(100-IGV))$$
 (Eqn-2)

- At an IGV of 50%, COPL is 60%; in the deepest samples in the Nicobar fan (IGV of around 30%) COPL is 70%
- 275 (Table S2 in Supplementary data).
- 276 Description of grain microstructure and pore morphology
- To have consistency in the data set, we prepared SE2 mosaics for all samples from the Aachen sample set at
- 278 20,000x magnification covering an average 100×100 μm<sup>2</sup> area. In addition, to examine the effect of magnification
- on BIB-SEM porosity and representative area analysis (REA), three samples (i.e. SN-7, SN-15, and SN-29) were
- also imaged each at 5,000x and 10,000x magnification, respectively. A decrease in magnification and resolution
- 281 reduces visible BIB-SEM porosity.
- We observed consistent results for the REA analysis. For SE2 mosaics, REA varies between 45×45μm² to 85×85
- $\mu$ m<sup>2</sup> at 20,000x magnification, and for segmented phase maps, REA varies between 90×90  $\mu$ m<sup>2</sup> to 130×130  $\mu$ m<sup>2</sup>
- 284 at 10,000x magnification. In the UT sample set, the standard images taken at 6000x with machine magnification
- are  $49.7x45.7 \mu m^2$ , so these images are also within the estimated REA range.
- Based on EDX elemental map or point analysis, six mineral phases occur in significant amounts in the Sumatra
- samples: quartz, feldspar (K-feldspar, Na-feldspar), calcite, pyrite, micas (muscovite, biotite, and chlorite), and
- clay. Based on XRD analyses (Rosenberger et al., 2020), the clay size fraction is dominated by illite. Clay + mica
- percentage in these mudstone samples varies between 65% to 75%. Samples SN-1 (77%) and SN-4 (76%) have
- 290 more clay + mica, whereas SN-7, SN-9, SN-17, SN-28, SN-29, and SN-31 contain less clay + mica (<65%) (Table
- S2 in Supplementary data). It should be noted that, using EDX analysis, we are able to differentiate Na-feldspar,
- and K-feldspar considering the concentration of Na and K elements in the grains. However, the XRD dataset
- provides only information about the overall percentage of plagioclase feldspar present in these samples (Table 1),
- 294 perhaps an artifact of different detection limits for EDX and XRD analyses.
- Using BIB-SEM and automatic pore segmentation techniques, an average of >30,000 pores have been detected
- for each individual sample in the Aachen sample set at 20,000x magnification. Correlating with the MAD data set,
- the estimated BIB-SEM porosity reduces from 32% to 19% over a depth range of seafloor to 28 mbsf, while the
- deeper samples display a smaller reduction from 19% to 10% over a depth range of 28 to 1500 mbsf respectively
- 299 (Fig.3a). Consistent with numerous previous studies, the results document a mismatch between bulk measured
- porosity (MAD) and imaging porosity (BIB-SEM) (e.g., Hemes et al., 2013; Houben et al., 2014; Nole et al., 2016;
- Oelker et al., 2019) (Table S2 in Supplementary data). We plotted BIB-SEM porosity vs MAD porosity and found
- an approximately linear correlation with coefficient of determination ( $R^2 = 0.8621$ ) (Fig. 3b). It should also be
- 303 noted that, although there is a correlation, there is a large difference in the porosity values which increases with
- increasing porosity.

- Type of pores
- 306 Intergranular pores contribute >99% of the total visible porosity. Intragranular pores (see below) are rare. The size
- and shape of intergranular pores change during compaction (Table S3 in Supplementary data).

- Intergranular pores are classified (Fig.4) based on the size of surrounding particles (irrespective of mineralogy):
- 309 1) Clay domain (matrix) pores, and 2) silt-adjacent pores. Based on the variation in size, clay domain pores are
- divided further into: 1) Large clay domain pores (pore size  $>5 \times 10^5$  nm<sup>2</sup>) and the pore boundary is defined by more
- than three clay particles; and 2) small clay domain pores (pore size <5x10<sup>5</sup> nm<sup>2</sup>) that occur between 2-3 clay
- 312 particles (see further details below). Large and small clay domain pores are classified by geometry as: 1) Elongate
- pores (aspect ratio >3:1) and 2) equant-shaped pores (aspect ratio <3:1). Elongate pores consist of 1) Linear-
- elongated pores, and 2) crescent-shaped elongated pores. Examples of different clay-domain pore types are shown
- 315 in Fig. 5, 6, and 7.
- Silt-adjacent pores are categorized by two types: 1) large silt-adjacent pores are  $>5x10^5$  nm<sup>2</sup>, and pore boundaries
- are defined by more than three particles; and 2) small silt-adjacent pores include pore sizes  $<5 \times 10^5$  nm<sup>2</sup>, and pore
- boundaries are defined by two/three particles (see further detail on the modal sizes of these pore types below).
- Large and small silt-adjacent pores are either: 1) Equant shaped (aspect ratio <3:1) or 2) elongated (aspect ratio
- 320 >3:1). Further, elongated silt-adjacent pores consist of 1) linear-shaped elongated pores and 2) crescent-shaped
- elongated pores. These pore types are highlighted in Fig. 5, 6, and 7.
- 322 Change in inter-particle pore morphology with depth
- 323 Seafloor to 28mbsf (Unit I)
- The shallow mud samples in Unit I are unconsolidated and porous (Fig. 5a). We analyzed a total of 10 samples (6
- 325 samples at Aachen and 4 samples at UT Austin) from this depth range. Among them, two samples have been
- analysed both in Aachen as well as in Austin, respectively. Sample SN-1 (1.24 mbsf) has a maximum MAD
- porosity of 80%. We observe three types of clay particle contacts in the microstructure of SN-1; edge to edge (EE),
- 328 edge to face (EF), and face to face (FF) contacts (Table S4 in Supplementary data). Among them, EF and FF
- 329 contacts are abundant and EE contacts are rare. The sample exhibits abundant large clay domain pores and large
- 330 silt-adjacent pores that are equant with smooth edges and a rounded pore perimeter. The sample also contains
- abundant linear-elongated and equant-shaped small clay-domain pores. Crescent-shaped small clay domain pores
- are rare in the microstructure of this sample. Equant-shaped, small silt-adjacent pores are abundant. In addition,
- 333 linear elongated and crescent-shaped small, silt-adjacent pores are also common (Fig.5a; Table S3 in
- 334 Supplementary data).
- With increasing compaction strain ( $\varepsilon_c = 1.119$ ) and depth (5.1 mbsf; Figure S7 in Supplementary data), porosity
- 336 (MAD) reduces to 75% and corresponding COPL=19% (sample SN-2; Fig. 5b, Table S2 in Supplementary data).
- The microstructures of SN-2 display are similar characteristics to those observed in sample SN-1, although there
- are fewer large clay domain pores in SN-2 than SN-1. Linear elongated and equant-shaped small clay domain
- pores are common Table S3 in Supplementary data), but crescent-shaped small clay domain pores are rare. The
- 340 microstructures of SN-2 exhibit abundant equant-shaped large and small silt-adjacent pores.
- With an increase in compaction strain to  $\varepsilon_c \sim 2.00$  (28 mbsf), the sample microstructure is dominated by FF contacts
- 342 (Fig. 5e), and EE and EF contacts are rare (Table S4 in Supplementary data). Additionally, large clay-domain
- pores become infrequent in the microstructure (Fig.5e and Fig. 8). Crescent-shaped, small clay domain pores in

the microstructure are rare, whereas equant-shaped small clay domain pores are common. Both small and large silt-adjacent pores exhibit equant shapes (Fig. 5e and Fig. 8d, e, f). The sample analyzed at the base of Unit I (SN-6; 28 mbsf) contains rare large clay-domain pores and abundant FF contacts (Fig. 5c; MAD porosity = 54% and

347 COPL = 55%).

344

345

346

348

349

350 351

352

353 354

355

356

357

358 359

360 361

362 363

364 365

366

371

373

374

375

376 377

378

#### 28 mbsf to 1500 mbsf (Units II and III)

- Mud samples from the Nicobar fan section are more compacted than shallower samples (Fig. 6, 7 and Figure S8 in Supplementary data). We analyzed a total of 29 samples using at Aachen and 18 samples at UT Austin from this section. An increase in compactional strain from 2.00 to 3.15 over a depth range of 28 to 1500 mbsf causes a porosity reduction (MAD) of 54% to 28%, and the corresponding change in average COPL is 55% to 72%. The microstructure of these samples is dominated by FF contacts among clay particles; EF and EE contacts are rare (Table S4 in Supplementary data; Fig. 6 and 7 b, c). All samples exhibit abundant small linear-elongated clay domain pores between two parallel clay sheets (Fig. 8b). Equant-shaped small, clay domain pores are rarely observed below 150 mbsf depth (ε<sub>c</sub>>2.4). Crescent-shaped, small, clay domain pores are rare at shallow depth but become abundant with an increase in compactional strain  $\varepsilon_c > 2.95$  (871.87 mbsf) as the surrounding clay particles are bent (Fig.6). In addition, large clay domain pores in these samples are rarely observed in the vicinity of silt clasts (Fig.6).
- Below 100 mbsf ( $\varepsilon_c = 2.20$ ), silt-adjacent small pores are dominantly equant shaped, but below 300 mbsf ( $\varepsilon_c > 2.5$ ) silt-adjacent small pores are dominantly linear-elongated (Fig. 8e). Crescent-shaped, small, silt-adjacent pores are common in all samples. Large silt-adjacent pores are dominantly equant above 200 mbsf depth ( $\varepsilon_c$  <2.40) and commonly linear-elongate below 400 mbsf depth ( $\varepsilon_c > 2.5$ ) (Fig.8f). It appears that due to an increase in compactional strain, the shape of the silt-adjacent pores changes from equant to linear-elongated (Table S3 in Supplementary data). In samples with more silt, equant-shaped small and large, silt-adjacent pores can persist at greater depths (Fig. 8e and f).
- 367 Below 28 mbsf ( $\varepsilon_c > 2.0$ ), the number of large silt-adjacent pores in the microstructures decreases. Comparing 368 samples SN-8 (74.07 mbsf and  $\varepsilon_c$  =2.09) and SN-33 (1299.14 mbsf and  $\varepsilon_c$  =2.51) illustrates how the number of 369 large, silt-adjacent pores decreases with depth (Fig. 6a, and c) when the clay fraction (Table S2 in Supplementary 370 data) is comparable. This relationship is apparent even in samples separated by a smaller depth difference (SN-49 from 959.14 mbsf and SN-55 from 1433.36 mbsf; Fig. 7b and c). While the number of large pores diminishes,
- 372 the maximum size of the large silt-adjacent pores remains constant ( $10^7 \text{ nm}^2$ ; Figure S9).
  - It can be observed in Fig.2e that, around 1300 mbsf depth MAD porosity for the sample sets again rapidly increases. However, microstructural observations of the sample from this horizon does not exhibit increase in porosity (Fig. 6c). Hence, the increase in MAD porosity in this horizon is attributed to the increase in smectite content (Fig.2a) (Dutilleul et al., 2021). In general, the moisture and density method (MAD) overestimate the measured porosity of the smectite rich sediment because of the inclusion of the interlayer water from smectite

during measurement (Brown and Ransom, 1996; Dutilleul et al., 2020).

#### Variation in the orientation of pores and grains due to compactional strain

We examined the change in orientation of the long axis of pores with increasing compaction strain. For all segmented pores, the angle between the long axis and the bedding plane was determined and plotted in rose diagrams (Supplementary data-15). Samples from the seafloor to 28 mbsf exhibit a weak preferred orientation of the long axis of pores with maxima oriented obliquely to the bedding planes. However, below 28 mbsf the samples have a preferred orientation of the long axis of pores aligned subparallel to the bedding plane. Further, due to an increase in vertical effective stress down section below 28 mbsf in Units II and III, the degree of preferred alignment of the long axis of pores only increases by a small amount (Figure S10).

We determined the angle between the long axis of individual silt grains and the bedding plane for all samples and plotted the angle in a rose diagram (Figure S10). For quartz, feldspar, and calcite the degree of preferred orientation of the long axis of grains changes little with depth. However, the rose diagrams obtained for mica show weak maxima parallel to the bedding plane and several submaxima oriented obliquely to the bedding plane above 28 mbsf. Preferred alignment of the long axis of mica grains increases at 28 mbsf with a strong maximum oriented parallel to bedding plane. Below 28 mbsf, further increase in the degree of preferred alignment is small.

#### Size distribution of pores

Pore size distributions (Fig. 9) of shallow samples (Unit I) are trimodal. Sample SN-1 has peaks between 10<sup>5</sup> to 10<sup>6</sup> nm<sup>2</sup>, 10<sup>6</sup> to 10<sup>7</sup> nm<sup>2</sup>, and 10<sup>7</sup> to 10<sup>8</sup> nm<sup>2</sup>, and SN-2 has peaks from 10<sup>4</sup> to 10<sup>5</sup> nm<sup>2</sup>, 10<sup>5</sup> to 10<sup>6</sup> nm<sup>2</sup>, and 10<sup>6</sup> to 10<sup>7</sup> nm<sup>2</sup>. These three pore size regimes correspond to the small clay domain and silt-adjacent pores, large clay domain pores, and large silt-adjacent pores. Samples of Units II and III exhibit bimodal pore size distributions (SN-10, SN-26, and SN-33 in Fig. 9). SN-10 has a peak between 10<sup>5</sup> to 10<sup>6</sup> nm<sup>2</sup>, corresponding to small clay domain and silt-adjacent pores, and 10<sup>6</sup> to 10<sup>7</sup> nm<sup>2</sup>, reflecting large silt-adjacent pores. Large clay domain pores are absent from samples below 28 mbsf depth (Units II and III) based on the pore size distributions combined with image analysis. At shallow depths, the contribution to total porosity by larger silt-adjacent pores is greater compared to the contribution by small clay domain pores (Fig. 9e and g). The contribution of large, silt-adjacent pores to total porosity diminishes with depth. Hence, at greater depth, contribution to total porosity by larger silt adjacent pore is less compared to small clay domain pores (Fig. 9i).

405 Pore size distributions follow a power-law shown on a double logarithmic graph following the equations (Klaver et al., 2012; 2015; 2016; Hemes et al., 2013; 2015; 2016; Laurich et al., 2014):

$$\frac{N_i}{b_i s_{mosaic}} = C S_{Pore}^{\ D}$$
 (Eqn-3)

$$\log\left(\frac{N_i}{b_i S_{mosaic}}\right) = -D.\log(S_{pore}) + Log C$$
 (Eqn-4)

Where  $N_i$ = number of pores with area  $S_{pore}$ ,  $b_i$ = bin size,  $S_{mosaic}$ = surface area of the current mosaic, C=constant, and D= power-law exponent. The resulting power-law exponent (D) varies between 1.70 to 2.00 (Table T2 in Supplementary data).

## 412 Effect of texture on porosity, pore morphology, and orientation of pores

We analyzed six samples (SN-7, SN-9, SN-17, SN-28, SN-29, and SN-31) that are enriched in silt content compared to the rest of the mud samples (Table T2 in Supplementary data)). Silt content has a positive correlation to the total SEM porosity. For example, sample SN-29 (1172.88 mbsf) exhibits a BIB-SEM porosity of 14% whereas other samples from a similar depth with less silt exhibit an average BIB-SEM porosity of 12% (Table T2 in Supplementary data)) at 20000x magnification. The samples with greater silt content are also enriched in equant-shaped silt-adjacent larger pores (Fig.10a). We also estimated the orientation of the long axis of pores for these three samples and plotted the obtained results as rose diagrams (Fig.10b). The obtained results exhibit a relatively weak preferred alignment of the long axis of pores with respect to the bedding planes (Fig.10b).

421

422

413414

415

416 417

418

419

420

# **Discussions**

## 423 Effective stress vs porosity: A comparison with experimental study

- 424 To understand the consolidation mechanisms of the Sumatra sediments, we estimated vertical effective stress
- following the steps proposed by Hüpers et al. (2015). Following Terzaghi and Peck (1948), vertical effective stress
- 426  $(\sigma_v)$  is expressed as:

427 
$$\sigma_{v} = \sigma_{v} - P_{f}$$
 (Eqn-5)

- Here  $\sigma_v$  = total vertical stress caused by the overburden load, and  $P_f$  = fluid pressure. To compute vertical effective
- stress of a layered sediment, we use Eqn 6:

430 
$$\sigma_{\mathbf{v}} = \sum (\mathbf{\rho}_{\mathbf{s}} - \mathbf{\rho}_{\mathbf{w}}) \cdot \mathbf{g} \cdot \Delta \mathbf{z}$$
 (Eqn-6)

- where  $\rho_s$  = bulk density of the sediment,  $\rho_w$  = density of the pore water,  $\Delta z$  = depth interval, and g= gravitational
- 432 acceleration. Although small offset strike-slip faults are evident at the seafloor and in seismic reflection profiles
- 433 (McNeill et al., 2017a), the amount of strain attributed to these fault offsets supports the idea that the maximum
- horizontal stress is comparable to the vertical stress; there is no evidence in seismic reflection data or from core
- 435 microstructures for thrust or reverse faults associated with a vertical least principal stress. On this basis, we assume
- 436 that vertical stress is the maximum principal stress, and that pore pressure is hydrostatic. Bulk density of the
- 437 sediment  $\rho_s$  was acquired from MAD data set obtained from IODP website (McNeill et al., 2017a), and  $\rho_w$  was
- considered as the density of seawater i.e. 1025 kg/m³ (Hüpers et al., 2015).
- We plotted vertical effective stress against MAD porosity for 55 mud samples (Fig.11). Fawad et al. (2010)
- 440 experimentally studied the consolidation behavior of mud with varied proportions of silt and clay. While Sumatra
- samples follow trends like those defined by Fawad et al. (2010), the experimental samples are more compacted
- than natural Sumatra samples for the same silt content.

- Clay mineralogy has a significant effect on the compaction behavior of mudstone (Mondol et al., 2007). Mondol et al. (2007) performed compaction experiments using pure smectite and pure kaolinite clay particle packs because they represent two end members compared to other clay minerals (illite and chlorite) in terms of grain size and surface area. Smectite is the more fine-grained clay with the largest surface area while kaolinite is coarser and has a smaller surface area than other clay mineral types (Meade, 1964; Mesri and Olson, 1971; Rieke and Chilingarian, 1974). Kaolinite is more compressible than smectite, and clay compaction gradually decreases with increasing the proportion of small size clay particles in the sample (Mondol et al, 2007).
- Fawad et al., (2010) used clay mixtures of 81% kaolinite, 14% mica, and 5% microcline grains, whereas Sumatra mud samples are composed of 50%-79% illite and 5%-30% smectite, with only 8-20% undifferentiated chlorite and kaolinite and 5-10% quartz particles. Therefore, due to higher illite and smectite content, Sumatra muds appeared to be less compacted than the experimental samples used by Fawad et al. (2010).

### BIB-SEM porosity vs MAD porosity

454

455

456457

458

459

460

461462

463464

465

466

- We note that BIB-SEM porosity is lower than the porosity found from shipboard MAD data, however the two measurements correlate along a line through the origin. (Fig.3b). The reason for this difference is that MAD porosity measures the total amount of moisture in a much larger sample and accounts for pores much below the PPR. Rare large pores are also under-represented in the 1 mm<sup>2</sup> BIB section. Earlier studies also documented and discussed mismatches between MAD and BIB-SEM measurements (Hemes et al., 2013; Houben et al., 2014; Nole et al., 2016; Oelker et al., 2019). They concluded that the mismatch could be due to several factors; i) BIB-SEM porosity largely depends on the magnification and resolution of images, ii) variation in sample sizes, and iii) MAD porosity takes into account the pores whose sizes are much lower than PPR, whereas BIB-SEM technique detects only the pores larger than PPR. We plotted estimated BIB-SEM porosity and MAD porosity data from earlier studies on Boom clay (Hemes et al., 2013; Oelker et al., 2019); Opalinus clay (Houben et al., 2014) and samples from the Nankai trough (Nole et al., 2016). The data for Boom clay and Opalinus clay follow a similar trend to the Sumatra samples, whereas clay samples from the Nankai trough shows a different trend. This difference may be attributed to differences in magnification of Nankai trough samples.
- In addition, we plotted clay content against the difference between the two porosities in Fig.12a. We performed regression analysis using the data set for the 33 mud samples analyzed at Aachen (Fig.12b) for BIB-SEM porosity versus MAD porosity (following Eqn-7) but also incorporating the effect of clay content (following the Eqn-8).

471 BIB-SEM porosity=
$$a*MAD$$
 porosity + c (Eqn-7)

- 472 BIB-SEM porosity = a\*MAD porosity + b\*clay content + c (Eqn-8)
- The coefficient of determinations (R<sup>2</sup>) for Eqn-7 and Eqn-8 are 0.7126 and 0.9262 respectively. These results suggest that the ratio in porosity depends on depth and clay content.
- For all samples, the BIB-SEM pore size distribution follows a power-law over an interval of three orders of magnitude. We may extrapolate this below the practical pore resolution (PPR; Klaver et al., 2012; Kuila and

Prasad, 2013; Wang et al., 2019). Extrapolating our data set down to 3 nm pore diameter, the BIB-SEM porosity increases only up to 20%~25%. A mismatch of 15% to 20% between the MAD porosity and extrapolated BIB-SEM porosity remains. The fall -off from the normal trend in log-log pore size distribution plots (Fig.9b) for the shallow depth (Unit-I) samples suggest that also large pores are also under-counted in the data set. The mud samples from Unit-I contains forams that are rare or absent in the deeper section (Figure S11 a, b, c, and d in Supplementary data), and part of missing pore volume can be attributed to the intact forams that may be missed due to the small size of the BIB SEM sample.

Another factor that can create a mismatch between data sets is drying artefacts. In the past, Desbois et al. (2014) performed a detailed study on drying artefacts of mudstone samples using Cryogenic BIB-SEM technique. They identified four types of drying damages (Type-I, II, III and IV) that can develop during drying of a mudstone. Type-I and type-II drying damage develops at clay/clay particle interfaces with tip to long axis contact, and at clay/clast interfaces. Heterogeneous deformational behavior or shrinkage strain of clay and/or non-clay mineral grains can cause a build-up in stress at the boundary between particles during drying. Type-III drying artefacts are large cracks that develops within the clay matrix itself. Type-IV drying artefacts are the small damages that modify pore morphology during drying. Among all of them, Type-II and III are the most spectacular and large enough to modify microstructure significantly. The morphology of the type-II and III drying artefacts are characterized by large irregular shaped very elongated pores with serrated pore boundaries. However, in the present study, the large clay domains and silt-adjacent pores in all samples potentially show smooth edges and rounded pore tip-end, which are incompatible with the typical morphologies of the drying artefacts (Fig. 5, 6 and 7). Hence, drying artefacts appear to be less important for reconciling a mismatch between MAD and BIB-SEM porosity.

Shallow samples from Unit-I are richer in smectite content than deeper samples, although a few samples from 400 to 1000 mbsf have similar smectite abundances as Unit I. The moisture and density method (MAD) may overestimate the measured porosity of the sediment if interlayer water from smectite is included in the measurement (Brown and Ransom, 1996; Dutilleul et al., 2020). Greater smectite content in the shallow samples (Unit-I) may have contributed to an overestimation of the MAD porosity in the study. This is also consistent with the observation that the difference between the measurements getting larger as porosity increases.

#### Micromechanical model for porosity reduction

#### Sharp reduction in porosity at the shallow depth from the seafloor to 28 mbsf

High porosity (80% MAD; 32% BIB-SEM) in the shallowest sediments is attributed to large pores in the samples created by abundant EE and EF particle contacts (Fig. 5a and 7a). These contacts are unstable and collapse under low effective stress to form FF contacts, resulting in a rapid porosity decrease within the first 28m of burial (Supplementary data-11). This deformation is apparent from the reduction of large clay domain pores observed over this interval (Fig. 8; Supplementary data-10). Collapse of pores surrounded by EE and EF contacts is further recognized by the progressive alignment of clay particles into the bedding plane, which promotes an increase in the number of elongated, small, clay domain pores parallel to the bedding plane. Each of these observations is consistent with rotation of clay particles into the bedding plane as these large clay-domain pores collapse.

#### Mechanism of porosity reduction from 28 mbsf to 1500 mbsf

Below 28 mbsf to >1500 mbsf, porosity continues to decrease from 52-30% (MAD) but at a reduced pace. SEM observations suggest that this porosity decline results from the progressive loss of silt-adjacent pores with large silt-adjacent pores lost before small ones (Fig. 8), although they remain present in common abundance to 1200 mbsf. Small clay domain pores are abundant throughout the section, and the large clay domain pores are lost between the seafloor and 28 mbsf.

Within the population of silt-adjacent pores, the large, equant pores are most susceptible to collapse (Fig. 8). Large, elongate pores persist in abundance, both in linear and crescent geometries. While it seems plausible that large, equant pores collapse to form large, elongate pores, no corresponding increase in the elongate pore population is observed. Large, elongate pores may collapse further and become small silt-adjacent pores. Microstructural evidence supports the idea that large equant pores collapse as surrounding clay particles within clay-rich domains bend and shrink the size of the remaining pore (Fig.13), and that the collapse results in an increasing aspect ratio of the pore.

Frequently, bent clay particles are observed on the top of larger silt-adjacent pores. In the clay microstructure, large silt-adjacent pores act as a zone of heterogeneous strain localization. Clay particles can bend and collapse into large silt-adjacent pores more readily than the smaller pores in the clay matrix (Fig. 13a to f). With increasing vertical effective stress two situations can arise which are demonstrated in the model shown in Fig.13g. First, with an increase in effective stress, the bent clay particles can lose frictional resistance from the sidewall (Fig.13a and b), can continue to bend, and slide down to fill the larger silt-adjacent pore space (Fig.13g-(iii)). Second, with an increase in vertical effective stress, bent clay particles may develop fractures (red lines in Fig.13g-(iv)) and subsequently collapse into the larger silt-adjacent pore space to reduce the porosity of samples (Fig.13g-(v)). Fig. 13a represents fractured bent clay on the top of the larger silt adjacent pore (shown by white arrow). Similarly, two small clay particles appear to have fallen into the larger silt adjacent pore space (Fig.13e) while another bent clay particle (shown by white arrow) covers the pore. Fig.13f represents a bent clay particle wrapping across the top of two quartz particles, and four small clay platelets fill the space between two quartz particles, suggesting an older, larger silt-adjacent pore filled by fractured clay platelets. Occurring within the pore space between two equant quartz grains (Fig.13f), four small clay particles appear to have developed due to the fracturing of two large bent clay particles. Hence, it appears that the collapse of larger silt-adjacent pores in these mud samples is governed by the bending of clay particles and subsequent fracturing due to an increase in vertical effective stress. While these processes are defined within individual pores, the observed deformation is interpreted to result from an imposed force chain that acts on specific pores in a progressive manner as the force chain evolves and as adjacent pores deform.

Small silt-adjacent pores also become less abundant with burial, but the transition occurs deeper than the large pores, and small, silt-adjacent pores remain common throughout the section (Fig. 8; Supplementary data-11). Small equant pores are lost like the large pores, and elongate pores remain abundant within this population subset throughout. There is a loose correspondence between the loss of small, equant pores and an increase in elongate

pores, suggesting that pore flattening is part of the pore collapse history. The pore collapse evolution outlined for large pores (Fig.13g) appears to also hold for small pores, even though observations are more challenging.

Small, clay domain pores appear to remain resilient throughout the compaction history (Fig. 8), even though some of these pores must become lost to account for porosity loss. Small, equant pores are lost between 100-200 m, and this loss appears to be accommodated by an increase in elongate pores (Fig. 8). Elongate crescent pores increase in abundance around 800 mbsf, and we interpret this to reflect folding of abundant linear elongate pores as the

overall system compacts.

- Large equant pores in the clay domain are lost within the first few 10's of meters of burial. Elongate pores appear to form at the expense of equant pores, and there may be a reduction in pore size associated with this shape change. Most of the pores remaining after 1500 m of burial are small, elongate pores found both in clay domain and silt-adjacent pores.
- The presence of silt particles locally redistributes the force chain of load to retain undeformed, silt-adjacent, large pores (Schneider et al., 2011). The samples with greater silt content are also enriched in equant-shaped silt-adjacent larger pores (Fig.10) in the microstructure. Hence, as a result, they display greater porosity compared to other samples from similar depth intervals (Fig.10).
  - Previous studies report contrasting ideas on the development of phyllosilicate fabric strength due to mechanical compaction. Some studies suggest that mechanical compaction creates a phyllosilicate fabric in mud (Bowles et al., 1969; Oertel and Curtis, 1972; Vasseur et al., 1995), whereas other studies conclude that vertical effective stress has limited impact on phyllosilicate fabric development (Ho et al., 1999; Aplin et al., 2006; Day-Stirrat et al., 2008; 2011). Here, we consider the preferred orientation of pores as a proxy for the alignment of phyllosilicate (Hemes et al., 2013). At shallow depth (Unit-I), a weak preferred alignment of the long axis of pores with maxima oriented obliquely to the bedding planes is formed (Supplementary data-15), and at greater depth (Unit-II and III) the long axes of pores become aligned subparallel to the bedding plane. Increase in vertical effective stress below 28 mbsf depth creates only a small increase in the preferred orientation of the long axis of pores. Hence, we found only a limited change in phyllosilicate fabric strength with increasing vertical stress..
  - Previous authors also document the evolution of pore size distribution in mud with an increase in consolidation stress using laboratory experiments and merury-intrusion porosimetry (Griffiths and Joshi, 1989; 1990). They conclude that the pore size distribution appears to be bi-modal, and the distribution curve shifts toward smaller pore sizes with an increase in applied consolidation stress (Griffiths and Joshi, 1989). We observe an initial transition from tri-modal to bi-modal pore size distribution around 28 mbsf depth due to rapid collapse of large clay domain pores by compactional strain. With an increase in depth below 28 mbsf, the bi-modal pore size distribution persists and tends to shift toward small pore sizes as the number of larger silt-adjacent pores diminishes.
  - Laboratory studies have emphasized the importance of clay particle rotation as a dominant mechanism for mechanical compaction in mudstone (Bennett et al., 1981, 1991; Vasseur et al., 1995; Aplin et al., 2006; Day-Stirrat et al., 2008; 2011). We observe particle rotation only in the shallowest samples where unstable EE and EF

particle contacts are present. Clay particle bending and sliding/fracturing are considered more important for most of the section studied.

## Mechanical compaction of marine sediment: a conceptual model

According to earlier studies (Delage and Lefebvre, 1984; Griffiths and Joshi, 1989; 1990; Emmanuel and Day-Stirrat 2012), the reduction of pores in sedimentary rocks during compaction is size-dependent - larger pores deform much readily than smaller pores. According to their model, larger pores rapidly decrease in size during compaction to reduce the overall porosity of the sample. However, microstructural analysis of Sumatra samples suggests that porosity reduction is accomplished by compaction of all pore sizes. Moreover, the maximum size of pores remains almost constant irrespective of increasing vertical effective stress/depth (Supplementary data-8) with little difference observed for the maximum pore size in samples from 98.25 mbsf and 1299.31 mbsf. The preservation of a constant ratio between MAD and BIB-SEM porosity measurements (Fig. 3b) suggests that porosity loss is distributed across all pore sizes. We infer that all pore sizes are available for compaction for every increment of applied stress but acknowledge that pore size reduction in different size classes may proceed at different rates.

We propose a new model for the reduction in porosity in which all pores within the force chain of load take part in the reduction of porosity during compaction irrespective of their size. At shallow depth up to 28mbsf, larger clay-domain pores are the most susceptible to early response during an increase in compactional strain, because of two reasons: 1) the 'domains' defined by the clay particles are weaker compared to the larger, rigid silt grains, and 2) due to higher relative proportion of clay-rich regions within the mud, the force chain of load dominantly passes through the clay domains. The dispersed nature of the silt-size particles and the high proportion of phyllosilicates in the mud samples indicate that soft clay particles act as the principal load-bearing framework. Hence, larger clay domain pores are more unstable compared to silt-adjacent pores in the mud microstructure. Similarly, below 28mbsf depth, under an increase in vertical effective stress, both the larger silt-adjacent pores and smaller pores in the clay matrix that come within the force chain of load collapse. Hence, the ratio between BIB-SEM porosity vs MAD porosity remains almost constant irrespective of the depth. All larger silt-adjacent pores do not come within the force chain of load at the same time. Hence, some of the larger silt-adjacent pores remained undeformed to the maximum depth of 1500mbsf depth. Therefore, the maximum size of the larger silt-adjacent pores remains almost constant irrespective of the depth/vertical effective stress.

In this study, we investigated how systematically pore types evolve with increasing depth in naturally compacted samples. However, tracking the pore evolution through additional size categories would elucidate the pore evolution in more detail. Preliminary pore size distribution data (Fig.9) indicate that 4 size bins exist in these samples. Developing this approach requires improved image analysis techniques to tie all the pore attributes together on a pore-by-pore basis for a huge number of pores.

#### Compaction strain accommodation and grain-scale deformation

Deformation of clay-rich sedimentary rocks involves four possible mechanisms: 1) Particulate flow; (Morgenstern and Tchalenko, 1967; Borradaile et al., 1981); 2) Cataclasis; (Ukar and Cloos, 2019) 3) Diffusive mass transfer;

(Blenkinsop, 2007; Fossen, 2016); 4) Intercrystalline plasticity (Blenkinsop, 2007; Fossen, 2016). Intensity and occurrence of a particular deformation mechanism in a mudstone depend on several parameters, such as effective stress, water content, cementation, temperature (Desbois et al., 2017; Den Hartog and Spiers, 2014).

All our samples show evidence of particulate flow controlled by friction between grains. At shallow depths, illite platelets contacted at EE and EF junctions lose these weak bonds, and particles rotate into bedding-parallel orientation. Once FF contacts dominate, large-scale rotations are reduced, and inter-particle slip becomes important. This is best evidenced in collapse of large, silt-adjacent pores where bent clay particles overlay pores (Fig.14a to f). In deforming granular foam material, bending was reported as the dominant deformation mechanism for the reduction in porosity and developing preferred alignment of the long axis of pores perpendicular to the applied stress (Elliott et al., 2002, Zhou et al., 2004; Samsudin et al., 2017; Zakaria et al., 2018) (review of these earlier studies on the experimental deformation of granular foam is described in document S2). Friction adheres clay particles to the edge of pores while the middle of particles drops into the pore, resulting in bending by intraparticle slip. A cartoon (Fig.14g) illustrates the compaction mechanism associated with the bending of clay particles. With increasing compaction strain, clay particles undergo bending, and as a result, pore area reduces, and the orientation of the pores tends to align perpendicular to the applied effective stress (Fig.14g). At shallow depths (Unit-I), particles get enough free space for rotation to align parallel to the bedding plane because of higher porosity (Figure S12a and b). However, at greater depth where porosity decreases, space problems limit particle deformation to bending and fracturing as increase in compactional strain increases (Figure S12c and d).

### Compaction of Sumatra input section: generalized implication for rock property

#### evolution

621 622

623

624

625

626

627 628

629

630 631

632

633

634

635

636 637

638

639

- The overall compaction curve obtained for Sumatra muds is comparable with the experimental study by Fawad et
- al., 2010 in the context of compactional range (Fig.8). The curve shows a mono-exponential decrease in porosity
- with an increase in vertical effective stress, which is evidence of normal consolidation (Fawad et al., 2010;
- 644 Dutilleul et al., 2020).
- The larger silt-adjacent pores seen in the deepest of these samples (1500 m burial) suggest these muds retain
- considerable potential for additional mechanical compaction with deeper burial. As this marine sediment
- progressively approaches greater burial closer to the accretionary prism, it will undergo further change in physical
- and deformational properties (Bray and Karig, 1985). Despite the substantial compactional strain, the relatively
- 649 high porosity of the deepest sample and the survival of larger and mechanically unstable silt-margin pores suggests
- 650 that compactional stabilization has yet to be reached because such IGVs and pore types are not generally observed
- 651 in older and lithified mudrocks. Based on the current understanding of subduction zone deformation behavior and
- mudrock properties, it seems likely that mechanical compaction will continue to dominate the pore loss in deeper
- 653 burial.
- 654 The general absence of early cementation and the corresponding dominance of mechanical compaction in the total
- pore loss is consistent with observations of other siliciclastic-dominated muds (Milliken, 2014; 2019). The trends
- for intergranular volume change observed from the seafloor and 1500 mbsf place useful constraints on the

557	maximum cement volumes that theoretically could be emplaced at this depth range in sediments containing a more
558	reactive grain assemblage. At the depths of burial attained at the deformation front, any cementation of the Sumatra
559	input sediments will be limited to <30% of the rock volume, or possibly much less, as mechanical compaction is
660	expected to continue up to the burial temperatures that initiate grain reactions and associated cementation.
661	Conclusions
662	Pores are classified by size and microstructural position, resulting in a multi-modal contribution to the total
663	porosity. Shallow samples (seafloor to 28 mbsf) display a sharp reduction in porosity from 80% to 52% as large
664	clay domain/matrix pores collapse. Deeper samples (28 mbsf to 1500 mbsf) exhibit a smaller reduction in porosity
665	from 50% to 32% due to the collapse of silt-adjacent pores by bending and subsequent fracturing/sliding of clay
666	particles.
667	The class of large pores next to silt-sized grains (between 10 <sup>4</sup> and 10 <sup>6</sup> nm <sup>2</sup> ) remains common to >1 km burial,
668	irrespective of the mineralogy of the silt-sized grains, but their size decreases with depth. Small, equant pores next
669	to silt particles are abundant in the first 100 m of burial and remain common over the entire depth range.
570	Small pores in clay domains are almost all elongated, and abundant over all observed depths. Small, crescent-
571	shaped elongate pores increase in abundance with depth as clay particles become folded by compactional
572	processes.
573	The size-independence of pore loss arises because the force chain driving pore collapse is localized primarily
574	within the volumetrically dominant and weaker clay-rich domains; larger pores around isolated silt particles enter
575	into the force chain somewhat randomly and asynchronously and do not contribute preferentially to pore loss over
576	the depth range studied.
577	An increase in effective stress up to 18 MPa (~1500 mbsf) causes the development of weakly aligned phyllosilicate
578	fabric (defined by mica and illite clay particles) in the microstructure.
579	Compaction processes in our samples are dominated by granular flow (rotation and frictional sliding of illite clay
680	particles) at shallow depths. With increasing depth, compaction is additionally accommodated by bending of clay
581	particles.
582	Data availability
583	High resolution SE2 and BSE images of all samples are available online at:
584	https://figshare.com/s/cbaada517b0b1409d575

# Authors contributions

SL and KLM performed sample preparation and BIB-SEM microscopy. SL analysed the data. JLU and GD acquired funding. JLU managed the project. PV, KLM and JLU significantly contributed to interpret the data. SL

- wrote the first draft of the manuscript. PV, KLM and JLU contributed for the correction and improvement of the
- 689 manuscript.

# 690 Competing interests

The authors declare that they do not have any conflict of interest.

# Acknowledgments

- 693 SL and JLU thank German Research Foundation (Deutsche Forschungsgemeinschaft [DFG] grant UR 64/19-1)
- 694 for providing funding to carry out the research. IODP (International Ocean Discovery Programme) sample
- 695 repository, Japan is acknowledged for providing oriented mud samples for the study. KLM acknowledges the
- samples and data provided by the International Ocean Discovery Program (IODP). Funding for sample preparation
- and SEM imaging was supported by a post-expedition award (Milliken, P.I.) from the Consortium for Ocean
- Leadership. SL thanks Manuel Menzel, Jop Klaver, Liene Spruženiece, and Joyce Schmatz for providing valuable
- 699 time to teach BIB-SEM techniques. We would like to thank Dave Dewhurst and Bernhard Schuck for their
- 700 constructive ideas in the review reports, and Virginia Toy for editorial handling.

# 701 References

- 702 Adams, R. and Bischof, L.: Seeded region growing. IEEE Transactions on pattern analysis and machine
- 703 intelligence. IEEE: 16(6), 641-647. https://DOI. 10.1109/34.295913, 1994.
- Ajdukiewicz, J. M. and Lander, R. H.: Sandstone reservoir quality prediction: state of the art, AAPG Bulletin, 94,:
- 705 1082-1091, https://doi.org/10.1306/intro060110, 2010.
- Ammon, C.J., Ji, C., Thio, H.K., Robinson, D., Ni, S., Hjorleifsdottir, V., Kanamori, H., Lay, T., Das, S.,
- Helmberger, D. and Ichinose, G. Rupture process of the 2004 Sumatra-Andaman earthquake, Science, 308(5725),
- 708 1133-1139, DOI: 10.1126/science.1112260, 2005.
- Aplin, A.C. and Macquaker, J.H.: Mudstone diversity: Origin and implications for source, seal, and reservoir
- 710 properties in petroleum systems, AAPG bulletin, 95(12), 2031-2059, https://doi.org/10.1306/03281110162, 2011.
- 711 Aplin, A.C., Matenaar, I.F. and Vvan Dder Pluijm, B.A.: Influence of mechanical compaction and chemical
- 712 diagenesis on the microfabric and fluid flow properties of Gulf of Mexico mudstones Journal of Geochemical
- 713 Exploration, 78, 449-451, https://doi.org/10.1016/S0375-6742(03)00035-9, 2003.
- 714 Aplin, A.C., Matenaar, I.F., McCarty, D.K. and Vvan Der Pluijm, B.A.: Influence of mechanical compaction and
- 715 clay mineral diagenesis on the microfabric and pore-scale properties of deep-water Gulf of Mexico mudstones,
- 716 Clays and Clay Minerals, 54(4), 500-514, https://doi.org/10.1346/CCMN.2006.0540411, 2006.

- Backman, J., Chen, W., Kachovich, S., Mitchison, F. L., Petronotis, K. E., Yang, T. and Zhao, X.: Data report:
- Revised age models for IODP Sites U1480 and U1481, Expedition 362, Proceedings of the International Ocean
- 719 Discovery Program, Expedition Reports 362, https://doi.org/10.14379/iodp.proc.362.202.2019, 2019.
- 720 Baruch, E.T., Kennedy, M.J., Löhr, S.C. and Dewhurst, D.N.: Feldspar dissolution-enhanced porosity in
- 721 Paleoproterozoic shale reservoir facies from the Barney Creek Formation (McArthur Basin, Australia). AAPG
- 722 Bulletin, 99(9), 1745-1770, https://doi.org/10.1306/04061514181, 2015.
- Bennett, R.H., Bryant, W.R. and Keller, G.H.: Clay fabric of selected submarine sediments; fundamental properties
- and models, Journal of Sedimentary Research, 51(1), 217-232, https://doi.org/10.1306/212F7C52-2B24-11D7-
- 725 8648000102C1865D, 1981.
- Bennett, R.H., O'Brien, N.R. and Hulbert, M.H.: Determinants of clay and shale microfabric signatures: processes
- 727 and mechanisms. In Microstructure of Fine-Grained Sediments, 5-32, Springer, New York, NY. https://DOI:
- 728 10.1007/978-1-4612-4428-8\_2, 1991.
- 729 Blenkinsop, T.G.: Deformation microstructures and mechanisms in minerals and rocks, Springer Science &
- 730 Business Media, 2007.
- 731 Bowles, F.A., Bryant, W.R. and Wallin, C.: Microstructure of unconsolidated and consolidated marine sediments,
- 732 Journal of Sedimentary Research, 39(4), 1546-1551, https://doi.org/10.1306/74D71E7E-2B21-11D7-
- 733 8648000102C1865D, 1969.
- Borradaile, G.J.: Particulate flow of rock and the formation of cleavage. Tectonophysics, 72(3-4), 305-321,
- 735 https://doi.org/10.1016/0040-1951(81)90243-2, 1981.
- 736 Bray, C.J. and Karig, D.E.: Porosity of sediments in accretionary prisms and some implications for dewatering
- 737 processes, Journal of Geophysical Research: Solid Earth, 90(B1), 768-778, https://doi.org/
- 738 10.1029/JB090iB01p00768, 1985.
- Brown, K.M. and Ransom, B.: Porosity corrections for smectite-rich sediments: Impact on studies of compaction,
- 740 fluid generation, and tectonic history. Geology, 24(9), 843-846, https://doi.org/10.1130/0091-
- 741 7613(1996)024<0843:PCFSRS>2.3.CO;2, 1996.
- Burland, J.B.: On the compressibility and shear strength of natural clays. Géotechnique, 40(3), 329-378,
- 743 doi.org/10.1680/geot.1990.40.3.329, 1990.
- Chester, F.M., Rowe, C., Ujiie, K., Kirkpatrick, J., Regalla, C., Remitti, F., Moore, J.C., Toy, V., Wolfson-
- Schwehr, M., Bose, S. and Kameda, J.: Structure and composition of the plate-boundary slip zone for the 2011
- 746 Tohoku-Oki earthquake. Science, 342(6163), 1208-1211, https://DOI: 10.1126/science.1243719, 2013.
- 747 Cetin, H.: Soil-particle and pore orientations during consolidation of cohesive soils. Engineering geology, 73(1-
- 748 2), 1-11, doi.org/10.1016/j.enggeo.2003.11.006, 2004.

- Day-Stirrat, R.J., Aplin, A.C., Środoń, J. and Van der Pluijm, B.A.: Diagenetic reorientation of phyllosilicate
- 750 minerals in Paleogene mudstones of the Podhale Basin, southern Poland, Clays and Clay Minerals, 56(1), 100-
- 751 111, DOI: 10.1346/CCMN.2008.0560109, 2008.
- 752 Day-Stirrat, R.J., Flemings, P.B., You, Y., Aplin, A.C. and van der Pluijm, B.A.: The fabric of consolidation in
- 753 Gulf of Mexico mudstones, Marine Geology, 295, 77-85, https://doi.org/10.1016/j.margeo.2011.12.003, 2012.
- Day-Stirrat, R.J., Milliken, K.L., Dutton, S.P., Loucks, R.G., Hillier, S., Aplin, A.C. and Schleicher, A.M.: Open-
- 755 system chemical behavior in deep Wilcox Group mudstones, Texas Gulf Coast, USA, Marine and Petroleum
- 756 Geology, 27(9), 1804-1818, https://doi.org/10.1016/j.marpetgeo.2010.08.006, 2010.
- Day-Stirrat, R.J., Schleicher, A.M., Schneider, J., Flemings, P.B., Germaine, J.T. and van der Pluijm, B.A.:
- 758 Preferred orientation of phyllosilicates: Effects of composition and stress on resedimented mudstone
- 759 microfabrics, Journal of Structural Geology, 33(9), 1347-1358, https://DOI:10.1016/j.jsg.2011.06.007, 2011.
- 760 Dean, S.M., McNeill, L.C., Henstock, T.J., Bull, J.M., Gulick, S.P., Austin, J.A., Bangs, N.L., Djajadihardja, Y.S.
- and Permana, H.: Contrasting décollement and prism properties over the Sumatra 2004–2005 earthquake rupture
- 762 boundary, Science, 329(5988), 207-210, https://DOI: 10.1126/science.1189373, 2010.
- 763 Delage, P. and Lefebvre, G.: Study of the structure of a sensitive Champlain clay and of its evolution during
- 764 consolidation. Canadian Geotechnical Journal, 21(1), 21-35, https://doi.org/10.1139/t84-003, 1984.
- Delle Piane, C., Almqvist, B.S., MacRae, C.M., Torpy, A., Mory, A.J. and Dewhurst, D.N.: Texture and diagenesis
- 766 of Ordovician shale from the Canning Basin, Western Australia: Implications for elastic anisotropy and
- geomechanical properties. Marine and Petroleum Geology, 59, 56-71, doi.org/10.1016/j.marpetgeo.2014.07.017,
- 768 2015.
- Den Hartog, S. A. and Spiers, C. J.: A microphysical model for fault gouge friction applied to subduction
- 770 megathrusts, Journal of Geophysical Research: Solid Earth, 119(2), 1510-1529.
- 771 https://doi.org/10.1002/2013JB010580, 2014.
- Desbois, G., Urai, J.L. and Kukla, P.A.: Morphology of the pore space in claystones–evidence from BIB/FIB ion
- beam sectioning and cryo-SEM observations. eEarth Discussions, 4(1), 1-19, 2009.
- Desbois, G., Urai, J.L., Hemes, S., Brassinnes, S., De Craen, M. and Sillen, X.: Nanometer-scale pore fluid
- distribution and drying damage in preserved clay cores from Belgian clay formations inferred by BIB-cryo-
- 776 SEM. Engineering Geology, 179, 117-131, https://doi.org/10.1016/j.enggeo.2014.07.004, 2014.
- Desbois, G., Höhne, N., Urai, J. L., Bésuelle, P., and Viggiani, G.: Deformation in cemented mudrock (Callovo-
- Oxfordian Clay) by microcracking, granular flow and phyllosilicate plasticity: insights from triaxial deformation,
- broad ion beam polishing and scanning electron microscopy, Solid Earth, 8, 291–305, https://doi.org/10.5194/se-
- 780 8-291-2017, 2017.

- 781 Desbois, G., Urai, J. L., Kukla, P. A., Konstanty, J., & Baerle, C.: High-resolution 3D fabric and porosity model
- 782 in a tight gas sandstone reservoir: A new approach to investigate microstructures from mm-to nm-scale combining
- argon beam cross-sectioning and SEM imaging. Journal of Petroleum Science and Engineering, 78(2), 243-257,
- 784 https://doi.org/10.1016/j.petrol.2011.06.004, 2011.
- 785 Djeran-Maigre, I., Tessier, D., Grunberger, D., Velde, B. and Vasseur, G.: Evolution of microstructures and of
- macroscopic properties of some clays during experimental compaction. Marine and Petroleum Geology, 15(2),
- 787 109-128, doi.org/10.1016/S0264-8172(97)00062-7, 1998.
- 788 Dugan, B., McNeill, L. and Petronotis, K.: Expedition 362 preliminary report: Sumatra subduction zone,
- 789 International Ocean Discovery Program, 2017.
- 790 Dutilleul, J., Bourlange, S., Conin, M. and Géraud, Y.: Quantification of bound water content, interstitial porosity
- 791 and fracture porosity in the sediments entering the North Sumatra subduction zone from Cation Exchange Capacity
- 792 and IODP Expedition 362 resistivity data, Marine and Petroleum Geology, 111, 156-165,
- 793 https://doi.org/10.1016/j.marpetgeo.2019.08.007, 2020.
- Firenberg, S. N.: Assessing the relative importance of compaction processes and cementation to reduction of
- 795 porosity in sandstones: discussion. American Association of Petroleum Geologists Bulletin, 73, 1274-1276,
- 796 https://doi.org/10.1306/44B4AA1E-170A-11D7-8645000102C1865D, 1989.
- 797 Elliott, J.A., Windle, A.H., Hobdell, J.R., Eeckhaut, G., Oldman, R.J., Ludwig, W., Boller, E., Cloetens, P. and
- 798 Baruchel, J.: In-situ deformation of an open-cell flexible polyurethane foam characterised by 3D computed
- 799 microtomography, Journal of materials science, 37(8), 1547-1555, doi:10.1023/A:1014920902712, 2002.
- 800 Emmanuel, S. and Day-Stirrat, R.J.: 2012. A framework for quantifying size dependent deformation of nano-scale
- pores in mudrocks Journal of applied geophysics, 86, 29-35, https://doi.org/10.1016/j.jappgeo.2012.07.011, 2012.
- Fawad, M., Mondol, N.H., Jahren, J. and Bjørlykke, K.: Microfabric and rock properties of experimentally
- 803 compressed silt-clay mixtures, Marine and Petroleum Geology, 27(8), 1698-1712,
- 804 https://doi.org/10.1016/j.marpetgeo.2009.10.002, 2010.
- Fossen, H.: Structural geology. Cambridge university press. 2016.
- 806 Ghosal, D., Singh, S.C. and Martin, J.: Shallow subsurface morphotectonics of the NW Sumatra subduction system
- 807 using an integrated seismic imaging technique, Geophysical Journal International, 198(3), 1818-1831,
- 808 https://doi.org/10.1093/gji/ggu182, 2014.
- Griffiths, F.J. and Joshi, R.C.: Change in pore size distribution due to consolidation of clays. Geotechnique, 39(1),
- 810 159-167, doi.org/10.1680/geot.1989.39.1.159, 1989.
- 811 Griffiths, F.J. and Joshi, R.C.: Clay fabric response to consolidation. Applied clay science, 5(1), 37-66,
- 812 doi.org/10.1016/0169-1317(90)90005-A, 1990.

- 813 Griffiths, F.J. and Joshi, R.C.: Change in pore size distribution owing to secondary consolidation of
- 814 clays. Canadian Geotechnical Journal, 28(1), 20-24, https://doi.org/10.1139/t91-003, 1991.
- Hemes, S., Desbois, G., Klaver, J. and Urai, J.L.: Microstructural characterisation of the Ypresian clays (Kallo-1)
- at nanometre resolution, using broad-ion beam milling and scanning electron microscopy. Netherlands Journal of
- 817 Geosciences, 95(3), 293-313, DOI: https://doi.org/10.1017/njg.2016.16, 2016.
- Hemes, S., Desbois, G., Urai, J.L., De Craen, M. and Honty, M.: Variations in the morphology of porosity in the
- 819 Boom Clay Formation: insights from 2D high resolution BIB-SEM imaging and Mercury injection
- 820 Porosimetry. Netherlands Journal of geosciences, 92(4), 275-300, DOI: doi.org/10.1017/S0016774600000214,
- 821 2013.
- Hemes, S., Desbois, G., Urai, J.L., Schröppel, B. and Schwarz, J.O.: Multi-scale characterization of porosity in
- 823 Boom Clay (HADES-level, Mol, Belgium) using a combination of X-ray μ-CT, 2D BIB-SEM and FIB-SEM
- 824 tomography. Microporous and mesoporous materials, 208, 1-20, https://
- 825 doi.org/10.1016/j.micromeso.2015.01.022, 2015.
- Hesse, R.: Turbiditic and non-turbiditic mudstone of Cretaceous flysch sections of the East Alps and other
- basins. Sedimentology, 22(3), 387-416, https://doi.org/10.1111/j.1365-3091.1975.tb01638.x, 1975.
- Hippchen, S. and Hyndman, R.D.: Thermal and structural models of the Sumatra subduction zone: Implications
- for the megathrust seismogenic zone. Journal of Geophysical Research: Solid Earth, 113(B12), https://doi.org/10.
- https://doi.org/10.1002/2015TC003901, 2008.
- Ho, N.C., Peacor, D.R. and Van der Pluijm, B.A.: Preferred orientation of phyllosilicates in Gulf Coast mudstones
- and relation to the smectite-illite transition. Clays and Clay Minerals, 47(4), 495-504, DOI: 10.1346/CCMN.
- 833 1999.0470412, 1999.
- Houben, M.E., Desbois, G. and Urai, J.L.: A comparative study of representative 2D microstructures in Shaly and
- 835 Sandy facies of Opalinus Clay (Mont Terri, Switzerland) inferred form BIB-SEM and MIP methods, Marine and
- 836 Petroleum Geology, 49, 143-161, https://doi.org/10.1016/j.marpetgeo.2013.10.009,. 2014.
- Hüpers, A., Ikari, M.J., Dugan, B., Underwood, M.B. and Kopf, A.J.: Origin of a zone of anomalously high
- 838 porosity in the subduction inputs to Nankai Trough. Marine Geology, 361, 147-162,
- https://doi.org/10.1016/j.margeo.2015.01.004, 2015.
- Hüpers, A., Torres, M.E., Owari, S., McNeill, L.C., Dugan, B., Henstock, T.J., Milliken, K.L., Petronotis, K.E.,
- Backman, J., Bourlange, S. and Chemale, F.: Release of mineral-bound water prior to subduction tied to shallow
- seismogenic slip off Sumatra. Science, 356(6340), 841-844. 2017.
- Jiang, M., Klaver, J., Schmatz, J. and Urai, J.L.: Nanoscale porosity analysis in geological materials. Acta
- 844 Stereologica, 2015.

- Kameda, A., Dvorkin, J., Keehm, Y., Nur, A. and Bosl, W.: Permeability-porosity transforms from small sandstone
- 846 fragments. Geophysics, 71(1), N11-N19, https://doi.org/10.1190/1.2159054, 2006.
- 847 Karaborni, S., Smit, B., Heidug, W., Urai, J. and Van Oort, E.: The swelling of clays: molecular simulations of
- the hydration of montmorillonite. Science, 271(5252), 1102-1104, DOI: 10.1126/science.271.5252.1102, 1996.
- 849 Klaver, J., Desbois, G., Littke, R. and Urai, J.L.: BIB-SEM characterization of pore space morphology and
- 850 distribution in postmature to overmature samples from the Haynesville and Bossier Shales. Marine and petroleum
- 851 Geology, 59, 451-466, https://doi.org/10.1016/j.marpetgeo.2014.09.020, 2015.
- 852 Klaver, J., Desbois, G., Littke, R. and Urai, J.L.: BIB-SEM pore characterization of mature and post mature
- Posidonia Shale samples from the Hils area, Germany. International Journal of Coal Geology, 158, 78-89,
- 854 https://doi.org/10.1016/j.coal.2016.03.003, 2016.
- Klaver, J., Desbois, G., Urai, J.L. and Littke, R.: BIB-SEM study of the pore space morphology in early mature
- 856 Posidonia Shale from the Hils area, Germany. International Journal of Coal Geology, 103, 12-25.
- 857 https://doi.org/10.1016/j.coal.2012.06.012, 2012.
- Kuila, U. and Prasad, M.: Specific surface area and pore-size distribution in clays and shales. Geophysical
- Prospecting, 61(2-Rock Physics for Reservoir Exploration, Characterisation and Monitoring), pp.341-362,
- 860 https://doi.org/10.1111/1365-2478.12028, 2013.
- 861 Lander, R. H. and Walderhaug, O. W.: Predicting porosity through simulating sandstone compaction and quartz
- 862 cementation. American Association of Petroleum Geologists Bulletin 83: 433-449,
- 863 https://doi.org/10.1306/00AA9BC4-1730-11D7-8645000102C1865D, 1999.
- Lander, R. H., Larese, R. H. Larese and Bonnell, L. M.: Toward more accurate quartz cement models: The
- importance of euhedral versus noneuhedral growth rates. American Association Petroleum Geologists Bulletin 92:
- 866 1537-1563. https://doi.org/10.1306/07160808037, 2008.
- 867 Laurich, B., Urai, J.L., Desbois, G., Vollmer, C. and Nussbaum, C.: Microstructural evolution of an incipient fault
- zone in Opalinus Clay: Insights from an optical and electron microscopic study of ion-beam polished samples from
- the Main Fault in the Mt-Terri Underground Research Laboratory. Journal of Structural Geology, 67, 107-128.
- 870 https://doi.org/10.1016/j.jsg.2014.07.014, 2014.
- Lay, T., Kanamori, H., Ammon, C. J., Nettles, M., Ward, S.N., Aster, R.C., Beck, S.L., Bilek, S.L., Brudzinski,
- 872 M.R., Butler, R. and DeShon, H.R.: The great Sumatra-Andaman earthquake of 26 Ddecember
- 873 2004. Sscience, 308(5725), pp.1127-1133, DOI: 10.1126/science.1112250, 2005.
- Lazar, O.R., Bohacs, K.M., Macquaker, J.H., Schieber, J. and Demko, T.M.: Capturing key attributes of fine-
- grained sedimentary rocks in outcrops, cores, and thin sections: nomenclature and description guidelines. Journal
- of Sedimentary Research, 85(3), pp.230-246, https://doi.org/10.2110/jsr.2015.11, 2015.

- 877 Lundegard, P. D.: Sandstone porosity loss--a 'big picture' view of the importance of compaction. Journal of
- 878 Sedimentary Petrology 62: 250-260, https://doi.org/10.1306/D42678D4-2B26-11D7-8648000102C1865D, 1992.
- March, A.: Mathematical theory of the Korngestah control for affine deformation. Journal of Crystallography-
- 880 Crystalline Materials, 81 (1-6), 285-297, doi.org/10.1524/zkri.1932.81.1.285, 1932.
- McNeill, L.C., Dugan, B. and Petronotis, K.E., Backman, J., Bourlange, S., Chemale, F., Chen, W., Colson, T.A.,
- Frederik, M.C.G., Guèrin, G., Hamahashi, M., Henstock, T., House, B.M., Hüpers, A., Jeppson, T.N., Kachovich,
- 883 S., Kenigsberg, A.R., Kuranaga, M., Kutterolf, S., Milliken, K.L., Mitchison, F.L., Mukoyoshi, H., Nair, N.,
- Owari, S., Pickering, K.T., Pouderoux, H.F.A., Yehua, S., Song, I., Torres, M.E., Vannucchi, P., Vrolijk, P.J.,
- Yang, T., and Zhao, X.: Sumatra Subduction Zone. Proceedings of the International Ocean Discovery
- Program, 362, https://doi.org/10.14379/iodp.proc.362.102.2017, 2017a.
- McNeill, L.C., Dugan, B. and Petronotis, K.E., Backman, J., Bourlange, S., Chemale, F., Chen, W., Colson, T.A.,
- Frederik, M.C.G., Guèrin, G., Hamahashi, M., Henstock, T., House, B.M., Hüpers, A., Jeppson, T.N., Kachovich,
- 889 S., Kenigsberg, A.R., Kuranaga, M., Kutterolf, S., Milliken, K.L., Mitchison, F.L., Mukoyoshi, H., Nair, N.,
- Owari, S., Pickering, K.T., Pouderoux, H.F.A., Yehua, S., Song, I., Torres, M.E., Vannucchi, P., Vrolijk, P.J.,
- Yang, T., and Zhao, X.: Sumatra Subduction Zone. Proceedings of the International Ocean Discovery
- Program, 362, (Supplementary material), https://doi.org/10.14379/iodp.proc.362supp.2017, 2017b.
- Meade, R.H.: Removal of water and rearrangement of particles during the compaction of clayey sediments. US
- 894 Government Printing Office, 1964.
- Mesri, G. and Olson, R.E.: Mechanisms controlling the permeability of clays. Clays and Clay minerals, 19(3),
- 896 151-158, 1971.
- 897 Milliken, K. L.: A compositional classification for grain assemblages in fine-grained sediments and sedimentary
- 898 rocks. Journal of Sedimentary Research 84: 1185-1199, https://doi.org/10.2110/jsr.2014.92, 2008.
- Milliken, K. L.: A compositional classification for grain assemblages in fine-grained sediments and sedimentary
- 900 rocks. Journal of Sedimentary Research 84: 1185-1199, https://doi.org/10.2110/jsr.2014.92, 2014.
- 901 Milliken K. L.: Compactional and mass-balance constraints inferred from the volume of quartz cementation in
- 902 mudrocks. Mudstone Diagenesis: New Research Perspectives for Shale Hydrocarbon Reservoirs, Seals, and
- 903 Source Rocks. AAPG. 120: 33-48, DOI: 10.1306/13672209M121252, 2019.
- 904 Milliken, K. L. and Curtis, M. E.: Imaging pores in sedimentary rocks: Foundation of porosity prediction. Marine
- 905 and Petroleum Geology 73,: 590-608, https://doi.org/10.1016/j.marpetgeo.2016.03.020, 2016.
- 906 Milliken, K. L. and Day-Stirrat R. J.: Cementation in mudrocks: Brief review with examples from cratonic basin
- 907 mudrocks. Memoir. J.-Y. Chatellier. Tulsa, Oklahoma, USA, AAPG, https://doi.org/10.1306/13401729H55252,
- 908 2013.

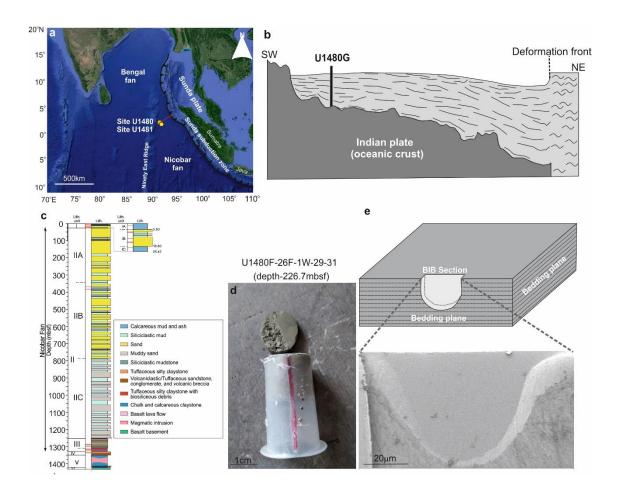
- 909 Milliken, K. L. and Olson, T.: Silica diagenesis, porosity evolution, and mechanical behavior in siliceous
- 910 mudstones, Mowry Shale Cretaceous, Rocky Mountains, U.S.A. Journal of Sedimentary Research 87: 366-387,
- 911 .https://doi.org/10.2110/jsr.2017.24, 2017.
- 912 Milliken, K. L., Rudnicki, M., Awwiller, D. N. and Zhang, T.: Organic matter-hosted pore system, Marcellus
- 913 Formation Devonian, Pennsylvania, USA. AAPG Bulletin 97: 177-200, https://doi.org/10.1306/07231212048,
- 914 2013.
- 915 Milliken, K.L. and Reed, R.M.: Multiple causes of diagenetic fabric anisotropy in weakly consolidated mud,
- Nankai accretionary prism, IODP Expedition 316. Journal of Structural Geology, 32(12), 1887-1898,
- 917 doi.org/10.1016/j.jsg.2010.03.008, 2010.
- 918 Milliken, K. L., Esch, W. L., Reed, R. M. and Zhang. T.: Grain assemblages and strong diagenetic overprinting
- 919 in siliceous mudrocks, Barnett Shale Mississippian, Fort Worth Basin, Texas, U.S.A. AAPG Bulletin 96: 1553-
- 920 1578, https://doi.org/10.1306/12011111129, 2012.
- 921 Mitchell, J.K.: The fabric of natural clays and its relation to engineering properties. In Highway Research Board
- 922 Proceedings, 35, 1956.
- 923 Moeremans, R.E. and Singh, S.C.: Fore-arc basin deformation in the Andaman-Nicobar segment of the Sumatra-
- 924 Andaman subduction zone: Insight from high-resolution seismic reflection data, Tectonics, 34(8), 1736-1750,
- 925 doi.org/10.1002/2015TC003901, 2015.
- 926 Mondol, N.H., Bjørlykke, K., Jahren, J. and Høeg, K.: Experimental mechanical compaction of clay mineral
- 927 aggregates—Changes in physical properties of mudstones during burial. Marine and petroleum geology, 24(5),
- 928 289-311, https://doi.org/10.1016/j.marpetgeo.2007.03.006, 2007.
- 929 Morgenstern, N.R. and Tchalenko, J.S.: Microstructural observations on shear zones from slips in natural clays,
- 930 1967.
- 931 Nakano, R.: On weathering and change of properties of tertiary mudstone related to landslide. Soils and
- 932 Foundations, 7(1), 1-14, https://doi.org/10.3208/sandf1960.7.1, 1967.
- 933 Neagu, R.C., Cartwright, J. and Davies, R.: Measurement of diagenetic compaction strain from quantitative
- 934 analysis of fault plane dip. Journal of Structural Geology, 32(5), 641-655,
- 935 https://doi.org/10.1016/j.jsg.2010.03.010, 2010.
- 936 Nole, M., Daigle, H., Milliken, K.L. and Prodanović, M.: A method for estimating microporosity of fine-grained
- 937 sediments and sedimentary rocks via scanning electron microscope image analysis. Sedimentology, 63(6), 1507-
- 938 1521, https://doi.org/10.1111/sed.12271, 2016.

- 939 Nollet, S., Hilgers, C. and Urai, J.: Sealing of fluid pathways in overpressure cells: a case study from the
- 940 Buntsandstein in the Lower Saxony Basin (NW Germany). International Journal of Earth Sciences, 94(5), 1039-
- 941 1055, https://doi.org/10.1007/s00531-005-0492-1, 2005.
- 942 Oelker, A.: Deformation properties of Boom Clay: Implementation of a multi-scale concept. Dissertation,
- 943 Rheinisch-Westfälische Technische Hochschule Aachen, DOI: 10.18154/RWTH-2019-09913, 2019.
- 944 Oertel, G. and Curtis, C.D.: Clay-ironstone concretion preserving fabrics due to progressive
- 945 compaction. Geological Society of America Bulletin, 83(9), 2597-2606, https://doi.org/10.1130/0016-
- 946 7606(1972)83[2597:CCPFDT]2.0.CO;2, 1972.
- Paxton, S. T., J. O. Szabo, J. M. Adjukiewicz and R. E. Klimentidis.: Construction of an intergranular volume
- 948 compaction curve for evaluating and predicting compaction and porosity loss in rigid-grain sandstone reservoirs.
- 949 American Association of Petroleum Geologists Bulletin 86: 2047-2067, https://doi.org/10.1306/61EEDDFA-
- 950 173E-11D7-8645000102C1865D, 2002.
- 951 Pickering, K.T., Carter, A., Andò, S., Garzanti, E., Limonta, M., Vezzoli, G. and Milliken, K.L.: 2020. Deciphering
- 952 relationships between the Nicobar and Bengal submarine fans, Indian Ocean. Earth and Planetary Science
- 953 Letters, 544, 116329, https://doi.org/10.1016/j.epsl.2020.116329, 2020.
- 954 Pommer, M. E. and Milliken, K. L.: Pore types and pore-size distributions across thermal maturity, Eagle Ford
- 955 Formation, South Texas. AAPG Bulletin 99: 1713-1744, https://doi.org/10.1306/03051514151, 2015.
- 956 Prawirodirdjo, L., Bocl, Y., McCaffrey, R., Genrich, J., Calais, E., Stevens, C., Puntodewo, S.S.O., Subarya, C.,
- 957 Rais, J., Zwick, P. and Fauzi, R.M.: Geodetic observations of interseismic strain segmentation at the Sumatra
- 958 subduction zone. Geophysical research letters, 24(21), 2601-2604, https://doi.org/10.1029/97GL52691, 1997.
- Rieke, H.H. and Chilingarian, G.V.: Compaction of argillaceous sediments. Elsevier, 1974.
- 960 Rosenberger, K., Underwood, M.B., Vrolijk, P. and Haines, S.: Data report: clay mineral assemblages in
- 961 hemipelagic sediments entering the Sumatra subduction zone, IODP Sites U1480 and U1481, Expedition
- 962 362. Expedition, 362, 1. 2020.
- 963 Samsudin, M.S.F., Ariff, Z.M. and Ariffin, A.: Deformation behavior of open-cell dry natural rubber foam: Effect
- 964 of different concentration of blowing agent and compression strain rate. In AIP Conference Proceedings, 1835,
- 965 No. 1, 020007, AIP Publishing LLC, 2017.
- 966 Schmatz, J., Klaver, J., Jiang, M. and Urai, J.L.: Nanoscale morphology of brine/oil/mineral contacts in connected
- 967 pores of carbonate reservoirs: Insights on wettability from Cryo-BIB-SEM. SPE Journal, 22(05), 1374-1384,
- 968 https://doi.org/10.2118/180049-PA, 2017.

- 969 Schneider, J., Flemings, P.B., Day-Stirrat, R.J. and Germaine, J.T.: Insights into pore-scale controls on mudstone
- 970 permeability through resedimentation experiments. Geology, 39(11), 1011-1014,
- 971 https://doi.org/10.1130/G32475.1, 2011.
- 972 Sintubin, M.: Clay fabrics in relation to the burial history of shales. Sedimentology, 41(6), 1161-1169,
- 973 https://doi.org/10.1130/G32475.1, 1994.
- 974 Terzaghi, K. and Peck, R.B.: Soil Mechanics. Engineering Practice. John Wiley and Sons, Inc., New York, 1948.
- 975 Torres, M. E., Milliken, K. L., A. Hüpers, J. H. Kim, S. G. Lee: Authigenic clays versus carbonate formation as
- 976 products of marine silicate weathering in the input sequence to the Sumatra Subduction Zone, Gechemistry,
- 977 Geophysics Geosystems, 23 (4), https://doi.org/10.1029/2022GC010338, 2022.
- 978 Ukar, E. and Cloos, M.: Cataclastic deformation and metasomatism in the subduction zone of mafic blocks-in-
- 979 mélange, San Simeon, California, Lithos, 346, 105116, https://doi.org/10.1016/j.lithos.2019.06.018, 2019.
- 980 Underwood, M. B., N. Lawler, and K. McNamara.: Data report: standard mineral mixtures, normalization factors,
- and determination of error for quantitative X-ray diffraction analyses of bulk powders and clay-sized mineral
- 982 assemblages. Proceedings of the International Ocean Discovery Program, 372,
- 983 doi.org/10.14379/iodp.proc.372B375.201.2020, 2020.
- Vasseur, G., Djeran-Maigre, I., Grunberger, D., Rousset, G., Tessier, D. and Velde, B.: Evolution of structural and
- physical parameters of clays during experimental compaction, Marine and petroleum geology, 12(8), pp.941-954,
- 986 https://doi.org/10.1016/0264-8172(95)98857-2, 1995.
- 987 Velde, B.: Compaction trends of clay-rich deep sea sediments, Marine Geology 133(3-4): 193-201,
- 988 https://doi.org/10.1016/0025-3227(96)00020-5, 1996.
- 989 Vrolijk, P.: On the mechanical role of smectite in subduction zones. Geology, 18(8), pp.703-707,
- 990 https://doi.org/10.1130/0091-7613(1990)018<0703:OTMROS>2.3.CO;2, 1990.
- Wang, X., Jiang, Z., Jiang, S., Chang, J., Zhu, L., Li, X. and Li, J.: Full-scale pore structure and fractal dimension
- 992 of the Longmaxi shale from the Southern Sichuan Basin: Investigations using FE-SEM, gas adsorption and
- 993 mercury intrusion porosimetry. Minerals, 9(9), p.543, https://doi.org/10.3390/min9090543, 2019.
- Worden, R.H., Charpentier, D., Fisher, Q.J. and Aplin, A.C.: Fabric development and the smectite to illite
- 995 transition in Upper Cretaceous mudstones from the North Sea: an image analysis approach. Geological Society,
- 996 London, Special Publications, 249(1), 103-114, doi.org/10.1144/GSL.SP.2005.249.01.0, 2005.
- 997 Yagiz, S.: Overview of classification and engineering properties of shales for design considerations.
- In Construction and Materials Issues 2001, 156-165, 2001.

Zakaria, Z., Mohamad Ariff, Z. and Abu Bakar, A.: Monitoring deformation mechanism of foam cells in polyethylene foams via optical microscopy: Effect of density and microstructure. Journal of Cellular Plastics, 54(6), 957-976, https://doi.org/10.1177/0021955X18795035, 2018.

Zhou, J., Shrotriya, P. and Soboyejo, W.O.: Mechanisms and mechanics of compressive deformation in open-cell Al foams. Mechanics of Materials, 36(8), 781-797, https://doi.org/10.1016/j.mechmat.2003.05.004, 2004.



**Fig.1:** (a) Satellite image of Sumatra subduction zone and location of U1480 and U1481 drilling sites (created from © Google Maps). (b) Schematic diagram showing location of primary drilling site and deepest drill hole (Hole G) at site U1480 in sectional view (adapted from seismic profile from **SW** to **NE** after Hüpers et al., 2017). Location and extension of the seismic profile is represented by red line in (a). (c) Lithostratigraphic units encountered at Site U1480 (adapted after McNeill et al., 2017a). (d) Representative tube sample received from IODP repository, Japan. Red-colored line on tube surface represents notch used to denote orientation of samples collected from drill core. (e) Representative BIB cross-section polished perpendicular to bedding plane.

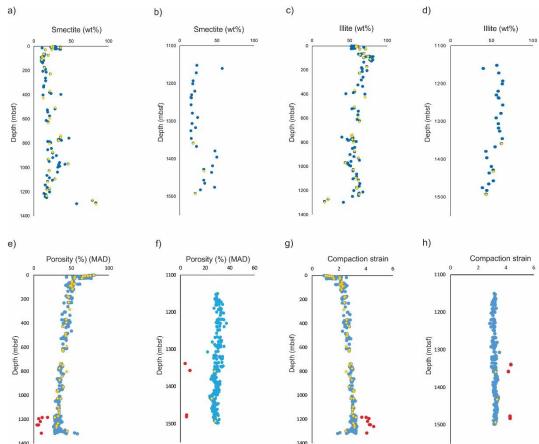
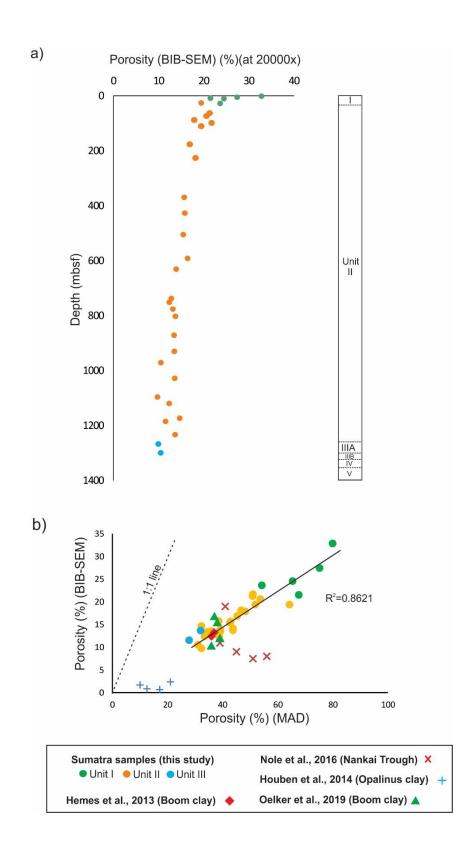


Fig.2: Depth profiles of smectite content (wt%; clay fraction) for Sites U1480 (a) and U1481 (b) (blue symbol). Depth profiles of illite content (wt%; clay fraction) for Sites U1480 (a) and U1481 (b) (blue symbol). Yellow symbols indicate samples analyzed by SEM imaging. (e) and (f) Shipboard MAD (Moisture and density) porosity profiles for mudstone samples recovered from Sites U1480 and U1481 (blue symbol). (g) and (h) Calculated compaction strain profiles for Sites U1480 and U1481 (blue symbols). Red-colored points are cemented (concretion) samples. Clay mineralogy data plotted from Rosenberger et al. (2020), and MAD data extracted from McNeill et al. (2017b).



**Fig.3:** Porosity data for Units I (green dots), II (orange dots), and IIIA (blue dots). (a) BIB-SEM porosity - depth plot, (b) BIB-SEM porosity vs MAD porosity. Note: linear relationship that intersects origin. Data reported by Hemes et al. (2013); Houben et al. (2014); and Oelker et al. (2019) follow similar trend. However, data estimated from Nole et al. (2016) deviates from trend.

Pores in silty mud turbidite Interparticle pore Intraparticle pore Silt-adjacent pore Primary intraparticle pore Secondary intraparticle pore ↓ Clay domain pore ↓ Larger pore ↓ Larger pore Smaller pore Smaller pore Elongate Equant pore pore Elongate Equant Equant Elongate pore Linear Linear Crescent Crescent pore

1033

1034

1035

1036

1037

1038

1039

1040

1041

1042

1043

1044

1045

1046

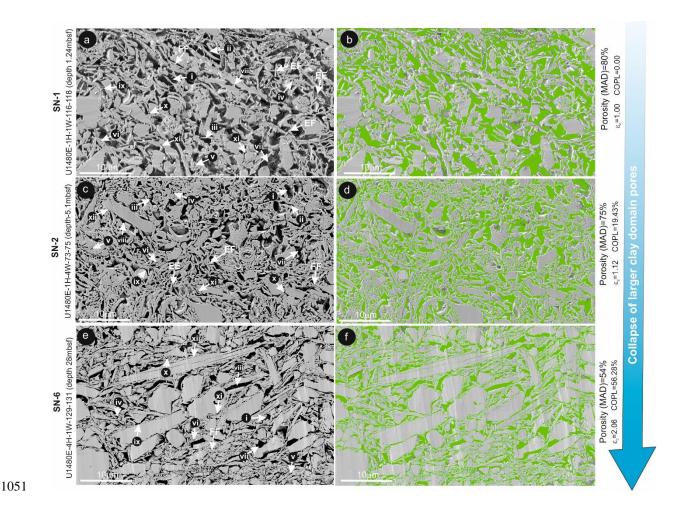
1047

1048

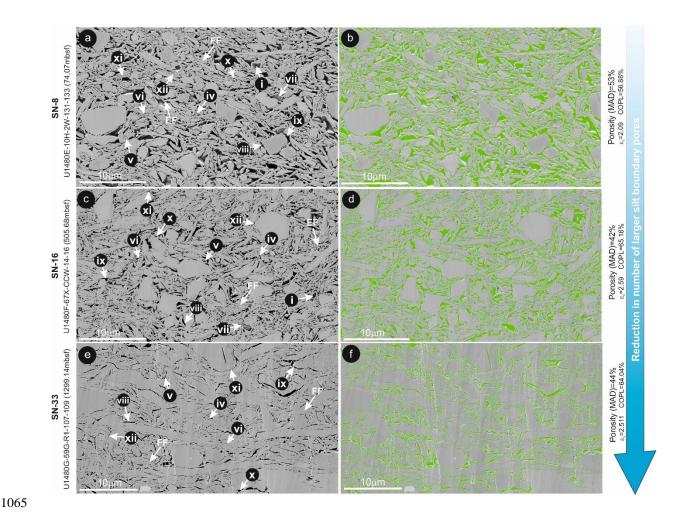
1049

1050

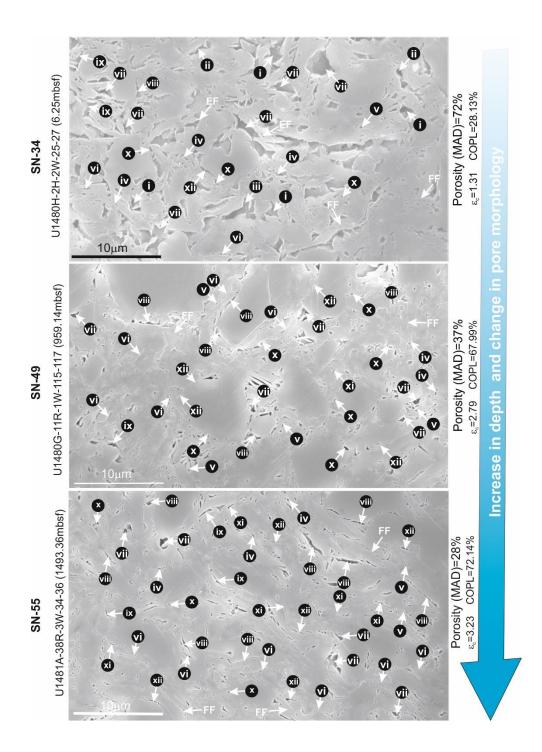
**Fig.4:** Classification scheme adopted to demonstrate pore reduction mechanics with increasing compactional strain. Dashed lines indicate rare pore types.



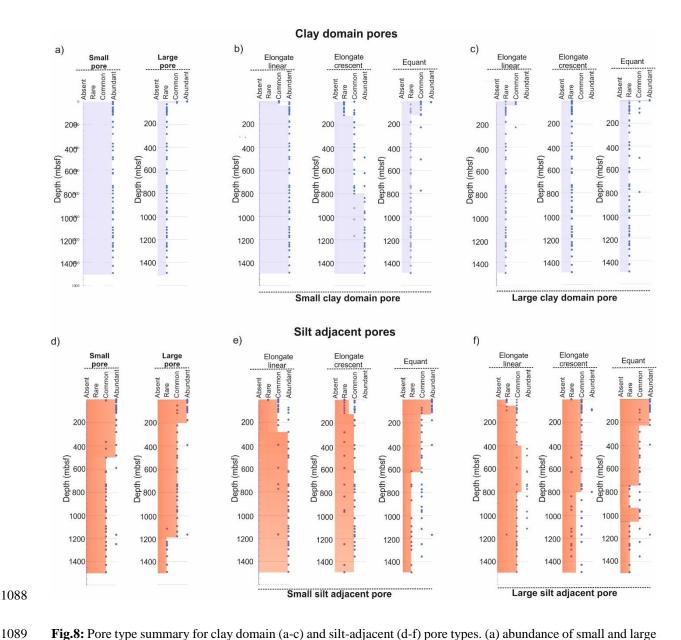
**Fig.5:** Microstructural overview (BIB-SEM) of samples SN-1 (a and b), SN-2 (c and d), and SN-6 (e and f). Green color represents segmented pores of the corresponding microstructure of sample.i = Equant large clay domain pores, ii = elongated large clay domain pores, ii = Crescent-shaped large clay domain pores, iv = equant small silt-adjacent pores, iv = equant small si



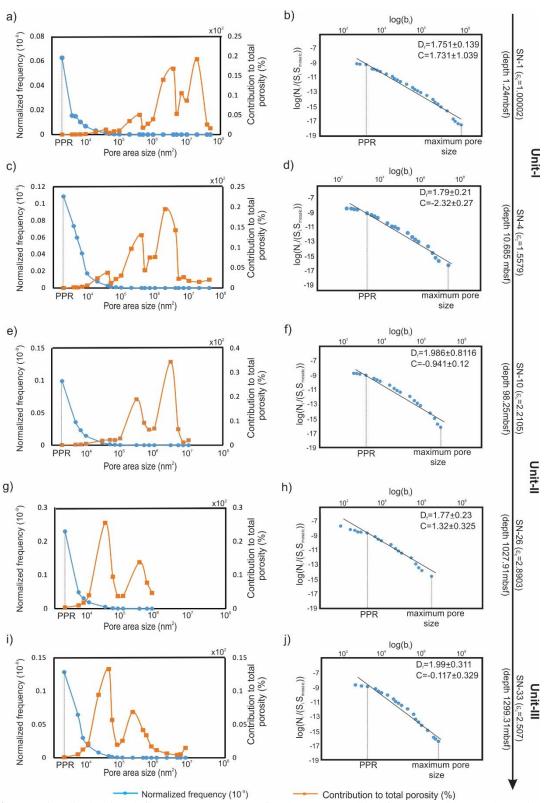
**Fig.6:** Microstructural overview (BIB-SEM) of samples SN-8 (a and b), SN-16 (c and d), and SN-33 (e and f). Green color represents segmented pores of the corresponding microstructure of sample. i = Equant large clay domain pores, ii = elongated large clay domain pores, <math>ii = elongated large clay domain pores, ii = elongated large clay domain pores, ii = elongated large silt-adjacent pores, ii = elongated small silt-adjacent pores, ii = elongated



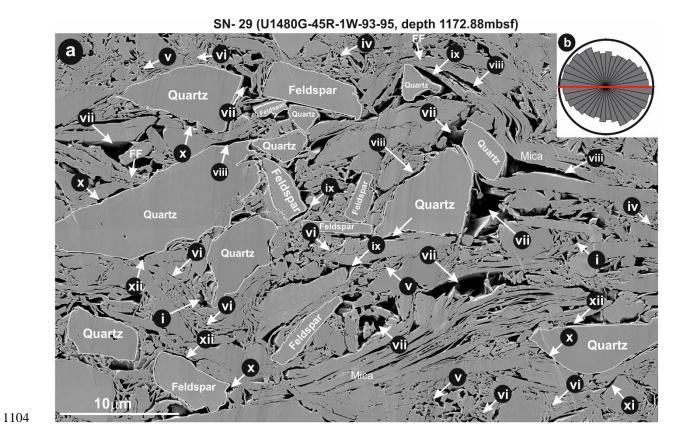
**Fig.7:** Microstructural overview (Field Emission SEM) of samples SN-34, SN-49, and SN-55. i = Equant large clay domain pores, ii = elongated large clay domain pores, iii = Crescent-shaped large clay domain pores, iv = equant small clay domain pores, v = Crescent-shaped small clay domain pores, v = Crescent-shaped small clay domain pores, v = Crescent-shaped large silt-adjacent pores, v = Crescent-shaped large silt-adjacent pores, v = Crescent-shaped large silt-adjacent pores, v = Crescent-shaped small silt-adjacent pores.



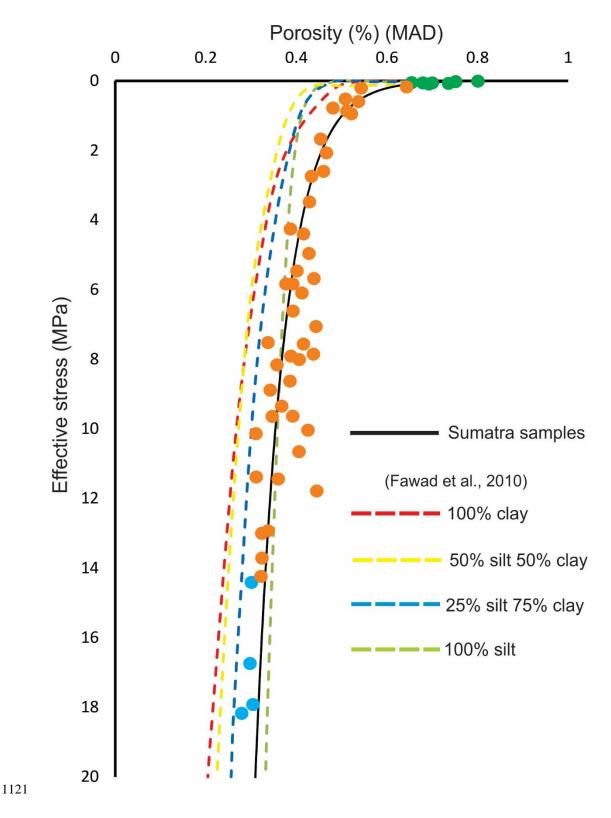
**Fig.8:** Pore type summary for clay domain (a-c) and silt-adjacent (d-f) pore types. (a) abundance of small and large clay domain pores; (b) and (c) depth progression of small and large clay domain pore morphologies; (d) abundance of small and large silt-adjacent pores; (e) and (f) depth progression of small and large clay domain pore morphologies. Abundant = >25% pores, common = 2%-25% pores, rare = 0-2% pores, absent = not observed.



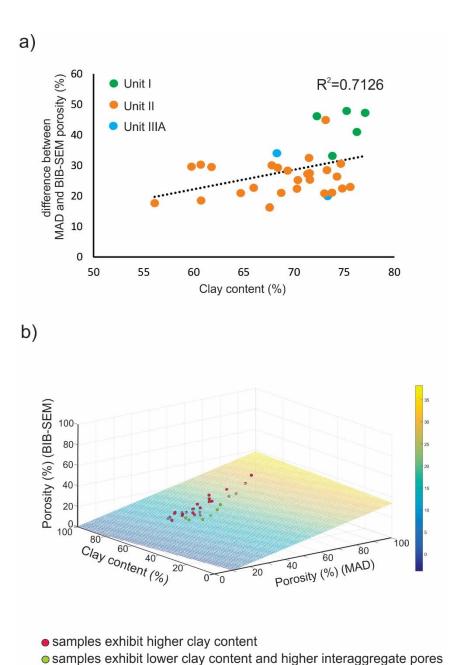
**Fig.9:** Pore size distribution defined by pore area. Left column: normalized frequency (blue) and contribution to total porosity (orange). Right column: pore size-frequency log-log distribution. Power-law between PPR and maximum pore size interpreted as black line with corresponding regression parameters. Sample number, depth, and compactional strain defined along right side of diagram



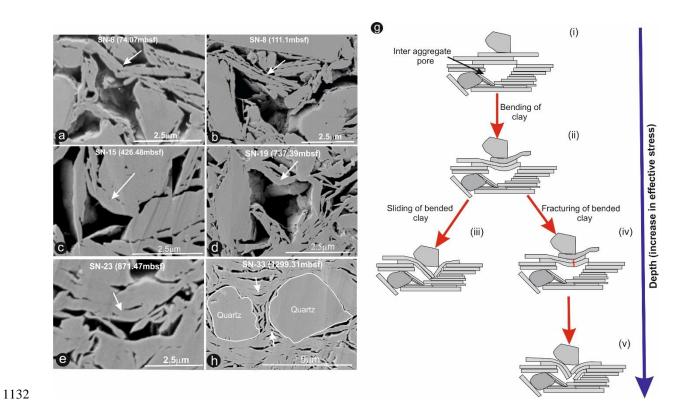
**Fig.10:** Silt-rich sample (SN-29; 1173 mbsf) (a) i = Equant large clay domain pores, <math>ii = elongated large clay domain pores, <math>ii = Crescent-shaped large clay domain pores, iv = equant small clay domain pores, <math>v = Crescent-shaped small clay domain pores, v = Equant large silt-adjacent pores, v = Equant large silt-



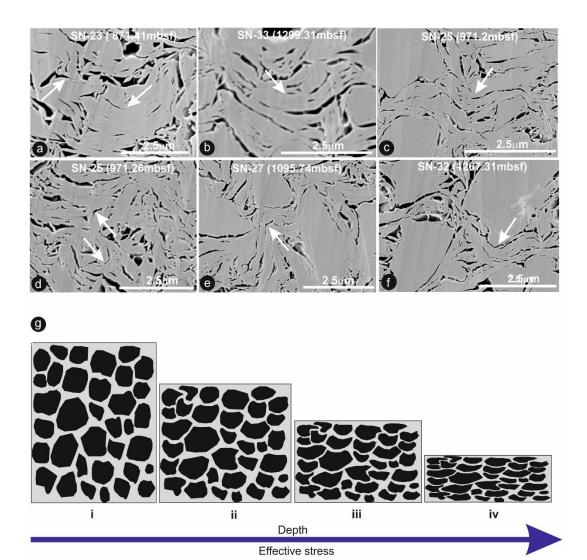
**Fig.11:** Effective stress vs porosity (MAD) for experimental mixtures of clay and silt (dashed lines; Fawad et al., 2010) compared with Sumatra data (Unit 1 = green; Unit II = orange; Unit III = blue). Solid black solid line is a best-fit data regression for Sumatra samples.



**Fig.12:** (a) Clay content vs difference between MAD and BIB-SEM porosity. Green, orange and blue colored symbols represent the samples from Unit-I, II and III respectively. (b) Multivariate regression analysis using three parameters: BIB-SEM porosity, clay content, and MAD porosity (33 samples).



**Fig.13:** a-f: examples of bent clay particles on top of silt-adjacent larger pores; sample ID and depth labelled on photos. (g) Micromechanical model for collapse of large silt-adjacent pores.



**Fig.14:** a-f: examples of clay aggregate bending (white arrows). (g) Conceptual diagram tracing porosity reduction and increase in preferred alignment of the long axes of pores by bending of clay perpendicular to applied vertical stress.

**Table 1:** Core description, bulk mineralogy (McNeill et al., 2017b) and clay composition (Rosenberger et al., 2020) of the analysed samples. Bulk measurements were done shipboard on Expedition 362 (McNeil et al., 2017a; Underwood, 2020). Additional clay mineralogical analysis was done on the <2μm particle fraction onshore using a different instrument and methodology (Rosenberger et al., 2020). Here, Plag= Plagioclase feldspar, Smec= Smectite\*, Chl-Chlorite, Kaol= kaolinite, Calc= Calcite.

									Bulk mineralogical comp (XRD) Clay mineralogical comp (XRD							(XRD)
	Sample no	Site		Core	Туре	Sec	Depth	Unit								
			Hole						Total clay	Quartz	Plag.	Calc.	Smec*	Illite	Chl+Kaol	Quartz
First set of sample (Analysed at Aachen university )	SN-1	U1480	Е	1	Н	1	1.24	I	68	12	9	11	25	58	68	12
	SN-2	U1480	E	1	H	4	5.10	<u> </u>	67	12	9	12	24	60	67	12
	SN-3	U1480	E	2	Н	1	9.18	<u> </u>	71	15	12	2	38	49	71	15
	SN-4 SN-5	U1480 U1480	E	2	H	2 6	10.69 26.05	<u> </u>	66 62	15 19	10 11	9	36 40	59 43	66 62	15 19
	SN-6	U1480	E	4	Н	1	28.00	IIA	63	21	13	3	14	62	63	21
	SN-7	U1480	E	9	Н	2	63.24	IIA	64	20	13	3	11	67	64	20
	SN-8	U1480	E	10	Н	2	74.07	IIA	65	20	12	3	21	58	65	20
	SN-9	U1480	Е	11	Н	5	87.98	IIA	60	21	17	2	6	74	60	21
	SN-10	U1480	F	2	Н	1	98.25	IIA	63	19	16	2	7	77	63	19
	SN-11	U1480	F	3	Н	3	111.10	IIA	67	17	14	2	6	76	67	17
	SN-12	U1480	F	15	F	2	176.50	IIA	62	22	14	2	13	69	62	22
	SN-13	U1480	F	26	F	1	226.70	IIA	70	17	11	2	22	58	70	17
	SN-14	U1480	F	53	X	2	369.19	IIB	68	18	12	2	21	53	68	18
	SN-15	U1480	F	59 67	X	1	426.68	IIB	68	18	11	3	9	69	68	18
sed	SN-16 SN-17	U1480 U1480	F	67 76	X	CC 1	505.32 592.42	IIB	70 61	17 24	11 12	3	30 22	49 56	70 61	17 24
alys	SN-17	U1480	F	80	X	CC	630.55	IIB	68	15	14	2	19	59	68	15
\A_	SN-19	U1480	F	91	X	1	737.39	IIB	67	19	12	1	36	46	67	19
ple	SN-20	U1480	F	92	X	1	751.16	IIB	67	19	12	2	36	46	67	19
am	SN-21	U1480	G	4	R	2	776.17	IIB	70	18	12	0	40	39	70	18
of s	SN-22	U1480	G	7	R	CC	802.55	IIC	62	23	14	2	23	54	62	23
set	SN-23	U1480	G	14	R	2	871.87	IIC	66	21	12	2	28	50	66	21
First	SN-24	U1480	G	20	R	1	929.81	IIC	67	19	11	3	21	57	67	19
	SN-25	U1480	G	24	R	3	971.26	IIC	66	19	13	1	41	40	66	19
	SN-26	U1480	G	30	R	2	1027.91	IIC	65	22	13	0	21	51	65	22
	SN-27	U1480	G	37	R	2	1095.74	IIC	68	19	12	1	30	51	68	19
	SN-28 SN-29	U1480 U1480	G	41 45	R R	1	1119.70 1172.88	IIC	69 63	16 21	12 11	3 5	19 22	57 54	69 63	16 21
	SN-30	U1480	G	46	R	3	1172.88	IIC	65	17	12	6	18	5 <del>7</del>	65	17
	SN-31	U1480	G	51	R	CC	1233.15	IIC	61	23	12	4	17	59	61	23
	SN-32	U1480	G	55	R	6	1267.14	IIIA	68	19	12	1	64	25	68	19
	SN-33	U1480	G	59	R	1	1299.31	IIIA	72	15	12	0	54	36	72	15
	SN-34	U1480	Н	2	Н	2	6.25	IB	71	18	11	0	25	54	19	2
	SN-35	U1480	Е	1	Н	6	7.21	IB	70	12	10	8	24	55	19	2
	SN-36	U1480	Н	3	Н	1	14.28	IB	62	21	14	3	5	70	9	15
	SN-37	U1480	Е	4	Н	1	28.12	IIA	63	21	13	2	14	62	11	14
	SN-38	U1480	Е	7	Н	1	50.82	IIA	61	22	14	3	11	67	15	7
Second set of sample (Analysed at BEG)	SN-39	U1480	Н	10	Н	2	83.02	IIA	59	21	15	4	6	74	10	10
	SN-40	U1480	н	16	Н	1	117.13	IIA	62	20	16	2	12	73	11	5
	SN-41	U1480	E	12	Н	2	92.82	IIA	59	23	16	2	6	72	9	13
	SN-42	U1480	F	16	F	3	182.62	IIA	64	21	13	2	14	63	16	7
	SN-43															
		U1480	F	37	X	2	285.51	IIA	66	20	12	2	15	62	19	4
	SN-44	U1480	F	55	X	5	394.01	IIB	58	28	13	0	40	38	13	9
	SN-45	U1480	F	65	Х	CC	486.72	IIB	59	26	14	1	30	49	14	7
	SN-46	U1480	F	79	Х	1	621.2	IIB	66	19	13	2	19	59	19	4
	SN-47	U1480	F	91	Χ	1	737.47	IIB	67	19	12	1	36	46	14	4
	SN-48	U1480	G	11	R	1	841.56	IIC	63	22	13	2	26	51	19	4
	SN-49	U1480	G	23	R	1	959.15	IIC	58	26	14	2	33	46	18	3
	SN-50	U1480	G	30	R	1	1026.34	IIC	68	18	12	2	21	51	24	3
	SN-51	U1480	G	42	R	3	1145.91	IIC	60	22	12	5	14	61	18	7
	SN-52	U1480	G	54	R	2	1251.5	IIIA	63	22	13	1	16	53	16	16
	SN-53	U1481	Α	23	R	5	1358.9	IIC	68	17	11	3	18	58	20	4
	SN-54	U1481	Α	32	R	1	1432.5	IIIA	65	23	11	1	32	46	17	5
	SN-55	U1481	Α	38	R	3	1493.3	IIIA	60	26	13	1	20	42	14	24
	בכ-מוכ	01401	А	30	I.V	J	1+33.3	IIIA	00		13		20	42	14	۷4

<sup>\*</sup>Smectite includes all mixed-layer I/S; expandability of mixed-layer I/S determined separately (Rosenberger et al., 2020).