

Numerical Simulation of Contemporary Kinematics at the Northeastern Tibetan Plateau and its implications for seismic hazard assessment

Liming Li^{1,2}, Xianrui Li³, Fanyan Yang², Lili Pan⁴, Jingxiong Tian²

¹ School of Earth Sciences and Resources, China University of Geosciences (Beijing), Beijing 100083, China.

² Ningxia Institute of Geological Survey, Yinchuan, Ningxia 750021, China.

³ Department of Earth and Space Sciences, Southern University of Science and Technology, Shenzhen 518055, China.

⁴ School of Earth Sciences and Engineering, Sun Yat-Sen University, Zhuhai 519082, China.

Corresponding to: Liming Li (cugliming@cug.edu.cn)

Abstract. The slip rates of active faults ~~at~~in the northeastern Tibetan Plateau (NETP) ~~should be clarified~~require clarification to understand the lateral expansion of the Tibetan Plateau and assess the seismic hazards in this region. To obtain the continuous slip rates of active faults at the NETP, we constructed a three-dimensional (3D) geomechanics-numerical model which includes a complex 3D fault system. The model also accounts for the physical rock properties, gravity fields, fault friction coefficients, initial stress, and boundary conditions. Then, we ~~presented~~present the long-term kinematics of NETP ~~according to~~based on the horizontal and vertical velocities and fault slip rates acquired from the model. The fault kinematic characteristics indicate that the Laohushan, middle-southern Liupanshan, and Guguan-Baoji faults, as well as the junction area of the Maxianshan and Zhuanglanghe faults, are potential hazard areas for strong earthquakes. However, as these faults are currently in the stress accumulation stage, they are unlikely to cause a strong earthquake in the short term. In contrast, it is likely that the Jinqiangshan-Maomaoshan fault will generate a M_s 7.1–7.3 earthquake in the coming decades. In addition, the velocity profiles across the NETP imply that the plate rotation is the primary ~~mechanism for the~~deformation mechanism of the NETP even though the intrablock straining and faulting are non-negligible.

1 Introduction

The northeastern Tibetan Plateau (NETP) is the growth front of the Tibetan Plateau (TP). Modern geomorphology and tectonic features of the NETP are ~~inferred~~thought to be formed ~~due to~~by the expansion of the TP toward its periphery, which has been ongoing since the ~~initial collision of the~~ Indian and Eurasian plates ~~collided~~ (Achache et al., 1984; Patriat and Achache 1984; P. Zhang et al., 2013, 2014). Having experienced ~~the~~strong Cenozoic deformation, crust ~~of~~in this area ~~develops~~has developed a complex fault system with several large and deep faults, such as the ~~generalized~~Haiyuan fault (F1), West Ordos fault (F2), West Qinling fault (F3), ~~and~~ East Kunlun fault (F4), that divide the NETP into the Alxa, Ordos, Qilian, Qaidam, and Bayan Har blocks (Zhang et al., 2003; Fig.1). These faults are characterized by ~~extremely~~intense tectonic movements and seismic ~~activities~~activity (Zhang, 1999; Zheng et al., 2016b). At least 5 earthquakes with magnitudes of ≥ 8 , such as the 1654 M 8.0 Tianshui, 1739 M 8.0 Pingluo,

1879 M 8.0 Wudu, 1920 M 8.0 Haiyuan, and 1927 M 8.0 Gulang earthquakes, have occurred in this area and caused huge ~~loss~~losses of life and property ~~in history~~ (Fig. 1). ~~Since~~Because the generation and magnitude of an earthquake ~~is~~are closely related to fault activity, long-term fault slip rate plays a key role in medium- and long-term seismic hazard assessment (Ding et al., 1993; Xu et al., 2018). For example, combined with coseismic displacements, long-term fault slip rates can be used to calculate the earthquake recurrence interval (Shen et al., 2009) and assess the magnitudes of potential earthquakes (Bai et al., 2018; Hergert and Heidbach, 2010). Moreover, the spatially continuous fault slip rates, ~~which are lack in the that~~ NETP, ~~lacks~~ can also be used to reconstruct the tectonic evolution of this area and provide important insights into the lateral expansion pattern and deformation mechanisms of the TP (Royden et al., 1997; Tapponnier et al., 1982; Zhang et al., 2004).

Although there has been extensive research on ~~the~~ fault slip rates in the NETP ~~by~~ using geologic (Chen et al., 2019; Li et al., 2009; Li et al., 2018; Matrau et al., 2019; Wang et al., 2021; X. Li et al., 2017) or geodetic (Hao et al., 2021; Li, Pierce, et al., 2021; X. Li et al., 2019) approaches, a systematic mismatch is typically found between the slip rates calculated from geological records and ~~that~~those obtained from geodetic inversion, ~~due~~owing to the limited assumptions behind these two methods. For example, the geological slip rates only represent the activities of one fault branch ~~that~~ measured in a fault zone, which ~~is~~ always ~~consist~~consists of several branches. They are usually lower than the geodetic slip rates ~~on the fault~~ as a whole if a rigid block assumption is adopted in the geodetic inversion process (Shen et al., 2009). However, several crustal deformation studies conducted in TP have demonstrated that the internal block deformation in the NETP cannot be ignored (Royden et al., 1997; Zhang et al., 2004; Y. Li et al., 2017, 2021). Numerical modeling provides a powerful tool ~~to study the~~for studying large-scale crustal kinematics (Hergert and Heidbach, 2010; Hergert et al., 2011) as well as ~~the~~a comprehensive three-dimensional (3D) view of fault activities with a spatially continuous distribution. High efficiency and accuracy have made ~~the~~ numerical modeling a widespread technology in the ~~field of~~ geosciences, especially for the study of kinematics and dynamics of the NETP (Pang et al., 2019a, b; Sun et al., 2018, 2019; Zhu et al., 2018; Xiao and He, 2015). However, ~~all~~ these previous numerical models ~~are~~have been either two-dimensional (2D) or ~~three-dimensional (3D)~~ with extremely simplified fault planes. To our knowledge, ~~so far~~ there is ~~not~~ currently a 3D geomechanical model that ~~take into~~considers the complex 3D fault system in the NETP. Therefore, detailed kinematics of the crust and faults in the NETP ~~still remains~~remain unclear.

In this study, ~~instead of a simple conceptual model,~~ a comprehensive 3D geomechanical model of the NETP was constructed with detailed complex 3D fault geometries, heterogeneous rock properties, and reasonable initial crustal stress ~~is constructed~~. After ~~calibrated by~~calibration with model-independent observations, the results of the ~~geomechanical~~geomechanical model, such as the horizontal crustal velocities, and spatially continuous slip rates of major faults, ~~are~~were presented. Based on these results, we summarized the long-term crustal deformation characteristics in the NETP. Finally, we assessed the seismic hazards of major faults in the study area, and suggested that the Jinqiangshan–Maomaoshan fault has the potential for an M_s 7.1–7.3 earthquake in the coming decades.

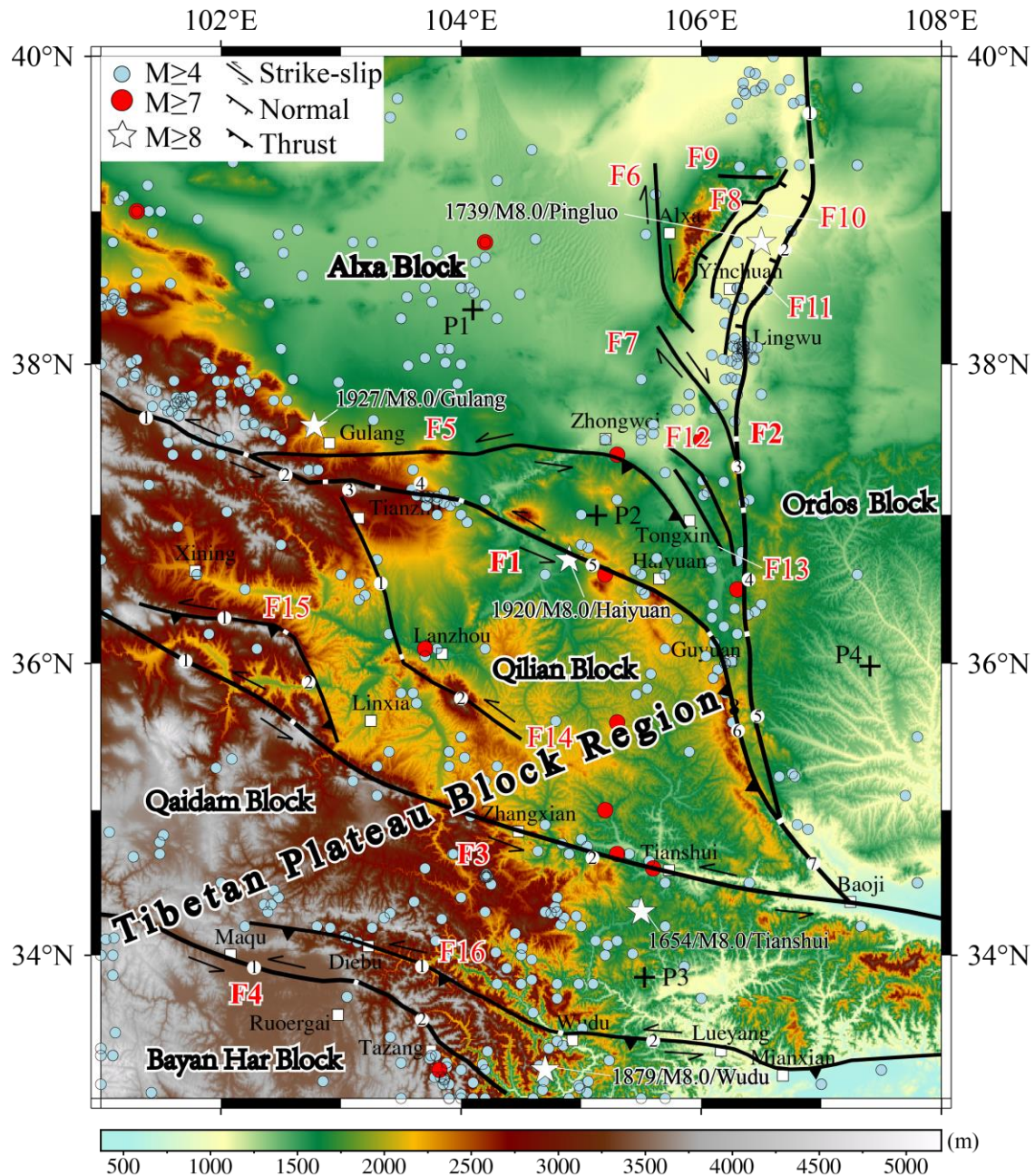


Figure 1. Map of active faults and earthquakes ~~of~~in the NETP. Black lines represent the active faults. The light blue, ~~and~~ red dots and ~~the~~ white pentagrams ~~stars~~ represent earthquakes from 1831 BC to 2017 AD from the National Earthquake Data Center (<http://data.earthquake.cn>). Black crosses (P1-P4) indicate the locations of four test sites for ~~the~~ comparison with the numerical model shown in Fig. 3b. Faults discussed in the text are labeled as followed: F1-1 = LLLF = Lenglongling fault; F1-2 = JQHF = Jinqinaghe fault; F1-3 = MMSF = Maomaoshan fault; F1-4 = LHSF = Laohushan fault; F1-5 = HYF = Haiyuan fault. F1-6 = LPSF = Liupanshan fault; F1-7=GG-BJF=Guguan-Baoji fault; F2-1 = ZZSF = Zhuozishan fault; F2-2 = HHF = Huanghe fault; F2-3 = LSF = Luoshan fault; F2-4 = YWSF = Yunwushan fault; F2-5 = XGSF = Xiaoguanshan fault; F3-1 = DTH-LXF = Daotanghe-Linxia fault; F3-2 = WQLF = West Qinling fault. F4-1 = EKLF = Eastern Kunlun fault; F4-2 = TZF = Tazang fault; F5 = TJSF = Tianjingshan fault; F6 = WHLSF = West Helanshan fault; F7 = NSSF = Niushoushan fault; F8 = EHLFSF = East Helanshan fault; F9 = ZYGF = Zhengyiguan fault; F10 = LHTEF = Lvhuatai fault; F11 = YCF = Yinchuan fault; F12 = YTSF = Yantongshan fault; F13 = QSHF = Qingshuihe fault; F14-1 = ZLSF = Zhuanglanghe fault; F14-2 = MXSF=Maxianshan fault. F15-1 = WLJSF = West Lajishan fault; F15-2 = ELJSF = East Lajishan fault; F16-1 = DB-BLJF = Diebu-Bailongjiang fault; ~~and~~ F16-2 = WD-KXF = Wudu-Kangxian fault.

2 Model concept and input

2.1 Model geometry

85 The ~~proposed 3D geomechanics~~geomechanical model ~~of this work~~ is a rectangular cartesian 3D block with an E–W length of 654 km (101°E–108°E), N–S length of 777 km (33°N–40°N), and thickness of ~80 km. The topography of the model's surface is based on GTOPO30 elevation data, which ~~has~~have a resolution of 30 arcseconds (~~about 900 m~~approximately 900 m). The model ~~comprises~~consists of four layers: the upper crust, middle crust, lower crust, and upper mantle, from top to bottom. The geometric data of the layer interfaces were derived from CRUST1.0 (Laske et al., 2013).

Based on their depth, the faults of the model can be categorized into lithospheric and crustal faults. Lithospheric faults (i.e., F1, F2, F3, and F4) cut through the Moho and reach the bottom of the model (Zhan et al., 2005; B. Liu et al., 2017; Zhao et al., 2015; Fig. 2a, b; Table 1). All other faults are crustal faults that terminate in the upper, middle, or lower crust (Yuan et al., 2002b, 2003; Lease et al., 2012; Meng et al., 2012; B. Liu et al., 2017; Wu et al., 2020).

95 The fault traces ~~are~~were modified from Xu et al. (2016), and the attitudes of these faults ~~are~~were summarized from previously published data, including surface fault surveys and deep seismic profiles (Table 1). In the NETP, many geophysical investigations found that low-velocity bodies exist widely in the middle-lower crust and deformations in the upper crust are not coupled to those in the middle-lower crust (Bao et al., 2013; Wang et al., 2018; Ye et al., 2016). Therefore, we introduced a contact surface between the upper and middle crusts into the model and ~~account~~treat it as a ~~detachment~~decollement layer that ~~make~~makes the upper crust decouple from the middle crust and ~~allow~~allows the upper crust ~~sliding to slide~~ freely along the contact surface ~~according to~~based on the stress conditions.

The model was meshed using tetrahedron elements. The element size ~~is~~was 1–2 km near the faults and ~~increases~~increased to ~10 km at the model boundary. The model ~~totally~~contained a total of 8,463,583 elements (Fig. 2a).

105 2.2 Rock properties

~~In order to~~To obtain the time-independent contemporary background crustal stress of the NETP, we assumed that the rock rheology in the model ~~is~~was linear elasticity, consistent with the observations that the large-scale continental crust always exhibits elastic-brittle behavior rather than elastic-viscous behavior (Armijo et al., 2004; Hubert-Ferrari et al., 2003). The model was divided into three major tectonic units—the Alxa ~~block~~, the Ordos ~~block~~, and the TP block ~~region~~regions. The ~~part~~portion of the TP block region ~~that~~ located in the study area ~~comprises~~consisted of the Qilian, Qaidam, and Bayan Har blocks. The rock properties of each block ~~contain~~included the elastic parameters (i.e. the Young's modulus, density, and Poisson's ratio) of the upper, middle, and lower crust, as well as those of the upper mantle (Fig. 2a). The density and Poisson's ratio were derived from CRUST1.0 (Laske et al., 2013). The adopted Young's modulus ~~adopted in our model is~~was static and ~~was~~ converted from the dynamic elastic modulus using the empirical equation of Brotons et al. (2016), which was calculated based on the P-wave velocities, S-wave velocities, and densities derived from CRUST1.0. The elastic parameters used in the model are listed in Table 2.

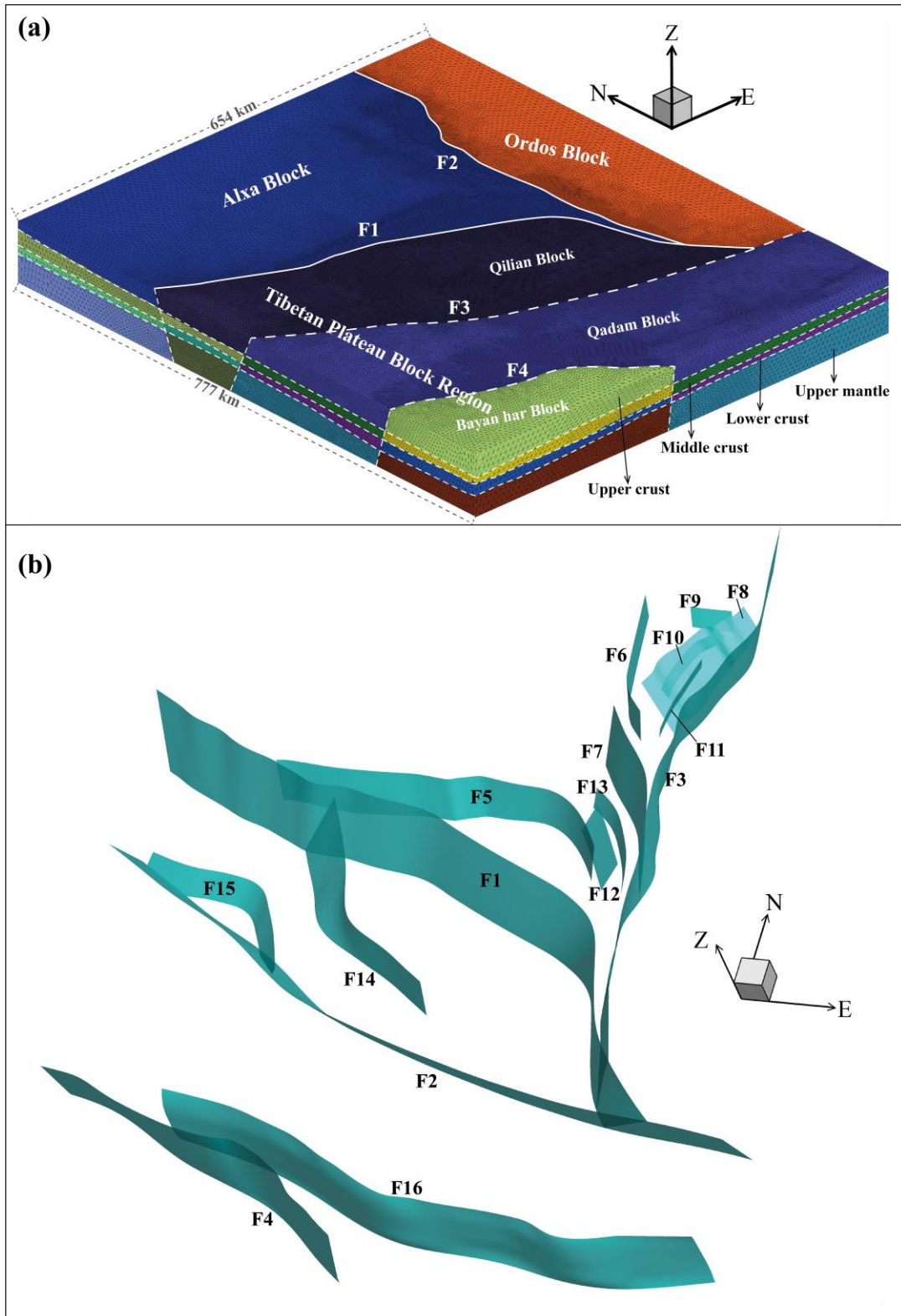


Figure 2. Model geometry and fault system. (a) Distribution of the rock types employed for in the model. (b) Cyan surfaces indicate the faults implemented in the model as frictional contact surfaces. Fault names can be found in Fig. 1.

Table 1. Geometric parameters of faults in the model

Fault name	Strike	Dip direction	Dip (°)	Reference	
F1	/	NWW-SSE	SW	70	RGAFSAO, 1988
F2-1	ZZSF	N-S	W	70	Gao, 2020
F2-2	HHF	N-S	W	70	Bao et al., 2019
F2-3	LSF	N-S	W	80	Wang et al., 2013
F2-4	YWSF	N-S	W	70	NIGS, 2017
F2-5	XGSF	N-S	W	70	NIGS, 2017
F3-1	DTH-LXF				Zhou et al., 2009
F3-2	WQLF	NWW-SSE	NE	70	Li, 2005
F4-1	EKLF				Z. Liu et al., 2017
F4-2	TZF	NW-SE	NE	75	J. Li et al., 2019
F5	East TJSF	NW-SE	SW	70	RGAFSAO, 1988
	West TJSF	E-W	S	70	RGAFSAO, 1988
F6	WHLSF	N-S	W	80	Lei, 2015
F7	NSSF	NW-SE	SW	70	RGAFSAO, 1988
F8	EHLSF	NE-SW	SE	60	Du, 2010
F9	ZYGF	E-W	S	60	NIGS, 2017
F10	LHTF	NNE-SSW	SE	70	NIGS, 2017
F11	YCF	NNE-SSW	NW	70	NIGS, 2017
F12	YTSTF	NW-SE	SW	65	NIGS, 2017
F13	QSHF	NW-SE	SW	45	Tian et al., 2020
F14-1	ZLHF	NNW-SSE	SW	45	Xu et al., 2016
F14-2	MXSF	NW-SE	SW	80	Hou et al., 1999
F15	LJSF	NWW-SSE	SW	50	Yuan et al., 2005
F16-1	DB-BLJF	NW-SE	SW	70	Yuan et al., 2007
F16-2	WD-KXF	E-W	SW	70	Jia et al., 2012

The detailed fault names are defined in Fig. 1.

Table 2. Rock properties of the finite element model

	Alxa Block			Ordos Block			Tibetan Plateau Block		
	E (Gpa)	ρ (g/cm ³)	ν	E (Gpa)	ρ (g/cm ³)	ν	E (Gpa)	ρ (g/cm ³)	ν
Upper crust	77.4	0.244	2.74	77.4	0.244	2.74	76.8	0.243	2.74
Middle crust	84.2	0.247	2.78	84.2	0.247	2.78	84.5	0.246	2.78
Lower crust	113.0	0.259	2.95	113.0	0.259	2.95	110.0	0.257	2.93
Upper mantle	194.0	0.278	3.39	191.0	0.278	3.37	187.0	0.278	3.36

E, ρ , and ν are the Young's modulus, density, and Poisson's ratio, respectively.

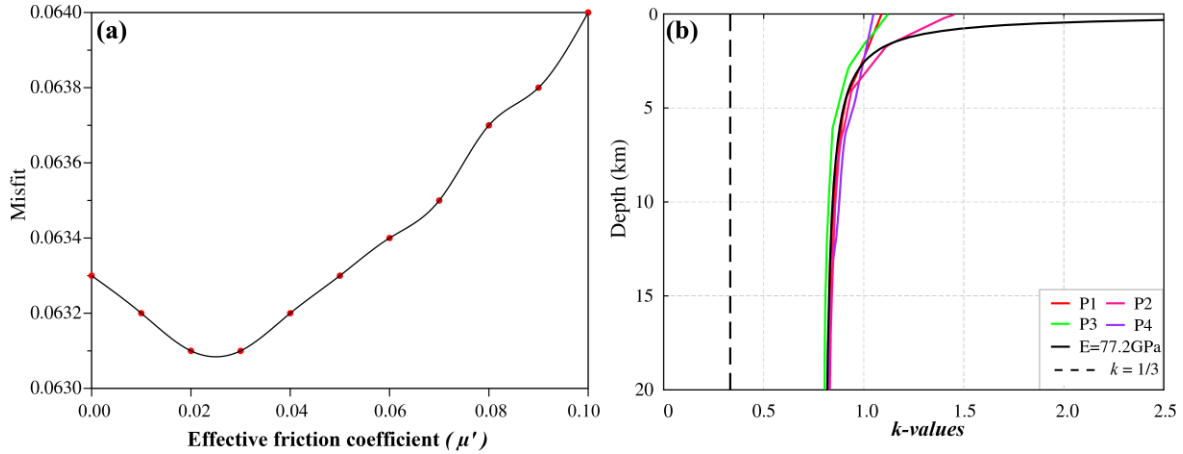


Figure 3. (a) Velocity misfit of the crustal velocity between the modeled results and GPS measurements as a function of the effective friction coefficient. The optimal friction coefficient was determined to be 0.02. (b) Depth profiles of the initial k-values at four test sites indicated in Fig. 1. The solid black line shows the stress state based on Equation (3), which was used as a reference stress state. For comparison, the low k-value based on the uniaxial strain state is also shown (dashed black line).

2.3 Friction coefficient

In our model, frictional faults ~~were considered to obey~~ the Mohr–Coulomb friction law:

$$\sigma_s = C_0 + \mu \cdot (\sigma_n - P_f) = C_0 + \mu' \cdot \sigma_n, \quad (1)$$

where σ_s is the shear stress on the fault surface at time of rupture, C_0 is the cohesion, μ is the coefficient of friction, σ_n is the normal stress on the fault surface, P_f is the pore pressure, and μ' is the effective coefficient of friction of the fault surface when accounting for the pore pressure. The cohesion C_0 of the rocks was assumed to be negligible (Jamison et al., 1980). The friction coefficient of the fault surface is important to the kinematics of a fault, but it is complex ~~in~~ ~~a fault~~ and varies in time and space. The exact magnitude of the friction coefficient can be affected by various external factors including fluid, temperature, stress states, and fault slip rate (Zhu et al., 2009). Therefore, it is challenging to obtain the precise friction coefficient of a fault. However, the results of many studies showed that large faults generally have low effective friction coefficients (Wang, 2021). For instance, the Haiyuan Fault has friction coefficients as low as 0.05 (He et al., 2013), and faults on the eastern margin of the TP have friction coefficients as low as 0.02 (Li et al., 2015; Li, Hergert, et al., 2021). Simulations were performed with a series of friction coefficients (0–0.1), and the results were compared with GPS observations (Cianetti et al., 2001). The results showed that setting a friction coefficient of 0.02 for all faults yields the smallest fitting error (Fig. 3a). To minimize the fitting error, localized adjustments were made for the friction coefficients of ~~the faults~~ F1 and F3 ~~faults~~, which are large strike-slip faults. The final friction coefficients of F1, F3, and all other faults were 0.01, 0.1, and 0.02, respectively, with a fitting error of 0.0536.

2.4 Initial stress state

The ~~initial~~ ~~crustal~~ ~~initial~~ stress affects the state of stress acting on a fault, which further controls the ~~kinematic~~ ~~kinematics~~ of the fault via the Mohr–Coulomb friction law. Therefore, the selection of appropriate initial

150 stress is important when studying fault slip rates based on ~~geomechanics~~geomechanical models. The initial stress state ~~that is~~ most commonly employed in previous numerical modeling studies of the NETP is the uniaxial strain reference state (Zhu et al., 2016), which is based on the boundary condition that no elongation occurs in the horizontal direction, and the strain only occurs in the vertical direction. In this stress state, the ratio (k) of mean horizontal stress to vertical stress is only dependent on the Poisson's ratio:

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$$k = \frac{(S_H + S_h)}{2S_V} = \frac{\nu}{1 - \nu}, \quad (2)$$

where S_H , S_h , and S_V are the maximum horizontal, minimum horizontal, and vertical stress, respectively, and ν is Poisson's ratio. For the typical ν -value of 0.25, Equation (2) gives $k = 1/3$, which implies that the vertical stress acting on the rock mass far ~~exceed~~exceeds the horizontal stress, and ~~that~~ the crust is always in a normal faulting or extensional stress regime. However, this assumption contradicts the thrust and strike-slip stress regimes common in the crust. 160 Furthermore, k -values obtained globally from *in situ* measurements ~~always~~ greatly exceed 1/3, even in extensional tectonic environments (Hergert and Heidbach, 2011).

Based on a spherical shell model, Sheorey (1994) proposed a method for ~~the estimation of~~estimating the initial crustal stress, which accounts for the curvature of the Earth, the properties of crustal and mantle materials, temperature fields, and other thermally dependent properties, as shown in Equation (3):

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$$k = 0.25 + 7E \cdot \left(0.001 + \frac{1}{z}\right), \quad (3)$$

~~Where~~where E is the Young's modulus (GPa), and z is the depth (m). Because the k -values predicted by this method are ~~generally~~ consistent with those obtained from the Continental Deep Drilling Program (Hergert and Heidbach, 2011), Equation (3) has been widely used in numerical modeling studies worldwide (Ahlers et al., 2021; Buchmann et al., 2007; Rajabi et al., 2017; Reiter et al., 2014; Hergert and Heidbach, 2011; X. Li et al., 2022). In this study, we 170 used Equation (3) to calculate the initial stress state for our model. The exact procedure for obtaining the initial stress proposed by Sheorey (1994) has been described previously by Hergert (2009) in detail. Figure 3b shows that the modeled initial stress is in ~~good~~ agreement with the theoretical result given by Equation (3).

2.5 Kinematic boundary conditions

The lateral boundary conditions of our model were constrained by the GPS velocity field data of Wang and Shen 175 (2020) and ~~were~~ assumed to be constant along depth (Wang et al., 2008). The top surface of the model was configured as a free boundary, whereas the bottom surface slid freely in the horizontal direction, with a vertical velocity of 0. The detailed boundary conditions are shown in Fig. 4. For the calculation, we used the finite-element software Abaqus™ because of its powerful nonlinear processing capabilities. The model time ~~is~~was set as 500 ka, ~~which is~~as required to generate a proper contemporary state of stress, and deformation until the accumulated displacements at the boundaries 180 ~~are~~were propagated into the model.

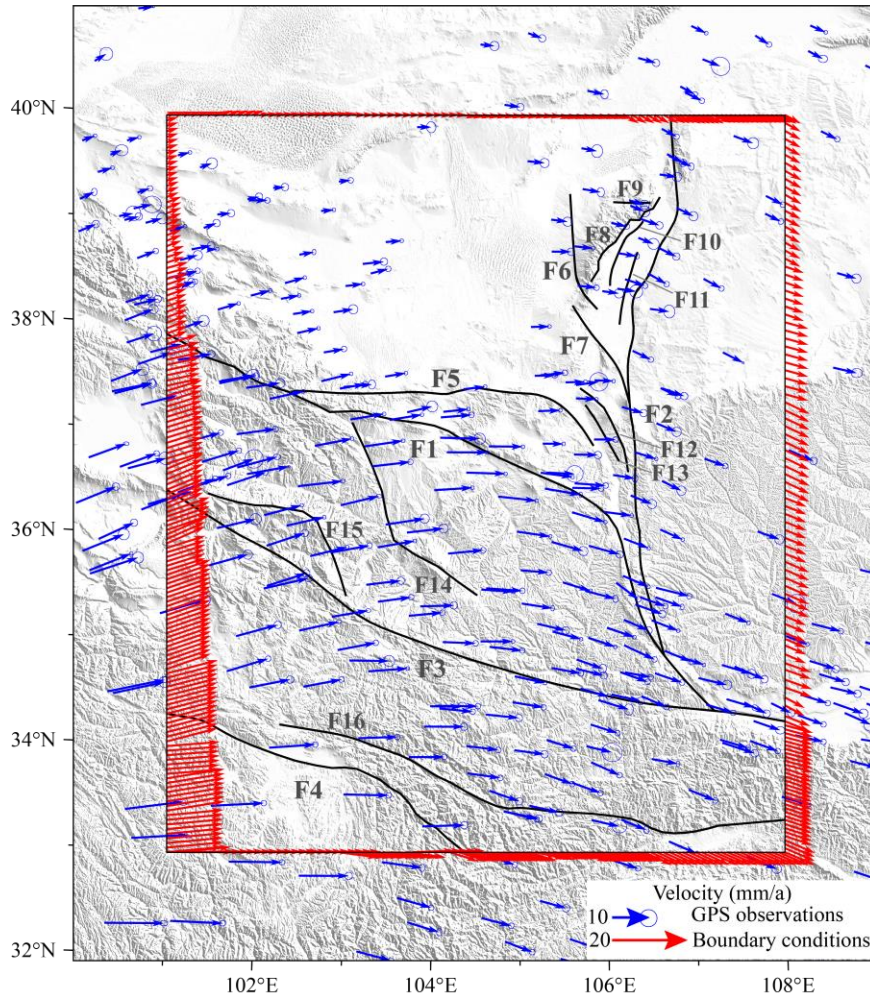


Figure 4. **Boundary Model boundary conditions of the model.** The blue arrows represent the GPS observation velocities according to Wang and Shen (2020). The red arrows at the boundary represent the boundary velocities calculated by the interpolation of the GPS observations. Fault names can be found in Fig. 1.

185 3 Results

3.1 Horizontal crustal velocities

The calculated horizontal crustal velocities in the study area are shown in Fig. 5. In terms of the direction, the western and central parts of the study area are moving moved in the NEE and near-EW directions, and the eastern part gradually changes changed in motion toward the SW or SEE directions. Therefore, the study area is was characterized by clockwise crustal motions. In terms of the movement rate, the The model shows showed that the rates in the southwestern part of the study area are were high, whereas they are were low in the northeastern part-northeast. The movement rates of the Alxa Block in the north and Ordos Block in the east are were as low as ~4–6 mm/a. The Bayan Har Block in the south has had the highest movement rate up to at 13–14 mm/a. For Both the Qaidam Block and the Qilian Block, both of them exhibit exhibited a higher movement rate in the west than that in the east, decreasing from 12 to 9 and from 10 to 8, respectively.

In addition, Fig. 5 also shows that large strike-slip faults accommodate velocity gradients between adjacent blocks. For example, the modeled velocity map can be divided in several parts by the F1, F3, and F4 block boundary faults, across which the movement rates of the crust change remarkably, especially at ~~the F1 fault~~ **F1**. The movement rate on the southern side of the Qilian Block ~~is was~~ 9–11 mm/a, whereas that on the northern side of the Alxa Block ~~is was~~ 4–6 mm/a. A different rate of ≥ 3 mm/a between the two blocks ~~is was~~ accommodated by ~~the F1 fault~~ **F1**.

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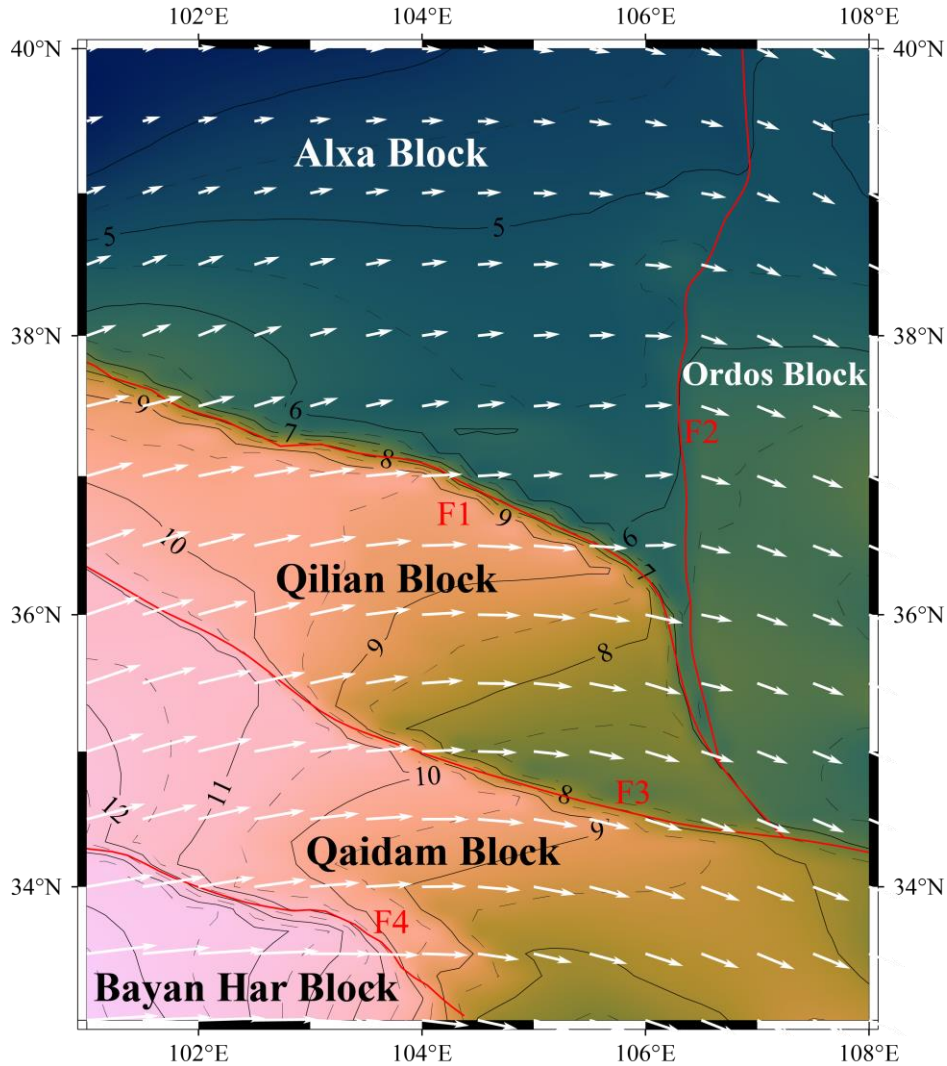
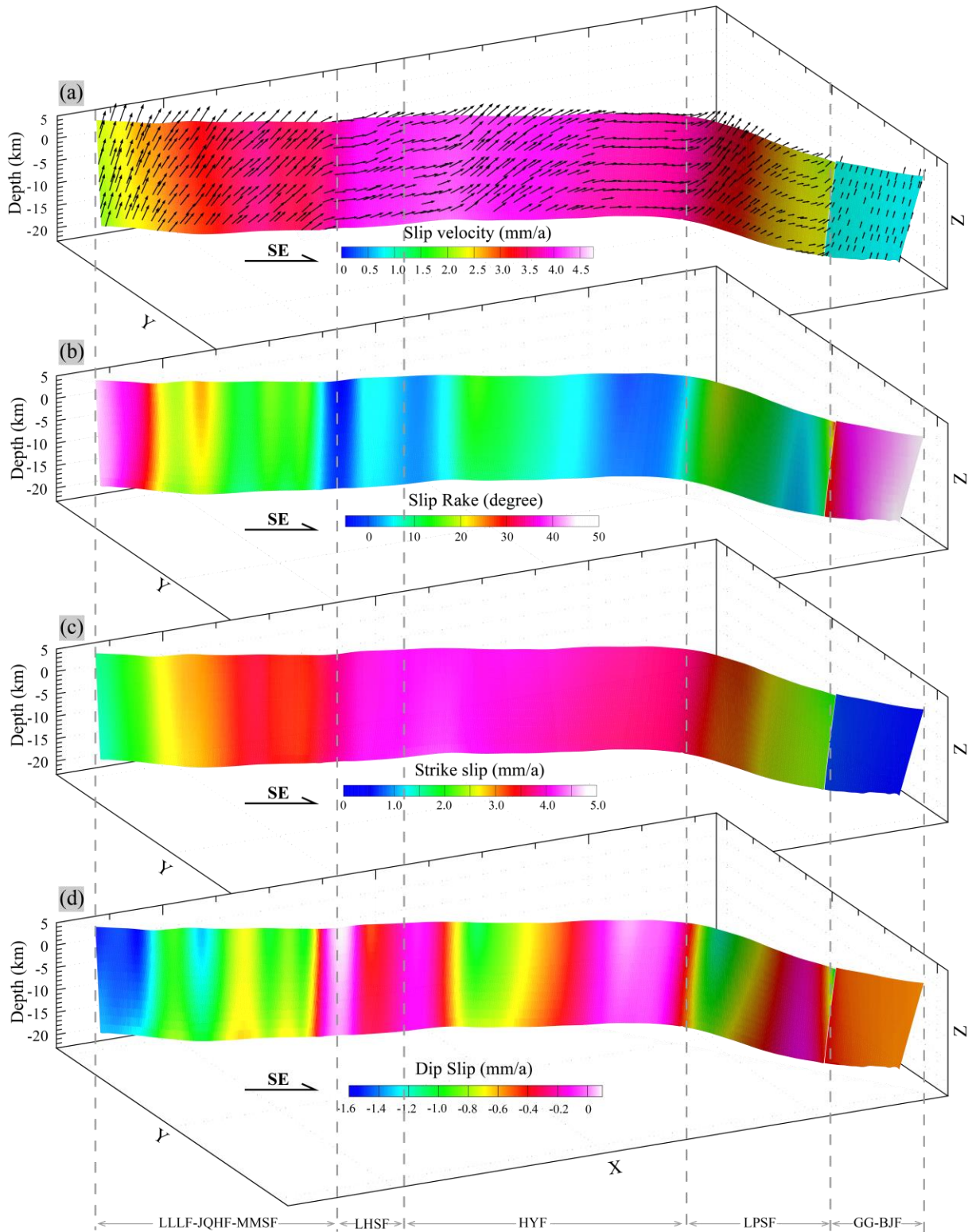


Figure 5. Distribution of modeled crustal surface velocities of the NETP with a grid interval of 0.5° both in longitude and latitude. White arrows represent the crust movement direction. The background color contours represent the magnitude of the velocity in mm/a. The red lines represent the faults implemented in the model. The names are defined in Fig. 1.



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 Figure 6. Distribution of the modeled fault slip rates on the F1 fault. View from NW to SE. The ratio of the vertical to horizontal scale is 4:1. (a) Total slip rates with slip directions (black arrows). Parts of the fault have an oblique-slip component. (b) Slip rake. Positive values indicate that the fault's movement has a thrust component. (c) Slip rates along the fault's strike. Positive values represent sinistral strike-slip. (d) Slip rates along the fault's dip direction. Negative values represent thrust faulting.

3.2 Fault slip rates of the main faults

The dominant depth range of seismic activities in the study area is 5–15 km, with a few events at depths up to 20 km (Li et al., 2020; Y. Li et al., 2021). As mentioned in the introduction, seismic activities are closely related to fault activities. ~~In order to~~To assess the seismic hazard reasonably on the faults in the NETP, we focused on the fault kinematics in the brittle crust and extracted the kinematic characteristics up to a depth of 20 km for the main faults from our 3D ~~geomechanics~~geomechanical model. The extracted kinematic characteristics are described below.

3.2.1 Slip rate of ~~the F1 fault~~

From west to east, ~~the F1 fault comprises~~consists of the Lenglongling, Jinqianghe, Maomaoshan, Laohushan, Haiyuan, Liupanshan, and Guguan–Baoji faults (Fig. 1). Two earthquakes with magnitudes $M \geq 8.0$ have occurred along this fault—the 1920 M 8.0 Haiyuan Earthquake and 1927 M 8.0 Gulang Earthquake, which formed 220 and 120 km long surface rupture zones, respectively (Guo et al., 2020; Liu-Zeng et al., 2015; Zhang et al., 1987). The kinematic state of ~~the F1 fault~~ was extracted from the 3D ~~geomechanics~~geomechanical model, as shown in Fig. 6. On the western ~~part segment~~ of ~~the F1 fault~~ (Lenglongling, Jinqianghe, and Maomaoshan faults), the slip rates ~~increase~~increased from 2.0 to 4.0 mm/a from west to east and ~~continue~~continued to increase further east (Laohushan Fault and western ~~part segment~~ of the Haiyuan Fault) ~~up to~~reaching a maximum of 4.5 mm/a. The slip rates of ~~the F1 fault~~ ~~decrease~~decreased in the middle of the Haiyuan Fault. The eastern ~~part segment~~ of the Haiyuan Fault ~~has~~had a slip rate of ~ 3.5 mm/a. The slip rate of the Liupanshan Fault ~~decreases~~decreased in the SE direction ~~and bottoms out at, with a minimum of~~ ~ 2.0 mm/a; on the Guguan–Baoji Fault, the slip rate ~~is~~was lower than 1 mm/a (Fig. 6a). The slip rates obtained by our 3D ~~geomechanics~~geomechanical model for the Haiyuan Fault ~~are~~were much lower than earlier estimates (8.0–12 mm/a; Burchfiel et al., 1991; Lasserre et al., 1999; Zhang et al., 1988) but similar to more recent estimates (3.2–4.5 mm/a; Li et al., 2009; Matrau et al., 2019; Y. Li et al., 2017). The disagreements with earlier geological estimates may be related to the time scale and the extent to which faults have been studied (Li et al., 2009).

Although ~~the F1 fault~~ is predominantly a left-lateral strike-slip fault, it also has a thrust component (Fig. 6b). The Lenglongling, Jinqianghe, Maomaoshan, middle Haiyuan, and Liupanshan faults ~~have the rake~~displayed rakes ranging from 10° to 20° , whereas the Guguan–Baoji Fault ~~has the~~had a rake varying from 40° to 50° , indicating that they are oblique thrust faults. The Laohushan and western and eastern Haiyuan faults ~~have rake~~had rakes below 10° , showing that left-lateral strike-slip faulting is dominant at these faults. Figures 6c and 6d show the continuous slip rates of ~~the F1 fault~~ along its strike and dip, respectively. The slip rates along the strike (Fig. 6c) ~~are like~~were similar to the total slip rates (Fig. 6a). The Laohushan and Haiyuan faults ~~have~~had the highest slip rates on ~~the F1 fault~~. Conversely, the Lenglongling, Jinqianghe, Maomaoshan, and Liupanshan faults ~~have~~had high dip-slip rates.

3.2.2 Slip rate of ~~the F2 fault~~

The F2 fault ~~comprises~~consists of the Zhuozishan, Huanghe, Luoshan, and Yunwushan–Xiaoguanshan faults (Fig. 1). Figure 7 shows that right-lateral strike-slip faulting is prevalent across the entire F2 fault. However, the magnitude of the dip-slip component ~~varies~~varied from one location to another. The Zhuozishan Fault is an oblique-slip reverse fault with slip rates ranging from 0.8 to 1.6 mm/a. The Huanghe Fault ~~has~~had a slip rate of 1.6–2.6 mm/a. Its northern

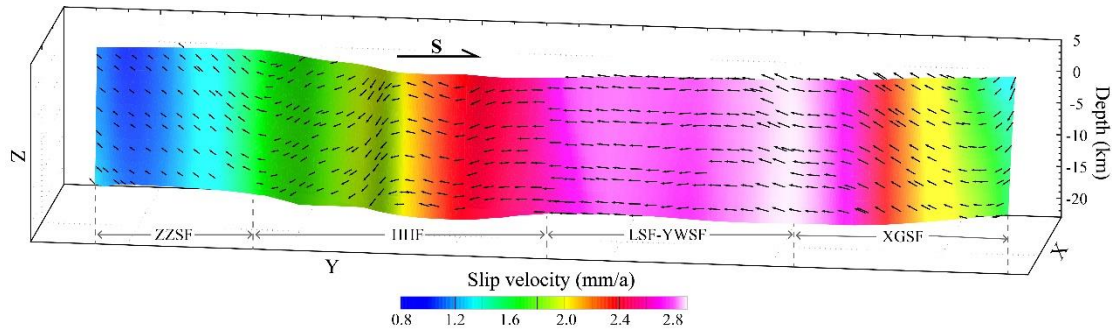


Figure 7. Distribution of the modeled fault slip rates on the F2-fault. View from west to east. The black arrows represent the slip directions. The ratio of the vertical to horizontal scale is 4:1.

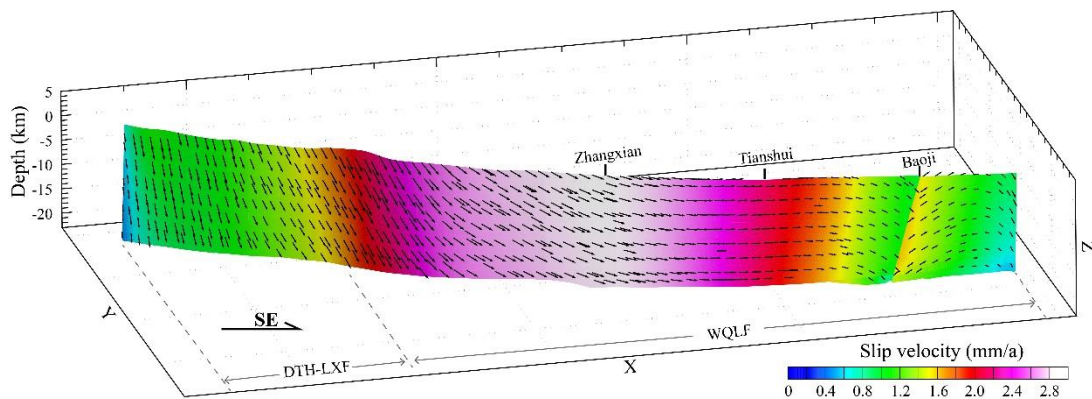


Figure 8. Distribution of the modeled fault slip rates on the F3-fault. View from SW to NE. The black arrows represent the slip directions. The ratio of the vertical to horizontal scale is 4:1.

segment is an oblique-slip normal fault, whereas its southern segment has displayed almost no dip-slip component. The Luoshan and Yunwushan faults have had slip rates ranging from 2.6 to 3.0 mm/a and are dominated by right-lateral strike-slip faulting. The Xiaoguanshan Fault is an oblique-slip reverse fault with slip rates ranging from 3.0 mm/a (northern end) to 1.4 mm/a (southern end).

3.2.3 Slip rate of the F3-fault

The F3-fault includes the Daotanghe–Linxia and West Qinling faults (Fig. 1) and is mainly primarily a left-lateral strike-slip fault, as shown in Fig. 8. The western Daotanghe–Linxia Fault is an oblique-slip reverse fault, which has with slip rates ranging from 0.8 to 1.8 mm/a. The West Qinling Fault has had slip rates varying from 1.8 to 2.8 mm/a, but each segment of this fault has exhibited slightly different kinematics. The West Qinling Fault is an oblique-slip reverse fault west of Zhangxian, but is dominated by left-lateral strike-slip faulting in the Zhangxian–Tianshui–Baoji region. The West Qinling Fault becomes an oblique-slip normal fault only in the vicinity of near Baoji.

The kinematics of other faults in the study area are not described in this study. Their horizontal velocities are listed in Fig. 9.

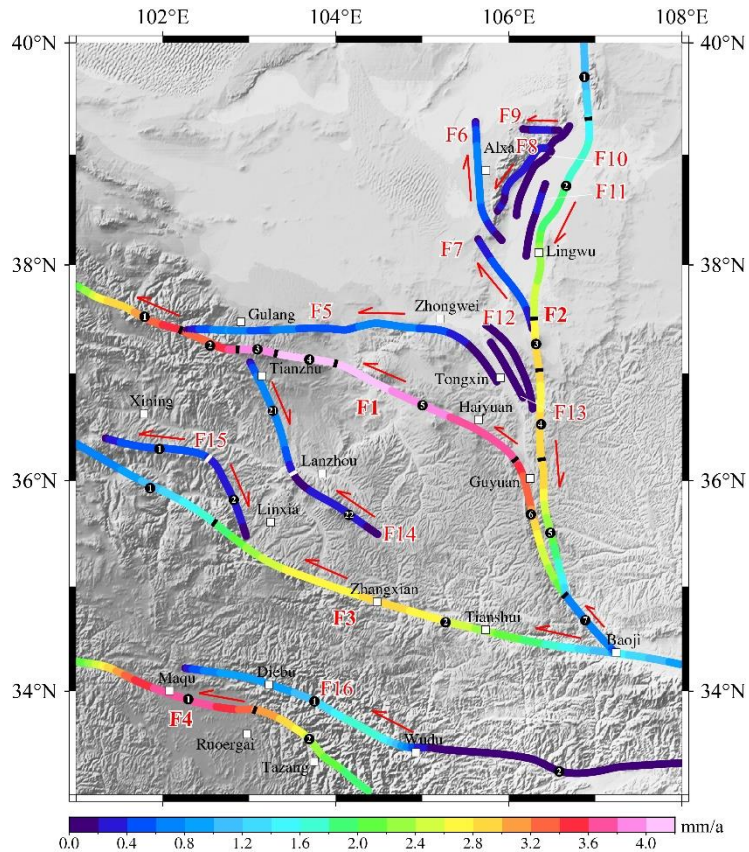


Figure 9. Modeled horizontal fault slip rates and slip senses. The fault names are defined in Fig. 1.

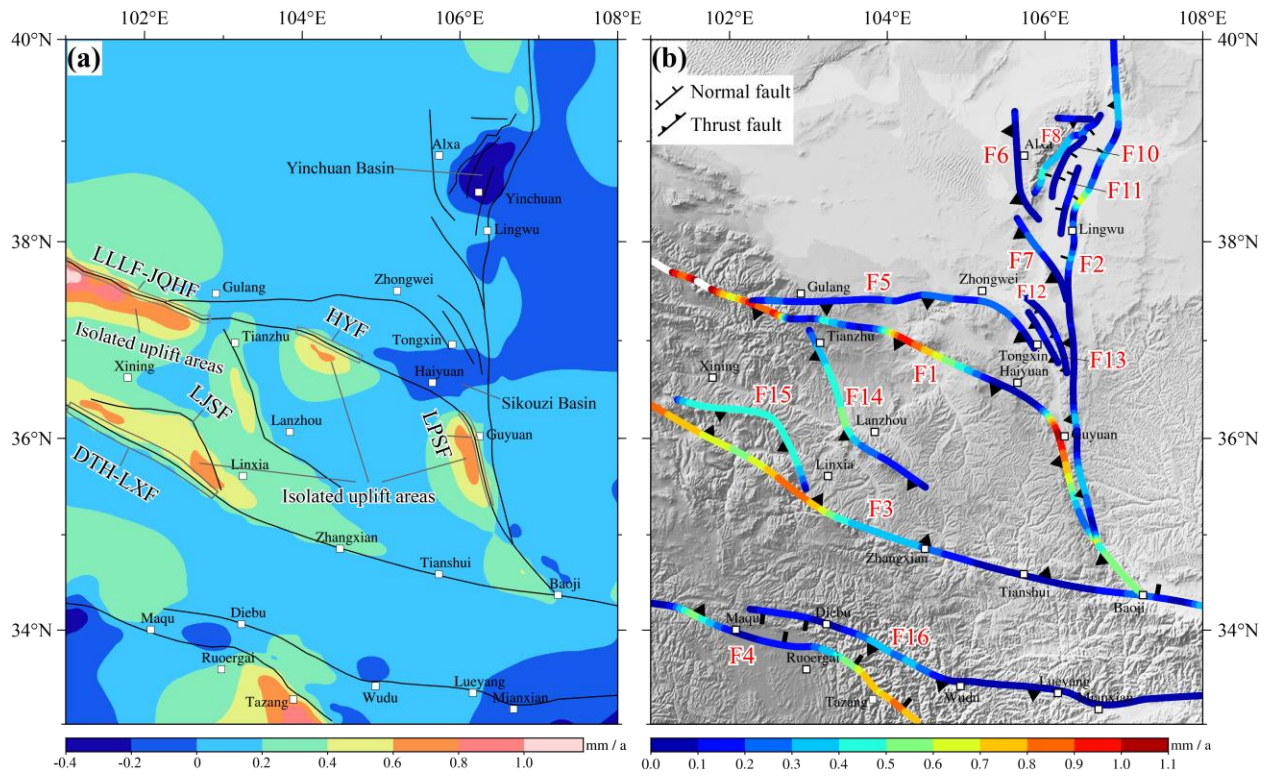


Figure 10. (a) Modeled vertical velocity at the surface. Negative values indicate subsidence, whereas positive values represent uplift. (b) Modeled vertical slip rates on faults at the surface. The fault names are defined in Fig. 1.

3.3 Vertical velocities

Figure 10a shows the distribution of vertical velocities in the study area. In our model, surface subsidence ~~can be~~ was observed only in the Yinchuan Basin west of Huanghe Fault, in the Ordos basin in the east, and in the Sikouzi Basin in the southern Ningxia arc tectonic belt. Most of the subsidence rates ~~range~~ ranged between 0 and 0.2 mm/a, except in the center of the Yinchuan Basin, which ~~exhibit~~ exhibited subsidence rates varying from 0.2 to 0.4 mm/a. Based on paleomagnetic studies, the Sikouzi Basin had a subsidence rate of 0.22 mm/a during the Pliocene (Wang et al., 2011), whereas the subsidence rate of the Yinchuan Basin has been 0.32 mm/a since the Middle Pleistocene (Ma et al., 2021). Both values are consistent with those derived from our simulation.

Most other parts of the study area ~~exhibit uplift~~ exhibited uplift, albeit at low rates (generally less than 0.2 mm/a). Most areas with high uplift rates (0.8–1.0 mm/a) ~~are~~ were in the Qilian Block, such as the Lenglinglong ~~Fault~~ and Jinqianghe ~~Fault~~ Faults, the southern side of the middle Haiyuan Fault, the western side of the Liupanshan segment, and the southern side of the Lajishan Fault (Fig. 10a). High vertical fault slip rates also ~~appear~~ appeared on the F1 and F3 thrust faults that form the north and south boundaries of the Qilian Block (Fig. 10b). These high vertical fault slip rates ~~are inferred~~ were thought to be caused by the compression from the NE expansion of the TP and SW ~~subducting~~ subduction of the Alxa Block (Ye et al., 2015). Therefore, outward thrust stacking occurs on the southern and northern boundaries (F1 and F3 ~~faults~~) of the Qilian Block.

4 Discussion

4.1 Comparison with previous results

The boundary conditions of our model were derived from the GPS data of Wang and Shen (2020). Figure 11 shows a comparison of the results of our model with the GPS data. The modeled velocity field is consistent in both direction and magnitude with the GPS-derived velocity field. ~~In order to~~ To further examine the fit between the model results and GPS data, we selected a NE–SW profile that crosses through the study area (Fig. 11, C–C') and projected all GPS-observed values within 50 km of both sides of the profile. Figure 12 shows that the modeled and observed values on either end of the profile (i.e., locations close to the model's boundaries) are almost identical. Although there were differences between the modeled and GPS-observed values, the differences were within the margin of error for the GPS data. Therefore, based on comparisons between the modeled and GPS-observed values in the map and profile, our modeled kinematics ~~agrees~~ agree well with the GPS data.

Table 3 is a comparison of the modeled slip rates and model-independent geological slip rates compiled from previous studies. From the comparison, ~~we can see that~~ our modeled results ~~are generally~~ were consistent with the slip rates obtained by geologic approaches. For example, the modeled horizontal slip rates on ~~the~~ F1 ~~fault~~ (e.g., the Laohushan, Haiyuan, and Liupanshan faults) ~~are~~ were similar to the geological slip rates obtained by previous pointwise measurements (Table 3). The modeled slip rates on the F2-3 Luoshan Fault (2.6–3.0 mm/a) ~~are in line~~ agreed with the geological slip rate (2.2 mm/a). A good agreement between these two kinds of slip rates also ~~exists~~ existed on the West Qinling Fault in the Zhangxian and Tianshui region (Table 3).

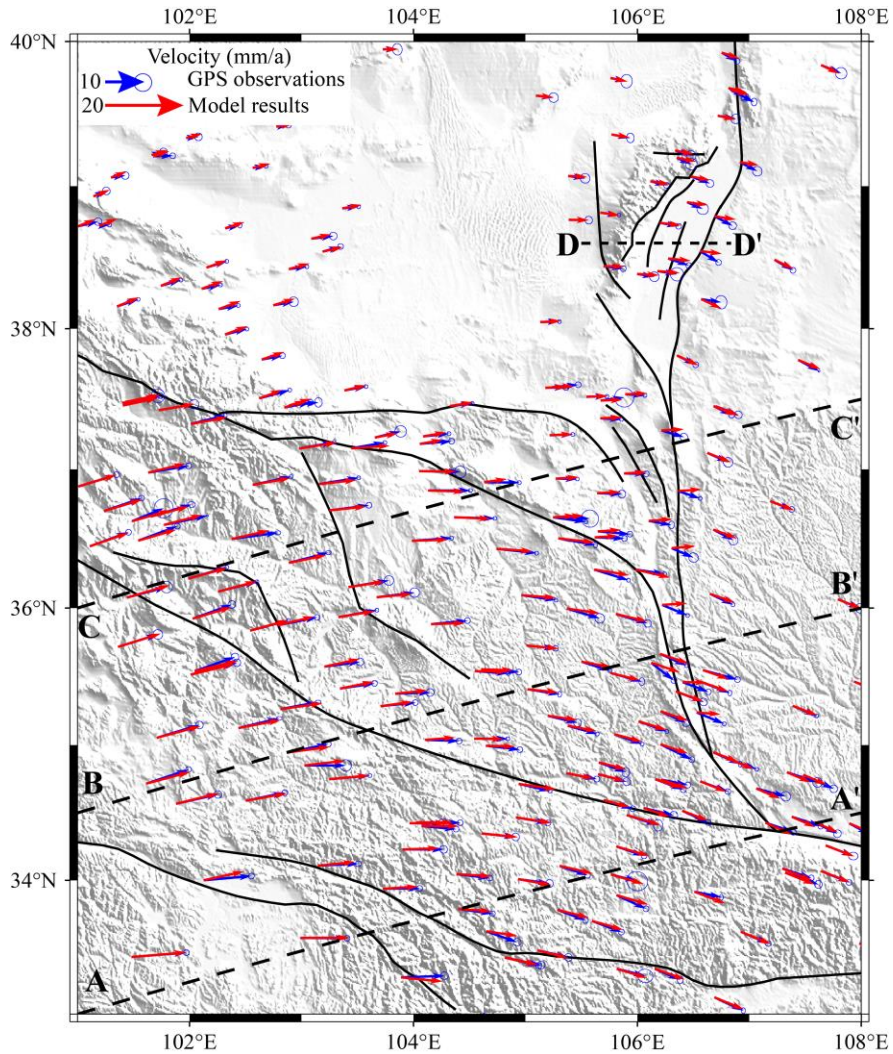


Figure 11. Comparison of the modeled horizontal velocities and GPS velocities. The red arrows represent the modeled results, and the blue arrows are the GPS measurements (Wang and Shen, 2020). The dashed line is the location of the profile in Fig. 12 and Fig. 14.

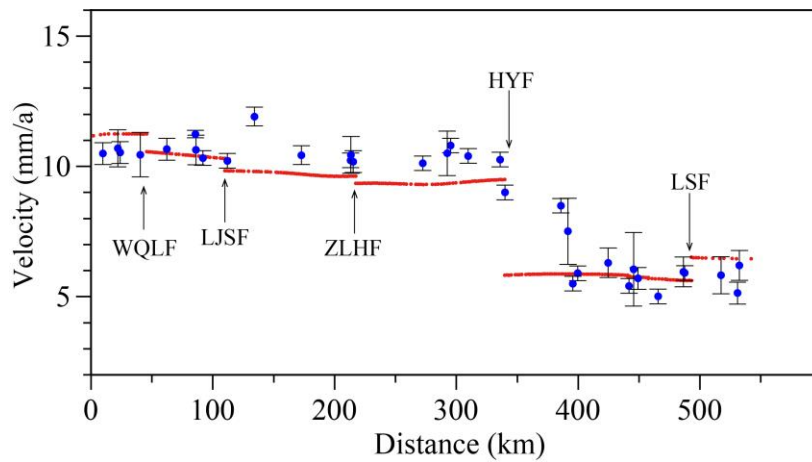


Figure 12. Comparison of the modeled horizontal velocities and GPS velocities along the C-C' profile in Fig. 11. The red points are model data, and blue circles indicate GPS measurements. The fault names are defined in Fig. 1.

Table 3. Comparison of model slip rates with geological slip rates

Fault name		Modeled rate (mm/a)		Geological rate (mm/a)		References
		Lateral ^a	Vertical ^b	Lateral ^a	Vertical ^b	
F1-1	LLL F	2.8–3.6	0.6–1.3	3.9	0.38	He et al., 2000, 2010
F1-2	JQHF	3.2–3.8	0.7–1.0	4.4	/	He et al., 2000
F1-3	MMSF	3.8–4.0	/	3.7	/	He et al., 2000
F1-4	LHSF	4.0–4.2	/	4.0	/	Liu et al., 2018
F1-5	HYF	3.6–4.2	0.2–1.0	3.2–4.5	/	Li et al., 2009; Matrau et al., 2019
F1-6	LPSF	2.0–3.6	0.2–1.1	0.7–3.0	0.2–0.9	Wang, 2018; Wang et al., 2021;
F1-7	GG-BJF	0.6–0.8	0.5–0.6	/	/	/
F2-1	ZZSF	-(1.2–1.4)	0.2–0.3	/	/	/
F2-2	HHF	-(1.6–2.6)	0–0.7	/	0.04–0.24	Lei et al., 2014
F2-3	LSF	-(2.6–3.0)	/	-2.2	/	Min et al., 2003
F2-4	YWSF	-(2.6–3.0)	/	/	/	/
F2-5	XGSF	-(1.4–3.0)	0–0.4	/	/	/
F3-1	DTH-LXF	0.8–1.8	0.5–0.8	/	/	/
F3-2	WQLF	2.0–3.0	0.1–0.9	2.5–2.9	/	Chen et al., 2019
F4-1	EKLF	3.2–3.8	0–0.5	4.9	0.25	Li, 2009
F4-2	TZF	2.2–3.2	0.3–0.7	1.4–3.2	0.1–0.3	Ren et al., 2013
F5	TJSF	0–0.8	0.1–0.3	0.77–0.96	0.1–0.2	X. Li et al., 2017, 2019; Zhang et al., 2015
F6	WHL SF	-(0.6–0.8)	0–0.2	-0.28	0.11	Lei, 2016
F7	NSSF	-(0.4–0.6)	0–0.3	-0.35	0.10	Lei, 2016
F8	EHL SF	0.2–0.4	0.1–0.5	/	0.88	Lei et al., 2016
F9	ZYGF	0.2–0.4	0–0.2	/	/	/
F10	LHTF	/	0–0.1	/	0.18	Lei et al., 2011
F11	YCF	/	0–0.2	/	0.14	Lei et al., 2008
F12	QSHF	/	0–0.2	/	/	/
F13	YT SF	/	0–0.2	/	/	/
F14-1	ZLHF	-(0.6–0.8)	0.2–0.6	/	0.12–0.51	Hou et al., 1999
F14-2	MXSF	0.2–0.4	0.1–0.4	0.5–1.72	/	Song et al., 2006
F15-1	WLJSF	0.4–0.6	0.4–0.5	/	/	/
F15-2	ELJSF	-(0.2–0.4)	0.3–0.5	/	/	/
F16-1	DB-BLJF	0.8–1.8	0.1–0.4	1.3	0.39	Liu et al., 2015
F16-2	WD-KXF	0–0.2	0–0.1	1.0	/	Zheng et al., 2016a

^a Positive value indicates left-lateral slip rate. ^b Fault attributes are shown in Fig. 10b

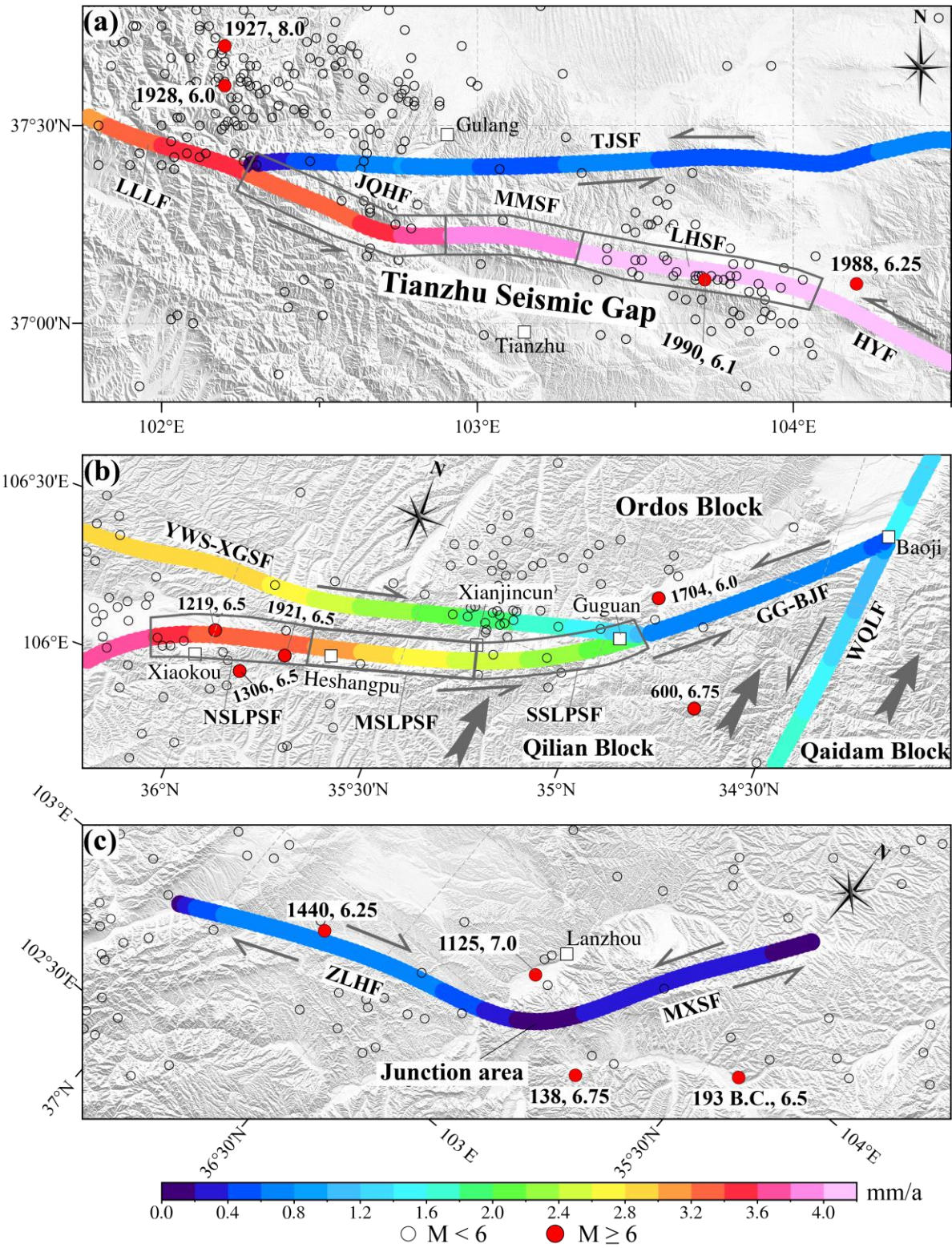
4.2 Fault slip rates and seismic hazards

315 4.2.1 Tianzhu Seismic Gap

The M 8.0 Gulang earthquake occurred in 1927 ~~in~~along the northwestern ~~part~~segment of ~~the~~F1-fault, whereas the M 8.0 Haiyuan earthquake occurred in 1920 on the Haiyuan Fault (Fig. 1). The Jinqianghe, Maomaoshan, and Laohushan faults, which are in the region between the locations of these two strong earthquakes, unruptured in the two earthquakes, are collectively known as the Tianzhu Seismic Gap (TSG, Guo et al., 2019; Li et al., 2016; Fig. 13a).
320 Based on the simulation, the left-lateral strike-slip rates of the Jinqianghe, Maomaoshan, and Laohushan faults were 3.2–3.8, 3.8–4.0, and 4.0–4.2 mm/a, respectively (Table 2, Fig. 13a). Therefore, all three faults have relatively high slip rates compared with the rest of the study area. Based on the slip rates and other fault data, we estimated the earthquake magnitude based on the energy accumulated during the time elapsed from the previous event (Purcaru et al., 1978) and recurrence intervals (Shen et al., 2009), as shown in Table 4. The Jinqianghe, Maomaoshan, and
325 Laohushan faults can generate M_s 7.1, ~~M_s~~ M_s 7.3, and ~~M_s~~ M_s 6.6 earthquakes, with recurrence intervals of 424, 571, and 1910 years, respectively. ~~It has been reported that~~A total of 675 and 952 years have elapsed since the last ~~time~~rupture along ~~the~~Jinqianghe and Maomaoshan faults~~ruptured~~ (Gan et al., 2002; Table 4). Therefore, the likelihood of reactivation along these two faults ~~will reactivate~~ is high. For the Laohushan Fault, ~~it is believed that~~ the most recent earthquake with surface ruptures ~~was~~is thought to be the 1888 M 6.25 Jingtai earthquake. Because only 133 years have elapsed
330 since this event, the seismic hazard of this fault in the near future is low. Although several researchers suggested that the TSG could be ruptured thoroughly by a $M \geq 8.0$ earthquake with a recurrence interval of 1000 years (Chen, 2014) and the elapsed time from the last M 8.0 earthquake may be ~~closed~~close to the recurrence interval (Liu et al., 2018), further work is needed to verify this assumption, especially on the stress state of the faults. Nevertheless, our results together with the previous studies suggest that the seismic hazard caused by a large earthquake in the TSG is high in
335 the next few decades, and careful earthquake monitoring should be conducted in this area.

4.2.2 Seismic gap at the southern Liupanshan and Guguan–Baoji faults

The Liupanshan and Guguan–Baoji faults are jointly affected by three interacting blocks with contrasting velocity fields (Fig. 13b). First, the SE movement of the Qilian Block horizontally compresses this region against the stable Ordos Block to the east and causes strong thrusting motion (Fig. 6a). Second, the Liupanshan Fault zone, which is
340 adjacent to the SE end of the Haiyuan Fault, accommodates the shortening caused by the left-lateral strike-slip motion of the Haiyuan Fault. Third, our modeled results ~~show~~showed that the Yunwushan–Xiaoguanshan Fault has a significant right-lateral strike-slip component (Fig. 7), which contributes to the accumulation of right-lateral shear strain in the Liupanshan and Guguan–Baoji fault zones (Du et al., 2018). Based on the analysis of the velocity field in the region, the Liupanshan and Guguan–Baoji faults are a prime location for elastic strain accumulation. The
345 distribution of the velocities of the faults are also indicative of stress accumulation in this region (Fig. 7). The northern segment of the Liupanshan Fault ~~has~~had slip rates of 3.2–3.6 mm/a, which dramatically ~~decreased~~decreased to 2.5 mm/a in the middle and southern segments of the fault. In addition, the slip rate of the Guguan–Baoji Fault ~~is~~was only 0.7 mm/a. The northern part of the Yunwushan–Xiaoguanshan Fault ~~has~~had slip rates of 2.8–3.0 mm/a, which ~~decreased~~decreased to 1.5 mm/a in the southern partsegment (Fig. 13b). These changes in the slip rate indicate that the



350 **Figure 13.** Modeled horizontal fault slip rates and distribution of historical earthquakes in (a) the Tianzhu Seismic Gap, (b)
 355 the intersection area of the Liupanshan, Guguan–Baoji, and Yunwushan–Xiaoguanshan faults and (c) the junction area of
 the Maxianshan and Zhuanglanghe faults. The gray arrows in (b) represent the movements of the Qilian and Qaidam
 blocks and NSLPSF, MSLPSF, and SSLPSF are the northern, middle, and southern segments of the Liupanshan Fault,
 respectively.

Table 4. Earthquake magnitude and recurrence interval of each fault based on the energy accumulated during the elapsed time since the last ~~remarkable~~significant earthquake

Fault name	V_1 (mm/a)	V_2 (mm/a)	L_1 (km)	L_2 (km)	μ (Gpa)	t	S(m)	M_S	T(a)
JQHF	3.5	/	34	20	34.5	675	1.5	7.1	424
MMSF	3.9	/	51	20	34.5	952	2.2	7.3	571
LHSF	4.1	2.5	70	20	34.5	133	3.1	6.6	1910
MSLPSF, SSLPSF	2.5	/	80	23	34.5	570	3.5	7.2	1397
GG-BJF	0.7	/	70	23	34.5	1400	3.1	7.1	4365

V_1 is the modeled average slip rate of the fault in this study; V_2 is the aseismic creep rate of the fault (Y. Li, et al., 2021); L_1 is the length of the fault (Xu et al., 2016); L_2 is the depth of the seismogenic, which refers to the locking depth (Y. Li, et al., 2017, 2021); μ is the shear modulus of the rocks (Aki et al., 2002); t is the time that has elapsed since the most recent remarkable earthquake (Gan et al., 2002; Shi et al., 2013, 2014; Wang et al., 2001); S is the largest maximum coseismic displacement, calculated using the method of Gan et al. (2002); M_S is the earthquake magnitude corresponding to the energy accumulated by the fault between recurrences (Purcaru et al., 1978); and T is the recurrence interval of the fault, where $T = S/(V_1 - V_2)$ (Shen et al., 2009). The fault names are defined in Fig. 1 and Fig. 13.

middle–southern segments of the Liupanshan and Guguan–Baoji faults have high slip rate deficits, implying this region accumulates strain. However, in terms of seismic activity, only the northern ~~part~~segment of the Liupanshan Fault has a history of major earthquakes, including an M 7 earthquake in 1219, M 6.5 earthquake in 1306, and M 6.5 earthquake in 1921 (Fig. 13b). Earthquakes stronger than M 6.0 have not been recorded in the middle–southern parts of Liupanshan Fault. Their seismic activity mainly manifests as small and sparse earthquakes, and the most recent ~~remarkable~~significant activation was recorded ~570 years ago (Shi et al., 2014). Minor earthquakes related to the Guguan–Baoji Fault are scarce, and the only notable earthquakes that have occurred near this fault ~~are~~were an M6.0 earthquake in 1704 and the 600 AD Qinlong M 6.75 earthquake (Shi et al., 2013). ~~It has been estimated that ~1400~~Approximately 1400 years have elapsed since the last M~7 earthquake.

~~Base~~Based on the above analysis, we suggest that the southern segments of the Liupanshan and Guguan–Baoji faults are in prime locations for stress/strain accumulation and constitute a seismic gap at the end of the large-scale Haiyuan strike-slip fault zone. ~~Since~~Because this region has a history of strong earthquakes, it is necessary to assess the seismic hazard and its urgency ~~in this region~~. Based on the fault slip rates obtained from our model and fault data in the literature, we estimated that the energy accumulated on the middle–southern Liupanshan and Guguan–Baoji faults during the elapsed time is sufficient to generate M_S 7.2 and M_S 7.1 earthquakes, with recurrence intervals of 1397 and 4365 years, respectively (Table 4). Obviously, the elapsed time on the middle–southern Liupanshan (570 years) and Guguan–Baoji faults (1400 years) (see the column “t” in Table 4) are much shorter than their typical recurrence intervals. Therefore, we ~~infer~~inferred that the middle–southern Liupanshan fault and the Guguan-Baoji fault are most likely in a state of stress accumulation, and the likelihood of a large earthquake on these fault segments in the next few decades is ~~thought to be~~low.

4.2.3 Maxianshan–Zhanglanghe fault zone

The Maxianshan Fault near Lanzhou city is a large Holocene strike-slip fault with a thrust component ~~of thrusting~~ and acts as an important earthquake-controlling fault that affects and constrains the seismicity of this region (Yuan et al.,

2003). Different left-lateral strike-slip rates have been reported for this fault—3.73 mm/a (Yuan et al., 2002a), 0.5–
380 1.72 mm/a (Song et al., 2006), and 0.93 mm/a (Liang et al., 2008). These discrepancies in fault slip rate may be
attributed to the loess that covers the extension of the fault, which obscures the fault traces in many segments and
makes it difficult to track its activity. The modeled results in this study ~~indicate~~indicated that the left-lateral strike-slip
rates of the Maxianshan Fault range from 0.2 to 0.4 mm/a, and ~~that~~ the vertical slip rates vary from 0.1 to 0.4 mm/a
(Fig. 13c, Table 3). Therefore, the left-lateral strike-slip rates of the Maxianshan Fault may not be as large as
385 previously thought, but they have a relatively large thrust component. The Zhanglanghe Fault is predominantly a right-
lateral strike-slip fault with slip rates ranging from 0.6 to 0.8 mm/a (Fig. 13c, Table 3). These slip rates are significantly
greater than the left-lateral strike-slip rates of the Maxianshan Fault. Note that the slip rate on the junction between
the Maxianshan ~~fault~~ and Zhuanglanghe ~~fault~~faults is ~~almost~~practically zero. ~~It can be inferred that~~Thus, the junction
~~area~~ would accumulate high concentrations of stress under the continuous eastward movement of the Qilian Block.
390 ~~Some~~Previous studies have suggested that the 1125 Lanzhou M 7.0 earthquake occurred in such a tectonic setting (He
et al., 1997; Fig. 13c). Given that the recurrence interval of this region is 2250–3590 years and the last event was only
896 years ago (Liang et al., 2008), the near-term risk of a large earthquake in this region is low. Therefore, we speculate
that the junction ~~area~~ of the Maxianshan ~~fault~~ and Zhuanglanghe ~~fault~~faults is currently in a state of stress
accumulation.

395 4.2.4 Isolated uplift areas and earthquakes

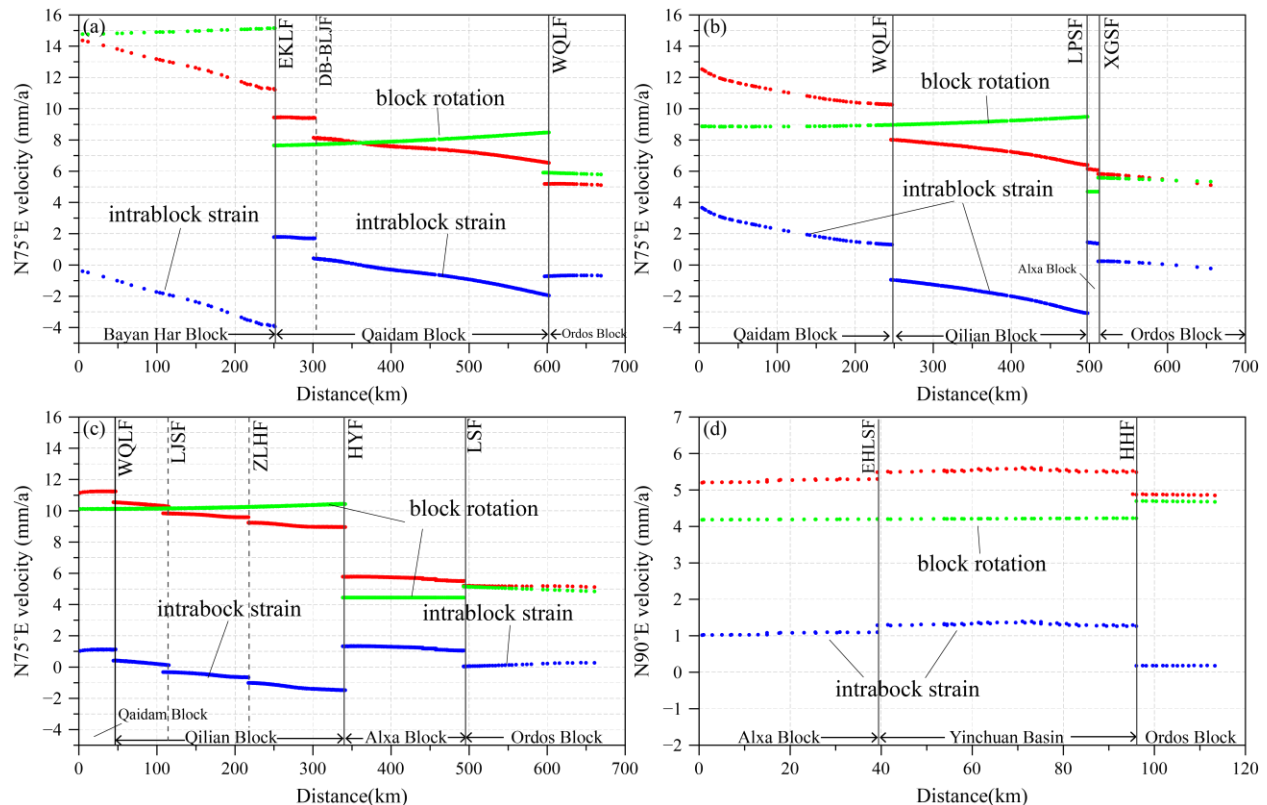
As mentioned above, we considered that earthquakes are less likely to occur on the Laohushan, Liupanshan and
Haiyuan faults in the short term ~~from the perspective of~~owing to the earthquake recurrence cycle and the elapsed time
from the previous earthquake. However, the Haiyuan, Liupanshan, Lajishan and Daotanghe-Linxia faults are all
located near the isolated rapid uplift areas of the Qilian block (Fig. 10a). Many studies have also found that low-
400 velocity bodies are widely distributed in the middle-lower crust of the Qilian block (Bao et al., 2013; Wang et al.,
2018; Ye et al., 2016). The spatial coupling of active faults, isolated uplift areas, and low-velocity bodies is highly
similar to the seismogenic conditions elaborated by the “seismic source cavity” model recently proposed by Zeng et
al. (2021). That is, during the rapid uplift of the isolated areas (Fig. 10a), the low-velocity bodies in the middle-lower
crust easily intruded into the weak space of the crust under ~~the action of~~ differential pressure to form a “seismic source
405 cavity”. If the isolated uplift areas ~~keep~~continue to rise, the “seismic source cavity” may rise to the shallow part of the
crust to intersect with brittle faults, causing strong earthquakes (Yang et al., 2009; Zeng et al., 2021). Therefore, in
addition to the Jinqianghe and Maomaoshan faults mentioned above, the Haiyuan ~~fault~~, Liupanshan ~~fault~~, Lajishan
~~fault~~, and the Daotanghe-Linxia ~~fault~~faults also have favorable structural conditions for strong earthquakes although
~~some~~a number of areas have not experienced events in recorded history.

410 4.3 Implication for deformation mechanism of NETP

The deformation of NETP is the result of the combined action of block rotation, faulting, and ~~the~~ intrablock
strainingstrain (Meade and Loveless, 2009). We analyzed four velocity profiles to compare the contributions of block
rotation, faulting, and intrablock strainingstrain to determine the total deformation of NETP (Fig. 14). ~~It is noted that~~

415 ~~the~~The rigid displacements caused by block rotation were calculated according to the Euler pole locations and rotation rates with respect to the ~~Eurasia~~Eurasian plate (Wang et al., 2017; Y. Li et al., 2022), as shown in Fig. 14 a–d. The velocity gradient caused by block rotation ~~accounts~~accounted for more than 80% of that on the profiles. Obviously, the block rotation should be the primary mechanism for the deformation of the NETP, which is similar to ~~the~~ southeastern Tibet (Z. Zhang et al., 2013). However, the intrablock ~~straining~~strain of ~~the~~ Bayan Har and Qaidam blocks ~~contribute~~contributed approximately 4 mm/a and 3 mm/a shortening in profiles of AA' and BB' (Fig. 14a–b). The Qilian block also ~~has~~exhibited a contribution of 2 mm/a shortening in profile BB' but ~~decreases~~decreased to ~~about~~approximately 1mm/a in profile CC' (Fig. 14b–c). Therefore, the intrablock ~~straining~~strain is still significant for regional deformation. The boundary faults of the blocks, such as the East Kunlun ~~fault~~, Haiyuan ~~fault~~, and West Qinling ~~fault~~faults, also play an important role in regulating the deformation differences between blocks.

425 The D–D' profile shows that the tectonic deformations of the Yinchuan Basin structural belt slightly differ from those in other profiles. The NE expansion of the TP leads to near-N–S compression on the Yinchuan Basin (Yang, 2018), which causes it to move eastwards faster than the Alxa Block. This manifests as an eastward extension in the Yinchuan Basin. The crustal deformations caused by this process are accommodated by the right-lateral strike-slip of Huanghe Fault (Fig. 14d).



430 **Figure 14. Modeled velocity profiles across the study area with profile orientation of profiles. The profiles in (a)–(d) correspond to the AA', BB', CC', and DD' in Fig. 11, respectively. The red dots indicate the components along the profiles of the node motion velocity within 2 km on both sides of the profile. The green dots represent the velocity component along the profiles due to plate rotation. The blue dots indicate the differences between the red and green dots. Fault names are defined in Fig. 1.**

435 5 Conclusions

In this study, a detailed 3D geomechanical model of the NETP was constructed based on geophysical, geodetic, and geological data. This model ~~accounts~~ accounted for 3D fault geometries, variational rock properties, a reasonable initial crustal stress, and gravity. Special attention has been ~~paid~~ given to the evaluation of fault friction coefficients and initial stress to ensure that the model is consistent with the ~~real~~ geological conditions as much as possible. ~~After that~~ In addition, we extracted particular data from the model and obtained the horizontal and vertical crustal velocities of the study area as well as the horizontal and vertical slip rates of the major faults. The results ~~can be mutually confirmed~~ are consistent with the conclusions obtained by geodesy, geology, paleomagnetism, etc.

Based on the analysis of the kinematics of major faults, we suggest that the Jinqianghe–Maomaoshan Fault will ~~probably cause~~ likely experience a Ms 7.1–7.3 earthquake in the following decades ~~due~~ owing to its relatively high slip rates with an elapsed time close to the recurrence interval. In contrast, the Laohushan and middle–southern Liupanshan faults, as well as the Guguan–Baoji and the junction ~~area~~ of the Maxianshan and Zhuanglanghe faults, are ~~inferred~~ thought to have a low seismic hazard in the near future ~~on account of their~~ owing to the very short elapsed time ~~since the last significant event~~, although stress is easily accumulated in these areas. The model also provided information on the deformation mechanism of the NETP. Because of velocity differences between the opposing sides of the Haiyuan, West Qinling, and East Kunlun faults, as well as the relative stability of the Alxa and Ordos blocks, the NE expansion of the TP has caused the fault-separated Qilian, Qaidam, and Bayan Har blocks to extrude in the SEE direction and rotate in the clockwise direction. The block rotation is the primary mechanism for the deformation of the NETP even though ~~the~~ intrablock ~~straining~~ strain and faulting are non-negligible.

Data Availability

455 The GPS data displayed in Fig. 4 and Fig. 11 are available through Wang and Shen (2020). The fault traces were obtained from Xu et al. (2016). The CRUST1.0 was obtained from Laske et al. (2013).

Author contribution

LL and XL contributed to the model building. LL ~~carried out~~ conducted the analysis, wrote the paper, and prepared the figures. FY, LP, and JT reviewed and edited the paper.

460 Competing interests

The authors have no competing interests to declare.

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